

City of Austin Fiscal Year 2015-16 Action Plan

Providing Opportunities, Changing Lives

Homeless &
Special Needs
Assistance

Renter
Assistance

Homebuyer
Assistance

Homeowner
Assistance

Housing
Development
Assistance

Neighborhood
& Commercial
Revitalization

Small
Business
Assistance

Financial
Empowerment



Neighborhood Housing and
Community Development Office



City of Austin, Texas

**Fiscal Year 2015-16
Annual Action Plan**

**For Consolidated Plan Years
October 1, 2015 through September 30, 2016**



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City of Austin

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City of Austin Fiscal Year 2015-16 Action Plan

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Executive Summary

AP-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)

1. Introduction

The City of Austin submits this Fiscal Year (FY) 2015-16 Action Plan as the second of five Action Plans within the Consolidated Plan for FY 2014-19. An Annual Action Plan is required by the U.S. Department of Housing and Urban Development (HUD) from all jurisdictions receiving annual formula grants. The Annual Action Plan is the City's application to HUD for formula grant funding for each fiscal year. The following section provides a concise summary of the FY 2015-16 Action Plan. The final document is due to HUD no later than August 15, 2015.

In addition to HUD funding, the City of Austin also appropriates local funds to the Neighborhood Housing and Community Development (NHCD) Office for affordable housing and community development activities. HUD's guidance regarding the inclusion of non-federal funding sources in an Annual Action Plan is that non-federal sources are to be included if they are reasonably expected to be made available to address the needs outlined in the plan. For this reason, NHCD has included the anticipated FY 2016 General Obligation Bond Fund allocation of \$10 million and the anticipated Housing Trust Fund (HTF). The FY 2015-16 City of Austin budget is expected to be approved by the Austin City Council in September 2015. Once the City budget is approved, NHCD will notify HUD of any additional funding allocated by the City that will leverage the federal investment outlined in the FY 2015-16 Action Plan.

This Action Plan is not intended to confer any legal rights or entitlements on any persons, groups, or entities, including those named as intended recipients of funds or as program beneficiaries. The terms of this Annual Action Plan are subject to amendment and to the effect of applicable laws, regulations and ordinances. Statements of numerical goals or outcomes are for the purpose of measuring the success of programs and policies and do not impose a legal obligation on the City to achieve the intended results. Actual funding of particular programs and projects identified in this Plan are subject to completion of various further actions, some of which involve discretionary determinations by the City or others. These include HUD approval of this Plan; appropriations by the United States Congress and the City Council; reviews and determinations under environmental and related laws; and results of bidding and contracting processes.

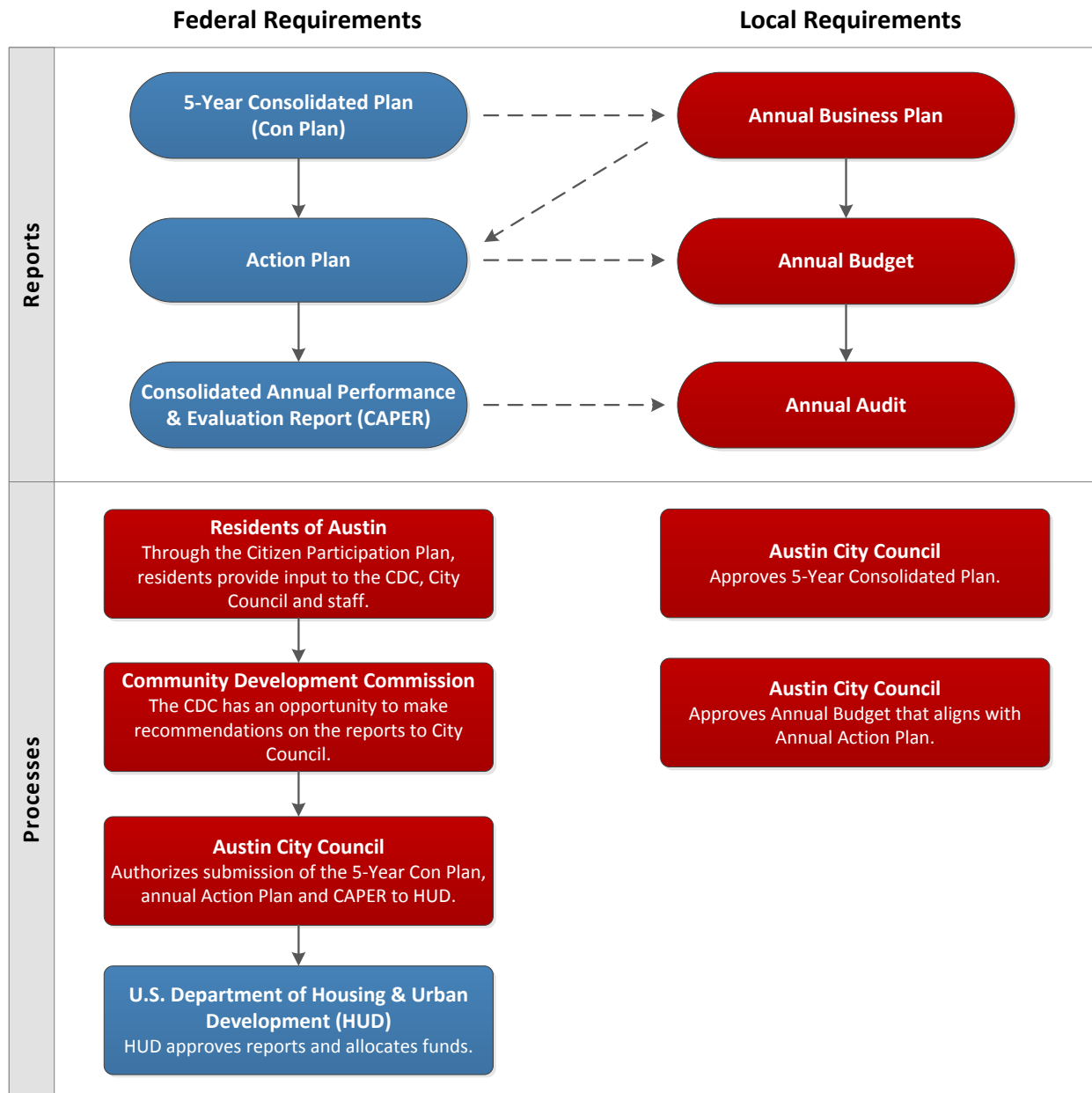


Exhibit 1 - HUD and City Budget Process Comparison

2. Summarize the objectives and outcomes identified in the Plan

This could be a restatement of items or a table listed elsewhere in the plan or a reference to another location. It may also contain any essential items from the housing and homeless needs assessment, the housing market analysis or the strategic plan.

The City's FY 2014-19 Consolidated Plan establishes funding priorities for the FY 2015-16 Action Plan in the following high priority need areas: Homeless/Special Needs Assistance; Renter Assistance; Homebuyer Assistance; Homeowner Assistance; Housing Development Assistance; Small Business Assistance; Neighborhood and Commercial Revitalization and Financial Empowerment. These funding priorities were established based on the housing and community development needs identified through public and stakeholder input, the housing market analysis and the analysis of special populations. All of the proposed funding priorities will serve very-low, low- and moderate-income households in the City of Austin. In addition, the proposed activities will serve special needs populations including: seniors, persons with disabilities, persons experiencing homelessness and at risk of homelessness, persons living with HIV/AIDS, at risk children and youth, victims of domestic violence, housing authority residents, and persons returning to the community from correctional institutions and/or with criminal histories. Activities designated as high priority will be funded by the City of Austin in FY 2015-16. Medium priorities will be funded if funds are available. Low priority activities will not be funded.

City of Austin ordinance 820401-D ("The Austin Housing and Community Development Block Grant Ordinance") dated April 1, 1982, sets forth requirements and provisions for the administration of funds received by the City under the Housing and Community Development Act of 1974, as amended, including the assertion that "the primary objectives of community development activities in the City of Austin shall be the development of a viable urban community, including decent housing, a suitable living environment, elimination of slums and blight and expanding economic opportunities, principally for persons of low- and moderate-income," and that in implementing the above, "the City's general obligation to provide capital improvements to the target areas is not diminished except in extraordinary circumstances. Capital improvements in the target areas are to be funded through the normal course of city-wide capital improvements." Responsive to this ordinance, infrastructure needs are designated a low priority for Consolidated Planning purposes and will not be funded with Community Development Block Grant (CDBG) funding.

FY 2014-19 CONSOLIDATED PLAN PRIORITIES AND PROPOSED ACCOMPLISHMENTS				
Program	Program Description	Priority for Federal Funds	FY 2014-19 Con Plan Goal	Objects and Outcomes
Homeless/ Special Needs	Homeless/Special Needs Assistance provides services to the City's most vulnerable populations, including persons experiencing homelessness, persons living with HIV/AIDS, seniors, youth, children, and families.	High	31,795	Suitable Living Environment Availability/ Accessibility
Renter Assistance	Renter Assistance provides assistance to renters so that rent is more affordable, provides tenants' rights services to equip renters with information that may allow them more stability and provides financial assistance for necessary rehabilitation to make homes accessible.	High	2,998	Decent Housing Availability/ Accessibility
Homebuyer Assistance	Homebuyer Assistance provides counseling to renters wishing to become homebuyers and to existing homebuyers to help them stay in their homes. This category includes the Down Payment Assistance Program, which offers loans to qualifying low- and moderate-income homebuyers to help them buy their first home.	High	75	Suitable Living Environment Sustainability
Homeowner Assistance	Homeowner Assistance provides services for low- and moderate-income individuals who own their homes, but need assistance to make it safe, functional, and/or accessible.	High	3,527	Decent Housing Availability/ Accessibility
Housing Development Assistance	Housing Development Assistance includes NHCD programs that offer assistance to non-profit and for-profit developers to build affordable housing for low- and moderate-income households.	High	406	Decent Housing Availability/ Accessibility
Neighborhood & Commercial Revitalization	Neighborhood and Commercial Revitalization includes programs related to the revitalization of neighborhoods and corridors. These programs include commercial acquisition, competitive and non-competitive grants.	High	4	Creating Economic Opportunity Sustainability
Small Business Assistance	Small business assistance will provide a range of services for small business, from technical assistance to gap financing, to ensure not only the success of growing small businesses in the community, but also to encourage the creation of jobs for low- and moderate-income households.	High	199	Creating Economic Opportunity Availability/ Accessibility
Financial Empowerment	The Individual Development Account Program (IDA) encourages households to save by providing a matched savings account for post-secondary education, to become a first-time homebuyer or to start a business.	Medium	190	Creating Economic Opportunity
Total			39,194	

Exhibit 2 - FY14-19 Consolidated Plan Priorities Table

3. Evaluation of past performance

This is an evaluation of past performance that helped lead the grantee to choose its goals or projects.

The City of Austin reports its progress towards goals set in the 5-Year Consolidated Plan and Annual Action Plans in the end-of-year Consolidated Annual Performance and Evaluation Report (CAPER). The projects and goals outlined in the Action Plan are informed by past performance.

4. Summary of Citizen Participation Process and consultation process

Outreach

The Neighborhood Housing and Community Development Office (NHCD) worked closely with the City's Communications & Public Information Office (CPIO) to develop an extensive community engagement and outreach strategy with the intent to promote awareness of the community needs assessment period and to increase interest, thus increasing public participation. The collaborative efforts of NHCD and CPIO resulted in the development of a FY 2015-16 Action Plan website, as well as an online and in-person survey that was advertised at community events and through *Austin Notes*, the City's e-mail subscription service for news and information.

Feedback and input opportunities were also offered through e-mail and postal mail. The City's outreach efforts targeted the general public, as well as areas of low-income households and racial/ethnic minority concentration. Announcements were published in English and Spanish. In addition, to further outreach efforts and target special populations, NHCD staff highlighted the Annual Action Plan process before City of Austin Boards & Commissions.

Community Needs Assessment

The community needs assessment period ran from March 20 through May 15, 2015. The City of Austin's Citizen Participation Plan (CPP) requires that the City conduct two public hearings during the community needs assessment period. The public hearings were held before the Austin City Council on April 16, 2015, and before the Community Development Commission (CDC) on May 12, 2015. In total, nine speakers participated in the public hearings. The City of Austin also received 200 responses to a survey that was made available in hardcopy form (123 responses) and online (77 responses).

See Attachment I for a summary of the public comments provided during public hearings and copies of all written comments received during the community needs assessment and draft Action Plan comment periods.

Draft Comment Period

There was a 30-day public comment period on the draft Action Plan. The City provided the draft report electronically online and provided hard copies at ten community centers beginning on May 22, 2015. During the 30-day public comment period that ran from May 22 through June 22, 2015, the public had an opportunity to provide specific feedback on the recommended priorities and activities outlined in the FY 2015-16 draft Action Plan. Two additional public hearings were held: one before the CDC on June 1, 2015, and one before the Austin City Council on June 11, 2015 to obtain feedback on the draft Action Plan. Comments received during the draft Action Plan comment period have been added to the final Action Plan.

5. Summary of public comments

This could be a brief narrative summary or reference an attached document from the Citizen Participation section of the Con Plan.

Ten members of the public provided input at the four public hearings held in accordance with the City of Austin's CPP, seven written statements were received, and 200 community needs assessment surveys were completed. A diverse number of topics relating to affordable housing and community development were provided through the public comments, including: Deep Affordability, Fair Housing, General Obligation Bonds/SMART™ Housing, Home Repair, Homelessness, Transitional Housing, Permanent Supportive Housing (PSH), Tenant-Based Rental Assistance (TBRA), and Community Development needs.

See Attachment I for a summary of the public comments provided during public hearings and copies of all written comments received during the community needs assessment and draft Action Plan comment periods.

6. Summary of comments or views not accepted and the reasons for not accepting them

All comments or views received were accepted.

7. Summary

In FY 2015-16, the City of Austin expects to receive \$11.2 million from HUD through four formula grants: Community Development Block Grant (CDBG); HOME Investment Partnerships Program (HOME); Emergency Solutions Grant Program (ESG); and Housing Opportunities for Persons with AIDS (HOPWA). Formula grants provided through HUD are determined by statutory formulas. Reasonably anticipated local resources include the 2013 General Obligation (GO) Bonds and resources from the City of Austin's Housing Trust Fund (HTF).

PR-05 Lead & Responsible Agencies – 91.200(b)

1. Agency/entity responsible for preparing/administering the Consolidated Plan

Describe the agency/entity responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

Agency Role	Name	Department/Agency
Lead Agency	AUSTIN	
CDBG Administrator	AUSTIN	NHCD
HOPWA Administrator	AUSTIN	HHSD
HOME Administrator	AUSTIN	NHCD
ESG Administrator	AUSTIN	HHSD
HOPWA-C Administrator	NA	NA

Table 1 – Responsible Agencies

Narrative (optional)

LEAD AGENCY AND PARTICIPATING ORGANIZATIONS

Neighborhood Housing and Community Development Office (NHCD)

NHCD is designated by the Austin City Council as the single point of contact for HUD, and is the lead agency for the administration of the CDBG, HOME, HOPWA, and ESG grant programs. NHCD administers the CDBG and HOME programs. NHCD is directly responsible for developing the 5-Year Consolidated Plan, the Annual Action Plan, and the end-of-year Consolidated Annual Performance and Evaluation Report (CAPER).

Austin Housing Finance Corporation (AHFC)

AHFC was created in 1979 as a public, non-profit corporation organized pursuant to Chapter 394 of the Texas Local Government Code, and administers many of the City's housing programs. Employees of NHCD manage the funding and operations of AHFC through an annual service agreement executed between the City and AHFC.

Austin/Travis County Health and Human Services Department (HHSD)

The City Council designates HHSD to administer the HOPWA and ESG programs. HHSD works in partnership with the community to promote health, safety, and well-being. HHSD is comprised of five divisions: Administrative Services, Community Services, Disease Prevention and Health Promotion, Environmental Health Services, and Maternal, Child, and Adolescent Health. HHSD administers a number of housing and public services programs identified under the Homeless/Special Needs priority on the FY 2014-19 Investment Plan.

Economic Development Department (EDD)

EDD executes the City of Austin's economic development strategy, which consists of attracting corporate relocations and expansions, managing urban redevelopment, promoting international business, developing locally-owned small businesses, and developing the creative economy through arts and music. EDD administers the Family Business Loan Program (FBLP) identified under the Small Business Assistance priorities funded with Section 108 funds. Section 108 is a loan guarantee provision of the CDBG Program.

Community Development Commission (CDC)

The CDC advises the Austin City Council in the development and implementation of programs designed to serve low- and moderate-income residents and the community at large, with an emphasis on federally-funded programs. The CDC is comprised of 15 members; seven members are elected through a neighborhood-based process and all are appointed by the Austin City Council. The CDC also oversees the Community Services Block Grant (CSBG) program managed by HHSD. CSBG regulations require 15 members including representatives from geographic target areas: Colony Park, Dove Springs, East Austin, Montopolis, Rosewood-Zaragosa/Blackland, St. Johns/Rundberg, and South Austin.

Urban Renewal Board (URB)

The URB oversees the Urban Renewal Agency's functions and is comprised of seven members appointed by the Mayor, with consent of the Austin City Council. The Urban Renewal Board also oversees the implementation and compliance with Urban Renewal Plans that are adopted by the Austin City Council. An Urban Renewal Plan's primary purpose is to eliminate slum and blighting influence within a designated area of the City. The City Council adopted Resolution No. 971119-34 on November 19, 1997, declaring the East 11th and 12th Streets Revitalization Area to be a slum and blighted area and designated this area appropriate for an urban renewal project under Ordinance 990114-10. Subsequently, the Austin City Council adopted an Urban Renewal Plan. The City of Austin and the Urban Renewal Agency, in the shared interest of completing the

Urban Renewal Project, have entered into an agreement, which identifies each party's roles and responsibilities for the completion of the revitalization for these two corridors.

Action Plan Public Contact Information

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AP-10 Consultation – 91.100, 91.200(b), 91.215(I)

1. Introduction

To ensure that priority needs are carried out in the most efficient manner, NHCD coordinates with other City departments in its administration of programs and activities including Planning and Zoning Department, Economic Development Department (EDD), Austin/Travis County Health and Human Services Department (HHSD), Austin Energy/Austin Green Building, Austin Water Utility, Austin Code Compliance Department, and the Austin Sustainability Office. NHCD consults with boards and commissions and external agencies with missions related to affordable housing and community development, including the African American Resource Advisory Commission, Austin Home Repair Coalition, Austin Mayor's Committee for People with Disabilities, Commission on Seniors, Community Advancement Network (CAN), Community Development Commission (CDC), Austin Housing Coalition, Early Childhood Council, Ending Community Homelessness Coalition (ECHO), Hispanic/Latino Quality of Life Resource Advisory Commission, Austin Area Comprehensive HIV Planning Council, Housing Authority of the City of Austin (HACA), Housing Authority of Travis County (HATC), HousingWorks Austin, Real Estate Council of Austin, and Urban Renewal Board (URB).

Provide a concise summary of the jurisdiction's activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(I))

The City of Austin provides funding and works closely in partnership with ECHO, the entity that also acts as the coordinator of the region's Continuum of Care (CoC). Leadership from the two local housing authorities, Seton Hospital, private housing providers, City and County health and human services departments, social service programs that serve the homeless, as well as criminal justice entities serve on the ECHO Membership Council and Leadership Committee on Permanent Supportive Housing Finance. ECHO partners with the local mental health authority to expand housing resources for homeless clients who also have mental illness. The City awarded \$3.5 million of GO bonds towards development of 50 units of Housing First Permanent Supportive Housing, with a target population of clients with mental illness. This facility will include a new neighborhood integrated clinic and is expected to open in late 2016. ECHO will launch a Healthcare- Housing Task Force to more closely coordinate healthcare delivery to homeless clients and people living in supportive housing. ECHO is also pursuing a partnership with a managed care organization to streamline access to healthcare for homeless clients. The City is requiring City funded projects to use the Homeless Management Information System (HMIS). ECHO executive leadership participates in planning partnerships with psychiatric stakeholders, criminal justice executives, and employment specialists led by Central Health, Austin-Travis County Integral Care, Travis County Public Safety and Justice, and Goodwill.

Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness.

For years, the City of Austin HHSD served as the lead for the community CoC application. Although this function is now coordinated by ECHO, the City HHSD and NHCD staff continue to provide leadership roles in development of the annual CoC application, including participation on the CoC Committee, the Independent Review Team and Data Work Group, and serve on the ECHO Membership Council, which is the governing body of the CoC. By doing so, the City of Austin is involved with the annual program evaluation process for the local HUD CoC funding renewal applications and development of coordinated assessment, which prioritizes persons experiencing homelessness based on a vulnerability scale and community priorities, and provides feedback on local funding priorities. This participation ensures that City of Austin resources are aligned with HUD CoC funds as well as other federally-funded programs.

Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS

During development of the NHCD Action Plan, HHSD Community Based Resources Unit staff engaged with the members of ECHO to gather information that informs decisions on ESG allocations, performance standards, and evaluation outcomes. ECHO, NHCD, and HHSD staff continue to explore ways to further strengthen this relationship.

The City HHSD and ECHO work together to evaluate outcomes and will be working to develop similar outcomes to evaluate Rapid Rehousing programs as appropriate. All ESG-funded programs enter data into HMIS, which is administered by ECHO. HMIS staff analyze the data and report progress on ending homelessness to HHSD and other community entities.

The City of Austin has taken an active role in supporting a more effective HMIS so that the data can help guide policy decisions and identify program effectiveness. The City of Austin allocates General Fund dollars to support ECHO by funding an HMIS Director position. City staff have taken an active role with ECHO in developing coordinated assessment and improving other components of the continuum. City staff attend monthly HMIS User Group meetings and meet with ECHO staff about HMIS operations and administration planning.

2. Describe Agencies, groups, organizations and others who participated in the process and describe the jurisdiction's consultations with housing, social service agencies and other entities

Table 2 – Agencies, groups, organizations who participated

1	Agency/Group/Organization	Ending Community Homelessness Coalition (ECHO)
	Agency/Group/Organization Type	Services-homeless
	What section of the Plan was addressed by Consultation?	Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The City of Austin provides funding and works closely in partnership with ECHO, which is the coordinator of the region's CoC. Leadership from City and County HHSD attend monthly ECHO Membership Council meetings, serve on the CoC Independent Review Team, and coordinate activities serving the homeless and low-income communities in the area. NHCD reviews the CoC application through the Certificate of Consistency process. ECHO is one of several partners represented on the Austin City Council appointed Leadership Committee on Permanent Supportive Housing Finance. ECHO is an active contributor to the information in the Consolidated Plan regarding homeless needs and strategies.
2	Agency/Group/Organization	Housing Authority of the City of Austin (HACA)
	Agency/Group/Organization Type	PHA
	What section of the Plan was addressed by Consultation?	Public Housing Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	HACA was consulted to provide information on its activities and needs relating to public housing. As one of two Public Housing Authorities (PHAs) serving the City of Austin boundaries, input from and coordination with HACA is valuable to address community needs efficiently.
3	Agency/Group/Organization	Austin Mayor's Committee for People with Disabilities
	Agency/Group/Organization Type	Board/Commission - Local

	What section of the Plan was addressed by Consultation?	Housing Need Assessment Non-Homeless Special Needs Persons with Disabilities
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The Austin Mayor's Committee for People with Disabilities advises the City Council and City Manager regarding problems affecting persons with disabilities in the Austin area. NHCD staff made a presentation before the board on April 13, 2015. The community needs assessment process was discussed, and the members had an opportunity to ask questions or raise concerns about how the City's programs address the needs of those with disabilities in Austin.
4	Agency/Group/Organization	Community Development Commission (CDC)
	Agency/Group/Organization Type	Board/Commission - Local
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homeless Needs - Chronically homeless Homeless Needs - Families with children Non-Homeless Special Needs Economic Development Household Affordability
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The CDC advises the City Council on the development and implementation of programs designed to serve the poor and the community at large with emphasis on federally funded programs. Per the City of Austin's Citizen Participation Plan, the CDC is required to conduct two public hearings to inform the Annual Action Plan and make recommendations to the Austin City Council before final approval.
5	Agency/Group/Organization	Asian American Quality of Life Advisory Commission
	Agency/Group/Organization Type	Board/Commission - Local
	What section of the Plan was addressed by Consultation?	Housing Need Assessment

	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The Asian American Quality of Life Commission advises the City Council on issues related to the Asian American Resource Center and provides on-going guidance and support for the City's Asian American quality of life initiatives. NHCD staff made a presentation before the board on April 21, 2015. The community needs assessment process was discussed, and the members had an opportunity to ask questions or raise concerns about how the City's programs address the needs of residents.
6	Agency/Group/Organization	Hispanic/Latino Quality of Life Resource Advisory Commission
	Agency/Group/Organization Type	Board/Commission - Local
	What section of the Plan was addressed by Consultation?	Housing Need Assessment
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The Hispanic/Latino Quality of Life Resource Advisory Commission advises the City Council on issues relating to the quality of life for the City's Hispanic/Latino community and recommends programs and policies designed to alleviate any inequities that may confront Hispanics and Latinos in social, economic, and vocational pursuits including education, youth services, housing and community development, cultural arts, economic development, health, civic engagement, and transportation. NHCD staff made a presentation before the board on April 22, 2015. The Community Needs Assessment Process was discussed, and the members had an opportunity to ask questions or raise concerns about how the City's programs address the needs of residents.
7	Agency/Group/Organization	African American Resource Advisory Commission
	Agency/Group/Organization Type	Board/Commission - Local
	What section of the Plan was addressed by Consultation?	Housing Need Assessment

<p>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</p>	<p>The African American Resource Advisory Commission advises the City Council on issues relating to the quality of life for the City's African American community and recommends programs designed to alleviate any inequities that may confront African Americans in social, economic and vocational pursuits, including: health care; housing, including affordable housing, home ownership and homelessness; entertainment opportunities for professionals and students; employment; and cultural venues, including museums, theaters, art galleries and music venues. NHCD staff made a presentation before the board on May 6, 2015. The community needs assessment process was discussed, and the members had an opportunity to ask questions or raise concerns about how the City's programs address the needs of residents. NHCD staff originally requested to brief the board in April, but it was not possible to get on their agenda at that time.</p>
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Identify any Agency Types not consulted and provide rationale for not consulting

The City of Austin contacted a variety of agency types and partnering organizations in preparing the FY 2015-16 Annual Action Plan. No agencies were intentionally excluded from consultation.

Other local/regional/state/federal planning efforts considered when preparing the Plan

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Continuum of Care	Ending Community Homelessness Coalition (ECHO)	The Community Plan to End Homelessness guides Continuum of Care prioritization and has 4 goals to prevent homelessness: 1) Develop community-wide strategies for affordable housing; 2) Identify and provide services for those most at risk of becoming homeless in our community using a triage model; 3) Increase employment and income opportunities for people at-risk of losing their homes; and 4) Educate the community about homelessness and advocate for evidence-based practices and solutions. These are in alignment with the City of Austin Consolidated Plan which includes two prevention objectives: 1) Assist persons experiencing homelessness or who are at risk of becoming homeless; and 2) Assist persons living with HIV/AIDS to achieve stable housing and increase access to medical care and supportive services.
Imagine Austin	City of Austin Planning and Zoning Department	Adopted by the City Council on June 15, 2012, Imagine Austin is the City's 30-year comprehensive plan. Informed by the ideas and contributions of the community, the plan is based on Austin's greatest asset: its people. It includes implementation guidelines and the following priority programs: 1) A compact, connected Austin with improved transportation options; 2) Sustainably managed water resources; 3) Invest in Austin's workforce, education systems, and entrepreneurs; 4) Protect environmentally sensitive areas and integrate nature into the City; 5) Invest in Austin's creative economy; 6) Develop and maintain household affordability throughout Austin (NHCD is lead in implementing the Household Affordability priority program and will continue to partner with other City departments and community entities to guide implementation of Imagine Austin through its strategic plan); 7) Create a 'Healthy Austin' program; and 8) Revise Austin's land development regulations and processes.

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Long-Range Capital Improvement Program Strategic Plan	City of Austin Capital Planning Office	The City of Austin's Capital Planning Office, in conjunction with City departments, developed the Long-Range Capital Improvement Program Strategic Plan to further align capital investments with the City's Comprehensive Plan and related City priorities. The Long-Range CIP Strategic Plan has a 10-year or longer planning horizon and provides the basis for identifying both on-going capital needs and strategic opportunities for capital investment.
Housing Opportunity in Central Texas	Capital Area Council of Governments	Housing Opportunity in Central Texas is a summary report of the regional effort to promote equitable growth through the Sustainable Places Project, an ambitious regional planning initiative. The report summarizes key findings of data analysis, deliberation, and the many related products built by the project that will impact future policy decisions.
Sustainable Places Project	Capital Area Council of Governments (CAPCOG)	The Capital Area Texas Sustainability (CATS) Consortium, through a grant from HUD and regional partnership of local governments, regional organizations, and other stakeholder groups, is developing a new approach to planning in Central Texas by introducing an analytics tool to provide a better understanding of the impacts of various development patterns. CAPCOG serves as the grant administrator for the regional collaboration. CAPCOG is contracting with the City of Austin and the University of Texas Sustainable Development Center to assist in developing and testing the tool.
Colony Park Master Plan	City of Austin Neighborhood Housing and Community Development	The City of Austin received \$3 million in grant funds from HUD to create a master plan for the Colony Park tract, a proposed 208-acre development in Northeast Austin. On December 11, 2014, the Austin City Council voted unanimously to approve an amendment to the Imagine Austin Comprehensive Plan by adopting the Colony Park Master Plan and Design Guidelines that incorporate best practice strategies for energy-efficient building design; water conservation and zero-waste technology; and standards to create a model sustainable and livable mixed-use, mixed-income community. NHCD continues to explore funding opportunities for the implementation of the master plan.

Table 3 – Other local / regional / federal planning efforts

Narrative (optional): Please see above.

AP-12 Participation – 91.105, 91.200(c)

1. Summary of citizen participation process/Efforts made to broaden citizen participation Summarize citizen participation process and how it impacted goal-setting

The City of Austin's Citizen Participation Plan requires that the City conduct two public hearings during the community needs assessment period. There was a 30-day public comment period on the draft Action Plan. The City provided the draft report electronically online and distributed hard copies at ten community centers. During the 30-day public comment period, there were two additional public hearings on the draft Action Plan. The public hearings were held before the CDC and before the Austin City Council.

A copy of all public comments received during both the community needs assessment period and the draft Action Plan comment period are included in Attachment I.

Citizen Participation Outreach

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/ attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
1	Public Hearing	Non-targeted/ broad community	5 citizens provided public testimony.	Public Hearing before the Austin City Council on April 16, 2015: Public testimony stressed the importance of housing affordability, housing access, home repairs for low income households, tenant based rental assistance, and homeless services.	All comments or views received were accepted.	https://austintexas.gov/department/city-council/council-meetings

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/ attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
2	Public Meeting	Persons with disabilities	The meeting was attended by members of the Austin Mayor's Committee for People with Disabilities, and was open to the public.	A presentation and community needs discussion was held during the April 13, 2015 meeting of the Austin Mayor's Committee for People with Disabilities. Comments and questions from board members related to the City's Architectural Barrier Removal Program, as well as the need for housing that is both accessible and affordable to persons with disabilities.	All comments or views received were accepted.	http://www.austintexas.gov/amcpd
3	Public Meeting	Minorities	The meeting was attended by members of the Asian American Quality of Life Commission and was open to the public.	A presentation and community needs discussion was held during the April 21, 2015 meeting of the Asian American Quality of Life Commission. Comments and questions from board members related to eligible uses of federal funding, as well as services and housing options for seniors so they can remain connected their families, social networks, and community.	All comments or views received were accepted.	http://www.austintexas.gov/content/asian-american-quality-life-advisory-commission

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/ attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
4	Public Meeting	Minorities	The meeting was attended by members of the Hispanic/Latino Quality of Life Resource Advisory Commission, and was open to the public.	A presentation and community needs discussion was held during the April 22, 2015 meeting of the Hispanic/Latino Quality of Life Resource Advisory Commission. Comments and questions from board members related to demographic and economic conditions, housing affordability, and the importance of public outreach during the community needs assessment phase.	All comments or views received were accepted.	https://www.austintexas.gov/content/hispaniclatino-quality-life-resource-advisory-commission-0
5	Public Meeting	Minorities	The meeting was attended by members of the African American Resource Advisory Commission, and was open to the public.	A presentation and community needs discussion was held during the May 6, 2015 meeting of the African American Resource Advisory Commission. Comments and questions from board members related to regional demographic and economic trends, and the importance of early public outreach during the community needs assessment phase.	All comments or views received were accepted.	https://www.austintexas.gov/aarac

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/ attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
6	Internet Outreach	Non-targeted/ broad community	77 responses to the English / Spanish online survey, and 237 page views through May 15, 2015.	Online survey respondents indicated their top housing, community and economic development needs. Summary findings are featured in Attachment I of this document.	All comments or views received were accepted.	www.austintexas.gov/usingplan
7	Public Hearing	Low income community	4 citizens provided public testimony.	Public Hearing before the Community Development Commission on May 12, 2015: Public testimony stressed the importance of home repairs for low income households.	All comments or views received were accepted.	
8	Public Hearing	Low income community	0 citizens provided public testimony.	The Public Hearing was held before the Community Development Commission on June 1, 2015. No members of the public signed up to speak.	Not applicable	

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/ attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
9	Public Hearing	Non-targeted/ broad community	1 citizen provided public testimony	Public Hearing before the Austin City Council on June 11, 2015: Public testimony stressed the importance of housing affordability and home repairs for low income households.	All comments or views received were accepted.	https://austintexas.gov/departments/city-council/council-meetings

Table 4 – Citizen Participation Outreach

Expected Resources

AP-15 Expected Resources – 91.220(c) (1, 2)

Introduction

In addition to HUD funding, the City of Austin allocates revenue to NHCD for affordable housing and community development activities. HUD's guidance regarding the inclusion of non-federal funding sources in an Annual Action Plan is that non-federal sources are to be included if they are reasonably expected to be made available to address the needs outlined in the plan. The FY 2015-16 City of Austin budget is expected to be approved by the Austin City Council in September 2015. Once the City budget is approved, NHCD will notify HUD of any additional funding allocated by the City that will leverage the federal investment outlined in the FY 2015-16 Action Plan.

This Action Plan is not intended to confer any legal rights or entitlements on any persons, groups, or entities, including those named as intended recipients of funds or as program beneficiaries. The terms of this Annual Action Plan are subject to amendment and to the effect of applicable laws, regulations and ordinances. Statements of numerical goals or outcomes are for the purpose of measuring the success of programs and policies and do not impose a legal obligation on the City to achieve the intended results.

Actual funding of particular programs and projects identified in this Plan are subject to completion of various further actions, some of which involve discretionary determinations by the City or others. These include HUD approval of this Plan; appropriations by the United States Congress and the City Council; reviews and determinations under environmental and related laws; and results of bidding and contracting processes.

Priority Table

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Reminder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
CDBG	public - federal	Acquisition; Admin and Planning; Economic Development; Housing; Public Improvements; Public Services	7,078,382	285,000	0	7,363,382	21,250,984	The CDBG Program is authorized under Title I of the Housing and Community Development Act of 1974 as amended. The primary objective of CDBG is the development of viable communities by: Providing decent housing; Providing a suitable living environment; Expanding economic opportunities. To achieve these goals, any activity funded with CDBG must meet one of three national objectives: Benefit low- and moderate-income persons; Aid in the prevention of slums or blight; Meet a particular urgent need.

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Reminder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
HOME	public - federal	Acquisition; Homebuyer assistance; Homeowner rehab; Multifamily rental new construction; Multifamily rental rehab; New construction for ownership; TBRA	2,433,108	347,000	0	2,780,108	8,763,071	The HOME Program was created by the National Affordable Housing Act of 1990 (NAHA), and has been amended several times by subsequent legislation. The three objectives of the HOME Program are: 1) Expand the supply of decent, safe, sanitary, and affordable housing to very low and low-income individuals; 2) Mobilize and strengthen the ability of state and local governments to provide decent, safe, sanitary, and affordable housing to very low- and low-income individuals; 3) Leverage private sector participation and expand the capacity of non-profit housing providers.

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Reminder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
HOPWA	public - federal	Permanent housing in facilities; Permanent housing placement; Short term or transitional housing facilities; STRMU; Supportive services; TBRA	1,117,794	0	0	1,117,794	3,353,382	The HOPWA Program was established by HUD to address the specific needs of low-income persons living with HIV/AIDS and their families. HOPWA makes grants to local communities, states, and non-profit organizations. HOPWA funds provide housing assistance and related supportive services in partnership with communities and neighborhoods.

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Reminder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
ESG	public - federal	Conversion and rehab for transitional housing; Financial Assistance; Overnight shelter; Rapid re-housing (rental assistance); Rental Assistance Services; Transitional housing	622,474	0	35,000	657,474	1,867,422	The Homeless Emergency Assistance and Rapid Transition to Housing Act of 2009 (HEARTH Act) became law on May 20, 2009, and consolidated several homeless assistance programs administered by HUD. It also renamed the Emergency Shelter Grants program the Emergency Solutions Grants (ESG) program. ESG is designed to be the first step in a continuum of assistance to help clients quickly regain stability in permanent housing after experiencing a housing crisis and/or homelessness. ESG-funded programs leverage other federal and local funds including HOPWA, City of Austin General Fund, State Housing and Homeless Services Program funding. Transitional housing is no longer an eligible activity under ESG (24 CFR 576 Subpart B).

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Reminder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
Housing Trust Fund	public - local	Homeowner rehab; Housing TBRA; Other	880,976	0	0	0	4,700,000	The Austin City Council also dedicates 40 percent of all City property tax revenues from developments built on City-owned lands to affordable housing. This policy is anticipated to generate revenues in perpetuity to the HTF.
Other	public - federal	Economic Development	0	0	8,000,000	8,000,000	0	Funds to be administered by the City of Austin Economic Development Department, and used for the Family Business Loan Program (FBLP). Please see section AP-85 Other Actions (Discussion), for a comprehensive description.

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Reminder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
Other	public - federal	Financial Assistance	0	0	0	0	0	IDAs are special savings accounts designed to assist low-income individuals and families move toward asset ownership through matched savings and financial education. An IDA can be used to buy a first home, pay for college or other training, and start or expand a small business. NHCD will use these funds to administer projects that provide IDAs and related services to low-income individuals and families. Because the participants' deposits are matched, the account can grow substantially in proportion to the amount deposited on a regular basis.

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Reminder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
Other	public - local	Acquisition; Homeowner rehab; Housing New construction for ownership; Other	10,000,000	0	0	10,000,000	20,000,000	In 2013 voters approved the City of Austin proposition for \$65 million in general obligation bonds for affordable housing. These funds will be utilized for constructing, renovating, improving and equipping housing for low-income persons and families; acquiring land and interests in land and property necessary to do so; and funding affordable housing programs.

Table 5 - Expected Resources – Priority Table

Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied

The City of Austin will satisfy its matching requirement through S.M.A.R.T. Housing™ Fee Waivers, GO Bond Funding, and Capital Improvement Program (CIP) Funds.

HUD requires that the Participating Jurisdictions (PJs) that receive HOME funds match 0.25 cents of every dollar. The matching requirement mobilizes community resources in support of affordable housing. The table below calculates the total required contribution of matching funds from the City of Austin for FY 2015-16 funding. The 25% match is consistent with data displayed on HUD Form PR-33, which was exported from the Integrated Disbursement and Information System on May 21, 2015.

Calculation		FY 2015-16
	Grant Allocation	2,433,108
	Administration (10%)	243,311
	Amount of Incurring Repayment	-
	Total Match Obligation	2,189,797
25% Match Percentage		
	Match Requirement	547,449
Sources		
	Non-Federal Funds	410,587
	Bond Proceeds (25% Maximum)	136,862
	Total Sources of Match	547,449

If appropriate, describe publically owned land or property located within the jurisdiction that may be used to address the needs identified in the plan

There are several publically owned parcels that may be utilized to address the needs identified in the FY 2015-16 Action Plan:

- 0.73 Acres on Linden Street - The City is planning to construct four new single-family homes.
- 208.16 Acres on Loyola Lane (Colony Park) - On December 11, 2014, the City Council voted unanimously to approve an amendment to the Imagine Austin Comprehensive Plan by adopting the Colony Park Master Plan and Design Guidelines, which incorporate best practice strategies for energy-efficient building design; water conservation and zero-waste technology; and standards to create a model sustainable and livable mixed-use, mixed-income community. NHCD continues to explore funding opportunities for the implementation of the Colony Park Master Plan.
- 5.15 Acres on Tillery Street and Henninger Street - Pecan Grove development to be determined.
- 0.50 Acres on Goodwin Avenue - Construction was completed in Spring 2015 of three new single-family homes. Homes will be sold under a Community Land Trust model.
- 44.45 Acres on Levander Loop, "Animal Shelter" Property - AHFC has acquired 6.0 acres out of the 44.45 acres of the Levander Loop property, which includes the Austin Animal Shelter. AHFC will begin the public outreach process to inform the community of plans to engage a design team to design the site. A Request for Qualifications will be released to begin the design team solicitation process.
- 1.50 Acres on E. 12th Street "Anderson Village" – Construction of 24 rental units, including five PSH units was completed in November 2013. The units are currently leased to low-income residents; some have Veterans Affairs Supportive Housing (VASH) Vouchers and are receiving supportive services through local non-profit organizations.
- Rosewood Property – In the event HACA decides to apply for, and is awarded a Choice Neighborhood Implementation Grant, NHCD will provide CDBG funds over a 5 year period to assist in the redevelopment of this property according to the plan developed in partnership with the community.

Discussion

NOTICE OF REPROGRAMMING OF FUNDS

NHCD proposes to reprogram the following CDBG and HOME funds through the FY15-16 Action plan process according to the guidelines established in the City of Austin Citizen Participation Plan. Exhibits 1 and 2 outline the reprogramming of these funds.

Exhibit 1 CDBG

Amount	From	To
15,113	Community Development	Housing
296,798	Administration	Housing
100,000	Debt service	Community Development

Exhibit 2 HOME

Amount	From	To
93,016	Administration	Housing

Annual Goals and Objectives

AP-20 Annual Goals and Objectives - 91.420, 91.220(c)(3)&(e)

Goals Summary Information

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
1	Homeless/Special Needs	2014	2019	Homeless; Non-Homeless; Special Needs	Throughout the city of Austin	Homeless/Special Needs	CDBG: \$823,765 HOPWA: \$1,084,261 ESG: \$575,789	Public service activities other than Low/Moderate Income Housing Benefit: 370 Persons Assisted Tenant-based rental assistance / Rapid Rehousing: 123 Households Assisted Homeless Person Overnight Shelter: 2000 Persons Assisted HIV/AIDS Housing Operations: 349 Household Housing Unit

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
2	Renter Assistance	2014	2019	Affordable Housing	Throughout the city of Austin	Renter Assistance	CDBG: \$517,993 HOME: \$510,300	Public service activities other than Low/Moderate Income Housing Benefit: 511 Persons Assisted Rental units rehabilitated: 15 Household Housing Unit Tenant-based rental assistance / Rapid Rehousing: 101 Households Assisted
3	Homebuyer Assistance	2014	2019	Affordable Housing	Throughout the city of Austin		HOME: \$645,000	Direct Financial Assistance to Homebuyers: 15 Households Assisted
4	Homeowner Assistance	2014	2019	Affordable Housing	Throughout the city of Austin	Homeowner Assistance	CDBG: \$2,498,470 HOME: \$698,634	Homeowner Housing Rehabilitated: 502 Household Housing Unit
5	Housing Development Assistance	2014	2019	Affordable Housing; Homeless	Throughout the city of Austin	Housing Development Assistance	CDBG: \$953,643 HOME: \$655,863	Rental units constructed: 9 Household Housing Unit Homeowner Housing Added: 10 Household Housing Unit Other: 3 Other

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
6	Small Business Assistance	2014	2019	Non-Housing Community Development	Throughout the city of Austin	Small Business Assistance	CDBG: \$390,000	Jobs created/retained: 6 Jobs Businesses assisted: 31 Businesses Assisted ** Family Business Loan Program excluded here because funding is not new.
7	Neighborhood and Commercial Revitalization	2014	2019	Non-Housing Community Development	Throughout the city of Austin	Neighborhood and Commercial Revitalization	CDBG: \$0	4 units
8	Financial Empowerment	2014	2019	Financial Empowerment	Throughout the city of Austin	Financial Empowerment	HHS IDA: \$0	Public service activities other than Low/Moderate Income Housing Benefit: 95 Persons Assisted

Table 6 – Goals Summary

Goal Descriptions

1	Goal Name	Homeless/Special Needs
	Goal Description	Persons experiencing homelessness or with special needs are Austin's most vulnerable populations and therefore are a high priority for the FY 2014-19 Consolidated Plan. This decision was made based on feedback from the public process, including stakeholder meetings that included homeless and special needs providers, public hearings, and a Consolidated Plan survey.

2	Goal Name	Renter Assistance
	Goal Description	The City of Austin's 2014 Comprehensive Housing Market Study identifies the high need for affordable rental housing in Austin. This analysis was echoed in every aspect of the public input process from service providers, government partners, policy makers, and community members. Renter assistance is a high priority in the FY 2014-19 Consolidated Plan.
3	Goal Name	Homebuyer Assistance
	Goal Description	The City identified assistance to homebuyers as a high priority in the FY 2014-19 Consolidated Plan. The housing market analysis illustrates the difficulty for low- to moderate income households to transition from renting to buying a home with the rising real estate market in Austin. The public process also highlighted the need for financial literacy for new and current homebuyers to have the necessary tools to stay in their homes.
4	Goal Name	Homeowner Assistance
	Goal Description	Preserving the safety and livability of the housing of low-income homeowners, allowing owners to stay in their homes, and improving the City's aging housing stock were highlighted as high priority needs by stakeholders and community members. Homeowner assistance is a high priority in the FY 2014-19 Consolidated Plan.
5	Goal Name	Housing Development Assistance
	Goal Description	The need for affordable housing for low-to-moderate income renters, including special needs populations and homebuyers was reflected in the housing market analysis and public input received from the community. The City's main tool to create affordable housing is through financing to non-profit and for-profit developers. In addition, the City encourages the development of affordable housing through developer incentives. The City therefore, makes Housing Development Assistance a high priority for the FY 2014-19 Consolidated Plan.
6	Goal Name	Small Business Assistance
	Goal Description	Recognizing that small businesses are important drivers of the Austin economy, assistance to small businesses is a high priority for the City of Austin. Feedback from the FY 2014-19 Consolidated Plan survey identified job creation as the highest community development need.

7	Goal Name	Neighborhood and Commercial Revitalization
	Goal Description	Neighborhood and Commercial Revitalization includes programs related to the revitalization of the East 11th and 12th Street Corridors, the Neighborhood Opportunity Improvement Program (NOIP), and the Colony Park Master Plan. East 11th and 12th Street programs include commercial acquisition and development, historic preservation efforts related to public facilities, and parking facilities within the corridors.
8	Goal Name	Financial Empowerment
	Goal Description	Individual Development Accounts (IDA) are promoted and funded through an IDA Grant.

Table 7 – Goal Descriptions

Estimate the number of extremely low-income, low-income, and moderate-income families to whom the jurisdiction will provide affordable housing as defined by HOME 91.215(b):

Extremely low-income households: 108 (TBRA, RHDA, A&D and HRLP)
 Low-income households: 20 (RHDA, A&D, HRLP, and DPA)
 Moderate-income households: 16 (A&D, DPA, HRLP)

Totals include the number of households for all HOME financed programs: Down Payment Assistance (DPA), Tenant Based Rental Assistance (TBRA), Homeowner Rehabilitation Loan Program (HRLP), Rental Housing Development Assistance (RHDA), and Acquisition and Development (A&D).

Estimates are based on data reflecting the percent of households served by income category in FY 2013-14.

AP-35 Projects – 91.220(d)

Introduction

In accordance with the Federal Register Notice dated March 7, 2006, outcome measures are established for each activity as follows:

Objectives

1. Create Suitable Living Environment
2. Provide Decent Affordable Housing
3. Create Economic Opportunities

Outcomes

1. Availability/Accessibility
2. Affordability
3. Sustainability

The City of Austin plans to undertake the following projects throughout FY 2015-16:

#	Project Name
1	Child Care Services
2	Senior Services
3	Youth Support Services
4	Emergency Solutions Grant: Shelter, Rapid Re-housing, HMIS and Administration
5	HOPWA Permanent Housing Placement (PHP)
6	HOPWA Short-Term Supported Housing Assistance (STSH)
7	HOPWA Short-Term Rent, Mortgage, and Utility (STRMU)
8	HOPWA Supportive Services
9	HOPWA Tenant Based Rental Assistance (TBRA)
10	HOPWA Transitional Housing
11	HOPWA Housing Case Management
12	HOPWA Administration
13	HOME Tenant-Based Rental Assistance
14	Tenants' Rights Assistance
15	Architectural Barrier Removal (ABR) Program - Renter
16	Down Payment Assistance (DPA)
17	Architectural Barrier Removal (ABR) Program - Owner
18	Homeowner Rehabilitation Loan Program (HRLP)
19	Emergency Home Repair (EHR) Program
20	Rental Housing Development Assistance (RHDA)

#	Project Name
21	Acquisition and Development (A&D)
22	CHDO Operating Expense Grants
23	Microenterprise Technical Assistance
24	Community Development Bank
25	Family Business Loan Program (FBLP)
26	Neighborhood Commercial Management
27	Choice Neighborhoods Implementation
28	Debt Service
29	HOME Administration
30	CDBG Administration
31	Individual Development Account (IDA) Program
32	GO Repair! Program
33	Neighborhood Opportunity Improvement Program (NOIP)
34	Lead Smart and Lead Healthy Homes

Table 8 – Project Information

Describe the reasons for allocation priorities and any obstacles to addressing underserved needs

Allocation priorities are based on the FY 2014-2019 Consolidated Plan, and are informed by public comments received during the community needs assessment period. Please see Attachment I to view public comments received.

Projects

AP-38 Projects Summary

Project Summary Information

Table 9 – Project Summary

1	Project Name	Child Care Services
	Target Area	Throughout the city of Austin
	Goals Supported	Homeless/Special Needs
	Needs Addressed	Homeless/Special Needs
	Funding	CDBG: \$611,434
	Description	The City of Austin NHCD contracts with child care providers for services that increase the supply of quality childcare, and with a social service agency that provides a child care voucher service for families in crisis such as homelessness. The programs provide services to children (ages: 0-13 years) from low-income families with gross incomes less than 200 percent of Federal Poverty Guidelines who reside within the Austin city limits.
	Target Date	9/30/2016
	Estimate the number and type of families that will benefit from the proposed activities	Public service activities other than Low/Moderate Income Housing Benefit: 194 Persons Assisted
	Location Description	Throughout the city of Austin

	Planned Activities	Child Care Services will increase the supply of childcare for low-income families. Social service contracts through HHSD will provide: 1) child care vouchers for families in crisis, including homeless and near homeless families, and parents enrolled in self-sufficiency programs; 2) direct child care services for teen parents who are attending school; and 3) direct child care services through the Early Head Start child development program. Objective: Suitable Living Environment; Outcome: Availability/Accessibility
2	Project Name	Senior Services
	Target Area	Throughout the city of Austin
	Goals Supported	Homeless/Special Needs
	Needs Addressed	Homeless/Special Needs
	Funding	CDBG: \$20,717
	Description	NHCD contracts with a sub-recipient to provide guardianship and bill payer services that help prevent and protect seniors from becoming victims of abuse, neglect, or financial exploitation. Persons must meet income, age, and residential eligibility requirements.
	Target Date	9/30/2016
	Estimate the number and type of families that will benefit from the proposed activities	Public service activities other than Low/Moderate Income Housing Benefit: 30 Persons Assisted
	Location Description	Throughout the city of Austin
	Planned Activities	Senior Services will provide legal protection for low-income seniors who are at risk of abusive, neglectful, or financially exploitative situations. Objective: Suitable Living Environment; Outcome: Availability/Accessibility
3	Project Name	Youth Support Services

	Target Area	Throughout the city of Austin
	Goals Supported	Homeless/Special Needs
	Needs Addressed	Homeless/Special Needs
	Funding	CDBG: \$191,614
	Description	The Youth Support Services program provides access to holistic, wraparound services and support to youth designated as at-risk and their families. The program's three components provide different levels of intervention: school-based intensive wraparound services, community-based wraparound services, and summer camps. The program, in partnership with the youths and their families, addresses the needs and challenges of the youth's situation to improve his or her functioning in school, the community, and home.
	Target Date	9/30/2016
	Estimate the number and type of families that will benefit from the proposed activities	Public service activities other than Low/Moderate Income Housing Benefit: 146 Persons Assisted
	Location Description	Throughout the city of Austin
	Planned Activities	Youth Support Services will serve youth designated at-risk and their families. The services and support will be customized to the youth and family and will be delivered utilizing the wraparound model. The interventions will focus on the areas of basic needs, mental health services, educational support and social enrichment. Services will continue to be accessed through designated schools and community centers.
4	Project Name	Emergency Solutions Grant: Shelter, Rapid Re-housing, HMIS and Administration
	Target Area	Throughout the city of Austin
	Goals Supported	Homeless/Special Needs
	Needs Addressed	Homeless/Special Needs

	Funding	ESG: \$622,474
	Description	<p>ARCH Shelter Operating and Maintenance: The City of Austin/Travis County HHSD contracts with a private nonprofit organization, to operate the Austin Resource Center for the Homeless (ARCH.) All clients served in the ARCH have low- to moderate-incomes and are at or below 50 percent of MFI. Emergency Solutions Grant (ESG) funds are used to provide maintenance and operations for this program. The ARCH provides emergency shelter to homeless adult males through its Overnight Shelter program, and provides Day Sleeping to homeless adult males and females. The ARCH provides basic services such as showers, laundry facilities, mailing addresses, telephone use, and lockers through its Day Resource Center program. The Day Resource Center program also includes a number of services such as mental health care, legal assistance, and employment assistance provided by co-located agencies. In addition, ARCH also houses the Healthcare for the Homeless clinic.</p> <p>Rapid Rehousing programs, there are three ESG-funded programs connecting clients with safe and stable housing. 1) Communicable Disease Unit of the Austin/Travis County Health and Human Services Department will provide Rapid Rehousing to homeless persons with HIV/AIDS who are not utilizing shelter services. 2) Downtown Austin Community Court provides homeless community court clients with Rapid Rehousing services. 3) Front Steps provides homeless clients at the ARCH and clients referred from other programs with Rapid Rehousing services.</p> <p>The HMIS funding will support 22 HMIS licenses at all three ESG-funded programs - Communicable Disease Unit, Downtown Austin Community Court and Front Steps ARCH.</p> <p>This project also includes administration.</p>
	Target Date	9/30/2016

	Estimate the number and type of families that will benefit from the proposed activities	<p>Homeless Person Overnight Shelter: 2000 Persons Assisted</p> <p>2,000 represents a reduction from the previous fiscal year. In previous years, the City counted all persons who used the Day Resource Center, who may or may not be homeless, and may be there to use other services such as the health clinic or counseling. Since these funds support the Emergency Shelter, estimates have been adjusted to report overnight sleeping clients of the shelter only.</p> <p>Tenant-based rental assistance / Rapid Re-housing: 123 Households Assisted</p>
	Location Description	Throughout the city of Austin
	Planned Activities	<p>ARCH Shelter Operating and Maintenance: The ARCH will serve individuals with its Night Sleeping, Day Sleeping and Day Resource Program. All clients will be entered into the Homeless Management Information Systems database.</p> <p>The Rapid Re-Housing program provides housing location, housing stability case management and direct financial assistance to rapidly rehouse homeless persons who are receiving services from ESG-funded programs at the Communicable Disease Unit, Austin Resource Center for the Homeless and Downtown Austin Community Court. It is anticipated that 123 households will be assisted through this project during FY 2015-16. Objective: Suitable Living Environment; Outcome: Availability/Accessibility</p> <p>HMIS funding will support 22 HMIS licenses at all three ESG-funded programs - Communicable Disease Unit, Downtown Austin Community Court and Front Steps ARCH. The Austin community is now utilizing coordinated assessment and these licenses will help support that initiative.</p> <p>Administration of the above.</p>
5	Project Name	HOPWA Permanent Housing Placement (PHP)
	Target Area	Throughout the city of Austin
	Goals Supported	Homeless/Special Needs
	Needs Addressed	Homeless/Special Needs

	Funding	HOPWA: \$32,702
	Description	The City of Austin HHSD contracts with AIDS Services of Austin, to manage the Permanent Housing Placement (PHP) program. PHP is designed to increase stability to reduce homelessness and increase access to care and support. The program may provide clients with first month's rent, security deposit, and utility connection fees to meet urgent needs of eligible persons living with HIV/AIDS and their families with a cap of "Fair Market Rent". The goal is to prevent homelessness and to support independent living for persons with HIV/AIDS who can access the program through HIV case management.
	Target Date	9/30/2016
	Estimate the number and type of families that will benefit from the proposed activities	HIV/AIDS Housing Operations: 32 Household Housing Unit
	Location Description	Throughout the city of Austin
	Planned Activities	PHP will help prevent homelessness and will support independent living of persons with HIV/AIDS. Persons can access the program through HIV case management. PHP will assist eligible clients to establish a new residence where on-going occupancy is expected to continue. Assistance will be provided to eligible clients and their families with payment of first month's rent to secure permanent housing and will complement other forms of HOPWA housing assistance.
6	Project Name	HOPWA Short-Term Supported Housing Assistance (STSH)
	Target Area	Throughout the city of Austin
	Goals Supported	Homeless/Special Needs
	Needs Addressed	Homeless/Special Needs
	Funding	HOPWA: \$103,694

	Description	The City of Austin HHSD contracts with AIDS Services of Austin to manage the Short-Term Supportive Housing (STSH) program. The STSH program provides short-term emergency shelter needs to persons living with HIV/AIDS. Short-term facilities provide temporary shelter (up to 60 days in a six-month period) to prevent homelessness and allow an opportunity to develop an individualized housing and service plan to guide the client's linkage to permanent housing.
	Target Date	9/30/2016
	Estimate the number and type of families that will benefit from the proposed activities	HIV/AIDS Housing Operations: 85 Household Housing Unit
	Location Description	Throughout the city of Austin
	Planned Activities	Transitional Housing will provide eligible households with housing and supportive services to maintain stability and receive appropriate levels of care.
7	Project Name	HOPWA Short-Term Rent, Mortgage, and Utility (STRMU)
	Target Area	Throughout the city of Austin
	Goals Supported	Homeless/Special Needs
	Needs Addressed	Homeless/Special Needs
	Funding	HOPWA: \$56,631

	Description	The Austin/Travis County Health and Human Services Department contracts with AIDS Services of Austin (ASA) to manage the Short-Term Rent, Mortgage, and Utility Assistance program (STRMU). Both agencies work with four community-based organizations to provide essential financial help to persons living with HIV/AIDS and their families. Case managers assess client needs and submit requests for assistance. STRMU provides monthly payments to eligible clients who are at risk of becoming homeless. The service allows clients to remain in their current residences and limits support to three months, with a cap of \$853 per month. The time limit may be waived for clients based on medical needs. Eligibility is restricted to those with notices to vacate, evictions, and utility termination notices.
	Target Date	9/30/2016
	Estimate the number and type of families that will benefit from the proposed activities	HIV/AIDS Housing Operations: 67 Household Housing Unit
	Location Description	Throughout the city of Austin
	Planned Activities	STRMU will provide short-term housing assistance to prevent homelessness of the renters or homeowners. It will help maintain a stable living environment for households who experience financial crisis and possible loss of their housing arrangement.
8	Project Name	HOPWA Supportive Services
	Target Area	Throughout the city of Austin
	Goals Supported	Homeless/Special Needs
	Needs Addressed	Homeless/Special Needs
	Funding	HOPWA: \$90,969

	Description	Project Transitions administers the Supportive Services program and provides residential supportive services to help program participants stabilize their living situation and help address care needs of persons living with HIV/AIDS. The program is designed to increase stability, reduce homelessness and increase access to care and support. A variety of supportive services are offered to all clients including: facility-based meals, life skills management counseling, substance abuse relapse prevention support, client advocacy, transportation, and assistance with obtaining permanent housing. Case managers ensure that clients are informed of the availability of needed medical and supportive services. They also provide referrals and assistance in accessing those services. Project Transitions has 30 apartments located in two agency-owned facilities and other apartments are leased throughout the community.
	Target Date	9/30/2016
	Estimate the number and type of families that will benefit from the proposed activities	HIV/AIDS Housing Operations: 45 Household Housing Unit
	Location Description	Throughout the city of Austin
	Planned Activities	Supportive Services will assist persons living with HIV/AIDS to stabilize their living situation and help address care needs.
9	Project Name	HOPWA Tenant Based Rental Assistance (TBRA)
	Target Area	Throughout the city of Austin
	Goals Supported	Homeless/Special Needs
	Needs Addressed	Homeless/Special Needs
	Funding	HOPWA: \$579,877

	Description	The City of Austin HHSD contracts with AIDS Services of Austin to manage Tenant-Based Rental Assistance (TBRA) program. The program provides rent, mortgage, utility assistance, and assistance with shared housing arrangements for income-eligible persons with HIV/AIDS and their families. Income eligibility is restricted to families earning an income at or below 10 percent of MFI and for households of one with zero income. The program goal is to prevent homelessness and support independent living of persons living with HIV/AIDS.
	Target Date	9/30/2016
	Estimate the number and type of families that will benefit from the proposed activities	HIV/AIDS Housing Operations: 75 Household Housing Unit
	Location Description	Throughout the city of Austin
	Planned Activities	TBRA will provide rent, mortgage, utility assistance, and assistance with shared housing arrangements to meet the urgent needs of eligible persons with HIV/AIDS and their families. The goal is to prevent homelessness and to support independent living of persons living with HIV/AIDS who access the program through HIV case management.
10	Project Name	HOPWA Transitional Housing
	Target Area	Throughout the city of Austin
	Goals Supported	Homeless/Special Needs
	Needs Addressed	Homeless/Special Needs
	Funding	HOPWA: \$195,662

	Description	The City of Austin HHSD contracts with Project Transitions for Transitional Housing services. The program is designed to increased stability, to reduce homelessness and increase access to care and support. Transitional Housing provides facility-based and scattered-site housing with support services to persons living with HIV/AIDS. Transitional Housing is provided until permanent housing is obtained. A variety of supportive services are offered to all clients including: facility-based meals, life skills management counseling, substance abuse relapse prevention support, client advocacy, transportation, and assistance with obtaining permanent housing. Case managers ensure that clients are informed of the availability of needed medical and supportive services and provide referrals and assistance in accessing those services. Project Transitions has 30 apartments located in two agency-owned facilities and other apartments that are leased throughout the community.
	Target Date	9/30/2016
	Estimate the number and type of families that will benefit from the proposed activities	HIV/AIDS Housing Operations: 45 Household Housing Unit
	Location Description	Throughout the city of Austin
	Planned Activities	Transitional Housing will provide eligible households with housing and supportive services to maintain stability and receive appropriate levels of care.
11	Project Name	HOPWA Housing Case Management
	Target Area	Throughout the city of Austin
	Goals Supported	Homeless/Special Needs
	Needs Addressed	Homeless/Special Needs
	Funding	HOPWA: \$24,726
	Description	Provides housing case management and inspections for HOPWA clients.

	Target Date	9/30/2016
	Estimate the number and type of families that will benefit from the proposed activities	NA
	Location Description	Throughout the city of Austin
	Planned Activities	Case managers develop a strategy for helping clients obtain and maintain housing stability. Regular follow-up visits occur at a frequency appropriate to need.
12	Project Name	HOPWA Administration
	Target Area	Throughout the city of Austin
	Goals Supported	Homeless/Special Needs
	Needs Addressed	Homeless/Special Needs
	Funding	HOPWA: \$33,533
	Description	Funds provide administrative costs for programs.
	Target Date	9/30/2016
	Estimate the number and type of families that will benefit from the proposed activities	NA - Administration
	Location Description	Throughout the city of Austin
	Planned Activities	Administration of Federal Programs
13	Project Name	HOME Tenant-Based Rental Assistance

	Target Area	Throughout the city of Austin
	Goals Supported	Renter Assistance
	Needs Addressed	Renter Assistance
	Funding	HOME: \$510,300
	Description	The Tenant-Based Rental Assistance (TBRA) program provides rental-housing subsidies and security deposits to eligible case-managed families working toward self-sufficiency.
	Target Date	9/30/2016
	Estimate the number and type of families that will benefit from the proposed activities	Tenant-based rental assistance / Rapid Rehousing: 101 Households Assisted
	Location Description	Throughout the city of Austin
	Planned Activities	AHFC will oversee the TBRA program and contracts with The Housing Authority of the City of Austin (HACA) and the Salvation Army to administer program services. Objective: Decent Housing; Outcome: Affordability
14	Project Name	Tenants' Rights Assistance
	Target Area	Throughout the city of Austin
	Goals Supported	Renter Assistance
	Needs Addressed	Renter Assistance
	Funding	CDBG: \$237,993

	Description	Tenants' Rights Assistance provides services to tenants residing in Austin city limits. Objectives of this program include: 1) facilitate mediation services between landlords and low- to moderate-income tenants to complete health and safety related repairs in rental units, which will help maintain reasonable habitability standards; 2) provide direct counseling and technical assistance to low-income renters regarding tenant/landlord issues; 3) provide public education and information through workshops and public forums on landlord/tenant relationships and educate renters on their rights as well as their responsibilities under the law; and 4) identify fair housing complaints that can be investigated and may assist in resolving, reducing or minimizing discriminatory housing practices.
	Target Date	9/30/2016
	Estimate the number and type of families that will benefit from the proposed activities	Public service activities other than Low/Moderate Income Housing Benefit: 511 Persons Assisted
	Location Description	Throughout the city of Austin
	Planned Activities	This program will provide mediation, counseling, public information, and assistance to help the community identify fair housing complaints. The program will also further fair housing in the elimination of discrimination, including the present effects of past discrimination, and the elimination of de facto residential segregation. Objective: Suitable Living Environment; Outcome: Availability/Accessibility
15	Project Name	Architectural Barrier Removal (ABR) Program - Renter
	Target Area	Throughout the city of Austin
	Goals Supported	Renter Assistance
	Needs Addressed	Renter Assistance
	Funding	CDBG: \$280,000

	Description	The program modifies or retrofits the living quarters of eligible, low- and moderate-income elderly and disabled renters, at or below 80% of the Median Family Income (MFI) for the Austin area. The Program seeks to remove architectural barriers in the homes of elderly and severely disabled renters, increasing mobility and self-sufficiency and allowing clients to remain in their homes.
	Target Date	9/30/2016
	Estimate the number and type of families that will benefit from the proposed activities	Rental units rehabilitated: 15 Household Housing Unit
	Location Description	Throughout the city of Austin
	Planned Activities	The program will provide improvements and modifications related to the removal of architectural barriers that restrict mobility and accessibility, and are limited to those considered to be part of the structure and permanently affixed. Eligible Program services include wheelchair ramps, handrails and reconfiguring of areas of the home to the extent that the disabled resident will be able to use them. Program assistance will be provided in the form of a grant, up to \$15,000 per household for the year. Objective: Suitable Living Environment; Outcome: Availability/Accessibility
16	Project Name	Down Payment Assistance (DPA)
	Target Area	Throughout the city of Austin
	Goals Supported	Homebuyer Assistance
	Needs Addressed	Homebuyer Assistance
	Funding	HOME: \$645,000

	Description	The Down Payment Assistance (DPA) Program assists low-and moderate- income first-time homebuyers, at or below 80% of the Median Family Income (MFI) for the Austin area, by providing the necessary financial gap assistance for down payment and closing costs to purchase a home. The program increases housing opportunities and promotes financial stability for eligible households.
	Target Date	9/30/2016
	Estimate the number and type of families that will benefit from the proposed activities	Direct Financial Assistance to Homebuyers: 15 Households Assisted
	Location Description	Throughout the city of Austin
	Planned Activities	The DPA Program will provide financial gap assistance for down payment and closing costs to purchase a home through two options: Standard DPA and Shared Equity DPA. Standard DPA will provide no less than \$1,000 and up to \$14,999 in the form of a 0% interest, forgivable loan, with a 5-year loan term. Shared Equity DPA will provide no less than \$15,000 and up to \$40,000 in the form of a 0% interest, forgivable loan, with a 10-year loan term, and 30-year shared equity term. The loan will be forgiven at a monthly prorated amount until the loan term is met. Shared equity will be forgiven after 30 years. Shared Equity DPA is subject to Purchase Option and Right of First Refusal. Objective: Decent Housing; Outcome: Affordability
17	Project Name	Architectural Barrier Removal (ABR) Program - Owner
	Target Area	Throughout the city of Austin
	Goals Supported	Homeowner Assistance
	Needs Addressed	Homeowner Assistance
	Funding	CDBG: \$1,173,470

	Description	The program modifies or retrofits the living quarters of eligible, low- and moderate-income elderly and disabled homeowners at or below 80% of the MFI for the Austin area. The Program seeks to remove architectural barriers in the homes of elderly and severely disabled homeowners, increasing mobility and self-sufficiency and allowing clients to remain in their homes.
	Target Date	9/30/2016
	Estimate the number and type of families that will benefit from the proposed activities	Homeowner Housing Rehabilitated: 76 Household Housing Unit
	Location Description	Throughout the city of Austin
	Planned Activities	The program will provide improvements and modifications related to the removal of architectural barriers that restrict mobility and accessibility, and are limited to those considered to be part of the structure and permanently affixed. Eligible program services include wheelchair ramps, handrails and reconfiguring of areas of the home to the extent that the disabled resident will be able to use them. Program assistance will be provided in the form of a grant, up to \$15,000 per household for the year. Objective: Suitable Living Environment; Outcome: Availability/Accessibility
18	Project Name	Homeowner Rehabilitation Loan Program (HRLP)
	Target Area	Throughout the city of Austin
	Goals Supported	Homeowner Assistance
	Needs Addressed	Homeowner Assistance
	Funding	CDBG: \$325,000 HOME: \$698,634

	Description	The Homeowner Rehabilitation Loan (HRLP) Program assists low- and moderate-income homeowners, at or below 80% of the MFI for the Austin area, by providing financial assistance and addressing substandard housing conditions. The result of the program is that the housing is decent, safe, sanitary, and in good repair.
	Target Date	9/30/2016
	Estimate the number and type of families that will benefit from the proposed activities	Homeowner Housing Rehabilitated: 9 Household Housing Unit
	Location Description	Throughout the city of Austin
	Planned Activities	The HRLP Program will provide financial assistance for rehabilitation or reconstruction costs in order to bring the home up to code compliance. Rehabilitation will provide no less than \$15,000 and up to \$75,000 (\$100,000 for Historical) in the form of a 0% interest, forgivable loan, with a maximum loan term of 15 years. If it is determined that it is economically infeasible to rehabilitate a property, reconstruction is necessary. Reconstruction will provide \$130,000 in the form of a 0% interest, forgivable loan, with a 20-year loan term, and 30-year shared equity term. The loan will be forgiven at a monthly prorated amount until the loan term is met. Shared equity will be forgiven after 30 years. Shared Equity HRLP is subject to a Purchase Option and Right of First Refusal Agreement. Eligible repairs include the foundation, roof, plumbing, HVAC system, electrical work and other major interior and exterior repairs. Objective: Suitable Living Environment; Outcome: Sustainability
19	Project Name	Emergency Home Repair (EHR) Program
	Target Area	Throughout the city of Austin
	Goals Supported	Homeowner Assistance
	Needs Addressed	Homeowner Assistance

	Funding	CDBG: \$1,000,000
	Description	The Emergency Home Repair (EHR) Program makes repairs to alleviate life-threatening living conditions and health and safety hazards for low- and moderate-income homeowners. Households residing in Austin city limits and earning incomes at or below 80 percent of MFI are eligible. Eligible households can receive up to \$5,000 for home repairs per year.
	Target Date	9/30/2016
	Estimate the number and type of families that will benefit from the proposed activities	Homeowner Housing Rehabilitated: 417 Household Housing Unit
	Location Description	Throughout the city of Austin
	Planned Activities	Households that are owner-occupied and low- to moderate-income will receive home repairs to alleviate life-threatening conditions or health and safety hazards. Objective: Decent Housing; Outcome: Sustainability
20	Project Name	Rental Housing Development Assistance (RHDA)
	Target Area	Throughout the city of Austin
	Goals Supported	Housing Development Assistance
	Needs Addressed	Housing Development Assistance
	Funding	CDBG: \$515,298 HOME: \$232,483 General Obligation Bonds : \$6,062,500 Housing Trust Fund: \$ 669,577

	Description	The Rental Housing Development Assistance (RHDA) program provides opportunities to build and preserve affordable rental units for low- and moderate-income households and low-income persons with special needs (e.g. permanent supportive housing). RHDA provides below-market-rate financing to non-profit and for-profit developers for the acquisition, new construction, or rehabilitation of affordable rental housing. RHDA serves households with incomes at or below 50 percent of MFI with a target of serving households with incomes at or below 30 percent of MFI. If the funding source allows, an exception can be made to serve households between 51 percent and 80 percent of MFI under certain circumstances.
	Target Date	9/30/2016
	Estimate the number and type of families that will benefit from the proposed activities	Rental units constructed: 9 Household Housing Unit
	Location Description	Throughout the city of Austin
	Planned Activities	RHDA will increase the supply of affordable rental units for income-eligible households. Objective: Decent Housing; Outcome: Affordability
21	Project Name	Acquisition and Development (A&D)
	Target Area	Throughout the city of Austin
	Goals Supported	Housing Development Assistance
	Needs Addressed	Housing Development Assistance
	Funding	CDBG: \$438,345 HOME: \$348,380 General Obligation Bonds: \$1,937,500

	Description	The Acquisition and Development (A&D) program works with lenders, non-profit and for-profit developers to leverage City and federal funds to increase homeownership opportunities for low- to moderate-income buyers. Activities of the A&D program include: 1) the acquisition and development of land; 2) the acquisition and rehabilitation of existing residential structures for homeownership; 3) the acquisition of newly constructed ownership units; and 4) the construction of new housing, all for sale to income-eligible households with incomes at or below 80 percent of MFI.
	Target Date	9/30/2016
	Estimate the number and type of families that will benefit from the proposed activities	Homeowner Housing Added: 10 Household Housing Unit
	Location Description	Throughout the city of Austin
	Planned Activities	A&D will increase the supply of affordable homeownership units for income-eligible households. A&D activities using HOME funds that provide direct financial assistance to homebuyers will use the "recapture" method combined with a shared equity model, this ensures that HOME funds are returned for other HOME-eligible activities. For A&D activities using HOME funds that provide funding to a developer, the "resale" method will be used to ensure affordability throughout the affordability period. Objective: Decent Housing; Outcome: Affordability
22	Project Name	CHDO Operating Expense Grants
	Target Area	Throughout the city of Austin
	Goals Supported	Housing Development Assistance
	Needs Addressed	Housing Development Assistance
	Funding	HOME: \$75,000

	Description	The Community Housing Development Organizations (CHDO) Operating Expenses Grant program provides financial support to eligible, City-certified CHDOs actively involved in housing production or expected to begin production within 24 months. Under the terms of the grant, CHDOs must access CHDO set-aside funds to produce affordable housing for the community. Funding can only be used for the organization's operating expenses and cannot be used on project-related expenses.
	Target Date	9/30/2016
	Estimate the number and type of families that will benefit from the proposed activities	Other: 3
	Location Description	Throughout the city of Austin
	Planned Activities	Eligible CHDOs will receive financial assistance to support their operations as affordable housing providers. Financial support to CHDOs allows them to maintain or increase their capacity to create affordable rental and homeownership units. Objective: Decent Housing; Outcome: Affordability
23	Project Name	Microenterprise Technical Assistance
	Target Area	Throughout the city of Austin
	Goals Supported	Small Business Assistance
	Needs Addressed	Small Business Assistance
	Funding	CDBG: \$200,000
	Description	The program will provide training and technical assistance to current and aspiring Microenterprises. Objective: Creating Economic Opportunity; Outcome: Sustainability
	Target Date	9/30/2016

	Estimate the number and type of families that will benefit from the proposed activities	Businesses assisted: 31 Businesses Assisted
	Location Description	Throughout the city of Austin
	Planned Activities	
24	Project Name	Community Development Bank
	Target Area	Throughout the city of Austin
	Goals Supported	Small Business Assistance
	Needs Addressed	Small Business Assistance
	Funding	CDBG: \$150,000
	Description	The Community Development Bank (CDB) provides funds to a Community Development Financial Institution (CDFI) to administer loan programs offering flexible capital and technical assistance to small and minority businesses that are expanding or relocating to low-income areas. The performance goal for this program is job creation or retention for low- to moderate-income individuals.
	Target Date	9/30/2016
	Estimate the number and type of families that will benefit from the proposed activities	Jobs created/retained: 6 Jobs
	Location Description	Throughout the city of Austin
	Planned Activities	The program will create or retain jobs for low- to moderate-income individuals. Objective: Creating Economic Opportunity; Outcome: Availability/Accessibility

25	Project Name	Family Business Loan Program (FBLP)
	Target Area	Throughout the city of Austin
	Goals Supported	Small Business Assistance
	Needs Addressed	Small Business Assistance
	Funding	CDBG Section 108: *No new funding
	Description	The FBLP is a public-private partnership between the City of Austin, U.S. Small Business Administration (SBA) certified community lenders and private banks. Please see section AP-85 Other Actions (Discussion), for a comprehensive description.
	Target Date	9/30/2016
	Estimate the number and type of families that will benefit from the proposed activities	Facade treatment/business building rehabilitation: 2 (Source: Austin Economic Development) Jobs created/retained: 42 (Source: Austin Economic Development) Businesses assisted: 8 (Source: Austin Economic Development)
	Location Description	Throughout the city of Austin
	Planned Activities	FBLP makes low-interest loans to qualified Austin small business owners who are ready to expand their business and create jobs.
26	Project Name	Neighborhood Commercial Management
	Target Area	Throughout the city of Austin
	Goals Supported	Small Business Assistance
	Needs Addressed	Small Business Assistance
	Funding	CDBG: \$40,000
	Description	Provides gap financing to eligible borrowing businesses.

	Target Date	9/30/2016
	Estimate the number and type of families that will benefit from the proposed activities	NA – Funding reflects estimated revolving loan income.
	Location Description	Throughout the city of Austin
	Planned Activities	These loans can be used for acquisition of land, improvements, various fixed costs, new construction, and leasehold improvements.
27	Project Name	Choice Neighborhoods Implementation
	Target Area	Throughout the city of Austin
	Goals Supported	Neighborhood and Commercial Revitalization
	Needs Addressed	Neighborhood and Commercial Revitalization
	Funding	CDBG: 0
	Description	In the event HACA decides to apply for, and is awarded a Choice Neighborhood Implementation Grant, NHCD will provide CDBG funds over a 5-year period to assist in the redevelopment of this property according to the plan developed in partnership with the community.
	Target Date	9/30/2016
	Estimate the number and type of families that will benefit from the proposed activities	NA
	Location Description	Throughout the city of Austin
	Planned Activities	

28	Project Name	Debt Service
	Target Area	Throughout the city of Austin
	Goals Supported	Neighborhood and Commercial Revitalization
	Needs Addressed	Neighborhood and Commercial Revitalization
	Funding	CDBG: \$749,835
	Description	The City secured a \$9,350,000 HUD Section 108 Loan Guarantee to implement the East 11th and 12th Streets revitalization project. The source for repayment of the Section 108 Loan will be from small business loan repayments and current and future CDBG funds for a 20-year period ending in 2017, and other debt service payments.
	Target Date	9/30/2016
	Estimate the number and type of families that will benefit from the proposed activities	NA
	Location Description	Throughout the city of Austin
	Planned Activities	Debt Servicing for Revitalization Objective: Creating Economic Opportunity; Outcome: Availability/Accessibility
29	Project Name	HOME Administration
	Target Area	Throughout the city of Austin

	Goals Supported	Renter Assistance Homebuyer Assistance Homeowner Assistance Housing Development Assistance
	Needs Addressed	Renter Assistance Homebuyer Assistance Homeowner Assistance Housing Development Assistance
	Funding	HOME: \$270,311
	Description	Funds provide administrative costs for programs
	Target Date	9/30/2016
	Estimate the number and type of families that will benefit from the proposed activities	NA
	Location Description	Throughout the city of Austin
	Planned Activities	Funds provide administrative costs for programs
30	Project Name	CDBG Administration
	Target Area	Throughout the city of Austin

	Goals Supported	Homeless/Special Needs Renter Assistance Homebuyer Assistance Homeowner Assistance Housing Development Assistance Small Business Assistance Neighborhood and Commercial Revitalization
	Needs Addressed	Homeless/Special Needs Renter Assistance Homebuyer Assistance Homeowner Assistance Housing Development Assistance Neighborhood and Commercial Revitalization Small Business Assistance
	Funding	CDBG: \$1,429,676
	Description	Funds provide administrative costs for programs
	Target Date	9/30/2016
	Estimate the number and type of families that will benefit from the proposed activities	NA
	Location Description	Throughout the city of Austin
	Planned Activities	Funds provide administrative costs for programs
31	Project Name	Individual Development Account (IDA) Program

	Target Area	Throughout the city of Austin
	Goals Supported	Neighborhood and Commercial Revitalization
	Needs Addressed	Neighborhood and Commercial Revitalization
	Funding	*No new funding
	Description	The IDA program assists low-income residents to become economically self-sufficient in the long-term through education, training, and asset building. An IDA can be used for the purchase of a first home, to continue education, or to start or expand a small business. The result of the program is financially stable, self-sufficient families. Families may qualify at or below 200% federal poverty, as a Temporary Assistance for Needy Families (TANF) recipient, or with the Earned Income Tax Credit (EITC).
	Target Date	9/30/2016
	Estimate the number and type of families that will benefit from the proposed activities	Public service activities other than Low/Moderate Income Housing Benefit: 95 Persons Assisted
	Location Description	Throughout the city of Austin
	Planned Activities	For every \$1 an enrolled participant saves (up to \$1000), the IDA program will match with \$4 (up to \$4000 maximum). Individuals must save for at least six months and as long as 24 months, make monthly deposits, attend asset specific training, and additional financial education hours.
32	Project Name	GO Repair! Program
	Target Area	Throughout the city of Austin
	Goals Supported	Homeowner Assistance
	Needs Addressed	Homeowner Assistance
	Funding	General Obligation Bonds: \$2,000,000

	Description	The GO Repair! Program addresses substandard housing conditions for low- and moderate-income homeowners residing in Austin city limits. The program provides financial assistance to make repairs that will eliminate health and safety hazards and/or provide improved accessibility.
	Target Date	9/30/2016
	Estimate the number and type of families that will benefit from the proposed activities	NA – fund source is local.
	Location Description	Throughout the city of Austin
	Planned Activities	The program will provide up to \$15,000 per home for repairs
33	Project Name	Neighborhood Opportunity Improvement Program
	Target Area	Throughout the city of Austin
	Goals Supported	Neighborhood and Commercial Revitalization
	Needs Addressed	Neighborhood and Commercial Revitalization
	Funding	Reprogrammed CDBG (see AP-15): \$100,000
	Description	The Neighborhood Opportunity Improvement Program (NOIP) is a competitive grant application program that provides funding to non-profit and for profit organizations to increase opportunities in disadvantaged neighborhoods with limited access to funding. Up to \$100,000 is available for qualified projects. The projects must target low- moderate-income census tracts and report on performance measures defined in the grant agreement which increase access to opportunities for area residents.
	Target Date	9/30/2016

	Estimate the number and type of families that will benefit from the proposed activities	4
	Location Description	Throughout the city of Austin
	Planned Activities	NOIP will provide grants annually through a competitively driven process. The projects must target low-moderate-income census tracts and report on performance measures defined in the grant agreement which increase access to opportunities for area residents.
34	Project Name	Lead Smart and Lead Healthy Homes
	Target Area	Throughout the city of Austin
	Goals Supported	Renter Assistance Homeowner Assistance
	Needs Addressed	Renter Assistance Homeowner Assistance
	Funding	*No new funding
	Description	The Lead Hazard Reduction Demonstration (LHRD) and Lead Hazard Control/Healthy Homes (LHCHH) Grants provide lead abatement services in the homes of eligible, low- and moderate- income homeowners and renters, at or below 80% of the Median Family Income (MFI) for the Austin area. Single-family homes, townhomes, condominiums, multifamily units, or manufactured homes permanently affixed to real property, and built before 1978 are eligible; and a child under the age of six must reside in or visit the home frequently. The program serves Travis County residents through an Inter-Local Agreement. The result of the program is that the housing is safe and health hazards have been removed.
	Target Date	9/30/2016

	Estimate the number and type of families that will benefit from the proposed activities	Rental units rehabilitated: 50 Household Housing Unit
	Location Description	Throughout the city of Austin
	Planned Activities	The LHRD and LHCHH Grants will identify young children who are either poisoned or at risk of being poisoned by lead-based paint, educate families on poisoning prevention, and to provide intervention for qualifying families through lead hazard reduction, removal and replacement of lead contaminated housing components, and stabilizing or enclosing painted surfaces. Additional services include elevated blood lead level draws (EBLL) and temporarily relocating families during the abatement activities. Qualifying participants can be provided with up to \$30,000 in assistance through the program. Objective: Suitable Living Environment; Outcome: Sustainability

AP-50 Geographic Distribution – 91.220(f)

Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed

The City of Austin does not currently direct its investments in specific target areas.

Geographic Distribution

Target Area	Percentage of Funds
Throughout the city of Austin	100

Table 10 - Geographic Distribution

Rationale for the priorities for allocating investments geographically

The City of Austin does not currently direct its investments in specific target areas.

Discussion

While the City of Austin does not currently target investments to specific geographic areas, it considers the geographic dispersion of affordable housing to be a key core value in the investment of affordable housing-related activities with federal and local funds. The City supports providing affordable housing in areas outside of low-income neighborhoods, thereby reducing racial and ethnic segregation, de-concentrating poverty, and providing for more economic opportunities for low-income households. NHCD currently provides funding preference through a scoring matrix system to projects that assist in the dispersion of affordable housing stock throughout the community, to focus on areas in Austin where there is a shortage of affordable housing. As a result of this focus, NHCD has achieved greater geographic dispersion in the units it has funded in recent years. NHCD focuses its outreach efforts around data available through the eCon Planning Suite and CPD Maps to more effectively target programmatic outcomes responsive to ownership opportunities and rental subsidies for low income residents. Please see maps included in Attachment II.

Affordable Housing

AP-55 Affordable Housing – 91.220(g)

Introduction

Affordable housing is a critical priority for the City of Austin. The need for affordable housing for extremely low-, low- and moderate-income renters, special needs populations and homebuyers was reflected in the 2014 Comprehensive Housing Market Study. The report reveals that affordable housing opportunities for renters earning below \$25,000 has grown by nearly 7,000 units since 2012, estimating the 2014 gap at approximately 48,000 units.

The Study identified top housing needs as:

- Deeply affordable rental units
- geographically dispersed opportunities
- Preservation of affordable housing in neighborhoods where long-time residents are being displaced due to redevelopment
- Affordable housing near transit and other services

For more information on Austin housing market conditions, the 2014 Comprehensive Housing Market Study is available online: www.austintexas.gov/housing

One Year Goals for the Number of Households to be Supported:	
Homeless	2,686
Non-Homeless	572
Special-Needs	523
Total	3,781

Table 11 - One Year Goals for Affordable Housing by Support Requirement

One Year Goals for the Number of Households Supported Through:	
Rental Assistance	402
The Production of New Units	19
Rehab of Existing Units	502
Acquisition of Existing Units	0
Total	923

Table 12 - One Year Goals for Affordable Housing by Support Type
Discussion

The following is a breakdown of the values featured in Table 11 - One Year Goals for Affordable Housing by Support Requirement

- Homeless = 2686 (CDBG=377, HOME=101, ESG=2,123, HOPWA=85)

- Non-Homeless = 572 (CDBG=540, HOME=32)
- Special-Needs = 523 (CDBG = 523)

The following is a breakdown of the values featured in Table 12 - One Year Goals for Affordable Housing by Support Type

- Rental Assistance = 402 (CDBG=18, HOME=107, ESG=123, HOPWA=154)
- The Production of New Units = 19 (CDBG=10, HOME=9)
- Rehab of Existing Units = 502 ((CDBG =497, HOME=5)
- Acquisition of Existing Units = 0

Long Term Affordability

The City of Austin uses shared equity tools to preserve affordable housing. The shared equity model allows income-eligible homebuyers and homeowners to obtain substantial mortgage assistance or housing reconstruction services in exchange for two provisions that preserve affordability in Austin by recapturing funds to assist another low- to moderate- income buyer to purchase the home.

A Community Land Trust (CLT) is a tool to preserve public investment in affordability, allowing preservation of affordable units in perpetuity. The CLT will retain ownership of the land, while the homeowner owns the improvements. The CLT limits the sales price of the home and requires that subsequent buyers be income-eligible.

AHFC, as a tax-exempt public entity, receives a **100% property tax exemption** on all land it owns. AHFC occasionally partners with an affordable housing developer through purchase of the land and a long-term leasehold deed of trust held by AHFC. The benefit of AHFC's property tax exemption helps sustain the project's viability and affordability. This structure is typically used when the affordable housing development meets several key criteria, among them: location on/near a transit-oriented development or core transit corridor, near critical services and amenities, in a high-opportunity area, and serving low-income residents.

Preservation of Affordable Housing

NHCD regularly examines the loss of existing affordable housing stock in the community. The City will continue to follow recommendations of the most recent reports by:

- Developing and sharing data to strengthen intergovernmental coordination to increase opportunities for preservation in high opportunity areas.
- Maximizing the use of partnerships by promoting existing programs and services to affordable housing targeted for preservation.
- Exploring educational and outreach initiatives to help ensure low-income residents have reasonable avenues through education to remain in affordable housing.
- Pursuing new strategies to bring forward alternative resources and incentives, expanding efforts to increase long-term, permanent affordability.

- Developing data regarding the condition of Austin's housing units, including unit counts for subsidized properties.

NHCD is actively working with stakeholders to investigate new financing tools, including creation of a strike fund that can be used to preserve affordable housing in Austin. A steering committee is charged with 1) creating a framework for the strike fund, 2) identifying relevant goals and performance metrics, and 3) developing a brand and shared narrative.

Geographic Dispersion

The City supports providing affordable housing in areas outside of low-income neighborhoods, thereby reducing racial and ethnic segregation, deconstructing poverty, and providing more economic opportunities for low-income households. In 2014, through Resolution 20140327-037, a Housing/Transit/Jobs (HTJ) Action Team was convened to address Austin's affordability, transportation, and economic development challenges simultaneously, and implement the community's shared vision as articulated by Imagine Austin.

AP-60 Public Housing – 91.220(h)

Introduction

The City of Austin boundaries are served by two Public Housing Authorities (PHAs): the Housing Authority of the City of Austin (HACA) and the Housing Authority of Travis County (HATC). The agencies are not departments of the City or County, respectively, but work independently of the City of Austin and Travis County. Both HACA and HATC share updates on agency progress through regular contacts and meetings with City staff.

HACA's PHA Annual and Five-Year Plan provide comprehensive information on actions that HACA has planned or considered for implementation in the next year to address the need for public housing. HACA's Public Housing 2015 Annual and Five-Year plan can be found on the Housing Authority of the City of Austin's website at <http://www.hacanet.org/forms/index.php>.

Actions planned during the next year to address the needs to public housing

Housing Authority of the City of Austin (HACA)

HACA serves over 19,000 individuals through the administration of both the Public Housing and Housing Choice Voucher programs.

HACA's Public Housing program is comprised 1,839 units within 18 properties located throughout the city of Austin. There are currently 8,444 families on this program's waiting list. For each of HACA's 18 public housing properties, there is a Resident Council that serves as the voice of the residents at that development. All property residents are considered members of the Resident Council and are encouraged to participate in meetings and decision-making at the property. The opportunity to become leaders at the property, voice concerns about program operations, and advocate for necessary changes often serves as a springboard for residents to become further involved in their community and plan specific goals for achieving economic and housing self-sufficiency. The Citywide Advisory Board meets monthly and serves as the forum for all resident council leaders to present on current events and upcoming programming for each property.

The Housing Choice Voucher (HCV) program is the largest program with over 6,000 rental vouchers supporting more than 15,200 individuals. HACA administers several additional voucher programs, including Veteran Affairs Supporting Housing, Homeless Program Grant, Family Unification, Mainstream, Non-Elderly with Disabilities, and Hurricane Ike-Conversion vouchers. There are currently 2,082 families on the Housing Choice Voucher waiting list.

In addition to these programs, HACA has launched a number of key initiatives. HACA entered into the second phase of an Energy Performance Contract that will provide central air conditioning, new double-pane windows, insulation and bath vents for more than 1,000 public housing units. HACA completed the

construction of the Henry Flores Education and Training Center which will provide after school programming and job skills training for the residents and surrounding community of the Meadowbrook public housing development. HACA, under its non-profit subsidiary Austin Pathways, also launched Unlocking the Connection, a first of its kind initiative aimed at offering free basic broadband, digital literacy programming and access to a device for all HACA public housing residents. The City of Austin is a key partner in this collaborative effort.

Housing Authority of Travis County (HATC)

HATC administers eight housing services programs, the largest of which is 566 units of Housing Choice Vouchers, with approximately 800 individuals and families on a waiting list to receive a voucher. HATC receives a Shelter Plus Care grant for 95 units to provide assistance for homeless individuals and families in the Austin Travis County metropolitan area, as well as inter-local agreements with two other counties that allow for services in those areas. HATC is a partner in the PSH Leadership Council to assist in developing a financial model for a multi-jurisdictional solution to fund PSH in Austin. The City of Austin is committed to continuing the support of partnerships and efforts that will improve public housing and resident initiatives, and will continue coordinating with both HACA and HATC in FY 2015-16 to inform public housing residents of affordable housing programs and opportunities.

Actions to encourage public housing residents to become more involved in management and participate in homeownership

The City of Austin collaborates closely with local PHA officials to ensure that City housing programs are linked to the needs of public housing residents. HACA through its subsidiary, Austin Affordable Housing Corporation (AAHC), continues to be successful in moving families toward self-sufficiency with 93 families who have become homeowners through its down payment assistance program. The program provides qualified families participating in the Public Housing or HCV programs with a \$10,000 forgivable loan to be applied towards the down payment on a new or existing home. If the applicant meets all program criteria for the first five years, then the loan is forgiven.

HACA's Six Star program provides another alternative for those who are ready to move out of public housing, but have not met all the criteria to purchase a home. The Six Star program allows residents to reside at one of AAHC's apartment homes at a rate lower than the fair market rental rate. The rent amount increases slightly over the course of the three-year program, until the fair market rent is reached. The Six Star program allows participants to continue to learn financial management and work toward goals that will support sustainable economic and housing self-sufficiency and ultimately homeownership. In 2011, AAHC implemented another alternative to homeownership through the creation of a Community Land Trust (CLT). This program provides HACA's Public Housing residents the ability to purchase a home at an extremely affordable price while the land is held by the CLT. HACA closed on its first CLT Home in April of 2013.

If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance

Neither the Travis County Housing Authority nor the Housing Authority of the City of Austin (HACA) is designated as troubled. For 13 consecutive years, HACA has been designated as a high performing agency by the U.S. Department of Housing and Urban Development.

Discussion

Please see above.

AP-65 Homeless and Other Special Needs Activities – 91.220(i)

Introduction

The City of Austin coordinates the administration of the Emergency Solutions Grant (ESG) funding with local and state funding of homeless services. ESG funds serve primarily the downtown single adult homeless population, many of whom are chronically homeless. ESG funds provide emergency shelter, Rapid Rehousing Housing Location, and Housing Stabilization Case Management.

The Ending Community Homelessness Coalition (ECHO) serves as the lead planning entity on homeless issues in Austin/Travis County. As part of this responsibility, ECHO coordinates and completes Austin's Continuum of Care (CoC) application and Community Plan to End Homelessness. ECHO also administers Austin's homeless count and survey, which is a HUD prerequisite for CoC funding, and the Homeless Management Information System (HMIS). The City of Austin allocates General Fund dollars to support a HMIS Director position.

A Roof Over Austin is an initiative of the City of Austin and ECHO to provide Permanent Supportive Housing (PSH) and other deeply affordable housing options to the lowest-income residents of the City, including the chronically homeless. In 2010, the Austin City Council passed a resolution to create 350 new PSH units in the city by 2014. The target populations of this initiative are chronically homeless individuals and families, including youth aging out of foster care, veterans and those with mental, behavioral, or physical disabilities. PSH units are defined as subsidized rental units linked to a range of support services that enable tenants to live independently and participate in community life. The 350-unit goal was met and on October 2, 2014, the City Council approved a resolution setting a new goal to create 400 additional units of PSH in the next four years, 200 of which will be dedicated as "Housing First" units.

Describe the jurisdictions one-year goals and actions for reducing and ending homelessness, including:

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

The City of Austin funds two outreach case managers at the Downtown Austin Community Court (DACC) to provide outreach and case management to mostly unsheltered frequent offenders of the court, and other frequent users of the shelter system. The City also allocates Emergency Solutions Grant (ESG) funds to support two employees at DACC who provide Rapid Rehousing Housing Search and Placement and Housing Stability Case Management, and work with the outreach case managers. The ESG-funded staff members are one part of a two-person team and primarily work to find housing for these hard-to-serve populations. The City is partnering with ECHO to develop the community Coordinated Assessment.

Addressing the emergency shelter and transitional housing needs of homeless persons

The City of Austin Health and Human Services Department (HHSD) funds a private non-profit organization to operate the Austin Resource Center for the Homeless (ARCH). The ARCH provides emergency shelter to homeless adult males through its Overnight Shelter program and provides Day Sleeping to homeless adult males and females. The ARCH provides basic services such as showers, laundry facilities, mailing addresses, telephone use, and lockers through its Day Resource Center program. The Day Resource Center program also includes a number of services such as mental health care, legal assistance, and employment assistance provided by co-located agencies. In addition, ARCH also houses the Healthcare for the Homeless clinic operated by CommunityCare/Central Health. The ARCH will serve 2,000 individuals with its Night Sleeping Program. All clients are entered into the Homeless Management Information Systems database. While the City also funds other shelters, transitional housing and homeless services including a shelter for women and children, it does not utilize ESG funds to do so. All clients served in the ARCH have low- to moderate-incomes and are at or below 50 percent of MFI. ESG funds are used to provide maintenance and operations for this program.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

Front Steps, Inc., City of Austin Downtown Austin Community Court, and City of Austin HHSD Communicable Disease Unit will all administer ESG Rapid Rehousing funds in coordination to move homeless, particularly chronically homeless, from the streets and shelter into permanent housing. The ESG Rapid Rehousing Coordinator is housed at Front Steps, at the Austin Resource Center for the Homeless. The Rapid Rehousing program serves frequent users of the shelter, frequent offenders at the Community Court and HIV positive homeless individuals. Many of these program clients are the hardest to serve and chronically homeless. This program brings together case management and housing location, and coordinates with other funding sources like the City of Austin General Fund dollars, to bring housing resources to this hard-to-serve population.

As a community, Austin has exceeded HUD goals for moving clients to permanent housing with 86% in 2013 and 94% in 2014. In anticipation of additional measures on length of homelessness, ECHO and the City are looking for efficiencies through the new coordinated assessment system now being used community-wide, added resources in landlord outreach and case management specialization to reduce the number of days before securing permanent housing.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs.

ECHO coordinates the Continuum of Care funded projects and submits the annual application to HUD. ECHO works with the Reentry Roundtable, Travis County Criminal Justice, Central Health's Psychiatric Stakeholders, ATCIC leadership and other community planning organizations. ECHO coordinate Discharge Planning from hospitals, treatment facilities and jails to assist persons leaving mental/physical health facilities to locate support services and housing, and persons with mental/physical health challenges leaving other institutions to do the same. Central Health, the local healthcare taxing district, has identified Permanent Supportive Housing as a top 10 strategy for improving mental health in Travis County.

The City of Austin ESG funds are not allocated to Homelessness Prevention. However, the ESG Rapid Re-housing program and the ESG-funded Emergency Shelter do serve persons exiting an institution where they have resided for 90 days or less and who resided in an emergency shelter or place not meant for human habitation immediately before entering that institution.

The HOPWA Program coordinates with Best Single Source Plus program so eligible clients will receive rent, mortgage and utility assistance. This program is funded by the City of Austin via the Basic Needs Coalition. Clients must have an income at or below 200% of the federal poverty guideline and a financial need that, with sufficient assistance, is expected to be met with one, three, or twelve months of case management and financial assistance. This extends housing assistance to clients who may be eligible or ineligible for assistance through HOPWA and who are very likely to become self-sufficient in maintaining housing stability in the future. HOPWA has a collaborative contract with the Austin Housing Authority called Shelter Plus. Its purpose is to serve clients considered homeless as defined by HUD. HOPWA is allowed a limited number of housing slots that allow homeless clients to bypass the Section 8 wait list. The HOPWA program also participates in the Customer Assistance Program through Austin Energy for utility payment allocations to avoid cutoff of client utilities.

HOPWA case managers also work with community agencies like Easter Seals Housing, Mobile Loaves and Fishes Housing, Housing First, and the Foundation Communities properties. Housing case managers address the needs of HOPWA eligible individuals by providing case management that focuses on housing assessments and housing service plans. HOPWA consortium agencies also leverage supportive services through this funding. These services include food bank, medication and eye glasses assistance, health

insurance premium assistance, payment for identification documents, and medical services such as oral health and medication nutrition therapy.

Discussion

Please see above.

AP-70 HOPWA Goals – 91.220 (I)(3)

One year goals for the number of households to be provided housing through the use of HOPWA for:	
Short-term rent, mortgage, and utility assistance to prevent homelessness of the individual or family	67
Tenant-based rental assistance	75
Units provided in permanent housing facilities developed, leased, or operated with HOPWA funds	0
Units provided in transitional short-term housing facilities developed, leased, or operated with HOPWA funds	0
Total	142

AP-75 Barriers to affordable housing – 91.220(j)

Introduction

The City of Austin conducts a number of initiatives that aim to remove barriers to affordable housing, including regularly reviewing the City's Analysis of Impediments to Fair Housing Choice (AI). The City of Austin completed its most recent AI in 2015. Below is the list of impediments to fair housing choice that were identified in the 2015 AI. The complete analysis is available online: www.austintexas.gov/housing

Impediments to Fair Housing Choice

1. Lack of affordable housing in Austin disproportionately impacts protected classes with lower incomes and higher poverty rates.
2. Lack of affordable housing citywide exacerbates segregation created through historical policies and practices.
3. Information on housing choice is not widely available in languages other than English and/or in accessible formats. No information is available to people who are members of protected classes about possibilities to live in housing that was created in higher opportunity areas through city incentive and developer agreement programs.
4. Complaint data and lawsuits signal non-compliance of property owners and builders with reasonable accommodations and accessibility requirements.
5. Overly complex land use regulations limit housing choice and create impediments to housing affordability. These include: minimum site area requirements for multifamily housing, limits on accessory dwelling units, compatibility standards, overly restrictive neighborhood plans and excessive parking requirements.
6. Private market barriers exist in the city in the forms of "steering" (the practice of real estate agents showing certain homebuyers only certain neighborhoods because of their race or ethnicity), high loan denials for African Americans, and overly complex and rigorous standards for rental agreements.
7. City incentives to create affordable housing may not be equitably distributed throughout the city and may not serve the protected classes with the greatest needs.
8. The City's historical lack of enforcement of city codes governing the maintenance of housing stock in different neighborhoods disproportionately impacts protected classes, influences housing preferences and restricts access to opportunities.
9. The city is limited in its ability by state law to use inclusionary zoning as a tool to broaden housing choice.
10. The City's historical lack of funding for public infrastructure and amenities, including parks, in different neighborhoods may disproportionately impact protected classes, influence housing preferences, and restrict access to opportunities.
11. Lack of knowledge about fair housing requirements creates barriers to affirmatively furthering fair housing.
12. "Crime in neighborhood" is a frequently cited reason for dissatisfaction with current housing.

Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment

The actions below are responsive to “high priority” fair housing barriers outlined in the 2015 AI. Actions that respond to “medium” and “low” priority barriers can be found in the full analysis, which is available online: www.austintexas.gov/housing

- Maintain and strengthen policies through the CodeNEXT process that provide incentives for the development of affordable housing for households below 50%, 60% and 80% MFI.
- Strengthen and align density bonus programs in terms of formula for calculating the number of units, accessibility requirements, the affordability period, and on-site requirements.
- Revise VMU, PUD to require 60% MFI rental and 80% owner throughout Austin when on-site affordable units are required.
- Develop programs to incentivize family-oriented units in high opportunity areas.
- Collect data on protected classes, as well as families with children, residing in units created through the City’s density bonus and other incentive programs.
- Secure longer affordability periods for VMU and other programs that are successful in providing affordable housing.
- Enact policies, including a land bank, to acquire and preserve apartments on and near transit corridors, where affordable programs can be applied to increase housing for people who are members of protected classes.
- Work with governmental entities, including Capital Metro, to require inclusion of affordable housing opportunities for families with children on government-owned land that is undergoing redevelopment.
- Create a goal to increase access to affordable housing in all council districts. The 2014 Housing Market Study recommends setting a goal of 10% of rental housing units to be affordable to households earning \$25,000 or less per year.
- Recommend adoption of a requirement that at least 25% of units be affordable on developments proposed on City-owned land.
- Require units with city incentives or subsidies to accept vouchers to be consistent with the recently adopted addition of source of income protection in the City’s Fair Housing ordinance.
 - On June 19, 2015, Governor Greg Abbott signed Texas Senate Bill 267, which bans municipalities from adopting or enforcing ordinances that prohibit property owners from refusing to rent to a person because the person’s source of income includes federal housing assistance funding. The law preserved protections for military veterans whose source of income includes federal housing assistance funding.
 - On June 30, 2015, the Community Development Commission recommended that a stakeholder meeting be called to discuss how to proceed.

- Work with the Housing Authority to explore the potential for Small Area Rents, as described in Section IV of the document.
- Pursue implementation of reasonable look back periods for criminal backgrounds in rental criteria for developments with City of Austin funds to ensure that the look back periods do not screen out more people than necessary.
- Identify impediments and potential remedies to assist persons with disabilities attempting to secure accessible, affordable housing.
- Recommend review and enhancement of publicly available information and forms on fair housing to make them easily accessible to persons with disabilities and persons with limited English proficiency.
- Work with HUD to provide better information in the new AFH tool about the needs of persons with disabilities.
- Develop an online list and map of units created through city incentives and developer agreement programs. Work with local agencies to disseminate that information.
- Examine weaknesses in the current process and implement improvements to ensure accessibility compliance.

Discussion

The City of Austin adopted a comprehensive plan for Austin's future in 2012. NHCD is lead in implementing the Household Affordability Priority Program, which contains short- and long-term implementation steps focusing on addressing barriers to affordable housing. NHCD is providing recommendations as part of the City's current Land Development Code revision process, CodeNEXT, to reduce policy barriers that negatively impact affordable housing development.

Based on recommendations from the City's Community Development Commission, the following actions will be part of the strategy to remove or ameliorate the barriers to affordable housing:

- In order to increase low-income Austinites' access to affordable housing throughout the city, the land development code must increase development incentives such as Vertical Mixed Use (VMU) with specific requirements for on-site affordable housing and set aside 10% of the units at 60% MFI for rental or 80% MFI for homeownership
- Further examination of the impact of density in the unique economic Austin environment to determine its impact on members of protected classes
- Work with communities representing members of protected classes to explain CodeNEXT implications in layman's terms

In May of 2015, the City Council Housing Committee forwarded a recommendation to the full City Council related to the use of homestead preservation districts and homestead preservation

reinvestment zones to address affordable housing issues in Austin. Additional activities to address barriers to affordable housing include:

- **Affordability Impact Statements** are required for proposed City ordinances, rules or processes that could impact housing affordability.
- The City of Austin offers a housing counseling program that provides **financial literacy skills, lending education, homebuyer education and foreclosure prevention**. In addition, the City contracts with two non-profit organizations to further financial literacy related to foreclosure prevention and to offer the HousingSmarts program in Spanish. The City of Austin discourages predatory lending and requires that all households participating in the Down Payment Assistance Program secure a fixed-rate mortgage at prevailing interest rates. The City will continue seeking partnerships with local agencies to ensure the residents are informed on quality lending opportunities that lead to positive results.
- The Austin City Council passed an ordinance in 2014 adding protections based on “source of income” to Austin’s **Fair Housing Ordinance**. The change sought to enable households receiving local or federal assistance to access housing that previously did not accept vouchers. Enforcement was prohibited when Texas Senate Bill 267 was signed by Governor Greg Abbott on June 19, 2015. The law preserved protections for military veterans whose source of income includes federal assistance.
- Austin Tenants' Council (ATC) **Fair Housing Program** serves to provide tenant-landlord fair housing education/outreach, counseling, documentation, and investigation of housing discrimination complaints. The agency also provides advice about remedies under fair housing laws and coordinates legal services to assist victims of housing discrimination. ATC carries out testing and enforcement activities to prevent or eliminate discriminatory housing practices.
- **Accessibility/Visitability Standards** - The City of Austin’s S.M.A.R.T. Housing™ program encourage the development of reasonably priced units and has created more than 20,000 units. The program has standards for accessibility beyond federal and state levels. In 2014, the City Council expanded the Visitability Ordinance and added a local amendment to the International Residential Code requiring new single-family homes and duplexes to have at least one first-floor entrance without a step and at least one bathroom or half-bath on the first floor, further promoting accessibility/visitability.

AP-85 Other Actions – 91.220(k)

Introduction

Federal regulations require that Participating Jurisdictions (PJs) include in their Annual Action Plans how they will use HUD grant funds in conjunction with other HUD funding and local resources in order to improve the lives of low- and moderate-income households. Federally-mandated guidelines are outlined below, along with a brief description of a best practice or an initiative underway or planned to begin in FY 2015-16 to meet the specific directive.

Actions planned to address obstacles to meeting underserved needs

Affordable housing remains an underserved need with the City of Austin. The Comprehensive Housing Market Study (2014) shows a gap of approximately 48,000 units of affordable housing units for renter households making less than \$25,000 annually. To assist in closing that gap, the City will continue to prioritize resources to build and preserve affordable housing. Through the City of Austin's Acquisition and Development (A&D) and Rental Housing Development Assistance (RHDA) programs, NHCD has partnered with various lenders and non-profit and for-profit developers to increase and preserve the supply of affordable rental and homeownership opportunities that will benefit low- and moderate-income households.

Actions planned to foster and maintain affordable housing

Create and Retain Affordable Housing: Through the City of Austin's Acquisition and Development and Rental Housing Development Assistance programs, NHCD has partnered with various lenders and non-profit and for-profit developers to increase and preserve the supply of affordable rental and homeownership opportunities that will benefit low- and moderate-income households.

Community Housing Development Organizations (CHDOs) are non-profit housing providers whose organizational mission includes the development of affordable housing for low- and moderate-income households. The City is able to work closely with CHDOs to help them meet their housing development goals by coordinating with the Austin Housing Coalition, an organization comprised of local, non-profit affordable housing providers. The City meets with the Austin Housing Coalition to discuss policy matters and provides CHDO Operating Expenses Grants to help increase organizational capacity. NHCD will continue to work closely and support CHDOs in FY 2015-16 to increase opportunities that will foster and maintain affordable housing.

The City of Austin administers the **Neighborhood and Community Revitalization (NOIP) program**. NOIP is a competitive grant application program that enables non-profit and for-profit organizations to increase opportunities in disadvantaged neighborhoods with limited access to funding. It will provide grants annually through a competitively driven process. The proposed projects must target low- to moderate-income census tracts and report on performance measures defined in the grant agreement.

which increase access to opportunities for area residents.

In 2012, HUD awarded HACA a \$300,000 **Choice Neighborhoods Planning Grant** to target the Rosewood Courts public housing property and the Rosewood neighborhood. AHFC supported the application as a co-applicant. The Rosewood Choice Neighborhoods Planning process employed a comprehensive approach to neighborhood planning to revitalize the distressed Rosewood Courts public housing complex while investing and leveraging investments in well-functioning services, high quality public schools and education programs, high quality early learning programs and services, public assets, public transportation, and improved access to jobs. HACA submitted the final Rosewood Choice Neighborhoods Planning Initiative Transformation Plan to HUD in April of 2015. HACA anticipates that HUD will release the Notice of Funding for the 2015 Choice Neighborhoods Implementation Grant sometime in November 2015. At that time, HACA will consider whether or not to pursue the opportunity. If awarded, the City of Austin plans to contribute CDBG funds over a 5-year period to assist in the redevelopment of Rosewood Courts.

NHCD administers the following **home repair programs**: Architectural Barrier Removal - Rental and Owner, Emergency Home Repair, Homeowner Rehabilitation Loan Program, and the GO Repair! Program, which is leveraged by members of the Home Repair Coalition membership. In addition, NHCD collaborates with Austin Energy, Austin Water Utility, and the City's Code Compliance Department to offer comprehensive services promoting healthier homes.

In addition, AHFC recently contracted with **Green & Healthy Homes Initiative (GHHI)** in an effort to improve client service delivery and outcomes. GHHI is a non-profit, social enterprise that integrates energy, health and safety-based housing interventions in lower income households. Their model breaks the cycle for low-income families of deferred housing investments that result in higher medical bills, energy costs, and housing maintenance costs.

NHCD is actively working with stakeholders to investigate new financing tools, including creating of a **strike fund** that can be used to preserve affordable housing in Austin. A steering committee is charged with 1) creating a framework for the strike fund, 2) identifying relevant goals and performance metrics, and 3) developing a brand and shared narrative.

Actions planned to reduce lead-based paint hazards

The City of Austin was awarded \$2.5 million through HUD's Lead Hazard Reduction Demonstration Grant in 2011. The funding is used to provide services to 150 homes for the presence of lead based paint hazards in eligible low-income rental and owner-occupied housing during the three-year grant period. The City's LeadSmart Program serves homes built prior to 1978 where children under six years of age live or spend a significant amount of time and who have tested positive for lead poisoning. The program targets communities with the greatest need, a high incidence of lead poisoning, and older rental housing. The City of Austin was awarded \$2.5 million through HUD's Lead Hazard Control/Healthy

Homes Grant in May 2013. This grant provides services to 138 eligible households for the three-year grant period targeting homeowners and renters earning at or below 80 percent of MFI with children under the age of six, and living in homes built prior to 1978.

Actions planned to reduce the number of poverty-level families

All programs administered by the City of Austin aim to address critical needs through housing, community development, and public services to benefit eligible residents, including persons in poverty, so they can increase their opportunities for self-sufficiency. HOPWA, ESG, and CDBG activities in particular assist households that fall under the special populations category outlined in the FY 2009-14 Consolidated Plan.

Housing Opportunities for People with AIDS (HOPWA) Activities

The Austin/Travis County HHSD administers all HOPWA activities for the City of Austin. These programs provide housing assistance for income-eligible persons living with HIV/AIDS and their families. The goals of these programs are to prevent homelessness and to support independent, self-sufficient living among persons living with HIV/AIDS. The services ensure clients have improved access to primary medical care and other supportive services.

Emergency Solutions Grant (ESG) Activities

The Austin/Travis County HHSD administers all ESG activities for the City of Austin. These programs are designed to be the first step in a continuum of assistance to help clients quickly regain stability in permanent housing after experiencing a housing crisis and/or homelessness. ESG can also assist with the operational costs of the shelter facility, and for the administration of the grant.

Public Service Activities

NHCD administers public service contracts funding using CDBG. Austin / Travis County HHSD provides program expertise for the development of the work statements and performance measures upon request from NHCD. Public services offer supportive services to households with gross incomes less than 200 percent of Federal Poverty Guidelines. Childcare Services provides childcare vouchers for homeless and near-homeless families and direct child care services for teen parents who are attending school. Youth Services provides access to holistic, wraparound services and support to youth designated as at-risk and their families. Senior Services offers services that prevent and protect seniors in becoming victims of abuse, neglect, and/or financial exploitation. Austin's Tenants' Council is another community partner that provides public services that focus on housing discrimination, tenant-landlord education and information, and housing repair and rehabilitation.

Actions planned to develop institutional structure

City of Austin - Interdepartmental Coordination

NHCD administers housing, community, and economic development programs, which require

interdepartmental coordination. Many City of Austin departments coordinate efforts to provide program services and projects outlined in the Annual Action Plan.

The City of Austin contracts with the Austin Housing Finance Corporation (AHFC) to develop affordable rental and homeownership opportunities and housing rehabilitation of owner-occupied homes. HHSD provides support to Austin residents living with HIV/AIDS and their families through the use of HOPWA grant funds. HHSD also provides assistance to help clients quickly regain stability in permanent housing after experiencing a housing crisis and/or homelessness using ESG funds. NHCD and HHSD collaborate on several public service programs. The Economic Development Department will foster small business expansions in low- and moderate-income neighborhoods to stimulate job creation through the Family Business Loan Program (FBLP). Numerous non-federally funded housing programs and activities offered by NHCD rely on the coordination of other City departments including: Austin Energy, Austin Water Utility, Budget Office, City Manager's Office, Code Compliance Department, Contract and Land Management Department, Government Relations, HHSD, Law Department, Office of Sustainability, Parks and Recreation Department, Planning and Zoning Department, Public Works, Solid Waste Services, and Watershed Protection.

Below are initiatives and programs that require interdepartmental coordination and will be underway in FY 2015-16:

Developer Incentives and Community Development

- East 11th and 12th Streets Revitalization
- Imagine Austin Comprehensive Plan, Household Affordability Priority Program and implementation
- Fair Housing Action Plan – Coordination and implementation of actions to remove barriers to fair housing choice that were identified in the City's 2015 Analysis of Impediments to Fair Housing Choice
- S.M.A.R.T. Housing™ Program
- Development Density Bonuses

Redevelopment of Publicly-Owned Land

- Colony Park Sustainable Communities Initiative
- Agreements between the City of Austin and developers including affordable housing as a community benefit

Home Repair and Other Initiatives

- Holly Good Neighbor Program - Austin Energy
- Code Department utilizing a referral system to identify and address homes needing substantial and costly repairs
- Austin/Travis County HHSD, ECHO and other key agencies for activities supporting the Community Plan to End Homelessness

City of Austin Interdepartmental Partnership: Austin Water Utility

The Private Lateral Program (P-Lat) assists in replacing aging water infrastructure for eligible homeowners. NHCD administers the program through a partnership with Austin Water Utility. This collaboration leverages home repair services offered by the department by supporting the department's outreach and construction expertise through cross promotion. Additionally, this program furthers the City's commitment to HUD's Healthy Homes Initiative which takes a comprehensive approach to reducing housing-related hazards in a coordinated fashion, rather than addressing a single hazard at a time. The program provides the opportunity for homeowners to maintain a safe environment for themselves and their families, free of health hazards and safety concerns. For more information visit: <http://www.austintexas.gov/department/private-lateral-program>

Actions planned to enhance coordination between public and private housing and social service agencies

NHCD will continue to work closely with the following organizations to overcome gaps and enhance coordination efforts: African American Resource Advisory Commission, Community Development Commission (CDC), Community Advancement Network (CAN), Community Housing Development Organizations (CHDOs), Austin Housing Coalition, Ending Community Homelessness Coalition (ECHO), Housing Authority of the City of Austin (HACA), Housing Authority of Travis County (HATC), HousingWorks, and the Urban Renewal Agency, as well as other key stakeholders and organizations. NHCD will also remain engaged with housing finance agencies, the National Association of Local Housing Finance Agencies and the Texas Association of Local Housing Finance Agencies (TALHFA), to connect with other agencies whose missions address critical housing needs.

In FY 2015-16, NHCD will continue to participate in Opportunity Mapping, which is a research tool used to understand the dynamics of opportunity within geographic areas. The purpose of opportunity mapping is to illustrate where opportunity-rich communities exist (and assess who has access to these communities) and to focus on areas of need in underserved or opportunity-poor communities. Key indicators include: sustainable employment, high-performing schools, access to high-quality healthcare, adequate transportation, quality child care, safe neighborhoods, and institutions that facilitate civic and political engagement. As NHCD moves forward in implementing a geographic dispersion/siting policy ensuring affordable housing in all parts of Austin, staff will utilize the Kirwan Opportunity Map to further the City's housing and community development goals.

Discussion

Section 108 Loan Guarantee/Family Business Loan Program (FBLP)

Since FY 2012, the Economic Development Department (EDD) has successfully executed the Family Business Loan Program (FBLP) using a \$3.0 million HUD Section 108 loan guaranty. As of April 2015, FBLP has originated 8 loans worth approximately \$2.8 million to local business owners, who have committed

to creating 139 new jobs benefiting low-to moderate income (LTMI) persons.

Six of the eight loans (75%) were approved under HUD's presumption rule for businesses located in census tracts with 20% poverty and general distress. Projects have included the renovation of a fire-damaged building in the East 6th Street corridor into a donation-based yoga studio, and rehabilitation of a 1950's era Quonset into a modern event space that can accommodate a variety of public and private events. Approved loan applicants represent a diversity of industries, from the resurrection of an Austin iconic eatery to a local for-profit music school practicing the motto "outstanding music lessons for everyone." The business owners also represent the diversity of Austin's small business community, with 38% of loans approved for women-owned businesses and 63% of loans approved for minority-owned businesses.

In addition to the Section 108 funds, a total of 9 local, private for-profit and non-profit community lenders (partner lenders) have coordinated with FBLP to provide the Austin market greater access to capital. As of April 2015, FBLP's partner lenders have originated over \$9 million in financing for FBLP loans, leveraging FBLP funding more than 3:1. This success has increased the applicant pool and demand for additional program funding. Following successful outreach efforts to Chambers of Commerce and local community groups, FBLP has developed an active pipeline of potential loans. Currently, 5 clients are seeking to obtain approximately \$2.5 million in loans for their business expansion projects, and EDD anticipates commitments from these loans for 81 new jobs to be created for LTMI income persons in Austin within 5 years.

The original \$3.0 million allocation is almost exhausted, but in FY 2015, EDD will accept \$8.0 million in additional Section 108 program funding from HUD to meet existing loan demands and expand the program to serve all LTMI communities in Austin. EDD requires Austin City Council and Texas Attorney General's Office approval of the \$8.0 million Section 108 HUD loan guarantee to provide this funding for the FBLP, and approval through the FY 2015-16 Action Plan process.

Program Specific Requirements

AP-90 Program Specific Requirements – 91.220(I)(1,2,4)

Introduction

The following information is being included in response to the Annual Action Plan Review Checklist that has been provided by HUD. Additional program related information can be found in Attachment II.

20% CDBG Administrative Cap

- CDBG Entitlement: \$7,078,382
- Administrative Expense: \$1,415,676 = 20.00%

15% CDBG Public Service Cap

- CDBG Entitlement: \$7,078,382
- Public Service Expense: \$1,061,757 = 15.00%

10% HOME Administrative Cap

- HOME Entitlement: \$2,433,108
- Expense: \$243,310 = 10.00%

15% CHDO Set Aside

- HOME Entitlement: \$2,433,108
- CHDO Set Aside: \$364,966 = 15.00%

7.5% ESG Administrative Cap

- ESG Entitlement: \$622,474
- ESG Administrative Expense: \$46,685 = 7.5%

3% HOPWA Administrative Cap

- HOPWA Entitlement: \$1,117,794
- HOPWA Administrative Expense: \$33,533 = 3.00%

HOPWA - Selection of Project Sponsors

The Austin/Travis County HHSD has contracted with two agencies, AIDS Services of Austin and Project Transitions (PT) since 1995. These two agencies have been the sole respondents to Notifications of Funding Availability (NOFA) for HOPWA services. They were selected to provide these services via a Request for Applications (RFA) process in August of 2002 and have been the designated sub-recipients for these services since then. These agencies carry out activities independently or collaboratively with

other housing case management providers in the area and have a history of satisfactory service performance and delivery.

Monitoring

The goal of the City of Austin's monitoring process is to assess sub-recipient/contractor performance in the areas of program, financial and administrative compliance with applicable federal, state and municipal regulations and current program guidelines. The City of Austin's monitoring plan consists of active contract monitoring and long-term monitoring for closed projects. For more information including a copy of the City of Austin's Monitoring Plan please see Attachment II-D.

Community Development Block Grant Program (CDBG) Reference 24 CFR 91.220(l)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed	0
2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan.	0
3. The amount of surplus funds from urban renewal settlements	0
4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan	0
5. The amount of income from float-funded activities	0
Total Program Income:	\$0

Other CDBG Requirements

1. The amount of urgent need activities	0
2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low-and moderate-income. Specify the years covered that include this Annual Action Plan.	75.00%

HOME Investment Partnership Program (HOME) Reference 24 CFR 91.220(I)(2)

1. **A description of other forms of investment being used beyond those identified in Section 92.205 is as follows:** The City does not anticipate utilizing any other forms of investment beyond those identified in Section 92.205.
2. **A description of the guidelines that will be used for resale or recapture of HOME funds when used for homebuyer activities as required in 92.254, is as follows:**
To view the City's Resale and Recapture Policy, please see Attachment II-B.
3. **A description of the guidelines for resale or recapture that ensures the affordability of units acquired with HOME funds? See 24 CFR 92.254(a)(4) are as follows:**

Cities receiving HOME Investment Partnership Funds are required to comply with a designated affordability period. The affordability period of any project is contingent upon the amount per unit subsidy received and may be five, ten, or fifteen years long. Participating jurisdictions are required to utilize the recapture or resale provisions to ensure continued affordability and the wise stewardship of federal funds. The following NHCD programs use HOME funds to assist homebuyers, developers, or homeowners; thus, recapture and resale provisions are incorporated in their program guidelines: Down Payment Assistance (DPA), Homeowner Rehabilitation Loan Program (HRLP), and the Acquisition and Development (A&D).

Resale

In cases where HOME funding was provided to the developer, but not directly to the homebuyer, the resale method is used. The affordability period is enforced through a Restrictive Covenant signed by the homebuyer at closing and is recorded in the Travis County Clerk's Official Public Records. The Restrictive Covenant details the length of the affordability period and the specific resale requirements that must be satisfied should the owner wish to sell the property prior to the end of the affordability period. Both recapture and resale options have distinct advantages; the decision of which option to use is a matter of weighing factors such as trends in the marketplace, the availability of homeownership opportunities for lower-income households in the community, and the homebuyer program's local objectives.

Recapture

Under a recapture provision, the HOME financial assistance generally must be repaid. This option allows the seller to sell to any willing buyer at any price; PJs can decide what proportion of net proceeds from sale, if any, will go to the homebuyer and what proceeds will go to the PJ. Once the HOME funds are repaid, the property is no longer subject to any HOME restrictions. The funds may then be used for any other HOME-eligible activity. The City's Resale/Recapture Policy can be found

in Attachment II-B.

4. **Plans for using HOME funds to refinance existing debt secured by multifamily housing that is rehabilitated with HOME funds along with a description of the refinancing guidelines required that will be used under 24 CFR 92.206(b), are as follows:**

The City has no plans to utilize HOME funds to refinance existing debt secured by multifamily housing rehabilitated with HOME funds.

Emergency Solutions Grant (ESG) Reference 91.220(l)(4)

1. **Include written standards for providing ESG assistance (may include as attachment)**

Please see ESG Program Standards featured in Attachment II-C.

2. **If the Continuum of Care has established centralized or coordinated assessment system that meets HUD requirements, describe that centralized or coordinated assessment system.**

ECHO is the Homeless Management Information System administrator in the community and has taken the lead on implementation of the coordinated assessment. In 2013, the Austin Continuum of Care (CoC) approved a new framework for housing stability for the entire CoC with coordinated assessment that identifies three permanent housing interventions based on the principle of providing the least intervention necessary. The new system will ensure that individuals are placed in the best housing situation to meet their individual needs, which in turn will increase housing stability. Based on need, individuals will receive one of the three interventions, which may include one-time housing assistance, rapid rehousing with three to twenty-four months of support, or permanent supportive housing (PSH). PSH is intended to be reserved for those individuals and families who are unable to remain stably housed “but for” a permanent subsidy and ongoing supportive services. The strategy of “just enough” assistance is important as Austin has more demand for assistance than available resources. The assessment tool identifies which Permanent Housing intervention best meets each client’s need. The tool includes diversion and self-sufficiency outcomes matrix. In January 2014 ECHO launched the second pilot of its assessment questions and will continue to pilot and modify as needed. The framework calls for multiple “front doors” making the assessment easily accessible when fully implemented. CoC has made numerous presentations about the changes and continues to involve the community throughout implementation; all social service agencies and local intercept points will be made aware of how persons approach the system. All CoC programs have agreed to participate.

The City of Austin has two projects funded through the Medicaid 1115 Healthcare Transformation Waiver that provide assertive community treatment (ACT) services for chronically homeless

individuals with complex health conditions. Program participants are housed in PSH units, with one project strictly dedicated to Housing First practices as ACT team staff members engage individuals who are extremely vulnerable and typically very high users of healthcare, behavioral health, criminal justice, and emergency shelter systems in the community. Partners and providers of direct service in these projects include the local mental health authority, nonprofit housing and homeless services providers, and the county healthcare district. The City has dedicated \$500,000 to be administered through the local housing authority as housing vouchers for participants in the City ACT PSH program. The FY15-16 goals for these projects include enrolling over 50 new individuals into the program.

3. Identify the process for making sub-awards and describe how the ESG allocation available to private nonprofit organizations (including community and faith-based organizations).

In 2012, the City of Austin HHSD competed the funding for the Rapid Re-housing program. There was one applicant, Front Steps, and they received the award. Since then, there has been no new competition due to the reduction in award.

The grant sub-award process, as well as details on all programs, are featured in Attachment II-C, ESG Program Standards.

4. If the jurisdiction is unable to meet the homeless participation requirement in 24 CFR 576.405(a), the jurisdiction must specify its plan for reaching out to and consulting with homeless or formerly homeless individuals in considering policies and funding decisions regarding facilities and services funded under ESG.

HHSD works with ECHO to develop the ESG program and ECHO has persons who are homeless or formerly homeless as members.

5. Describe performance standards for evaluating ESG.

All City of Austin homeless contracts have the following performance measures:

- Number of unduplicated clients served.
- Number of households provided homeless services that transition from homelessness into housing. In addition, all ESG recipients must provide demographic information to reflect the IDIS report screens.

For additional information, please see the ESG Program Standards featured in Attachment II-C.

Discussion

Please see above.

Attachments

Attachment I A: Citizen Participation Plan



CITY OF AUSTIN
NEIGHBORHOOD HOUSING AND COMMUNITY DEVELOPMENT (NHCD) OFFICE

CITIZEN PARTICIPATION PLAN

A. PURPOSE

Participating Jurisdictions (PJs) that receive U.S. Department of Housing and Urban Development (HUD) entitlement grant funds must develop a Citizen Participation Plan (CPP). The CPP describes efforts that will be undertaken to encourage citizens to participate in the development of the City's federal reports: 5-Year Consolidated Plan, annual Action Plan, and the Consolidated Annual Performance and Evaluation Report (CAPER).

The CPP is designed to encourage the participation of city residents in the development of the federal reports listed above, particularly those residents who are predominantly low- and moderate-income. The CPP also encourages local and regional institutions and other organizations (including businesses, developers, and community and faith-based organizations) in the process of developing and implementing the 5-Year Consolidated Plan and related reports. The City takes appropriate actions to encourage the participation of persons of minority backgrounds, persons with limited-English proficiency, and persons with disabilities.

The City of Austin is committed to compliance with the Americans with Disabilities Act (ADA) and Section 504 of the Rehabilitation Act of 1973, as amended. Reasonable modifications and equal access to communications will be provided upon request. The City of Austin does not discriminate on the basis of disability in the admission or access to, or treatment or employment in, its programs and activities.

The City of Austin considers it the right of all Austin's residents to have the opportunity to provide input and comment on the use of public funds and the community's needs related to affordable housing and community and economic development.

The CPP applies to five areas of planning for the use of affordable housing, community and economic development made possible through HUD funding:

- 1) The 5-Year Consolidated Plan;
- 2) The annual Action Plan;
- 3) The Consolidated Annual Performance and Evaluation Report (CAPER);
- 4) Substantial amendments to a 5-Year Consolidated Plan and/or annual Action Plan; and
- 5) Amendments to the CPP, itself.

The City of Austin's program/fiscal year begins October 1 and ends September 30. In order to receive entitlement grant funding, the U. S. Department of Housing and Urban Development (HUD)

requires jurisdictions to submit a Consolidated Plan every five years. This plan is a comprehensive strategic plan for community planning and development activities. The annual Action Plan serves as the City's application for these HUD grant programs. Federal law also requires citizens have opportunities to review and comment on the local jurisdiction's plans to allocate these funds.

The purpose of programs covered by this CPP is to improve the Austin community by providing: decent housing, a suitable living environment, and growing economic opportunities – all principally for low- and moderate- income households.

This document outlines how members of the Austin community may participate in the five planning areas listed above. General requirements for all or most activities are described in detail in Section E of the Citizen Participation Plan (CPP).

B. HUD PROGRAMS

The City of Austin receives four entitlement grants from the U.S. Department of Housing and Urban Development (HUD), to help address the City's affordable housing, community and economic development needs. The four grant programs are described below:

1. ***Community Development Block Grant Program (CDBG):*** Title I of the Housing and Community Development Act of 1974 (PL 93-383) created the CDBG program. It was re-authorized in 1990 as part of the Cranston-Gonzalez National Affordable Housing Act. The primary objective of the CDBG program is to develop viable urban communities by providing decent housing and a suitable living environment and by expanding economic development opportunities for persons of low and moderate income. The City develops locally defined programs and funding priorities for CDBG, but activities must address one or more of the national objectives of the CDBG program. The three national objectives are: (1) to benefit low- and moderate- income persons; (2) to aid in the prevention or elimination of slums or blight; and/or (3) to meet other urgent community development needs. The City of Austin's CDBG program emphasizes activities that directly benefit low and moderate-income persons.
2. ***HOME Investment Partnerships Program (HOME):*** HOME was introduced in the Cranston-Gonzalez National Affordable Housing Act of 1990 and provides funding for housing rehabilitation, new housing construction, acquisition of affordable housing, and tenant-based rental assistance. A portion of the funds (15 percent) must be set aside for community housing development organizations (CHDOs) certified by the City of Austin.
3. ***Emergency Shelter/Solutions Grant (ESG):*** The ESG Program is authorized by the Steward B. McKinney Homeless Assistance Act of 1987 and was amended by the Homeless Emergency Assistance and Rapid Transition to Housing (HEARTH) Act of 2009. ESG has four primary objectives: (1) to improve the quality of existing emergency shelters for the homeless; (2) to provide additional emergency shelters; (3) to help meet the cost of operating emergency shelters; and (4) to provide certain essential social services to homeless individuals. The program is also intended to help reduce the number of people at risk of becoming homeless.
4. ***Housing Opportunities for Persons with AIDS (HOPWA):*** HOPWA funds may be used to assist housing designed to meet the needs of persons with HIV/AIDS, including the prevention of homelessness. Supportive services may also be included. HOPWA grants are allocated to Eligible Metropolitan Statistical Areas (EMSAs) with a high incidence of HIV/AIDS. The City of Austin receives a HOPWA grant on behalf of a five-county EMSA (Bastrop, Hays, Travis, Williamson, and Caldwell Counties).

C. LEAD AGENCY

The Neighborhood Housing and Community Development (NHCD) Office is designated by the Austin City Council as the lead agency for the administration of the CDBG, HOME, HOPWA, and ESG grant programs. Through the U.S. Department of Housing and Urban Development (HUD) NHCD directly administers the CDBG and HOME programs. The City Council designates the Austin/Travis County Health and Human Services Department (HHSD) to administer the HOPWA and ESG programs.

As the lead agency for HUD, NHCD is responsible for developing the 5-Year Consolidated, annual Action Plans, and the Consolidated Annual Performance and Evaluation Report (CAPER). NHCD coordinates with the HHSD, boards and commissions and other community agencies to develop these documents. Needs and priorities for funding for the ESG and HOPWA grants are developed by HHSD in consultation with community agencies.

D. PLANNING ACTIVITIES SUBJECT TO CITIZEN PARTICIPATION PLAN

ACTIVITY 1 – FIVE-YEAR CONSOLIDATED PLAN. The City of Austin's 5-Year Consolidated Plan is developed through a collaborative process whereby the community establishes a unified vision for Austin's affordable housing, and community and economic development initiatives.

Citizen participation is an essential component in developing the 5-Year Consolidated Plan, including amending the plan as well as reporting on program performance. Consultations, public hearings, community meetings, citizen surveys and opportunities to provide written comment are all a part of the strategy to obtain citizen input. The City will make special efforts to solicit the views of citizens who reside in the designated CDBG-priority neighborhoods of Austin, and to encourage the participation of all citizens including minorities, the non-English speaking population, and persons with disabilities. Actions for public participation in the 5-Year Consolidated Plan follow:

1. ***Consultations with Other Community Institutions.*** In developing the Consolidated Plan, the City will consult with other public and private agencies, both for-profit and non-profits that either provide or have direct impact on the broad range of housing, health, and social services needed by Austin residents. Consultations may take place through meetings, task forces or committees, or other means with which to coordinate information and facilitate communication. The purpose of these meetings is to gather information and data on the community and economic development needs of the community. The City will seek specific input to identify the needs of persons experiencing homelessness, persons living with HIV/AIDS and their families, persons with disabilities and other special populations.
2. ***Utilize Quantitative and Qualitative Data on Community Needs.*** City staff shall review relevant data and conduct necessary evaluation and analysis to provide an accurate assessment of community needs and priorities on which to base strategic recommendations.
3. ***Initial Public Hearings.*** There will be a minimum of two public hearings at the beginning stages of the development of the Consolidated Plan before the Community Development Commission (CDC), policy advisers to NHCD appointed by the City Council, to gather information on community needs from citizens. There will be two more hearings sponsored by organizations working with low- and moderate-income populations. An additional hearing will be held

before City Council. Based on public testimony received, the CDC will make recommendations to City Council on the community needs.

4. **Written Comments.** Based on public input and quantitative analysis, NHCD staff will prepare a draft 5-Year Consolidated Plan, which also includes proposed allocation of first-year funding. A period of 30 calendar days will be provided to receive written comments on the draft 5-Year Consolidated Plan. The draft plan will be made available at public libraries, public housing authorities, neighborhood centers, at NHCD's Office, and on the NHCD's web site (www.austintexas.gov/housing/publications.) In addition, upon request, federal reports will be provided in a form accessible to persons with disabilities.
5. **Draft Consolidated Plan Public Hearings.** There will be a public hearing held before the City Council to receive oral public comments on the draft. An additional hearing will be held before the Community Development Commission (CDC). These hearings will be scheduled during the 30-day written comment period on the draft plan. The CDC will be given the opportunity to make recommendations to Council on the draft 5-Year Consolidated Plan/ Action Plan.
6. **Final Action on the Consolidated Plan.** All written or oral testimony provided will be considered in preparing the final 5-Year Consolidated Plan. A summary of testimony received and the City's reasons for accepting or not accepting the comments must be included in the final document. The City Council will consider these comments, CDC recommendations, and the recommendations of the City Manager before taking final action on the 5-Year Consolidated Plan. Final action by the City Council will occur no sooner than fifteen calendar days next following the second City Council public hearing on the draft plan. When approved by City Council, the 5-Year Consolidated Plan will be submitted to HUD, no later than August 15 each year.

ACTIVITY 2 – ONE-YEAR ACTION PLAN. Each year the City must submit an annual Action Plan to HUD, reporting on how that year's funding allocation for the four HUD entitlement grants will be used to achieve the goals outlined in the 5-Year Consolidated Plan.

1. NHCD staff will gather input from citizens and consultations to prepare the draft Action Plan. There shall be two public hearings: one before the Community Development Commission (CDC) and one before the City Council to receive citizen input on the community needs, including funding allocations.
2. NHCD staff will gather public input and statistical data to prepare the draft Action Plan. A draft Action Plan will be available for 30 days for public comment after reasonable notice to the public is given.
3. During this comment period, the CDC and the City Council shall conduct two additional public hearings to receive public comments on the draft Action Plan and 5-Year Consolidated Plan, if it is during a Consolidated Planning year.
4. The CDC will be given the opportunity to make recommendations to the City Council prior to its final action.
5. Final action by the City Council will occur no sooner than fifteen calendar days following the second Council public hearing on the draft Action Plan.
6. When approved by City Council, the Action Plan will be submitted to HUD.

ACTIVITY 3 – SUBSTANTIAL AMENDMENTS TO CONSOLIDATED/ACTION PLAN. Recognizing that changes during the year may be necessary to the 5-Year Consolidated Plan and annual Action Plan after approval, the Citizen Participation Plan allows for "substantial amendments" to plans. These "substantial amendments" apply only to changes in CDBG funding allocations. Changes in funding

allocation for other HUD grant programs received by the City of Austin -- HOME, ESG, and HOPWA -- are not required to secure public review and comment. The CPP defines a substantial amendment as:

- a) A proposed use of CDBG funds that does not address a need identified in the governing 5-Year Consolidated Plan or annual Action Plan; or
- b) A change in the use of CDBG funds from one eligible program to another. The eligible programs defined in the City of Austin's Business Plan are "Housing" or "Community Development."
- c) A cumulative change in the use of CDBG funds from an eligible activity to another eligible activity that decreases an activity's funding by 10% or more OR increases an activity's funding by 10% or more during fiscal year. An activity is defined as a high priority need identified in the Consolidated Plan that is eligible for funding in the Action Plan (see Attachment #1 – NHCD's Investment Plan).

In the event that there are substantial amendments to the governing the 5-Year Consolidated Plan or annual Action Plan,

1. The City will draft the amendment and publish a brief summary of the proposed substantial amendment(s) and identify where the amendment(s) may be viewed
2. After reasonable notice, there will be a 30-day written public comment period
3. During the 30-day comment period, the City Council shall receive oral comments in public hearings.
4. The CDC will be given the opportunity to make recommendations to City Council prior to its final action.
5. Upon approval by Council, the substantial amendment will be posted in the official City Council minutes and available online and in the City Clerk's office. Final action by the City Council will occur no sooner than fifteen calendar days next following the second Council public hearing on the draft plan.

ACTIVITY 4 – CONSOLIDATED ANNUAL PERFORMANCE AND EVALUATION REPORT (CAPER).

The City is required to submit annually by December 30 a CAPER to HUD that describes the City's progress in meeting the goals in the 5-Year Consolidated Plan.

1. NHCD staff prepares the draft CAPER.
2. After reasonable notice is provided, the CAPER is available for 15 days for written public comment.
3. The final CAPER and public comments will then be submitted to HUD.
4. The CAPER and public comments will be presented at a CDC meeting.

ACTIVITY 5 – AMENDMENTS TO CITIZEN PARTICIPATION PLAN. In the event that changes to this Citizen Participation Plan (CPP) are necessary, the NHCD staff shall draft them.

1. After reasonable notice, these will be available to the public for 15 days for written comment.
2. The CDC and City Council shall each hold a public hearing to receive oral public comments on the proposed change.
3. The CDC will be given the opportunity to make recommendations to City Council prior to its final action.
4. Upon approval by City Council, the substantial amendment will be posted in the official City Council minutes and available online and in the City Clerk's office.

The City will review the CPP at a minimum of every 5 years for potential enhancement or modification; this review will occur as a component of the Consolidated Planning process.

E. GENERAL REQUIREMENTS

The City of Austin is committed to compliance with the Americans with Disabilities Act (ADA) and Section 504 of the Rehabilitation Act of 1973, as amended. Reasonable modifications and equal access to communications will be provided upon request. The City of Austin does not discriminate on the basis of disability in the admission or access to, or treatment or employment in, its programs and activities.

1. **Public Hearings.** Public hearings before the Austin City Council, the Community Development Commission (CDC), and other appropriate community organizations will be advertised in accordance with the guidelines outlined in the notification section below. The purpose of public hearings is to provide an opportunity for citizens, public agencies, and other interested parties to provide input on the City of Austin's affordable housing, community and economic development needs. Public hearings will be held in locations accessible to low- and moderate- income residents and persons with disabilities. Spanish translation and translation for individuals with hearing impairments will be provided upon request.
2. **Public Meetings.** Public meetings of the Austin City Council, Community Development Commission (CDC), and other boards and commissions overseeing HUD programs provide opportunities for citizen participation and comment on a continuous basis. Public meeting notices are posted at the Office of the City Clerk at least three days (72 hours), prior to the meeting date, in accordance with the Texas Open Meetings Act. Public meetings are held in locations accessible to persons with disabilities. Spanish translation and translation for individuals with hearing impairments will be provided upon request.

Notification. The Neighborhood Housing and Community Development (NHCD) Office will provide the community advance notice of public hearings and/or public comment periods. The notice will be provided at least two weeks prior to the public hearing date and the start date of comment periods.

Related to the CPP specified federal documents, NHCD will provide public notifications by utilizing City of Austin publications and media (television, print, electronic) that will maximize use of City resources and reach an increased number of Austin residents by direct mail. Related to federal publications referenced above, NHCD will notify the public about public hearings, comment periods, public meetings, and additional opportunities for public feedback through communications outlets that are designed to increase public participation and generate quantifiable feedback/results. NHCD will utilize the following notifications mechanisms as available: City of Austin utility bill inserts (distribution to approximately 410,000 households, 2011); City of Austin web site; and Channel 6, the municipally-owned cable channel. In addition, NHCD will use other available media (print, electronic, television) to promote public feedback opportunities. Notifications will be published in English and Spanish.

NHCD will coordinate with the Community Development Commission, Urban Renewal Agency, other governmental agencies, public housing authorities, key stakeholders, and the general public during the development of the 5-Year Consolidated Plan and annual Action Plan.

3. **Document Access.** Copies of all planning documents, including the following federal reports: City's Citizen Participation Plan (CPP), 5-Year Consolidated Plan, annual Action Plan, and the Consolidated Annual Performance and Evaluation Report (CAPER), will be available to the public upon request. Citizens will have the opportunity to review and comment on applicable federal

reports in draft form prior to final adoption by the Austin City Council. These documents will be made available at public libraries, public housing authorities, certain neighborhood centers, at NHCD's Office, and on the NHCD's web site (www.austintexas.gov/housing/publications.) In addition, upon request, federal reports will be provided in a form accessible to persons with disabilities.

4. **Access to Records.** The City will provide citizens, public agencies, and other interested parties reasonable and timely access to information and records relating to the Citizen Participation Plan (CPP), 5-Year Consolidated Plan, annual Action Plan, and CAPER, and the City's use of assistance under the four entitlement grant programs, as stated in the Texas Public Information Act and the Freedom of Information Act.
5. **Technical Assistance.** The City will provide technical assistance upon request and to the extent resources are available to groups or individuals that need assistance in preparing funding proposals, provided that the level of technical assistance does not constitute a violation of federal or local rules or regulations. The provision of technical assistance does not involve re-assignment of City staff to the proposed project or group, or the use of City equipment, nor does technical assistance guarantee an award of funds.

F. CITIZENS' COMPLAINTS

Written complaints related to NHCD's programs and activities funded through entitlement grant funding may be directed to the Neighborhood Housing and Community Development (NHCD) Office. A timely, written, and substantive response to the complainant will be prepared within 15 working days of receipt of the complaint by NHCD. If a response cannot be prepared within the 15-day period, the complainant will be notified of the approximate date a response will be provided. Written complaints must include complainant's name, address, and zip code. A daytime telephone number should also be included in the event further information or clarification is needed. Complaints should be addressed as follows:

Neighborhood Housing and Community Development Office
Attn: Director
City of Austin
P.O. Box 1088
Austin, Texas 78767

If the response is not sufficient, an appeal may be directed to the City Manager, and a written response will be provided within 30 days. An appeal should be addressed as follows:

City Manager's Office
Attn: City Manager
P.O. Box 1088
Austin, Texas 78767

G. CITY OF AUSTIN'S RESIDENTIAL ANTI-DISPLACEMENT AND RELOCATION ASSISTANCE PLAN

The City of Austin does not anticipate any displacement to occur as a result of any HUD funded activities. All programs will be carried out in such a manner as to safeguard that no displacement occurs. However, in the event that a project involving displacement is mandated in order to address a concern for the general public's health and welfare, the City of Austin will take the following steps:

1. A public hearing will be held to allow interested citizens an opportunity to comment on the proposed project and voice any concerns regarding possible relocation. Notice of the public

hearing/meeting will be made as per the procedure noted in Section E - General Requirements section of the Citizen Participation Plan.

2. In the event that a project involving displacement is pursued, the City of Austin will contact each person/household/business in the project area and/or hold public meetings, depending on the project size; inform persons of the project and their rights under the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and respond to any questions or concerns.
3. Relocation assistance will be provided in adherence with the City's Project Relocation Plan and the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended.

The City's Anti-Displacement and Relocation Assistance Plan may be viewed in NHCD's Action Plan submitted annually to HUD. The document is available online at www.austintexas.gov/housing; NHCD, 1000 E. 11th Street, Austin, Texas 78702.

The City of Austin is committed to compliance with the Americans with Disabilities Act. Reasonable modifications and equal access to communications will be provided upon request. ♿ For assistance please call 974-2210 OR 974-2445 TDD.

Attachment I B: Public Notices and Comments



**Neighborhood Housing and Community Development Office
Fiscal Year 2015-16 Action Plan
Notice of Public Hearings on Community Needs**

In Fiscal Year 2015-2016, the City of Austin expects to receive continued federal funding through four U.S. Department of Housing and Urban Development (HUD) entitlement grants: Community Development Block Grant (CDBG), HOME Investment Partnerships Program (HOME), Emergency Solutions Grant (ESG), and Housing Opportunities for Persons with AIDS (HOPWA). In order to receive these HUD grants, the City of Austin must submit an annual Action Plan to HUD that provides the community needs, resources, priorities, and proposed activities with regard to housing, community development, economic development, and public services. The City has begun development of its Fiscal Year 2015-16 Action Plan, which is due to HUD on or before August 15, 2015.

As required by the City's Citizen Participation Plan and Texas Local Government Code, Chapter 373, the steps for public participation includes two public hearings: one public hearing before the Austin City Council and one public hearing before the Community Development Commission (CDC). There is also a 30-day public comment period on the draft Action Plan.

Public Hearings on Community Needs

The public is invited to attend the following public hearings:

- **6:30 PM Tuesday, April 14, 2015:** Before the Community Development Commission (CDC), Austin Energy, Town Lake Center, 721 Barton Springs Road
- **1:00 PM Thursday, April 16, 2015:** Before the Austin City Council at Austin City Hall, City Council Chambers, 301 W. Second Street

Written Comments

Written comments may be submitted until 5 PM on April 24, 2015. Please include a name, address, and phone number.

Mail to:
Neighborhood Housing and Community Development Office
Attn: Action Plan
P.O. Box 1088
Austin, Texas 78767
Email: NHCD@austintexas.gov

For more information concerning the Fiscal Year 2015-16 Action Plan process and public hearings, City of Austin staff may be reached at (512) 974-3100 (voice) or (512) 974-3102 (TDD) Monday through Friday, 8 AM to 5 PM.

The City of Austin is committed to compliance with the Americans with Disabilities Act. Reasonable modifications and equal access to communications will be provided upon request.

For assistance please call (512) 974-2210 OR (512) 974-2445 TDD.



Departamento de Vivienda y Desarrollo Comunitario
Plan de Acción para el Año Fiscal 2015-16
Audiencia Publica de las Necesidades de la Comunidad

La Ciudad de Austin espera recibir fondos federales durante el Año Fiscal 2015-16 a través de cuatro programas del Departamento de Vivienda y Desarrollo Urbano de los E. U. (HUD): Subvención Bloque Para el Desarrollo Comunitario (CDBG), Sociedades de Inversiones para el Hogar (HOME), Subsidio para Refugios de Emergencia (ESG), Oportunidades de Vivienda para Personas con SIDA (HOPWA). Para recibir estos subsidios de HUD, la Ciudad de Austin debe presentar una Plan de Acción anual ante HUD, que describa las necesidades, recursos, prioridades y actividades propuestas para la comunidad con respecto a la vivienda, desarrollo de la comunidad, desarrollo económico, y servicios públicos. La Ciudad ha comenzado a desarrollar su Plan de Acción para el Año Fiscal 2015-16, que debe presentarse ante HUD en ó antes de 15 de agosto, 2015.

Tal como lo requiere el Capítulo 373 del Decreto de Gobierno Local de Texas y el Plan de Participación de los Ciudadanos de la Ciudad, los pasos para la participación del público en el Plan de Acción anual son cuatro audiencias públicas: dos audiencias públicas ante el Concejo Deliberante y dos audiencias públicas ante la Comisión para el Desarrollo de la Comunidad (CDC)]. También hay un periodo de 30 días de comentarios por escrito sobre el Borrador del Plan de Acción.

Audiencias Públicas sobre Necesidades de la Comunidad

Se invita al público a que asista a las siguientes audiencias:

- **6:30 PM martes, 14 de abril, 2015:** Ante la Comisión de Desarrollo Comunitario (CDC), Austin Energy, Town Lake Center, 721 Barton Springs Road
- **1:00 PM jueves, 16 de abril, 2015:** Ante el Concejo Municipal de Austin, City Hall, City Council Chambers, 301 W. Second Street

Comentarios por Escrito

El público puede someter comentarios por escrito a la siguiente dirección:

Envíelos por correo a:
Neighborhood Housing and Community Development Office
Attn: Plan de Acción
P.O. Box 1088
Austin, Texas 78767
Email: NHCD@austintexas.gov

Para obtener más información del Plan de Acción y audiencias públicas, puede ponerse en contacto con personal de la Ciudad de Austin al 512-974-3100 (voz) o al 512-974-3102 (TDD) de Lunes a Viernes, de 8 AM a 5 PM.

La Ciudad de Austin está comprometida a cumplir con el Decreto sobre Americanos con Discapacidades. Se proveerán razonables modificaciones e igual acceso a comunicaciones cuando éstas sean solicitadas. Para obtener asistencia, llame 512-974-2210 O 512-974-2445 TDD.



**Neighborhood Housing and Community Development Office
Draft Fiscal Year 2015-16 Action Plan
Notice of Public Hearings and 30-Day Public Comment Period**

In accordance with the City of Austin's Citizen Participation Plan and the Texas Local Government Code, Chapter 373, the City's Neighborhood Housing and Community Development Office announces public hearings and a 30-day public comment period to receive public input on the Draft FY 2015-16 Action Plan.

The Neighborhood Housing and Community Development Office has prepared a Draft FY 2015-16 Action Plan, which describes community needs, resources, and priorities for the City's housing, community development and economic development activities. These activities are funded primarily through four grant programs received from the U.S. Department of Housing and Urban Development (HUD): Community Development Block Grant (CDBG), HOME Investment Partnerships (HOME), Emergency Solutions Grant (ESG), and Housing Opportunities for Persons with AIDS (HOPWA).

In developing the Draft FY 2015-16 Action Plan, community feedback was instrumental in setting priorities for funds through the Community Needs Assessment. Public input was received and considered while outlining the draft report: through public hearings before the Community Development Commission (CDC) on May 12, 2015 and Austin City Council on April 16, 2015. Feedback and input opportunities through NHCD's Website, e-mail, and postal mail were offered and provided sources of input which was reviewed and considered in preparation of the report.

Public Hearings on Draft FY 2015-16 Action Plan

The public is invited to provide input at the following public hearings:

- **7:00 PM Monday, June 1, 2015:** Before the Community Development Commission (CDC), Boards and Commissions Room, 301 W. Second Street
- **[Time TBD] Thursday, June 11, 2015:** Before the Austin City Council at City Hall, City Council Chambers, 301 W. Second Street

View the Report

The public is invited to review the Draft FY 2015-16 Action Plan from Friday May 22, 2015, through Monday June 22, 2015, on the City's web site at www.austintexas.gov/housingplan or at the following community locations:

- Austin Central Public Library, 800 Guadalupe (Central)
- Austin Resource Center for the Homeless, 500 East 7th Street (Central)
- East Austin Neighborhood Center, 211 Comal (East)
- Neighborhood Housing and Community Development Department, 1000 East 11th Street, Suite 200 (East)
- Rosewood-Zaragosa Neighborhood Center, 2800 Webberville Road (East)

- St. John's Library Branch, 7500 Blessing (North East)
- AIDS Services of Austin, 7215 Cameron Road (North)
- Housing Authority of the City of Austin, 1124 S IH 35 (South)
- South Austin Neighborhood Center, 2508 Durwood (South)
- Pleasant Hill Library Branch, 211 East William Cannon (South)

Written Comments

Written comments may be submitted until 5 PM on June 22, 2015. Please include a name, address, and phone number.

Mail to:

Neighborhood Housing and Community Development Office

Attn: Action Plan


PO Box 1088

Austin, Texas 78767

Email: NHCD@austintexas.gov

For more information concerning the Fiscal Year 2015-16 Action Plan process and public hearings, and 30-day comment period, City of Austin staff may be reached at 512-974-3100 (voice) or 512-974-3102 (TDD) Monday through Friday, 8 AM to 5 PM.

The City of Austin is committed to compliance with the Americans with Disabilities Act. Reasonable modifications and equal access to communications will be provided upon request.

 *For assistance please call 512-974-2210 OR 512-974-2445 TDD.*



Departamento de Vivienda y Desarrollo Comunitario
Plan de Acción para el Año Fiscal 2015-16
Aviso de Audiencia Pública y del Período de 30 Días de Comentario Público

Tal como lo requiere el Capítulo 373 del Decreto de Gobierno Local de Texas y el Plan de Participación de los Ciudadanos de la Ciudad, La Oficina de Desarrollo de la Vivienda en Vecindarios y de la Comunidad de la Ciudad de Austin anuncia audiencias públicas y un período de 30 Días de Comentario público para recibir comentarios de los ciudadanos a fin de desarrollar el Borrador del Plan de Acción.

La Ciudad de Austin ha preparado un Plan de Acción para el Año Fiscal 2015-16 que describe las necesidades, recursos y prioridades de la comunidad, y prioridades para las actividades de desarrollo de la vivienda y de la comunidad de la Ciudad que están financiadas principalmente mediante subsidios de HUD. Los fondos de HUD se proveen mediante cuatro programas de subsidios: Subvención Bloque Para el Desarrollo Comunitario (CDBG), Sociedades de Inversiones para el Hogar (HOME), Subsidio para Refugios de Emergencia (ESG), y Oportunidades de Vivienda para Personas con SIDA (HOPWA).

En el Desarrollo del Borrador del Plan de Acción para el Año Fiscal 2015-16, los comentarios de la comunidad fueron importantes en establecer las prioridades en el uso de los fondos durante el proceso de evaluación de las necesidades de la comunidad. Los comentarios del public fueron recibidos y considerados en la preparacion del Borrador: a través de audiencias públicas ante la Comisión de Desarrollo Comunitario (CDC), efectuada el 12 de Mayo de 2015 y ante el Consejo de la Ciudad de Austin, el 16 de Abril, de 2015. Además, se brindó la oportunidad para comentarios a través del sitio Web NHCD, por correo electrónico y por medio del correo postal. La informacion recibida fue revisada y considerada en preparación del Borrador.

Audiencias Públicas

Se invita al público a las siguientes audiencias públicas:

- **7:00 PM lunes, 1 de junio, 2015:** Ante la Comisión de Desarrollo Comunitario (CDC), en el Boards and Commissions Room, 301 W. Second Street
- **[Tiempo TBD] jueves, 11 de junio, 2015:** Ante el Concejo de la Ciudad de Austin , Austin City Hall, City Council Chambers, 301 W. Second Street

Para Ver el Reporte

Se invita al público a que reconsidere el Plan de Acción para el Año Fiscal 2015-16, desde viernes, 22 de mayo, 2015 hasta lunes 22 de junio, 2015, en el sitio Web de la Ciudad, www.austintexas.gov/housingplan o en los siguientes lugares:

- Austin Central Public Library, 800 Guadalupe (Central)
- Austin Resource Center for the Homeless, 500 East 7th Street (Central)
- East Austin Neighborhood Center, 211 Comal (Este)
- Neighborhood Housing and Community Development Department, 1000 East 11th Street, Suite 200 (Este)
- Rosewood-Zaragosa Neighborhood Center, 2800 Webberville Road (Este)

- St. John's Library Branch, 7500 Blessing (Noreste)
- AIDS Services of Austin, 7215 Cameron Road (Norte)
- Housing Authority of the City of Austin, 1124 S IH 35 (Sur)
- South Austin Neighborhood Center, 2508 Durwood (Sur)
- Pleasant Hill Library Branch, 211 East William Cannon (Sur)

Comentarios por Escrito

Comentarios por escrito pueden ser presentados hasta las 5 PM en 22 de junio, 2015. Por favor incluya nombre, domicilio y número de teléfono.

Envíelos por correo a:

Neighborhood Housing and Community Development Office

Attn: Plan de Acción

PO Box 1088

Austin, Texas 78767

Email: NHCD@

Para más información del Plan de Acción, audiencias públicas, y 30 días de comentario público contacte al personal de la Ciudad de Austin al 512-974-3100 (voz) o al 512-974-3102 (TDD) de Lunes a Viernes, de 8 AM a 5 PM.

La Ciudad de Austin está comprometida a cumplir con el Decreto sobre Americanos con Discapacidades. Se proveerán razonables modificaciones e igual acceso a comunicaciones cuando éstas sean solicitadas. Para obtener asistencia, llame 512-974-2210 O 512-974-2445 TDD.

[Department](#) » [Housing](#) » [Programs](#) » Fiscal Year 2015 - 2016 Action Plan



FISCAL YEAR 2015 - 2016 ACTION PLAN

****Please note the new date for the Public Hearing before the Community Development Commission****

Information in [Español](#).

In Fiscal Year 2015 -2016, the City of Austin expects to receive continued federal funding through four U.S. Department of Housing and Urban Development (HUD) entitlement grants: Community Development Block Grant (CDBG), HOME Investment Partnerships Program (HOME), Emergency Solutions Grant (ESG), and Housing Opportunities for Persons with A IDS (HOPWA). In order to receive these HUD grants, the City of Austin must submit an annual Action Plan to HUD that provides the community needs, resources, priorities, and proposed activities with regard to housing, community development, economic development, and public services. The City has begun development of its Fiscal Year 2015-16 Action Plan, which is due to HUD on or before August 15, 2015.

As required by the City's Citizen Participation Plan and Texas Local Government Code, Chapter 373, the steps for public participation includes two public hearings: one public hearing before the Austin City Council and one public hearing before the Community Development Commission (CDC). There is also a 30-day public comment period on the draft Action Plan. See below for more details.

TAKE ACTION! HELP THE CITY PRIORITIZE HOW FEDERAL DOLLARS ARE ALLOCATED TO ADDRESS COMMUNITY NEEDS

Your feedback is an important part of the City's plan to assist Austin's low to moderate-income families. The Neighborhood Housing and Community Development Office provides programs and services to support affordable housing, job creation and public services for persons with disabilities, seniors and youth. Community

input helps drive local and federal investment decisions to address Austin's needs and shapes the City of Austin application for funding for housing and community development – the City's 2015-2016 Action Plan.

Austin's residents may provide ideas through June of 2015 by participating in public hearings or by providing feedback in a number of other ways. See below for more details.

4 Ways to Take Action

Help the City of Austin prioritize local and federal investments in programs and services for low to moderate income residents.

1. Take a Survey

What are your community's greatest needs? Helping residents buy or maintain a home or assisting local businesses and creating new jobs? Provide input on your community's needs using the survey in English [here](#) and in [Espanol](#).

2. Host a Meeting

Be a community needs champion. Host a brief exercise at your next neighborhood association meeting, professional gathering, church get-together, PTA event or any other community gathering. The Neighborhood Housing and Community Development Office will provide you with an activity kit to help facilitate participants' discussion about community needs in Austin. Email NHCD@austintexas.gov if you are interested in this feedback opportunity.

3. Voice Your Ideas

Public hearings will be conducted before the Community Development Commission and the Austin City Council on the City's Community Needs Assessment and the Draft Action Plan.

Upcoming Community Needs Assessment Public Hearings

- Tuesday, May 12, 2015, 6:30 PM: Before the Community Development Commission (CDC), Street-Jones Building, room 400a

Public hearings on the Draft Action Plan will take place in June of 2015. Check back for dates and times.

4. Submit Written Comments

Submit comments by **email** to NHCD@austintexas.gov, by **mail** to: NHCD Attn: Action Plan, P.O. Box 1088, Austin, TX 78767, or by **phone** by calling 512-974-3100. The deadline to submit comments for the needs assessment period is **May 15, 2015**.

For more information concerning the Fiscal Year 2015-16 Action Plan process and public hearings, City of Austin staff may be reached at (512) 974-3100 (voice) or (512) 974-3102 (TDD) Monday through Friday, 8 AM to 5 PM.

Share    

From: Keller, Kathy (DFPS)

Sent: Wednesday, April 08, 2015 1:54 PM

To: Gonzalez, Dolores; Lucey, Ron (DARS)

Subject: RE: Help us spread the word about our Community Needs process?

I sent in comments from my gmail account to NHCD@austintexas.gov:

To Whom It May Concern,

Please consider these comments as you move forward with the annual Action Plan. I speak on behalf of not only people with disabilities in the Austin community but also the baby-boomers. We are aging and we represent one of the fastest, if not the fastest, growing population in the nation.

We need homes that are affordable and user-friendly. Homes that are built with energy efficiency in mind as well as universal design, open spaces and easy access to public transportation. We want to age in place, not in an institutional environment. My generation is more active than any previous generation in this age bracket. We plan to live well into old age and want homes that will accommodate our needs without additional construction.

Last year a young friend of mine contacted me to ask if I could help her find an accessible apartment. She uses a wheelchair and accessibility is a necessity. We both worked hard at it for several months and I'm here to tell you it was not an easy task. There simply are not enough accessible affordable living spaces for people with disabilities. The choices are very limited.

Affordability is a big issue and everyone is aware of it. You'd have to be comatose or 6 feet under not to know what a serious problem this is for a large portion of the Austin population. This is especially difficult for people on fixed incomes such as older adults and people with disabilities. The rise in property taxes and rental prices also effects blue collar workers, people raising children, people caring for family members or people with ongoing health issues, just to name a few.

It is our civic duty to create an environment where everyone is welcome and can participate in the good life that Austin has to offer. There is no advantage to the Austin community to ignore a population who is currently being under-served. We have to be smarter than that.

The City of Austin is a leader in advocacy for sustainable living. I applaud the ongoing effort and have participated in several of the programs offered such as energy audits and rebates for energy efficient appliances, etc. Austin can be a leader in affordable accessible community housing. It takes planning and communication and education. We have the skills and the opportunity to make this happen. Do we have the will to tackle the challenge? That is the question I leave with you today.

I sincerely hope this task force will embrace the effort to include affordable accessible housing in the Action Plan and ensure that Austin lives up to its reputation as not only the Music Capital of the World, and the coolest place to live (if not the weirdest), but also as the friendliest and most inclusive city in the nation - a city moving into the future and taking all of its citizens with it.

Thank you for your time.

Kathy Keller
EIR Accessibility Coordinator
Department of Family and Protective Services
512.438.3225

From: Gonzalez, Dolores
Sent: Thursday, April 02, 2015 9:05 AM
Subject: FW: Help us spread the word about our Community Needs process?

TAKE ACTION! HELP PRIORITIZE FEDERAL FUNDING FOR AUSTIN'S COMMUNITY NEEDS

Your feedback is an essential part of the City's plan to assist Austin's low-income families. The Neighborhood Housing and Community Development Office provides programs and services to support affordable housing, job creation and public services for persons with disabilities, seniors and youth.

Community input helps drive local and federal investment decisions that address Austin's needs. You may provide your ideas through June by participating in public hearings or by giving feedback in writing and online.

Public Hearings on Community Needs

- 6:30 PM Tuesday, April 14, 2015: Before the Community Development Commission (CDC), Austin Energy, Town Lake Center, 721 Barton Springs Road
- 4:00 PM Thursday, April 16, 2015: Before the Austin City Council at Austin City Hall, City Council Chambers, 301 W. Second Street

Public hearing on the draft Action Plan will follow in June.

Your input helps drive investment decisions and shapes the City of Austin application for funding for housing and community development – the City's 2015-2016 Action Plan.

Learn more at <http://www.austintexas.gov/news/community-needs-assessment-fy-2015-16-action-plan> or call **512-974-3100** for more information.



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www.reentryroundtable.net
austinreentryroundtable@gmail.com

Austin/Travis County Reentry Roundtable

*Building Successful Strategies for
Offender Reentry and Reintegration in Austin/Travis County, Texas*

Betsy Spencer, Director
Neighborhood Housing and Community Development Office
Attn: Action Plan
P. O. Box 1088
Austin, Texas 78767

April 14, 2015

Dear Ms Spencer:

The Austin/Travis County Reentry Roundtable is a coalition of public sector leaders, criminal justice system leaders, service providers, advocacy organizations and formerly incarcerated persons working collaboratively to bring partners and stakeholders together to promote public safety through effective reentry and reintegration of formerly incarcerated persons and individuals with criminal histories.

Over the last four decades, the rate of incarceration in the United States more than quadrupled and the size of the prison population increased from 200,000 to 1.5 million. In Travis County, approximately 2,400 individuals are released from prison, 2,800 persons are on parole, and more than 16,000 individuals are on probation annually. In 2013, there were more than 53,000 bookings were processed through the Travis County Jail. The Travis County jail has seen a more than 100% increase in the number of inmates receiving mental health care in their facilities between 2002 and 2012.

The Roundtable applauds the work that the City of Austin has done in the past year to shed more light on the issues and challenges related to reentry of formerly incarcerated persons in Austin. Several recent reports written and/or sponsored by the Neighborhood Housing and Community Development Office highlight the impact of the barriers faced by persons with criminal backgrounds in our community:

2014 Consolidated Plan: "Persons with poor credit histories and/or criminal backgrounds have been shown to be disproportionately impacted because of the tight rental housing market. Landlords are able to be more selective in selecting prospective tenants when there are fewer housing units and a several rental applications for the each available unit." (p. 41)

2014 Austin Comprehensive Housing Market Study: "1 in 3 homeless persons in Austin have a felony/criminal record and can't find a place to rent" (p.7)

Draft Analysis of Impediments to Fair Housing Choice Report (AI): “Organizations that assist low income renters identified unreasonable look back periods in tenant qualifications as a barrier to housing choice. In tight rental markets, landlords often impose very strict guidelines and are more ‘choosy’ in tenant selection. To the extent that expanded criteria disproportionately affect protected classes, they may have disparate impacts on housing choice.” (Section V, p.3)

Recommended Fair Housing Goal/Activity in the AI: “Pursue implementation of reasonable look back periods in lease agreements in developments with City of Austin funds.” (Executive Summary, p.4)

The Housing Works Housing the Hardest to Serve Report: “Recipients of city funding are required to use reasonable criminal history ‘look-back periods’ when reviewing the criminal histories of housing applicants, *but there is no clear and consistent definition of ‘reasonable.’* Thus, a prospective tenant can be denied housing based on a range of crimes (felony or misdemeanor) that occurred years in the past.” [emphasis added]

In 2014, ECHO in partnership with the City convened community stakeholders to develop a community definition of Housing First: “Applicants are seldom rejected solely on the basis of poor credit or financial history, poor absent rental history, criminal convictions, or any other behaviors are generally held to indicate a lack of “housing readiness.”

Based on the facts and findings outlined above, there is substantial evidence that the reentry population has significant and disproportionate needs to be considered in the FY2015-16 City of Austin Action Plan. We look forward to continuing to work with the NHCD to address the housing and community development needs of this population.

Sincerely,

A handwritten signature in cursive script that reads "Laura E. Sovine". The signature is written in dark ink and is positioned above the printed name and title.

Laura Sovine
Chair



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Entrepreneurs Foundation
Jennifer Vickers
Community Investment Corp.
Alice Waters
Chez Panisse

April 24, 2015

Neighborhood Housing and Community Development Office
Attn: Action Plan

To whom it may concern:

I am writing to provide input on behalf of Sustainable Food Center (SFC) regarding the City of Austin Community Development Block Grant 2016 Action Plan, and to underscore the needs and the opportunities regarding inclusion of healthy food and food systems in the plan. With roots in Austin going back 40 years to our founding as Austin Community Gardens in 1975, SFC continues to cultivate a healthy community by strengthening the local food system and improving access to nutritious, affordable food. However, healthy food access disparities in our community persist.

One Voice Central Texas recently released information showing that despite Austin's enormous economic growth, one fourth of children currently live in poverty and 35% of low-income children in Central Texas are overweight or obese ([One Voice, 2014](#)). These topics may not immediately appear linked but it is clear that those who live in the poorest neighborhoods also have the least access to healthy foods like fresh fruit and vegetables. According to the [Community Advancement Network Dashboard](#), those in our community with limited transportation or in areas with no grocery stores or farmers markets do not have the option of making healthy food choices. Additionally, healthy foods can cost more than those high in calories. Food provided by hunger agencies such as food banks/pantries is often limited to cheap, highly processed foods containing empty calories. Charities focused on hunger struggle with providing sustainable solutions. The sustainable food movement – including gardening resources and education, healthy cooking classes, and access to healthy foods for low-income children and families – provides long-term solutions to eliminating food insecurity and limited food access.

[Imagine Austin](#), the city's long-term plan, emphasizes food systems in several sections, calling for improved linkages among all points in our local food system – from production to processing through distribution and purchasing. The plan recommends promoting community and school gardens, incentivizing healthy food marketing and sales, and expanding on current market structures such as farmers' markets and farm-to-cafeteria efforts. Many of these concepts are echoed in the Austin / Travis County [Community Health Improvement Plan \(CHIP\)](#), which includes improvements to our built environment with a focus on access to healthy food as a priority area. In order to reach the CHIP goal of ensuring that "all in our community have reasonable access to affordable, quality, nutritious food," objectives include increasing participation in nutrition programs, creating new distribution and production points (like farmers' markets and community gardens), and incentivizing stores in low-income neighborhoods to offer healthy food options.

Austin faces great challenges but clear opportunities regarding healthy food and food systems. We are fortunate that we have assets and resources on which to build – knowledge and skills in our community, interest and commitment from individuals and organizations, and ongoing programming and projects. Including in the 2016 CDBG Action Plan such healthy food and food systems efforts as school and community gardens, farmers' markets and other farm-direct sales, and culturally appropriate nutrition education, will direct appropriate attention and funding to these vital solutions.

Thank you for the opportunity to provide this input. If I can provide additional information at any time, please feel free to contact me.

Sincerely,

Andrew W. Smiley, Deputy Director

From: Marilyn Hartman
Sent: Friday, April 24, 2015 8:39 AM
To: NHCD
Subject: Input for Community Needs Assessment

As a strong advocate for people with severe and persistent mental illness (SPMI), I would like to provide input for the Community Needs Assessment. There are far too many people with SPMI in our jails and prisons, using our EMS and ERs inappropriately, and/or homeless; these are costly situations for taxpayers and highly detrimental to the ill persons. There is a severe shortage of supportive housing which is a best solution to this problem, and a cost-effective one.

NAMI (National Alliance on Mental Illness) is about to launch a program called *Stepping Up*, a national initiative to divert people with mental illness from jails into treatment. It is an effort involving communities and counties across the country. Travis County and the City of Austin need to "step up," increasing its involvement with this population and creating many more supportive housing units, at a faster rate than has been the case.

In this solution, I will also note that mental illness, with or without substance use disorder, is the most over-represented disability in our jails and prisons. People with SPMI do not belong there! They should be in the community in supervised settings where they can continue their recovery to become stable and productive.

Respectfully submitted,

Marilyn Hartman
Member, NAMI Austin Advocacy Committee (National Alliance on Mental Illness)
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512-470-7840

NEEDS ASSESSMENT FOR HOUSING AFFORDABILITY APRIL 2015

Stuart Hersh shersh@austin.rr.com 512-587-5093

1. 100 replacement homes for Onion Creek homeowners who wish to stay in Austin following their buyout
2. \$3,000,000 for GO Repair Program to shorten waiting lists for low-income homeowners needing repairs for Property Maintenance Code compliance or to reduce architectural barriers for those with disabilities and seniors
3. 1,000 new or rehabilitated rental units for persons/families at or below 50% and 30% median Family Income, with at least 100 rental units for Housing First households at or below 30% Median Family Income
4. Adoption of Good Landlord Program to promote safety and housing affordability

ACTION PLAN 6/11/15

Stuart Harry Hersh shersh@austin.rr.com 512-587-5093

Once again Neighborhood Housing and Community Development is offering the City Council a draft Action Plan for the next budget year that is responsive to public comments during the annual Needs Assessment within the limits of available federal funding. They are to be congratulated.

Unfortunately, the United States Department of Housing and Urban Development continues to insist that strategic use of local funds such as General Obligation Bond funds; the Austin Housing Trust Fund; the University Neighborhood Overlay Housing Trust Fund no longer be discussed in the Annual Action Plan. So the following questions related to housing affordability remain unanswered until City Budget is presented to you and the public next month:

- 1. Will all low and moderate property owners eligible for flood plain buyouts in Lower Onion and Williamson Creek receive buyout offers prior to the third anniversary of the Halloween 2013 floods?**
- 2. When will the engineering report on costs and benefits associated with those owners in flood plain properties who decline voluntary buyouts be available?**
- 3. Will low and moderate income tenants who remain in homes that flooded continue to be denied relocation assistance if their respective landlords decline buyout offers?**
- 4. When will City staff be able to confirm that all 2013 flood damaged rental housing no longer has Property Maintenance Code violations?**
- 5. Will the City strategically use Code Enforcement fees to reduce the waiting list of low and moderate income homeowners waiting repairs under the GO Repair program that has requested \$3,000,000 during the Needs Assessment?**
- 6. Will the City strategically use General Obligation Bond funds to allow flood buyout owners to remain and return to Austin after they leave their homes in the flood plain?**
- 7. Will the City strategically use \$750,000 in the local Housing Trust Fund to assist renters at or below 30% Median Family Income as they are most vulnerable renters according to recent housing market studies?**
- 8. Will the City retain barriers to affordable housing such as the proposal to no longer allow for decoupling of small lots and the proposal not to expand opportunities for two homes on one lot as all renters who have testified have suggested for more than a year?**

More suggestions to come.



The Austin Apartment Association (AAA) was founded in 1964 and is composed of more than 1,000 diverse businesses that own, manage and service nearly 200,000 rental homes in the Greater Austin Area, and all of the members are committed to providing quality housing and wholesome living environments for all Texans.

The comments below are submitted on the *Fiscal Year (FY) 2015-16 Action Plan - Providing Opportunities, Changing Lives* (Action Plan) as posted for public comment by the City of Austin Neighborhood and Community Development Office.

To begin, included in the Action Plan is a list of impediments to fair housing choice that were identified in a report titled *Analysis of Impediments to Fair Housing Choice* (AI) issued by the City of Austin in May 2015. With regard to the list of impediments, the AAA reiterates comments previously submitted on January 12, 2015 when the AI was available for public comment:

- The AAA does not support the statement that “Complaint data and lawsuits demonstrate noncompliance with property owners and builders with reasonable accommodations and accessibility requirements” because information in the IA report did not support the contention that there have been a spate of recent lawsuits regarding design and construction cases or reasonable accommodations.
- The AAA is unaware of any justification for the statement that “Lack of compliance has contributed to the limited supply of accessible housing in Austin” because, from our experience, fair housing law regarding reasonable modifications and accommodations is complex and while the majority of rental property owners make every effort to be in compliance others may not be fully aware of their responsibilities. In those cases in which an owner learns that a reasonable modification or accommodation is needed to be in compliance with the Act, those owners readily comply.
- We assert as conjecture the statement that one of the methods used for “steering” is “rigorous standards for rental agreements” because the AI offered no supporting information and the AAA is unaware of any rental criteria used by owners punitively or that go beyond industry norms.

The AAA also provides these comments on other impediments to fair housing as listed in the Action Plan:

“Information on housing choice is not widely available in languages other than English and/or accessible formats.”

Apartment owners and managers can do more to ensure that information onsite is available in languages other than English, but many do take considerable care to provide information in languages other than English, and property advertisements made through third-party apartment-finder sites can be viewed in Spanish. Onsite personnel are often hired based on their ability to speak dual languages and training is conducted to accommodate renter prospects who do not speak English as their primary language.

“The City’s lack of enforcement of codes governing maintenance of housing stock in different neighborhoods disproportionately impacts protected classes, influences housing preferences and restricts access to opportunities.”

Apartment owners and managers constantly address a wide variety of property maintenance issues as identified by detailed inspections including: state inspections for tax credit properties, annual fire system inspections, pool inspections, and City of Austin Housing Authority inspections. These required inspections are in addition to any administered preventative maintenance plan that involves regular inspections and repairs by management.

“Lack of knowledge about fair housing requirements creates barriers to affirmatively furthering fair housing.”

In the past twelve months the AAA has trained more than 250 apartment industry professionals on fair housing rules and practices. In addition to the training provided by the AAA, many apartment owners and managers conduct their own fair housing training utilizing both onsite and online training services. Additionally, the Texas Department and Housing and Community Affairs (TDHCA) requires housing tax credit properties and other properties that receive assistance administered through TDHCA to give every applicant a copy of their rights regarding fair housing and management receives a sign copy of proof that the rights were received by each applicant.

Regarding the activities listed in the Action Plan as being planned to remove or ameliorate the negative effects of public policy that serve as barriers to affordable housing, the AAA supports nearly all of the activities listed and will endeavor to play a role in addressing these activities:

- Work through the CodeNEXT process to modify land use and regulatory requirements to expand housing choice and reduce housing access barriers.
- Calibrate S.M.A.R.T. Housing incentives to function in high opportunity areas.

The AAA questions the planned action involving full utilization of “inclusionary housing tools available under legislation” and requests full disclosure on the City’s intent.

The AAA suggests that emphasis be placed on all actions related to developer and related design incentives to remove barriers to affordable housing.

In closing, AAA members believe that providing a variety of housing choice in all areas of the city is best accomplished in partnership with an efficient local government that keeps the market free from unnecessary taxes, regulations and fees, and it is our hope that the *Fiscal Year (FY) 2015-16 Action Plan - Providing Opportunities, Changing Lives* is implemented in this spirit of partnership for the betterment of Austin.

Respectfully submitted by:

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Public Hearing - Austin City Council
FY 2015-16 Action Plan - Community Needs Assessment
April 16, 2015

The following reflects excerpts from the transcript of public testimony. The complete meeting transcript is available here: <https://austintexas.gov/departments/city-council/council-meetings>

Name	Statement
Stuart Hersh, Consultant	"Mayor pro tem and members of the council, my name is Stewart Harry Hersh and like most in Austin I rent and my presentation to you is based on the best recommendations of my best bosses at the city for 30 years, which is Kiss. And Ms. Houston always scuse us to explain what those acronyms mean. In my case it's keep it simple, Stewart. There are four basic things that I think would drive our success next year if adopted. 100 is 100 replacement homes for Onion Creek homeowners who may want to remain in the city. They don't have many opportunities based on market housing. That's something we need to look at. Three million dollars for general obligation repair program. This serves very low income homeowners. Who are trying to stay in their house. They either need property maintenance work or architectural barrier removal if they are seniors. And we haven't funded at that level, but to get rid of the waiting list we need to do that. A thousand new or rehabilitated units in the rental market for 50 and 30% median family income families with 100 of those being housing first and recognition of the great need of our homeless population who is hard to serve. And fourth, adoption of a good landlord program to promote both safety and housing affordability. We have a lot of potential partners if we do a good program. My bosses always said that don't propose something unless you pay for it. And this year that pay for it context occurs while trying to increase the amount of homestead exemption. So I have six suggestions. Hope I don't run out of time. One, you need to merge the new review and inspection department with code to create a resource pool to do that. And then you need to flatten the organization to have more line employees doing review permit inspections and code enforcement and fewer bosses because I used to manage that as a middle manager and now it takes department heads to do it. I don't know where this stupidity went. You need to flatten the organization. You need to replace aging SUV's with small pickup trucks like we used to. We used to be able to get around Austin in pickups. I don't think you need an SUV. We need to align the code interpretation among all the code interpretations among all the employees in this new merged department so you don't get different answers from different people depending upon which department you go talk to. You need to free me \$3 million out of the code enforcement fees we collect each month from our utility bills to pay for the go program as I mentioned earlier and then you need to free resources for the good landlord programs because we have some landlords who I believe want to serve very low income people and the homeless and they need to make some repairs do that. We can pull those things together and have synergy instead of conflict all the time. And I think this council's prepared to do things that we haven't done in the past. We actually did this in the 70's and early 80's in the building inspection department. [Buzzer sounds] So sometimes it's about moving back to what we used to do better, not always looking to Portland and Seattle. Thank you very much. "
Enrique Rivera, Salvation Army	"Hi, my name is Enrique Rivera and I'm with the Salvation Army. I have some some handouts if it's appropriate to go ahead. I'm here today to speak to you about the tenant based rental assistance partnership. The rental based rental assistance partnership is -- it's been in existence since 1970 -- 1998. It's a partnership between the housing authority, city of Austin, and the passages program. The passages program is a comprehensive case management program that seeks to move people from homelessness into housing. And this source of funding which provides basically transitional housing for a point of a time of the year is very important in us moving many families and individuals out of shelters into housing. The program traditionally has basically has served in the area of 300 people annually in the past reporting year, which is October through September. It served 303 people, with 62% of those individuals being served being children. The passages collaboration, what it seeks to do is go ahead and surround the people who we're serving with as funding with supportive services to help them continue to move toward self sufficiency. We're able to provide comprehensive case management for 18 months, subsidized child care for those who need it, limited assistance, life skills training, employment assistance, referrals to rapid housing and other permanent housing opportunities. The tvra program is funded through home funds with use of home housing trust funds as well. Approximately every year we have 78 to 80% of the people who participate in it move on into permanent housing. And during that year basically we're working with these families and individuals to increase their income so that when they exit -- when the voucher ends that they're in a better position to be able to afford the housing themselves. You had budget listed there for you. That's basically what I have come here to speak with you about today. It's a very important program. Serves a great number of people annually. And I believe that it's a very -- it's an important part of the continuum we've created here in Austin. I'd also like to go ahead and thank the city for the past support obviously since 1998, that its granted towards the program and we look forward to hopefully working with the program in the future."
Kathy Stark, Austin Tenants Council	"Good afternoon. My name is Kathie Stark, I'm the executive director of the Austin tenants' council. We do landlord-tenant issues and we also do foyer housing enforcement and education, nondiscrimination and housing. First thing is housing. We need more housing. As you all know well over 50% of the people that live in Austin are renters. We need all kinds of housing. We need affordable housing on the northside, the northwest side. We need affordable housing in all areas of town. The city of Austin has funded the Austin tenants' council to run a rental repair program and that program is pretty much unique in Texas. What it does is it assists low income tenants in getting repairs done to their home. Those repairs are completed by the landlord. We assist those tenants in the process of getting those repairs done. Successful outcome to us is all the repairs are made and that property does not go substandard. So that is one of the things I'm requesting funding for. The other thing is as we build affordable housing, I'd like to see that any funds that go to the city or the city waivers, that the housing that is produced has some caveats in it, and one of the caveats is that has to have a reasonable look back period. [4:59:03 PM] What that means is they can't have a policy on criminal background is that if you've ever been arrested we can't rent to you. It has to be a reasonable look back policy, that you were arrested, it was a felony, but it's been five years, you've been gainfully employed, it hasn't been any trouble, so you should be able to find housing in the city. So the city when it's building housing could have that in place saying you have to have a reasonable look-back period. I think that that would go a long way to helping find housing for people that are hard to house at this point. A lot of the people that are homeless have a hard time finding housing because of, you know, the records that they have during the time that they're homeless. So this would help move people through the process in housing that the city supports. I guess housing we just need housing. I can't tell you how high the rents are out there. I think you've heard in the zoning cases what some of the properties, 600 square feet are going for. I appreciate you listening to me. Thank you very much."
Charles Cloutman, Meals on Wheels and More	"Thank you, mayor pro tem. Charles Lotman here with meals on wheel and more and chair of the Austin housing coalition. I'm here to first of all thank you for your previous funding, past funding for the go repair program, which Stewart was talking about. They've repaired hundreds of thousands within this city and keeping people, he would herly and disabled in their house and let them age in place. Thank you for the bottom of my heart to know these people and know that they're safe and secure and their houses don't leak and a thunderstorm comes in and they're not fearful. So we appreciate that. We ask for further funding, three million dollars has been asked every year. We've yet to have been funded at our level that we can run with. Every one of the agencies, the six agencies utilizing this funding has waiting lists. It seems to be counterintuitive to let that continue. The community development commission recommends every year for three million dollars and yet it falls on other ears. So I've asked for that funding T we also -- also on a personal note I would like to say after the Onion Creek floods, we must provide some sort of help for the people that are coming out of Onion Creek. We're buying them out and there's no place to put them. Unless we want them to go to Buda, Kyle, Manor, some other place, but if we want to keep them where they belong in their homes and schools and their churches and their communities, we must help them relocate. So I'm not sure that that's not my bailiwick, it's not my area of influence, but it's something that we need to do and -- because I'm close to that, I see that and I ask you to fund that and to help that and secure that. This is a needs assessment and that's a need. And third and last, because I am a commissioner on the building and standards commission, we are constantly faced with rental housing that is substandard. And when we force the current landlord to repair these houses, he ends up selling the house and it becomes market rate. If you want to see Riverside corridor become market rate, do nothing. But if you want to stop that and if you want to be able to help people stay in their homes and help a landlord that is cash strapped, you can fix the place with an affordability rider to the property, create a fund, a strike fund that will administer through housing that will allow this landlord to borrow the money, to fix the property, to keep people in there with a covenant with the city, 25 year, 15 year, whatever the housing department recommends. It's not my world. But we must do something. We can't allow this to continue to happen. If we do nothing it will happen. We are throwing the book at the bad players. Somebody needs to catch the poor bystanders getting hurt in the meantime. It's not fair to let them live in substandard housing. [Buzzer sounds] So thank you."

Public Hearing - Austin City Council
FY 2015-16 Action Plan - Community Needs Assessment
April 16, 2015

The following reflects excerpts from the transcript of public testimony. The complete meeting transcript is available here: <https://austintexas.gov/departments/city-council/council-meetings>

Name	Statement
Ann Howard, Ending Community Homelessness Coalition	"My name is Ann Howard, the executive director of the ending community homelessness coalition. And the -- we want to support what's been the use of the federal dollars in this community. That's really focusing on housing and housing for the poorest among us. I think that HUD is doing a pretty good job actually at challenging the local community to quilt these funds together to make sure that we have a rather seamless continuum. As the homeless representative here, I need you to drive this funding to the lowest economic level to folks that we can rehouse who maybe have zero income, and we know that in the right supportive environment we can get that income up so they can maintain their own housing. The ESG stands for emergency solutions grant. It used to focus just on shelter, but now hud is focusing short of repurposing that money to focus on permanent housing. Mr. Zimmerman, the concept of permanent in this -- you will hear it a lot from me because what we're moving away from is transitional, where the person only has six months, 12 months, 18 months to address all their issues. When it's permanent it's lease based. They need to abide by the lease. The rent needs to be paid. And as long as that happens they get to stay, just like other renters in town. And so as we develop more permanent housing for folks at the lowest income level, we have numerous programs from the local, state and federal and private philanthropy to make sure we can help them then attain the income to maintain that housing. So we're all -- it's really fun actually to hear these other colleagues step up here and tell you what they think because I think we would all clap for each other. We have worked so closely over the last three years to try to get all of these policies lining up so that we can maximize the use. Of every dollar. We appreciate mayor pro tem. We spent hours at the state capitol Monday or Tuesday night to speak out on this -- on behalf of the city for the source of income protection. There's just so many policies at play that I think if we keep trying to bring them all together you're going to see -- you're going to see the right results for this community in the coming months and years. Thank you."

Public Hearing - Community Development Commission
FY 2015-16 Action Plan - Community Needs Assessment
May 12, 2015

Four speakers participated in the Public Hearing before the Community Development Commission on May 12, 2015. Most speakers advocated for increased funding for the City of Austin's GO Repair! Program. In Fiscal Year 2014-15, Go Repair! Received \$2,000,000 in funding from General Obligation Bonds, a local source. Speakers also advocated for providing assistance to residents who live in the Onion Creek area of Southeast Austin who were adversely impacted by extensive flooding that occurred in October 2013.

Public Hearing - Community Development Commission
FY 2015-16 Draft Action Plan
June 1, 2015

No speakers signed up for the Public Hearing before the Community Development Commission on June 1, 2015. Commissioners were briefed by staff on the draft Action Plan and outreach efforts.

Public Hearing - Austin City Council
FY 2015-16 Draft Action Plan
June 11, 2015

The following reflects excerpts from the transcript of public testimony. The complete meeting transcript is available here: <https://austintexas.gov/departments/city-council/council-meetings>

Name	Statement
Stuart Hersh, Consultant	Mayor and members of the council, my name is still Stuart Harry Hersh and I don't believe that you should ever have a public hearing on federal money that affects poor people and have no public comment at all. I had the distinct privilege of standing at the foot of the Edmund pattis bridge on the 50th anniversary of the Selma March this year and people have gone through a lot of struggle to try to make it possible for things -- for people to do that we're not doing now. So I want to highlight for you that once again your neighborhood housing and community development department is offering the city council a draft action plan for the next budget year that is responsive to public comments during the annual needs assessment within the limits of available federal funding. And just as I come here when I think staff hasn't done such a good job, I'm here to praise them today for the excellent job they've done. Unfortunately, they operate within constraints and the United States Department of Housing and Urban Development continues to insist that strategic use of local funds such as general obligation bond funds, the Austin housing trust fund, university neighborhood overlay housing trust fund, can no longer be discussed in the annual action plan documents. So the following questions relating to housing affordability remain unanswered until the city budget is presented to you in the public next month. Number one, will all low and moderate property owners eligible for floodplain buyouts in lower onion and Williamson creek receive buyouts by the third anniversary of the Halloween 2013 floods. Three years is a long time to the way. I hope the answer to that is yes. When will the engineering report cost of benefits associated with those owners and floodplain properties who declined volunteer buyouts be available? By understanding is that that can't happen until certain appropriations happen, so you're not going to know whether eminent domain is an appropriate tool or not based on risk. Number three, will low and moderate income tenants who remain in homes that flooded continue to be denied relocation assistance if their respective landlords decline buyout offers? We tried to discuss that last week. That won't be resolved by this item either. When will city staff be able to confirm that all 2013 flood damaged rental -- [lapse in audio]. And I was told there is no responsive information to the question of have all those rental houses been fixed before people moved in there. That's an outrage. It wasn't a standard after memorial day '81. It should be one of the things we do after this last set of floods. We should have already done this for 2013. That behavior is unacceptable. When will the city strategically use code enforcement fees to reduce the waiting list of low and moderate income homeowners waiting repairs under the G.O. Repair program that is requested three million dollars during the needs assessment? [Buzzer sounds] You have the rest of my comments. I gave you the "New York times" article on housing apartheid. It's a good summary of federal policy. Thank you for your patience. Enjoy the basketball game.

Fiscal Year 2015-16 Action Plan: Public Outreach Summary

The table below provides a high level summary of public comments received, the source of those comments, and the investment category featured in the Action Plan that is responsive.

Public Comments	Sources	Responsive Investment Category
<ul style="list-style-type: none"> Significant and disproportionate needs of formerly incarcerated persons Shortage of supportive housing for people with mental illnesses Need for at least 100 Housing First units Continued funding for permanent supportive housing 	<ul style="list-style-type: none"> Austin/Travis County Reentry Roundtable Leadership Committee on Permanent Supportive Housing Finance/Ending Community Homelessness Coalition Salvation Army Written comments from Member of National Alliance on Mental Illness Input from surveys 	Homeless/Special Needs Assistance
<ul style="list-style-type: none"> Need for accessible units Need for additional rental units for families and individuals at or below 50% MFI Adoption of Good Landlord Program HOME funds for tenant-based rental assistance programs Continued funding for rental repair program 	<ul style="list-style-type: none"> Austin Housing Coalition Austin Tenants Council Mayor's Committee for People with Disabilities Meals on Wheels Written comments from Private Citizen and Member of Mayor's Committee for People with Disabilities Input from surveys 	Renter Assistance
<ul style="list-style-type: none"> Replacement homes for victims of Onion Creek floods 	<ul style="list-style-type: none"> Public Hearing Comments – City Council and/or Community Development Commission 	Homebuyer Assistance
<ul style="list-style-type: none"> Need for accessible units Increase funding for GO Repair Program to \$3 million Replacement homes for victims of Onion Creek floods 	<ul style="list-style-type: none"> Austin Housing Coalition Mayor's Committee for Persons with Disabilities Meals on Wheels Public Hearing Comments – City Council and/or Community Development Commission Written Comments from Private Citizen 	Homeowner Assistance
<ul style="list-style-type: none"> Need for more affordable housing (rental and ownership units) Need for additional rental units for families and individuals at or below 50% MFI 	<ul style="list-style-type: none"> Written Comments from Private Citizen 	Housing Developer Assistance

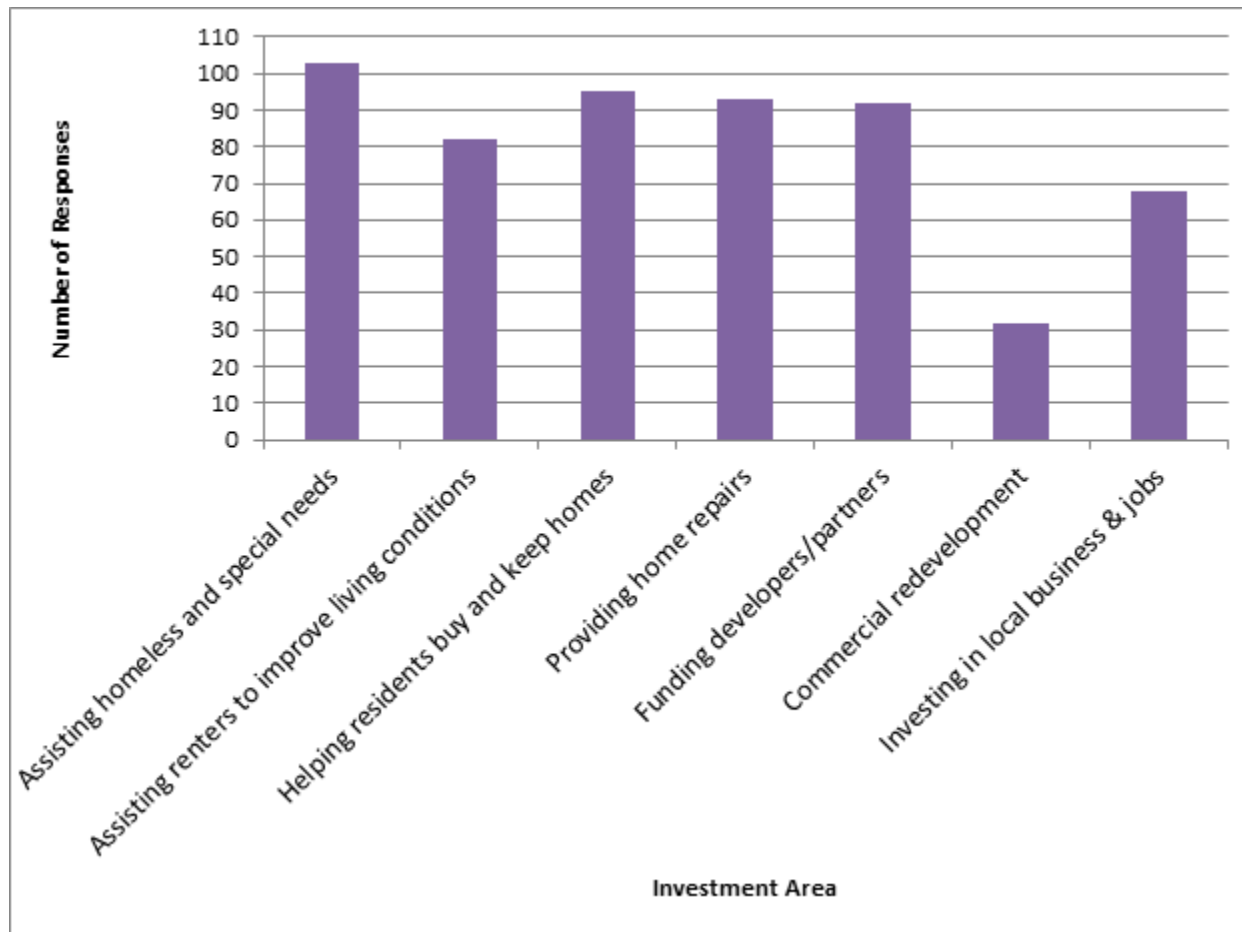
Public Comments	Sources	Responsive Investment Category
<ul style="list-style-type: none"> • Need for commercial revitalization and redevelopment 	<ul style="list-style-type: none"> • Input from surveys 	Commercial Revitalization
<ul style="list-style-type: none"> • Need for investment in local business and new jobs 	<ul style="list-style-type: none"> • Input from surveys 	Small Business Assistance
<ul style="list-style-type: none"> • Energy efficient homes • Homes near transit • Availability of healthy food options, community gardens • More streamlined permitting process • Need for more reasonable look back periods for criminal background checks • Regarding fair housing choice, “keep the market free from unnecessary taxes, regulations and fees” 	<ul style="list-style-type: none"> • Sustainable Food Center • Input from surveys • Austin Tenants Council • Austin Apartment Association 	Other

About the Community Needs Assessment Survey

As part of the Needs Assessment phase, a survey was conducted to gauge community priorities in the City's seven investment areas for low- to moderate-income residents. The survey was provided online in English and Spanish from April 10 to May 15, 2015. Paper copies in both languages were also distributed at several community events and meetings. 200 surveys were submitted: 123 paper surveys and 77 online.

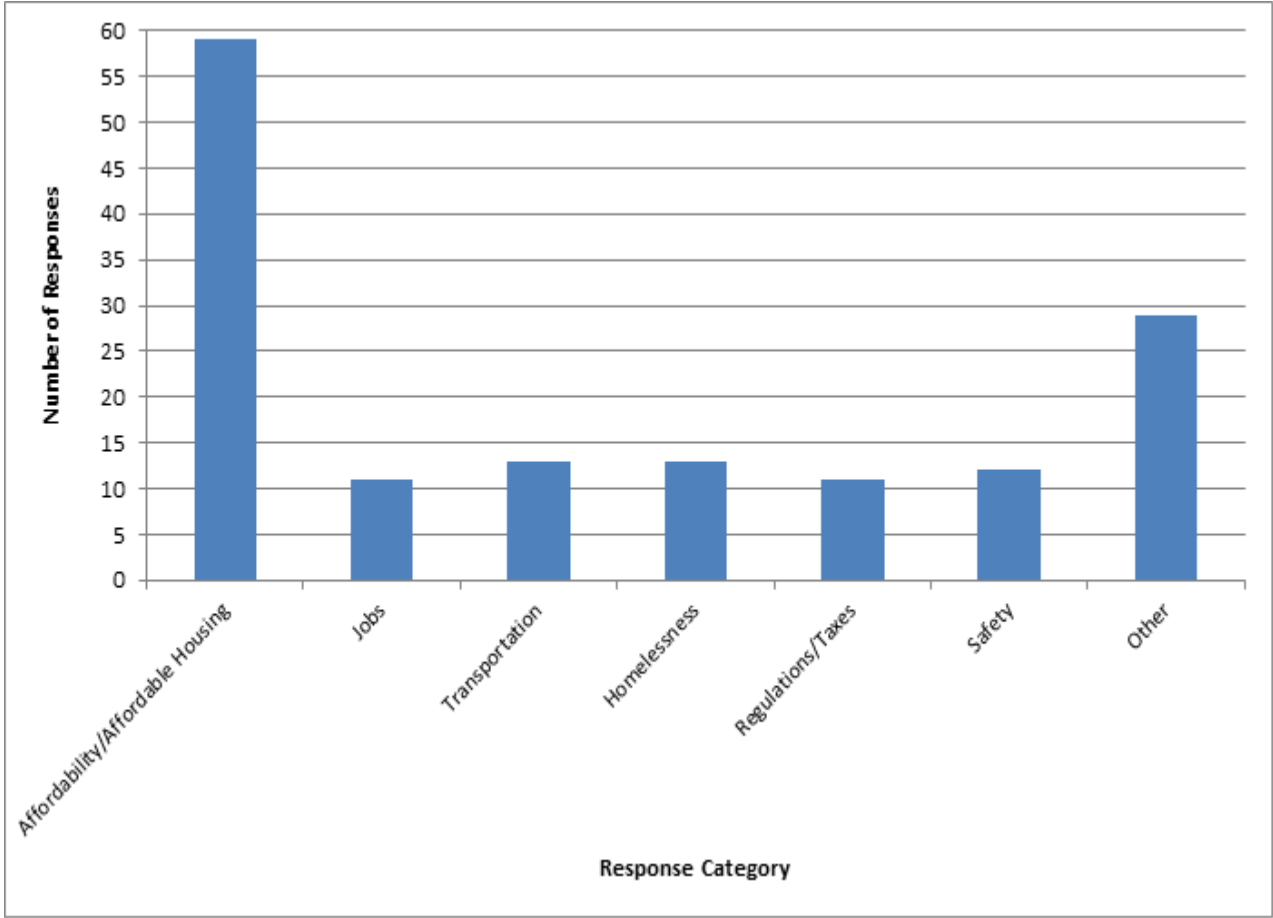
The survey asked community members to select three investment areas that represented the highest needs in their community. Most respondents selected "assisting homeless and special needs population" (18%), with helping residents buy and keep homes (17%), and providing home repairs and safety improvements (16%), as the second and third most common responses. The graph below shows the numbers of responses provided for each investment area.

Survey Responses – Top Community Needs



The survey also asked for qualitative feedback from residents, requesting them, in one word or phrase, to describe the most critical need facing their communities. The most common type of response dealt with affordability and affordable housing (40%). 20% of responses were categorized as “other,” a group that includes diverse issues ranging from education to utilities.

Qualitative Responses on the Most Critical Need Facing Respondents’ Communities



Attachment I C: Change Log for May 22, 2015 Draft Action Plan

FY 2015-16 Draft Action Plan - Change Log for Version Dated May 22, 2015

Section	Section Title	Page Number in Draft	Change Description
AP-05	Executive Summary	4	Updated Exhibit 2 - FY-14-19 Consolidated Plan Priorities Table. "FY 2014-19 Con Plan Goal" values corrected.
AP-05 AP-12	Executive Summary Participation	5 23	Removed reference to Advertisement on Spanish Language Television. The advertisement was not aired due to other programming commitments. Staff recognizes Spanish language advertising to be an important outreach tool, and has made a note to initiate this request earlier in the process going forward.
AP-05	Executive Summary	2	Updated Exhibit 1- HUD and City Budget Process Comparison to improve readability.
AP-15	Expected Resources Attachment II E	29 161	Updated Housing Trust Fund value from \$825,847 to \$880,976 due to revised estimates from Budget Office. This impacts housing (Rental Housing Development Assistance) and administration.
AP-35	Goals and Objectives Projects	35 42 43	Updated estimated production values for Childcare Services from 218 to 194, and Youth Services from 159 to 146 to reflect Federal funds only. Values updated accordingly in AP-20 Annual Goals and Objects and AP-35 Projects.
AP-35	Projects	53	Project description for HOPWA Transitional Housing edited as follows: "The City of Austin HHSD contracts with Project Transitions for Transitional Housing services. The program is designed to increased stability, to reduce homelessness and increase access to care and support. Transitional Housing provides facility-based and scattered-site housing with support services to persons living with HIV/AIDS. Transitional Housing is provided until permanent housing is obtained. -may not provide housing for any individual for more than 24 months- A variety of supportive services are offered to all clients including: facility-based meals, life skills management counseling, substance abuse relapse prevention support, client advocacy, transportation and assistance with obtaining permanent housing. Case managers ensure that clients are informed of the availability of needed medical and supportive services and provide referrals and assistance in accessing those services. Project Transitions has 30 apartments located in two agency-owned facilities and other apartments that are leased throughout the community."
AP-38	Projects	44	Previously four discrete projects, ESG activities have been consolidated into one project labeled, "Emergency Solutions Grant: Shelter, Rapid Re-housing, HMIS and Administration". This is prescribed by HUD. The updated ESG projects incorporates text from the published draft. For the field labeled, "Estimate the number and type of families that will benefit from the proposed activities", the text was edited as follows to provide additional context: Homeless Person Overnight Shelter: 2000 Persons Assisted This number is lower than previously because it is only counting night shelter clients and not day resource clients. The funding supports the entire building, but the service provided is Shelter. - 2,000 represents a reduction from the previous fiscal year. In previous years, the City counted all persons who used the Day Resource Center, who may or may not be homeless, and may be there to use other services such as the health clinic or counseling. Since these funds support the Emergency Shelter, estimates have been adjusted to report overnight sleeping clients of the shelter only.

FY 2015-16 Draft Action Plan - Change Log for Version Dated May 22, 2015

Section	Section Title	Page Number in Draft	Change Description
AP-85	Other Actions	91	<p>For the field labeled, "Actions planned to foster and maintain affordable housing", the following statement was deleted: "HACA and AHFC applied for a Choice Neighborhoods Implementation Grant in April 2015. If awarded, the City of Austin plans to contribute CDBG funds over a 5 year period to assist in the redevelopment of Rosewood Courts."</p> <p>This was replaced with the following, corrected, text: "HACA submitted the final Rosewood Choice Neighborhoods Planning Initiative Transformation Plan to the U.S. Department of Housing and Urban Development in April of 2015. HACA anticipates that HUD will release the Notice of Funding for the 2015 Choice Neighborhoods Implementation Grant sometime in November 2015. At that time, HACA will consider whether or not to pursue the opportunity. If awarded, the City of Austin plans to contribute CDBG funds over a 5 year period to assist in the redevelopment of Rosewood Courts."</p>
Attachments	Attachment I	102	Input received during the May 22, 2015 to June 22, 2015 Public Comment period on the Draft Action Plan have been added to Attachment I. The Change Log was also added to this attachment as well.
Note: The changes below were made <u>after</u> the June 30, 2015 Community Development Commission (CDC) meeting.			
AP-75	Barriers to affordable housing	87	<p>Edits were made to the field labeled, "Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment".</p> <p>The section was revised to align with the recently published Analysis of Impediments to Fair Housing Choice (AI). The previous content inadvertently omitted some of the actions identified in the AI. Further, at the request of the Community Development Commission, references to source of income protections have been updated to reflect the passage of Texas Senate Bill 267, which bans enforcement of such protections except for military veterans. Revised content also acknowledges the CDC's request that stakeholders be convened to explore next steps relating to source of income protections.</p>
Attachments	Attachment I	102	The CDC's recommendation was appended to this section.
AP-35	Projects	41 67	Project "East 11th and 12th Street Revitalization Debt Service" relabeled "Debt Service" to accommodate other debt service payment types. No change to funding amount.
General	General	Throughout	Prior to submission to the City Council, additional non-substantive changes were made throughout the document. These included grammatical fixes and wordsmithing to clarify statements or reduce redundancy.

Attachment I D: Community Development Commission Recommendation



**COMMUNITY DEVELOPMENT COMMISSION
RECOMMENDATION 20150630-05a**

Date: July 20, 2015

Subject: Fiscal Year 2015-16 Action Plan

Motioned By: Commissioner Cassondra Taylor

Seconded By: Commissioner Premal Amin

Recommendation: See the recommendation below from the Community Development Commission (CDC) concerning the Fiscal Year 2015-16 Action Plan.

In Fiscal Year 2015-2016, the City of Austin expects to receive continued federal funding through four U.S. Housing and Urban Development (HUD) entitlement grants: Community Development Block Grant (CDBG), HOME Investment Partnerships Program (HOME), Emergency Solutions Grant (ESG), and Housing Opportunities for Persons with AIDS (HOPWA).

The CDC recommends approval of the City's Fiscal Year 2015-2016 Action Plan with edits outlined in the change log, as well updates to *Section AP-75 Barriers to affordable housing* to align with the recently published Analysis of Impediments to Fair Housing Choice (AI).

The CDC also recommends updates to references to source of income discrimination protections, and advises staff to convene stakeholders to explore next steps in light of the passage of Texas Senate Bill 267, which bans enforcement of such protections except for military veterans.¹

Date of Approval: June 30, 2015

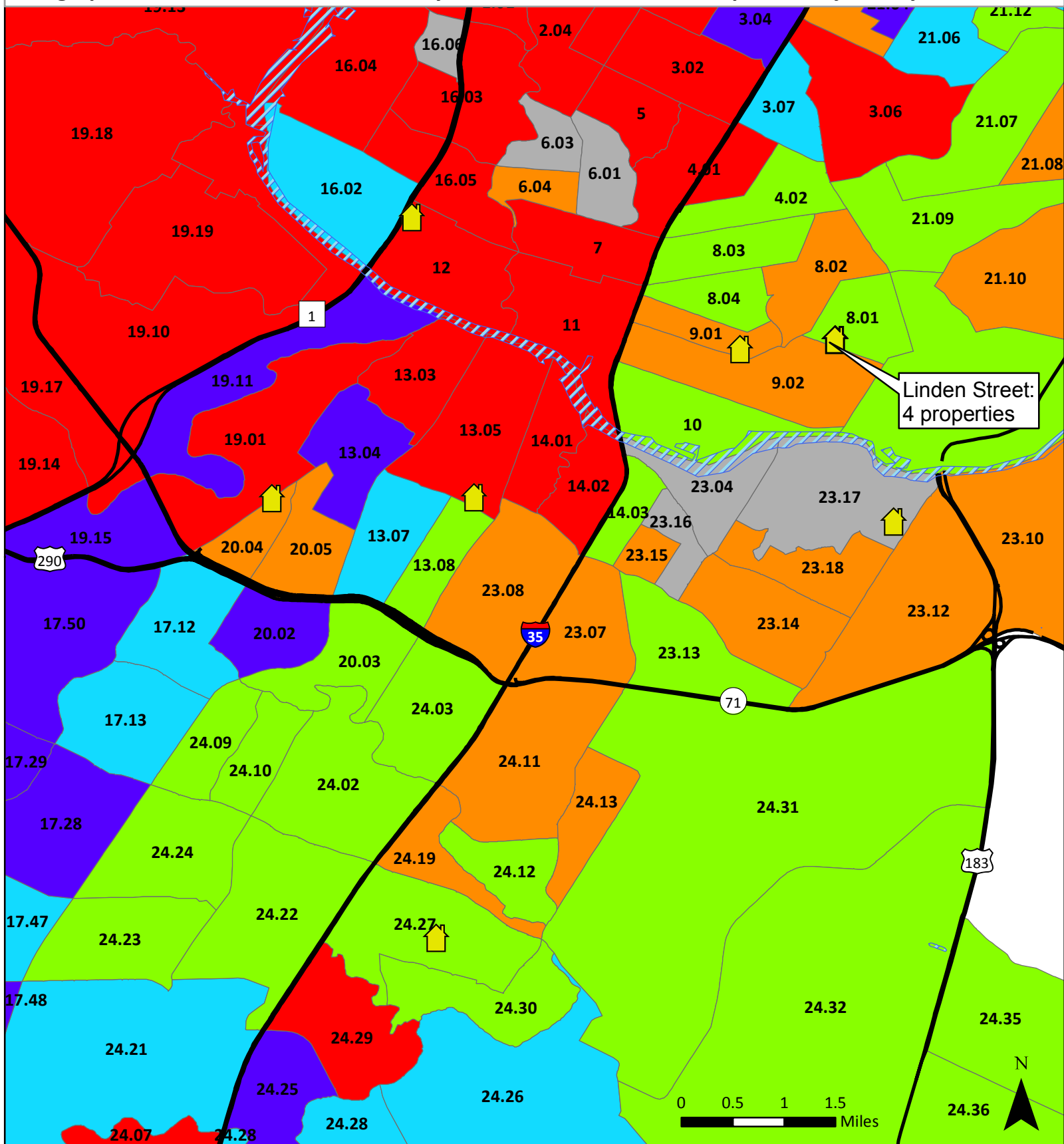
Record of the Vote: Approved on a 9-0-0 vote. Those members voting aye were Chair Rivera, Vice Chair Paup, and Commissioners Amin, Harrison, Langley, Mueller, Taylor, C. Taylor, and Valadez.

Attest: [CDC Liaison, Jim Padilla]

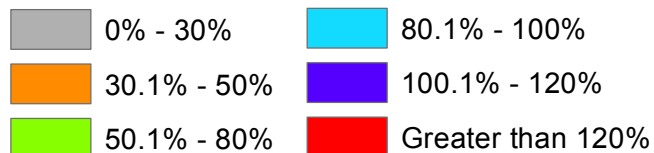
¹ Staff has incorporated requested updates to section AP-75 of the Action Plan. They are also noted in the change log, which is featured in the appendices (Attachment I C).

Attachment II A: Maps

Geographic Distribution of Median Family Income and FY 2015-16 Proposed Projects, by Census Tract



Percent of Austin's MFI (\$71,511 in 2013)



FY 15-16 Projects

Water

Date: May 2015
 Author: City of Austin, NHCD
 Source: US Census, 2010; ACS 2009-13

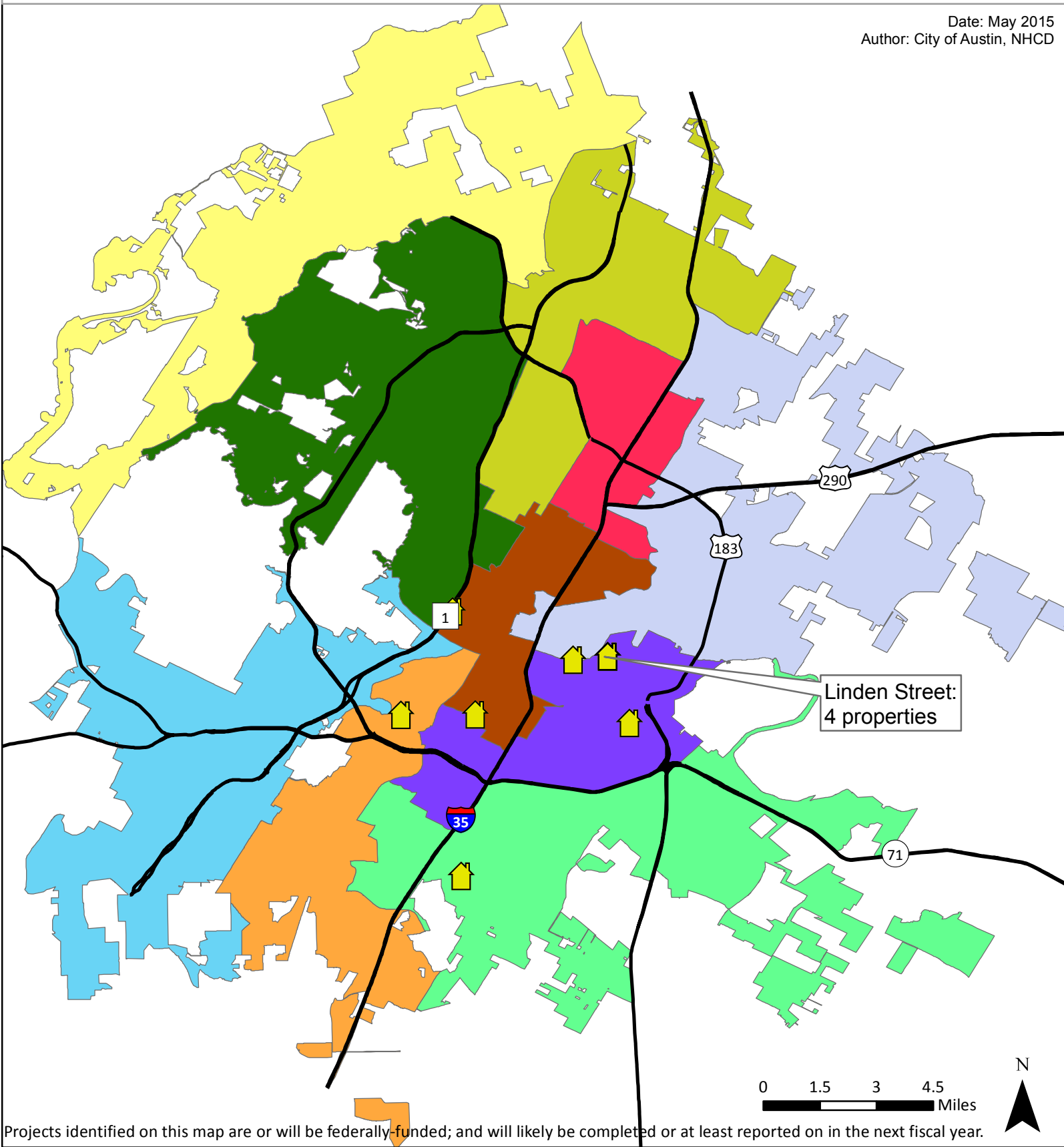


Projects identified on this map are or will be federally-funded; and will likely be completed or at least reported on in the next fiscal year.

This map has been produced by the City of Austin for the sole purpose of geographic reference. No warranty is made by the City regarding specific accuracy or completeness.

Geographic Distribution of FY 2015-16 Proposed Projects, by Austin City Council District

Date: May 2015
Author: City of Austin, NHCD



FY 15-16 Projects

Council Districts



District 1 - 1 project



District 2 - 1 project



District 3 - 5 projects



District 4 - 0 projects



District 5 - 1 project



District 6 - 0 projects



District 7 - 0 projects



District 8 - 0 projects



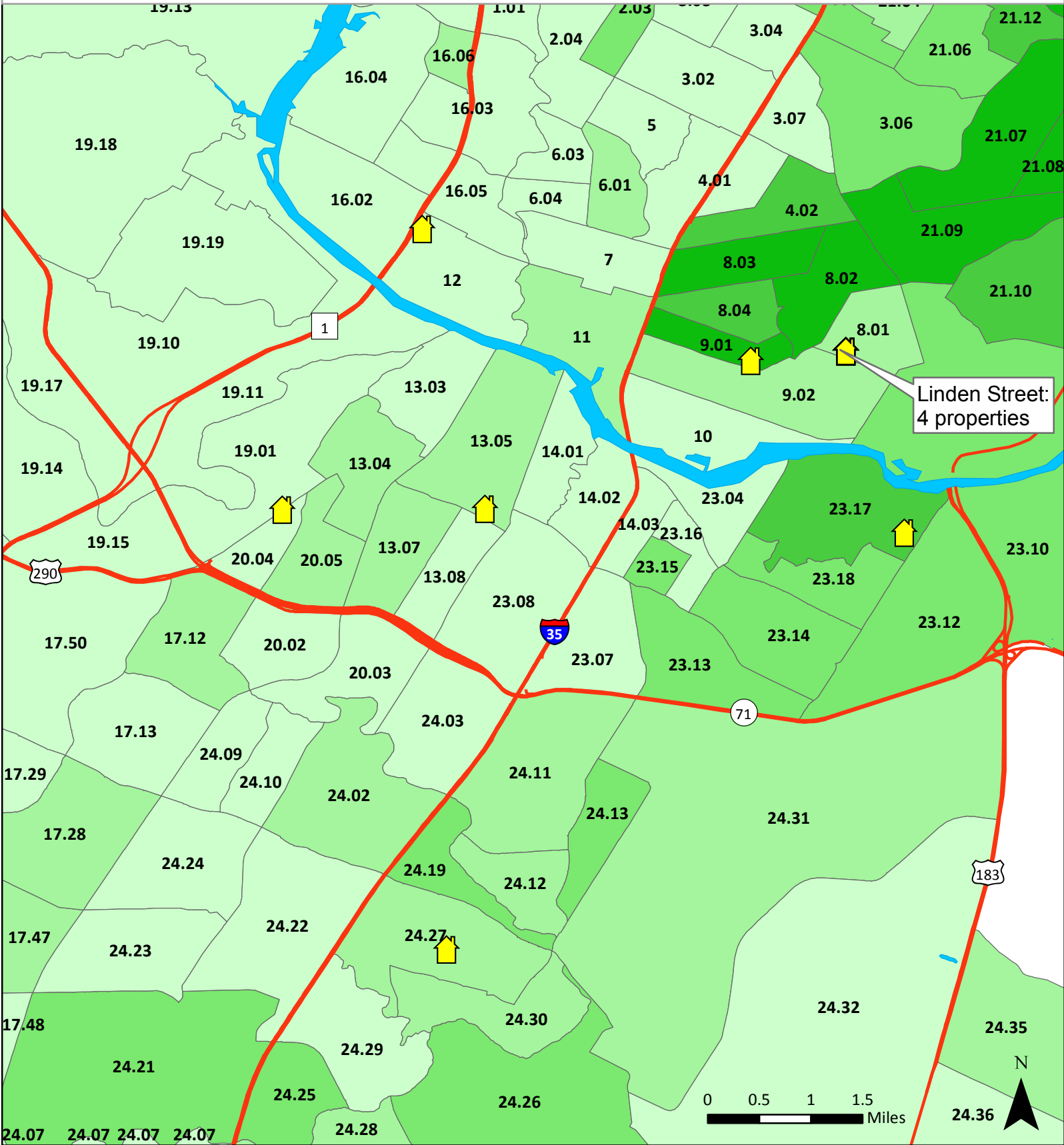
District 9 - 2 projects



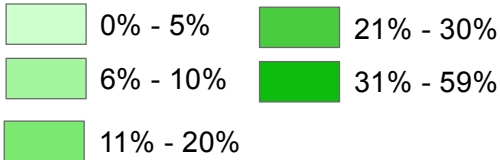
District 10 - 0 projects



Geographic Distribution of African American Population and FY 2015-16 Proposed Projects, by Census Tract



African American Population



FY 15-16 Projects



Water

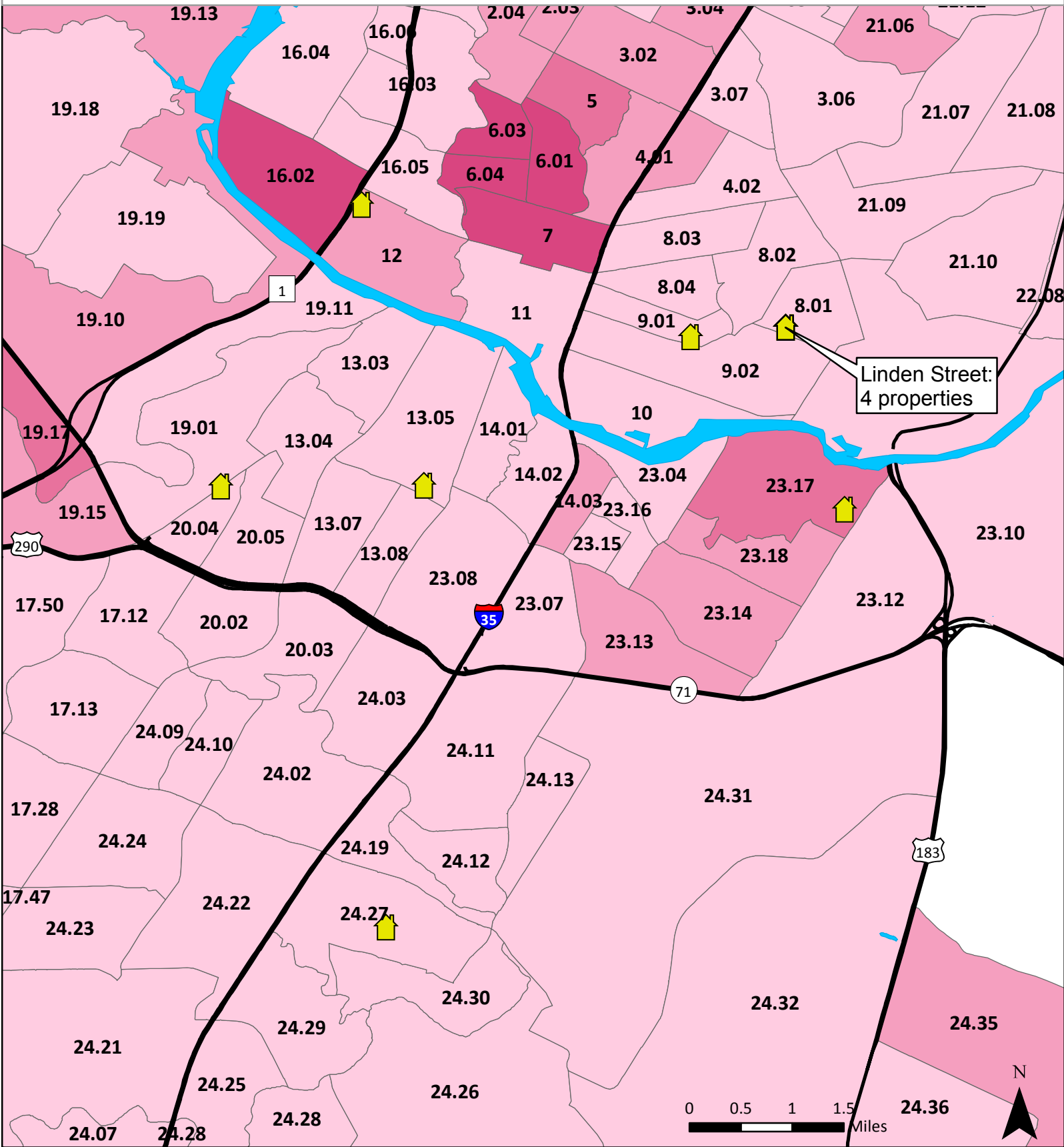


Date: May 2015
Source: US Census, 2010
Author: City of Austin, NHCD

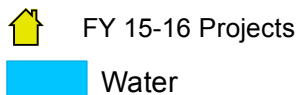
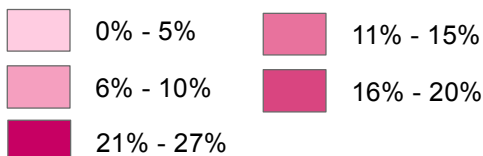
Projects identified on this map are or will be federally-funded; and will likely be completed or at least reported on in the next fiscal year.

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Geographic Distribution of Asian Population and FY 2015-16 Proposed Projects, by Census Tract



Asian Population

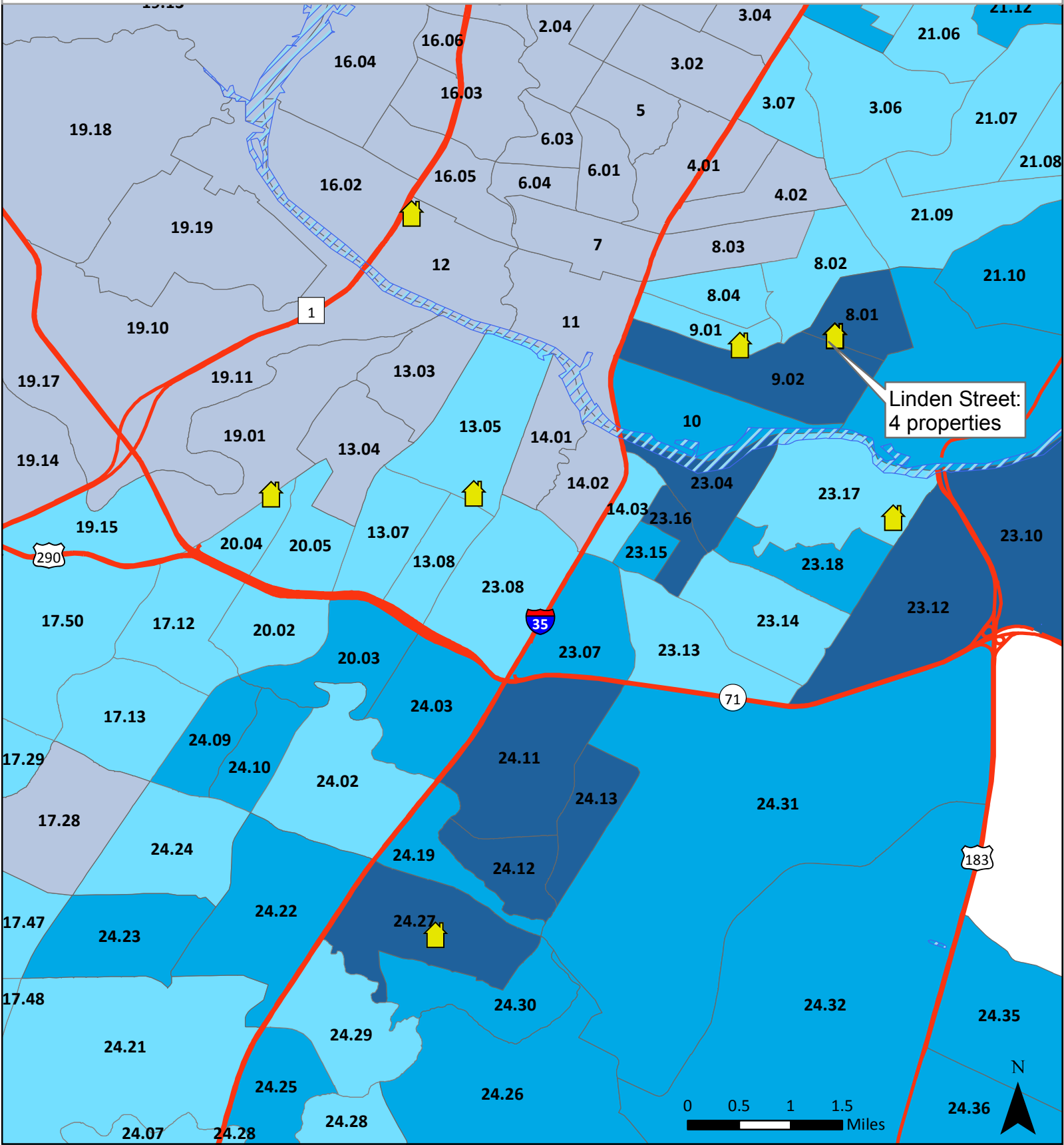


Date: May 2015
Source: US Census, 2010
Author: City of Austin, NHCD

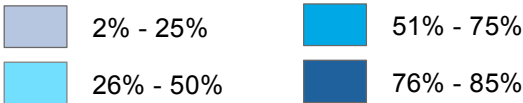
Projects identified on this map are or will be federally-funded; and will likely be completed or at least reported on in the next fiscal year.


This map has been produced by the City of Austin for the sole purpose of geographic reference. No warranty is made by the City regarding specific accuracy or completeness.

Geographic Distribution of Hispanic Population and FY 2015-16 Proposed Projects, by Census Tract



Hispanic or Latino Population



 FY15-16 Projects

 Water

Date: May 2015
Source: US Census, 2010
Author: City of Austin, NHCD



Projects identified on this map are or will be federally-funded; and will likely be completed or at least reported on in the next fiscal year.
This map has been produced by the City of Austin for the sole purpose of geographic reference. No warranty is made by the City regarding specific accuracy or completeness.

Attachment II B: Resale and Recapture Policies

RESALE AND RECAPTURE POLICIES

Participating Jurisdictions (PJs) undertaking HOME-assisted homebuyer activities, including any projects funded with HOME Program Income (PI), must establish written resale and/or recapture provisions that comply with HOME statutory and regulatory requirements. These provisions must also be set forth in the PJ's Consolidated Plan. The written resale and/or recapture provisions that a PJ submits in its annual Action Plan must clearly describe the terms of the resale and/or recapture provisions, the specific circumstances under which these provisions will be used (if more than one set of provisions is described), and how the PJ will enforce the provisions for HOME-funded ownership projects. HUD reviews and approves the provisions as part of the annual Action Plan process.

The purpose of this section is to provide the "resale" and "recapture" policies of the City of Austin's Neighborhood Housing and Community Development Department (NHCD) and its subrecipient, the Austin Housing Finance Corporation (AHFC). As stated above, HOME requires that PJs utilize resale and/or recapture provisions to ensure continued affordability for low- to moderate-income homeowners and as a benefit to the public through the wise stewardship of federal funds.

NHCD has three programs which use HOME funds to assist homeowners or homebuyers:

1. Down Payment Assistance (DPA) - new homebuyers;
2. Acquisition and Development (A&D) - developers of new ownership housing, and;
3. Homeowner Rehabilitation Loan Program (HRLP) - owners of existing homes.

Resale

This option ensures that the HOME-assisted units remain affordable over the entire affordability period. The Resale method is used in cases where HOME funding is provided directly to a developer to reduce development costs, thereby, making the price of the home affordable to the buyer. Referred to as a "Development Subsidy," these funds are not repaid by the developer to the PJ, but remain with the property for the length of the affordability period.

Specific examples where the City of Austin would use the resale method include:

1. providing funds for the developer to acquire property to be developed or to acquire affordable ownership units;
2. providing funds for site preparation or improvement, including demolition; and
3. providing funds for construction materials and labor.

The City of Austin Resale Policy

Notification to Prospective Buyers. The resale policy is explained to the prospective homebuyer(s) prior to signing a contract to purchase the HOME-assisted unit. The prospective homebuyer(s) sign an acknowledgement that they understand the terms and conditions applicable to the resale policy as they have been explained. This document is included with the executed sales contract. (*See attached Notification for Prospective Buyers on Page IV-11.*)

Enforcement of Resale Provisions. The resale policy is enforced through the use of a Restrictive Covenant signed by the homebuyer at closing. The Restrictive Covenant will specify:

1. the length of the affordability period (based on the dollar amount of HOME funds invested in the unit; either 5, 10, or 15 years);
2. that the home remain the Buyer's principal residence throughout the affordability period; and
3. the conditions and obligations of the Owner should the Owner wish to sell before the end of the affordability period, including;
 - a. the Owner must contact the Austin Housing Finance Corporation in writing if intending to sell the home prior to the end of the affordability period;
 - b. The subsequent purchaser must be low-income as defined by HOME, and occupy the home as his/her new purchaser's primary residence for the remaining years of the affordability period. (However, if the new purchaser receives direct assistance through a HOME-funded program, the affordability period will be re-set according to the amount of assistance provided); and
 - c. The sales price must be affordable to the subsequent purchaser; affordable is defined as limiting the Principal, Interest, Taxes and Insurance (PITI) amount to no more than 30% of the new purchaser's monthly income.

Fair Return on Investment. The City of Austin will administer its resale provisions by ensuring that the Owner receives a fair return on his/her investment and that the home will continue to be affordable to a specific range of incomes. Fair Return on Investment means the total homeowner investment which includes the total cash contribution plus the approved capital improvements credits as described below:

1. The amount of the down payment;
2. The cost of any capital improvements, documented with receipts provided by the homeowner, including but not limited to:
 - a. Any additions to the home such as a bedroom, bathroom, or garage;
 - b. Replacement of heating, ventilation, and air conditioning systems;
 - c. Accessibility improvements such as bathroom modifications for disabled or elderly, installation of wheel chair ramps and grab bars, any and all of which must have been paid for directly by the Owner and

- which were not installed through a federal, state, or locally-funded grant program; and
- d. Outdoor improvements such as a new driveway, walkway, retaining wall, or fence.

Note: All capital improvements will be visually inspected to verify their existence.

3. The percentage of change as calculated by the **Housing Price Index (HPI)** Calculator of the Federal Housing Finance Agency. The HPI Calculator is currently located at <http://www.fhfa.gov/DataTools/Tools/Pages/HPI-Calculator.aspx> and projects what a given house purchased at a point in time would be worth today if it appreciated at the average appreciation rate of all homes in the area. The calculation shall be performed for the Austin-Round Rock-San Marcos, TX Metropolitan Statistical Area.

Affordability to a Range of Buyers. The City will ensure continued affordability to a range of buyers, particularly those whose total household incomes range from 65 percent to no greater than 80 percent MFI. If the City of Austin or the Austin Housing Finance Corporation implements a Community Land Trust, the range of incomes will be broadened considerably.

Sales prices shall be set such that the amount of Principal, Interest, Taxes, and Insurance does not exceed 30 percent of the new Buyer's annual income. For FY 2015-16, the affordable sales price shall not exceed \$175,000, which would be affordable to a 4-person household at 80 percent MFI at today's home mortgage interest rates.

Example: A home with a 10-year affordability period was purchased six years ago by a person (the "original homeowner") who now wishes to sell. The original homeowner's mortgage was \$52,250 at 6.75% interest for 30 years, and has made payments for 72 months. The current mortgage balance is \$48,270. The principal amount paid down so far is \$3,980.

Calculating Fair Return on Investment.

Down payment: The original homeowner was required to put down \$1,000 earnest money at the signing of the sales contract.

Cost of Capital Improvements: The original homeowner had a privacy fence installed four years ago at the cost of \$1,500 and has receipts to document the improvement. A visual inspection confirmed the fence is still in place.

Percentage of Change. The original purchase price for the home was \$55,000 and the amount of developer subsidy was \$25,000, thus requiring the 10-year affordability period.

For the purposes of using the Federal Housing Finance Agency's Housing Price Index calculator, the home was purchased in the 3rd Quarter of 2006, and will be

calculated using the most current quarter available, 1st Quarter 2012. Using the Housing Price Index calculator, the house would be worth approximately \$61,112.

Calculating the Fair Return to the Original Owner:

Down payment:	\$1,000
Capital Improvements:	\$1,500
Principal Paid:	\$3,980
Increase in value per HPI:	<u>\$6,112</u>
\$12,592 Fair Return on Investment	

In order to realize a fair return to the original homeowner, the sales price must be set at roughly \$61,000 (i.e., \$55,000 [\$3,980 in principal payments made plus remaining mortgage balance of \$48,270] + \$1,000 down payment + \$1,500 capital improvements + \$6,112 HPI increase = \$60,862)

Affordability for a Range of Buyers. If the original homeowner sets the sales price at \$61,000 to get a fair return on investment, and if 2012 assumptions are used for front/back ratios, interest rates, insurance, taxes, an 80% Loan-to-Value (LTV) Ratio, etc., the monthly PITI would be approximately \$483.

The PITI of \$483 could, in theory, be supported by an annual household income of \$19,500 and not exceed 30% of the subsequent homeowner's monthly income. The housing costs could be supported more realistically by households with incomes between 50% and 80% MFI. However, with an 80% LTV ratio, most buyers will require down payment assistance which, if HOME funds are used, would create a new affordability period based on the level of the new HOME investment.

If the subsequent homeowner does not require any HOME subsidy to purchase the home, the affordability period would end in 4 years at which time the subsequent homeowner could sell to any buyer at any price.

Recapture

Under HOME recapture provisions financial assistance must be repaid if it is provided directly to the buyer or the homeowner. Upon resale the seller may sell to any willing buyer at any price. The written agreement and promissory note will disclose the net proceeds percentage if any that will be allotted to the homebuyer and what proceeds will return to the PJ. Once the HOME funds are repaid to the PJ, the property is no longer subject to any HOME restrictions. The funds returned to the PJ may then be used for other HOME-eligible activities.

The City of Austin Recapture Policy

The City of Austin and Neighborhood Housing and Community Development (NHCD) HOME funded program under the recapture provisions is the Down Payment Assistance Program (DPA). The Austin Housing Finance Corporation's (AHFC) HOME funded program under recapture provisions is the Homeowner Rehabilitation Loan Program (HRLP).

The (HOME) federal assistance will be provided in the form of a 0% interest, deferred payment loan. The fully executed (by all applicable parties) and dated Written Agreement, Promissory Note and Deed of Trust will serve as the security for these loans. The Deed of Trust will also be recorded in the land records of Travis County or Williamson County.

The payment of the DPA or HRLP Promissory Note is made solely from the net proceeds of sale of the Property (except in the event of fraud or misrepresentation by the Borrower described in the Promissory Note).

The City of Austin and/or AHFC/NHCD may share any resale equity appreciation of HOME-assisted DPA or HRLP loans with the Borrower/Seller according to the following two recapture models:

Standard Down Payment Assistance. The City of Austin will calculate the recapture amount and add this to the existing payoff balance of the DPA loan. The entire payoff balance must be paid to AHFC/NHCD before the homebuyer receives a return. The recapture amount is limited to the net proceeds available from the sale. However, the amount of standard Down Payment Assistance will be forgivable at the end of maturity date if the borrower met all of the program requirements.

Appraised Value of Property or Sales Price (whichever is less)		\$
Original Senior Lien Note Amount	(-)	\$
Any reasonable and customary sales expenses paid by the Borrower in connection with the sale (Closing costs)	(-)	\$
Net proceeds		\$
DPA Original Note Amount	(-)	\$
Equity to Borrower/Seller	=	\$

Shared Equity Down Payment Assistance (DPA). The City of Austin and AHFC/NHCD will permit the Borrower/Seller to recover their entire investment (down payment and capital improvements made by them since purchase) before recapturing the HOME investment. The recapture amount is limited to the net proceeds available from the sale.

Down Payment Formula. Equity to be shared: The Appraised Value of the Property at time of resale less original senior lien Note, less borrower's cash contribution, less capital improvement recapture credit, less the Original Principal Amount of Mortgage Assistance under the DPA Mortgage, calculated as follows:

Appraised Value of Property or Sales Price (whichever is less)		
Original Senior Lien Note Amount	(-)	\$
Any reasonable and customary sales expenses paid by the Borrower in connection with the sale (Closing costs)	(-)	\$
Net proceeds		\$
Borrower's Cash Contribution	(-)	\$
Capital Improvement Recapture Credit	(-)	\$
DPA Mortgage Assistance Amount	(-)	\$
Equity to be Shared	=	

The homebuyer's entire investment (cash contribution and capital improvements) must be repaid in full before any HOME funds are recaptured. The capital improvement recapture credit will be subject to:

1. The borrower having obtained NHCD approval prior to his/her investment; and
2. The borrower providing proof of costs of capital improvements with paid receipts for parts and labor.

Calculation of Shared Equity Percentage. Percentage shall remain the same as calculated at initial purchase (as set forth above).

Shared Equity Payment Due to NHCD or the City of Austin. Shall be (Equity to be shared) x (Shared Equity Percentage), calculated as follows:

Equity to be shared		\$
Shared Equity Percentage	X	%
Shared Equity Payment Due to NHCD/City of Austin	=	\$

Total Due to NHCD or City of Austin. Shall be the total of all amounts due to NHCD or the City of Austin calculated as follows:

Mortgage Assistance Amount		\$
Interest and Penalties	+	\$
Shared Equity Payment	+	\$
Total Due to NHCD/City of Austin	=	\$

HRLP Homeowner Reconstruction Formula. Upon executing and dating the Promissory Note, Written Agreement and the Deed of Trust the parties agree that the Mortgage Assistance Amount provided to Borrower by AHFC is to be 25% of the Borrower's/Sellers equity in the Property.

Equity to be Shared. The Appraised Value of the Property at time of resale, less closing costs, homeowner's cash contribution (if any), capital improvement recapture credit, AHFC original assistance amount, calculated as follows:

Appraised Value of Property or Sales Price (whichever is less)		
Any reasonable and customary sales expenses paid by the Borrower/Seller in connection with the sale (Closing costs)	(-)	\$
Homeowner's Cash Contribution	(-)	\$
Capital Improvement Recapture Credit	(-)	\$
AHFC or the City of Austin Original HRLP Assistance Amount	(-)	\$
Equity to be Shared	=	

Calculation of Shared Equity Percentage: Percentage shall remain the same as initially determined (as set forth above). Shared Equity Payment Due to AHFC or the City of Austin: Shall be (Equity to be shared) x (Shared Equity Percentage), calculated as follows:

Equity to be shared	\$
Shared Equity Percentage	25%
Shared Equity Payment Due to AHFC or the City of Austin	= \$

Total Due to AHFC or the City of Austin: Shall be the total of all amounts due to AHFC or the City of Austin calculated as follows:

Existing Owing HRLP Mortgage Assistance Amount	\$
Shared Equity Percentage Payment	+ \$
Sum Total Due to AHFC or the City of Austin	\$

HRLP Homeowner Rehabilitation Formula. Equity to be shared: The Appraised Value of the Property at time of resale, less closing costs, homeowner's cash contribution (if any), capital improvement recapture credit, AHFC or the City of Austin's original assistance amount, calculated as follows:

Appraised Value of Property or Sales Price (whichever is less)		
Any reasonable and customary sales expenses paid by the homeowner in connection with the sale (Closing costs)	(-)	\$
Homeowner's Cash Contribution	(-)	\$
Capital Improvement Recapture Credit	(-)	\$
AHFC and/or the City of Austin's Original HRLP Assistance Amount	(-)	\$
Equity to Borrower/Seller	=	

Net proceeds consist of the sales prices minus loan repayment, other than HOME funds, and closing costs. If the net proceeds of the sale are insufficient to fully satisfy the amounts owed on the HRLP Note the AHFC or the City of Austin may not personally seek or obtain a deficiency judgment or any other recovery from the Borrower/Seller. The amount due to Lender is limited to the net proceeds, if any, if the net proceeds are not sufficient to recapture the full amount of HOME funds invested plus allow Borrower to recover the amount of Borrower's down-payment and capital improvement investment, including in, but not limited to, cases of foreclosure or deed-in-lieu of foreclosure,. If there are no net proceeds AHFC or the City of Austin will receive no share of net proceeds.

However, in the event of an uncured Default, AHFC or the City of Austin may, at its option, seek and obtain a personal judgment for all amounts payable under the Note. This right shall be in addition to any other remedies available to AHFC and/or the City of Austin. If there are insufficient funds remaining from the sale of the property and the City of Austin or the Austin Housing Finance Corporation (AHFC) recaptures less than or none of the recapture amount due, the City of Austin and/or AHFC must maintain data in each individual HRLP file that documents the amount of the sale and the distribution of the funds.

This will document that:

1. There were no net sales proceeds; or
2. The amount of the net sales proceeds was insufficient to cover the full amount due; and
3. No proceeds were distributed to the homebuyer/homeowner.

Under "Recapture" provisions, if the home is SOLD prior to the end of the required affordability period, the net sales proceeds from the sale, if any, will be returned to the City of Austin and/or AHFC to be used for other HOME-eligible activities. Other than the actual sale of the property, if the homebuyer or homeowner breaches the terms and conditions for any other reason, e.g. no longer occupies the property as his/her/their principal residence, the full amount of the subsidy is immediately due and payable.

If Borrower/Seller is in Default, AHFC and/or the City of Austin may send the Borrower/Seller a written notice stating the reason Borrower/Seller is in Default and telling Borrower/Seller to pay immediately:

- (i) the full amount of Principal then due on this Note,
- (ii) all of the interest that Borrower/Seller owes, and that will accrue until paid, on that amount, and
- (iii) all of AHFC/or the City of Austin's costs and expenses reimbursable Recovery against the Borrower/Seller responsible for the fraud or

misrepresentation is not limited to the proceeds of sale of the Property, but may include personal judgment and execution thereon to the full extent authorized by law.

Affordability Periods

HOME Program Assistance Amount	Affordability Period in Years
\$1,000 - \$14,999.99	5
\$15,000 – \$40,000	10
Over \$40,000	15
Reconstruction Projects*	20

*City of Austin policy

A HOME Written Agreement, Note and Deed of Trust will be executed by the Borrower and the City of Austin and/or the Austin Housing Finance Corporation (AHFC) that accurately reflects the resale or recapture provisions before or at the time of sale.

References: [HOMEfires Vol 5 No 2, June 2003 – Repayment of HOME Investment; Homebuyer Housing with a 'Recapture' Agreement; Section 219(b) of the HOME Statute; and §92.503(b)(1)-(3) and (c)]

City of Austin Refinancing Policy

In order for new executed subordination agreement to be provided to the senior first lien holder, the senior first lien refinance must meet the following conditions:

1. The new senior first lien will reduce the monthly payments to the homeowner, thereby making the monthly payments more affordable; or
2. Reduce the loan term;
3. The new senior lien interest rate must be fixed for the life of the loan (Balloon or ARM loans are ineligible);
4. No cash equity is withdrawn by the homeowner as a result of the refinancing actions;
5. AHFC/NHCD and/or the City will, at its discretion, agree to accept net proceeds in the event of a short sale to avoid foreclosure; and
6. Only if the borrower meets the minimum requirements to refinance, the City can re-subordinate to the first lien holder.

The refinancing request will be processed according to the following procedure:

1. Submit a written request to Compliance Division to verify the minimum refinancing requirements with one month in advance from the expected closing;
2. NHCD/AHFC will review the final HUD-1 Settlement Statement two weeks prior to closing the refinance.
3. If applicable, NHCD/AHFC or the City of Austin will issue written approval a week prior to the closing date.

4. NHCD/AHFC will be provided with a copy of the final, executed HUD-1 Settlement Statement, Promissory Note, and recorded Deed of Trust three days in advance of the closing date.
5. If written permission is not granted by AHFC/NHCD or the City of Austin allowing the refinance of the Senior Lien, the DPA OR HRLP Loan will become immediately due and payable prior to closing the refinance.
6. If written permission is granted by AHFC/NHCD and/or the City of Austin and it is determined that the refinancing action does not meet the conditions as stated above, the DPA OR HRLP Loan will become immediately due and payable prior to closing the refinance.
7. Home Equity loans will trigger the repayment requirements of the DPA OR HRLP Programs loans. The DPA or HRLP Notes must be paid off no later than when the Home Equity Loan is closed and funded.
8. The DPA OR HRLP Notes must be paid-in-full in order for AHFC/NHCD and/or the City of Austin to execute a release of lien.

Basic Terminology

Affordable Housing: The City of Austin follows the provisions established on 24 CFR 92.254, and consider that in order for homeownership housing to qualify as *affordable housing* it must:

- Be single-family, modest housing,
- Be acquired by a low-income family as its principal residence, and
- Meet affordability requirements for a specific period of time as determined by the amount of assistance provided.

The City: means the City of Austin's Neighborhood Housing and Community Development Office (NHCD) or its sub recipient, the Austin Housing Finance Corporation (AHFC).

Fair Return on Investment: means the total homeowner investment which includes the total cash contribution plus the approved capital improvements credits.

Capital Improvement: means additions to the property that increases its value or upgrades the facilities. These include upgrading the heating and air conditioning system, upgrading kitchen or bathroom facilities, adding universal access improvements, or any other permanent improvement that would add to the value and useful life of the property. The costs for routine maintenance are excluded.

Capital Improvement Credit: means credits for verified expenditures for Capital Improvements.

Direct HOME subsidy: is the amount of HOME assistance, including any program income that enabled the homebuyer to buy the unit. The direct subsidy includes down payment, closing costs, interest subsidies, or other HOME assistance provided directly to the homebuyer. In addition, direct subsidy includes any assistance that reduced the purchase price from fair market value to an affordable price.

Direct HOME subsidy for Homeowner Rehabilitation Loan Program: is the amount of HOME assistance, including any program income that enabled the homebuyer to repair or reconstruct the unit. The direct subsidy includes hard costs and soft cost according to 24 CFR 92.206

Net proceeds: are defined as the sales price minus superior loan repayment (other than HOME funds) and any closing costs.

Recapture: The recapture provisions are established at §92.253(a)(5)(ii), permit the original homebuyer to sell the property to any willing buyer during the period of affordability while the PJ is able to recapture all or a portion of the HOME-assistance provided to the original homebuyer.

Source: Notice: CPD 12-003 <http://www.hud.gov/offices/cpd/lawsregs/notices/2012/12-003.pdf>

INFORMATION FOR PROSPECTIVE BUYERS

The [Five] [Ten] [Fifteen]-Year Affordability Period & The Restrictive Covenant Running With the Land

I understand that because a certain amount of federal funds were used by [Developer Name] to develop the property at _____, the federal government requires that certain restrictions apply to the occupancy or re-sale of this home for a period of [five (5) ten (10) fifteen (15)] years. I understand that during that [five] [ten] [fifteen]-year period, those requirements will be enforced through a legally-enforceable document called a "Restrictive Covenant Running with the Land."

Please
Initial
Below

If I choose to purchase this home, at the time the home is sold to me, I will sign a Restrictive Covenant Running with the Land, and it will be filed in the Official Public Records of the Travis County Clerk's Office. The requirements of the Restrictive Covenant Running with the Land are:

- _____ • That **I must occupy the home as my principal residence** during the [5] [10] [15]-year period in which the Restrictive Covenant is in effect;
- _____ • If I wish to sell the Property before the end of that period, I am required to sell it to a subsequent buyer whose total household income is **at or below 80% of the Austin area Median Family Income** in effect for the year I wish to sell the home.
- _____ • The sales price must be set such that I receive a **fair return** which shall be defined as:
 1. The amount of any cash contributions including the down payment and principal payments made;
 2. The cost of any capital improvements, documented with receipts, and including but not limited to:
 - a. Any additions to the home such as a bedroom, bathroom, or garage;
 - b. Replacement of heating, ventilation, and air conditioning systems;
 - c. Accessibility improvements such as bathroom modifications for disabled or elderly, installation of wheel chair ramps and grab bars, any and all of which must have been paid for directly by the Owner and which were not installed through a federal, state, or locally-funded grant program; and
 - d. Outdoor improvements such as a new driveway, walkway, retaining wall, or fence.
- _____ • The sales price must be set so that the monthly principal, interest, taxes and insurance to be paid by the subsequent buyer **will not exceed 30% of that subsequent buyer's monthly household income.**
- _____ • **I will notify the Austin Housing Finance Corporation (AHFC) in writing** so that AHFC can assist with the compliance of this federal regulation.

I/We acknowledge having received this information about the federal requirements involved if I/we decide to purchase this home.

Signature

Date

Signature

Date

Attachment II C: ESG Program Standards



CITY OF AUSTIN
Health and Human Services Department

EMERGENCY SOLUTIONS GRANT PROGRAM (ESG)
PROGRAM STANDARDS AND GUIDELINES

A. ESG PROGRAM DESCRIPTION

I. Definitions Terms used herein will have the following meanings:

At Risk of Homelessness-

- (1) An individual or family who: (i) Has an annual income below 30% of median family income for the area; AND (ii) Does not have sufficient resources or support networks immediately available to prevent them from moving to an emergency shelter or another place defined in Category 1 of the "homeless" definition; AND (iii) Meets one of the following conditions: (A) Has moved because of economic reasons 2 or more times during the 60 days immediately preceding the application for assistance; OR (B) Is living in the home of another because of economic hardship; OR (C) Has been notified that their right to occupy their current housing or living situation will be terminated within 21 days after the date of application for assistance; OR (D) Lives in a hotel or motel and the cost is not paid for by charitable organizations or by Federal, State, or local government programs for low-income individuals; OR (E) Lives in an SRO or efficiency apartment unit in which there reside more than 2 persons or lives in a larger housing unit in which there reside more than one and a half persons per room; OR (F) Is exiting a publicly funded institution or system of care; OR (G) Otherwise lives in housing that has characteristics associated with instability and an increased risk of homelessness, as identified in the recipient's approved Consolidated Plan;
- (2) A child or youth who does not qualify as homeless under the homeless definition, but qualifies as homeless under another Federal statute;
- (3) An unaccompanied youth who does not qualify as homeless under the homeless definition, but qualifies as homeless under section 725(2) of the McKinney-Vento Homeless Assistance Act, and the parent(s) or guardian(s) or that child or youth if living with him or her.

CDO- Community Development Officer;

Chronic Homeless Person- An individual who:

- (i) Is homeless and lives in a place not meant for human habitation, a safe haven, or in an emergency shelter; and
- (ii) Has been homeless and living or residing in a place not meant for human habitation, a safe haven, or in an emergency shelter continuously for at least one year or on at least four separate occasions in the last 3 years, where each homeless occasion was at least 15 days; and
- (iii) Can be diagnosed with one or more of the following conditions: substance use disorder, serious mental illness, developmental disability (as defined in section 102 of the Developmental Disabilities Assistance Bill of Rights Act of 2000 (42 U.S.C. 15002)), post-traumatic stress disorder, cognitive impairments resulting from brain injury, or chronic physical illness or disability;

City- City of Austin;

ESG- Emergency Solutions Grant program;

HHSD- Health and Human Services Department;

Homeless Person(s)-

- (1) An individual or family who lacks a fixed, regular, and adequate nighttime residence, meaning:
 - (i) An individual or family with a primary nighttime residence that is a public or private place not designed for or ordinarily used as a regular sleeping accommodation for human beings, including a car, park, abandoned building, bus or train station, airport, or camping ground;
 - (ii) An individual or family living in a supervised publicly or privately operated shelter designated to provide temporary living arrangements (including congregate shelters, transitional housing, and hotels and motels paid for by charitable organizations or by federal, state, or local government programs for low-income individuals); or
 - (iii) An individual who is exiting an institution where he or she resided for 90 days or less and who resided in an emergency shelter or place not meant for human habitation immediately before entering that institution;
- (2) An individual or family who will imminently lose their primary nighttime residence, provided that:
 - (i) The primary nighttime residence will be lost within 14 days of the date of application for homeless assistance;
 - (ii) No subsequent residence has been identified; and
 - (iii) The individual or family lacks the resources or support networks, e.g., family, friends, faith-based or other social networks needed to obtain other permanent housing;
- (3) Unaccompanied youth under 25 years of age, or families with children and youth, who do not otherwise qualify as homeless under this definition, but who:
 - (i) Are defined as homeless under section 387 of the Runaway and Homeless Youth Act (42 U.S.C. 5732a), section 637 of the Head Start Act (42 U.S.C. 9832), section 41403 of the Violence Against Women Act of 1994 (42 U.S.C. 14043e-2), section 330(h) of the Public Health Service Act (42 U.S.C. 254b(h)), section 3 of the Food and Nutrition Act of 2008 (7 U.S.C. 2012), section 17(b) of the Child Nutrition Act of 1966 (42 U.S.C. 1786(b)), or section 725 of the McKinney-Vento Homeless Assistance Act (42 U.S.C. 11434a);
 - (ii) Have not had a lease, ownership interest, or occupancy agreement in permanent housing at any time during the 60 days immediately preceding the date of application for homeless assistance;
 - (iii) Have experienced persistent instability as measured by two moves or more during the 60-day period immediately preceding the date of applying for homeless assistance; and
 - (iv) Can be expected to continue in such status for an extended period of time because of chronic disabilities, chronic physical health or mental health conditions, substance addiction, histories of domestic violence or childhood abuse (including neglect), the presence of a child or youth with a disability, or two or more barriers to employment, which include the lack of a high school degree or General Education Development (GED), illiteracy, low English proficiency, a history of incarceration or detention for criminal activity, and a history of unstable employment;or
- (4) Any individual or family who:
 - (i) Is fleeing, or is attempting to flee, domestic violence, dating violence, sexual assault, stalking, or other dangerous or life-threatening conditions that relate to violence against the individual or a family member, including a child, that has either taken place within the individual's or family's primary nighttime residence or has made the individual or family afraid to return to their primary nighttime residence;
 - (ii) Has no other residence; and
 - (iii) Lacks the resources or support networks, e.g., family, friends, faith-based or other social networks, to obtain other permanent housing;

HUD- U.S. Department of Housing and Urban Development;

NHCD- Neighborhood Housing and Community Development Office;

Subrecipient- An organization receiving ESG funds from the City to undertake eligible ESG activities.

- II. **General** The Emergency Solutions Grant Program (ESG), formerly known as the Emergency Shelter Grant Program, is funded through the City's Neighborhood Housing and Community Development Office (NHCD), which is made available by the U.S. Department of Housing and Urban Development (HUD). The City utilizes ESG funds to provide an array of services to assist homeless persons and persons at-risk of homelessness.

The ESG program is designed to be the first step in a continuum of assistance to help clients quickly regain stability in permanent housing after experiencing a housing crisis and/or homelessness.

The City's Health and Human Services Department is responsible for the implementation of ESG in compliance with the governing regulations of the ESG program. The City's Neighborhood Housing and Community Development Office (NHCD) is responsible for the planning and administration of the ESG program. The Community Development Officer (CDO) of NHCD has the authority to establish processes, procedures, and criteria for the implementation and operation of the program, and to waive compliance with any provision of these guidelines if s/he determines that to do so does not violate any Federal, state, or local law or regulation, and is in the best interest of the City. Nothing contained, stated, or implied in this document shall be construed to limit the authority of the City to administer and carry out the program by whatever means and in whatever manner it deems appropriate.

- III. **Eligible Organizations** The subrecipient must be a unit of local government or a private, non-profit organization, as defined by the Internal Revenue Service tax code, evidenced by having a Federal identification number, filed articles of incorporation, and written organizational by-laws.
- IV. **Ineligible Organizations** An organization will not be eligible to apply for ESG funds if it meets the following conditions:
- A. Outstanding audit or monitoring findings, unless appropriately addressed by a corrective action plan;
 - B. Current appearance on the List of Suspended and Debarred Contractors;
 - C. Terms and conditions of existing contract are not in full compliance;
 - D. History of non-performance with contracts.
- V. **Matching Funds** Subrecipient organizations that receive ESG funds must provide a dollar for dollar (or 100%) match to their ESG award amount.
- A. Sources of matching funds include:
 - i. **Cash Contributions**- Cash expended for allowable costs identified in OMB Circular A-87 and A-122. *Program Income* for the ESG program can also be used as match funds.
 - ii. **Non-Cash Contributions**- The value of any real property, equipment, goods, or services.
 - B. Funds used to match a previous ESG grant may not be used to match a subsequent award.
- VI. **Eligible Activities** The following is a list of eligible activities for the ESG Program:

- A. *Street Outreach*- Support services limited to providing emergency care on the streets, including engagement, case management, emergency health and mental health services, and transportation;
- B. *Emergency Shelter*- Includes essential services, case management, child care, education, employment, outpatient health services legal services, life skills training, mental health & substance abuse services, transportation, shelter operations, and funding for hotel/motel stays under certain conditions;
- C. *Homeless Prevention*- Includes housing relocation & stabilization services and short/medium-term rental assistance for individuals/families who are at risk of homelessness;
- D. *Rapid Re-Housing*- Includes housing relocation & stabilization services and short/medium-term rental assistance to help individuals/families move quickly into permanent housing and achieve stability;
- E. *Homeless Management Information System (HMIS)* costs; and
- F. *ESG Administration* costs.

VII. **Client Eligibility**

In order to be eligible for services under the ESG program, clients must meet HUD's definition of homelessness or at-risk of homelessness, and must meet annual income guidelines for homelessness prevention activities.

A. ESG Eligibility Documentation

- i. *Homelessness Prevention*: *This program will not provide Homelessness Prevention Services.*
- ii. *Rapid Re-Housing*:
 - a. Please refer to the *Homeless Eligibility Form* (Attachment A) or the *Unaccompanied Homeless Youth Eligibility Form* (also Attachment A) for more information on documenting homelessness for ESG clients.
 - b. Subrecipient agencies must collect the required supporting documentation requested in the *Homeless Eligibility Form* or the *Unaccompanied Homeless Youth Eligibility Form* in order for clients to be considered eligible for services.
 - c. All eligibility and supporting documentation for Rapid Re-Housing clients must be maintained in each client's file.

B. Confidentiality of Client Information

- a. Subrecipients must have written client confidentiality procedures in their program policies and procedures that conform to items *b – d* below:
- b. All records containing personally identifying information of any individual or family who applies for and/or receives ESG assistance must be kept secure and confidential.
- c. The address or location of any domestic violence project assisted under ESG shall not be made public.
- d. The address or location of any housing for a program participant shall not be made public.

VIII. Types and Limits of ESG Financial Assistance

The Downtown Austin Community Court will provide rental assistance to ESG-eligible clients at the Community Court.

IX. Coordination Between Service Providers

The following list gives the types of service coordination activities to be undertaken for the ESG Program: Case management, permanent supportive housing, rapid re-housing and housing location and financial assistance.

Services will be coordinated between the downtown Austin Resource Center for the Homeless (ARCH), Downtown Austin Community Court, and in consultation with the local Continuum of Care as well as other service providers such as Austin Travis County Integral Care, Caritas of Austin, Salvation Army, Veterans Administration, Continuum of Care Permanent Supportive Housing programs and other appropriate federal, state and local service providers.

Agency	Case Management/ Supportive Services	Permanent Supportive Housing	Rapid Rehousing/ Housing Location	Direct Financial Assistance
Front Steps	X	X		
ESG Funded Programs	X		X	X
Caritas of Austin with CoC and City funding	X	X	X	X
Downtown Community Court	X		X	X
Other Continuum of Care programs	X	X		
City-funded Social Service Agencies	X	X	X	X

- X. Homeless Management Information System (HMIS)** Organizations receiving funding from the City of Austin for homelessness prevention and homeless intervention services are required to utilize the Local Homeless Management Information System (HMIS) to track and report client information for individuals who are at risk of homelessness or who are homeless. A high level of data quality is required. All ESG-funded programs will also be working with the community's Coordinated Assessment process.

REQUIREMENTS INCLUDE:

- A. "Open settings" for Uniform Data Elements (UDE) will be used for all of the program's client records in order to reduce duplication of records and improve cross-agency collaboration around client services;
- B. Data quality report(s) submitted monthly (report and minimum standards to be specified);

- C. HMIS user licenses must be purchased for staff entering data into City-funded programs (may use City funds for licenses);
- D. Participation in Annual Homeless Count, Annual Homeless Assessment Report (AHAR), and other required HUD reporting;
- E. Participation in a minimum of 6 hours of annual training for each licensed user as well as attendance at required City-sponsored training(s) regarding HMIS and CTK ODM System.

The HMIS Annual Report must identify compliance levels with all of the requirements listed above as well as any feedback regarding the HMIS system.

If HMIS data quality reports consistently fall below minimum standards, the City of Austin reserves the right to withhold payments until reporting improves to at least minimum standards.

B. ESG PROGRAM MANAGEMENT

Management and operation of approved projects is the responsibility of the Subrecipient. The Subrecipient is the entity that will receive the City contract. Therefore, the subrecipient has the overall responsibility of the project's successful completion.

- I. **Grant Subaward Process** At its discretion, the City may use a competitive Request for Application and comprehensive review process to award ESG funding to providers of services to homeless persons and persons at-risk of homelessness. Activities will be consistent with the City's Consolidated Plan, in compliance with local, state, and Federal requirements and the governing regulations for use of ESG funds, and in conformance with program standards. The City will enter into written agreements with selected subrecipients, and will work with subrecipients to ensure that project costs are reasonable, appropriate, and necessary to accomplish the goals and objectives of the City's overall ESG Program. The subrecipient must be able to clearly demonstrate the benefits to be derived by the services provided to homeless individuals, and to low-to-moderate income families. Performance measures will be established in the contract. All ESG award decisions of the City are final.
- II. **Contracting** Subrecipients must enter into a written contract with the City for performance of the project activities. Once a contract is signed, the subrecipient will be held to all agreements therein.
 - A. Members of the Subrecipient organization, volunteers, residents, or subcontractors hired by the organization may carry out activities. Subrecipients must enter into a written contract with the subcontractors carrying out all or any part of an ESG project. All subcontractors must comply with the City and Federal procurement and contracting requirements.
 - B. All contracts are severable and may be canceled by the City for convenience. Project funding is subject to the availability of ESG funds and, if applicable, City Council approval.
 - C. Amendments - Any amendments to a contract must be mutually agreed upon by the Subrecipient and the City, *in writing*. Amendment requests initiated by the Subrecipient must clearly state the effective date of the amendment, in writing. HHSD staff will determine if an amendment request is allowable. HHSD reserves the right to initiate amendments to the contract.

- D. Liability - Subrecipients shall forward Certificates of Insurance to the Health and Human Services Department within 30 calendar days after notification of the award, unless otherwise specified. The City's Risk Management Department will review and approve the liability insurance requirements for each contract. Subrecipients must maintain current insurance coverage throughout the entire contract period, as well as for any subsequent amendments or contract extensions.

IV. Recordkeeping Requirements

- A. Project Records- The Subrecipient must manage their contract and maintain records in accordance with City and Federal policies, and must be in accordance with sound business and financial management practices, which will be determined by the City. Record retention for all ESG records, including client information, is five years after the expenditure of contract funds.
- B. Client Records- The Subrecipient must maintain the following types of client records to show evidence of services provided under the ESG program:
 - i. Client Eligibility records, including documentation of Homelessness, or At-Risk of Homelessness plus income eligibility and support documentation.
 - ii. Documentation of Continuum of Care centralized or coordinated assessment (for client intake)
 - iii. Rental assistance agreements and payments, including security deposits
 - iv. Utility allowances (excludes telephone)

V. Reporting Requirements

- A. Monthly Payment Requests and Expenditure Reports shall be submitted, in a format prescribed by the City, by the 15th calendar day of the month after the reporting month's end, which identify the allowable expenditures incurred under this contract.
- B. Monthly Matching Funds Reports shall be submitted, in a format prescribed by the City, by the 15th calendar day of the month after the reporting month's end, which identify the allowable matching funds used by the Subrecipient under this contract.
- C. Quarterly performance reports shall be submitted, in a format prescribed by the City, by the 15th calendar day of the month after the quarter end, which identify the activities accomplished under this contract.
- D. The Federal ESG program year ends on September 30th. At completion of all activities, a Contract Closeout Report must be submitted within 30 days of the end of the contract. The subrecipient is required to supply such information, in such form and format as the City may require. All records and reports must be made available to any authorized City representative upon request and without prior notice.
- E. All ESG Subrecipients must use HMIS to report on clients served by the ESG program.

VI. Program Limitations

- A. *ESG Administration* costs are limited to 7.5% of the total ESG allocation.
- B. ESG Street Outreach and Emergency Shelter costs are limited to the greater of: 60% of the City's 2011-12 ESG grant -or- the amount committed to emergency shelter for the City's 2010-11 ESG allocation.

- C. Program Income - Income derived from any ESG activity must be recorded and reported to HHSD as program income. Such income may not be retained or disbursed by the subrecipient without written approval from HHSD and is subject to the same controls and conditions as the subrecipient's grant allocation.
- D. ESG funds may not be used for lobbying or for any activities designed to influence legislation at any government level.
- E. A church or religious affiliated organization must show secularism when submitting an ESG application.
- F. Any ESG funds that are unallocated after the funding cycle will be reprogrammed by HHSD. Contracts that show three (3) consecutive months of inactivity (as documented by monthly reports or non-submission of required reports) will be reviewed on a case-by-case basis, and may be irrevocably canceled.

VII. Performance Standards ESG-funded programs will report into HMIS and have a high level of data quality specified in Section A. X. Homeless Management Information Systems. HMIS data quality is reviewed quarterly by City staff. All data quality is reviewed by the ECHO HMIS Administrator.

Performance measures will be reviewed quarterly by the City of Austin Health and Human Services Department. Measures will also be reviewed annually by the local Continuum of Care decision-making body, ECHO, during the annual Consolidated Evaluation and Performance Report process.

VIII. Accessibility In order to demonstrate compliance with the Americans with Disabilities Act (ADA) and Section 504 requirements, the following statements must be added to all public notices, advertisements, program applications, program guidelines, program information brochures or packages, and any other material containing general information that is made available to participants, beneficiaries, applicants, or employees:

(English Version)

_____ *(insert the name of your organization)* as a subrecipient of the City of Austin is committed to compliance with the Americans with Disabilities Act. Reasonable modifications and equal access to communications will be provided upon request. Please call _____ *(insert your organization's phone number)* (voice) or Relay Texas at 1-800-735-2989 (TDD) for assistance.

(Spanish Version)

_____ *(insert the name of your organization)* como un subreceptor de la Ciudad de Austin se compromete a cumplir con el Decreto de los Americanos Incapacitados. Con solo solicitarlo se proveerán modificaciones e igual acceso a comunicaciones. Para información favor de llamar a _____ *(insert your organization's phone number)* (voz) o Relay Texas 1-800-735-2989 (TDD) para asistencia.

Attachment II D: Monitoring Plan

MONITORING PLAN

The goal of the City of Austin's monitoring process is to assess subrecipient/contractor performance in the areas of program, financial and administrative compliance with applicable federal, state and municipal regulations and current program guidelines. Under this plan, select programs and project activities are monitored through one or more of the following components. The City of Austin's monitoring plan consists of active contract monitoring and long-term monitoring for closed projects.

Active Contract Monitoring

Prior to executing any agreement or obligation, monitoring takes the form of a compliance review. Verification is obtained to ensure that the proposed activity to be funded has received the proper authorization through venues such as the annual Action Plan, environmental review and fund release, and identification in the Integrated Disbursement & Information System (IDIS). A contract begins with written program guidelines, documentation and tracking mechanisms that will be used to demonstrate compliance with applicable federal, state and local requirements.

For activities implemented through external programs or third-party contracts with non-profit, for-profit and community-based organizations, a solicitation may be required in the form of a comprehensive Notice of Fund Availability (NOFA or Request for Proposals (RFP) which details performance, financial and regulatory responsibilities.

1. Compliance Review prior to obligation of funds. Prior to entering into any agreement or to the obligation of entitlement funds, the City conducts a compliance review to verify that the program activity has been duly authorized. The compliance review consists of verifying and documenting that:

- The program activity has been approved as part of the Action Plan for the specified funding source and year;
- The availability of applicable funds for the specific activity;
- The activity has received environmental review and determination and fund release, as applicable;
- The service provider is not listed in the System for Award Management (SAM);
- The activity has been set up and identified in IDIS;
- The scope of work defined in the contract has adequately addressed performance, financial and tracking responsibilities necessary to report and document accomplishments; and
- The service provider has the required insurance in place.

After this information has been verified and documented, staff may proceed in obtaining authorization and utilization of entitlement funds for the activity.

2. Desk Review. Before processing an invoice for payment, staff reviews the invoice to verify that the item or service is an eligible expense and it is part of the contract budget. Staff also reviews performance reports and supporting documentation submitted with the invoice to ensure that the contractor is performing in accordance with the terms of the contract and the scope of work. This level of monitoring is performed on an ongoing basis throughout the duration of the contract.

3. Records Audit. The review at this level includes a review of all file documents as needed. A file checklist is used to determine if the required documents are present. Through the review of performance reports and other documentation submitted by the

contractor, staff is able to identify areas of concern and facilitate corrections and/or improvements. Should problems be identified, a contractor or recipient of funds may then be provided technical assistance as necessary to reach a resolution. However, if no resolution of identified problems occurs or the contractor fails to perform in accordance with the terms and conditions of the contract, the City of Austin has the authority to suspend further payments to the contractor or recipient of funds until such time that issues have been satisfactorily resolved.

4. Selected On-Site Monitoring. A risk assessment is conducted internally and is used to determine the priority of site reviews to be conducted. Based on the results of the risk assessment, a selected number of projects may be subject to an on-site review. The performance of contractors is reviewed for compliance with the program guidelines and the terms and conditions of the contract. In particular, staff verifies program administration and regulatory compliance in the following areas:

- Performance (*e.g.* meeting a national objective, conducting eligible activities, achieving contract objectives, performing scope of work activities, maintaining contract schedule, abiding by the contract budget);
- Record keeping;
- Reporting practices; and
- Compliance with applicable anti-discrimination regulations.

There will be follow-up, as necessary, to verify regulatory and program administration compliance has been achieved.

5. Contract Closeout. Once a project activity has been completed and all eligible project funds expended, the staff will require the contractor to submit a project closeout package. The contract closeout will provide documentation to confirm whether the contractor was successful in completing all performance and financial objectives of the contractor. Staff will review and ask the contractor, if necessary, to reconcile any conflicting information previously submitted. The project closeout will constitute the final report for the project. Successful completion of a project means that all project activities, requirements, and responsibilities of the contractor have been adequately addressed and completed.

Long-term Monitoring

Acceptance of funds from Neighborhood Housing and Community Development (NHCD) Office of the City of Austin, or its sub-recipient Austin Housing Finance Corporation (AHFC) obligates beneficiaries/borrowers to adhere to conditions for the term of the affordability period. NHCD is responsible for the compliance oversight and enforcement of long- or extended-term projects and financial obligations created through City-sponsored or -funded housing and community development projects. In this capacity, NHCD performs the following long-term monitoring duties:

- Performs compliance monitoring in accordance with regulatory requirements specified in the agreement;
- Reviews and verifies required information and documentation submitted by borrowers for compliance with applicable legal obligations and/or regulatory requirements; and
- Enforces and takes corrective action with nonperforming loans and/or projects deemed to be out of compliance in accordance with legal and/or regulatory terms and conditions.

Monitoring may be in the form of a desk review, on-site visit, visual or Housing Quality Standard (HQS) inspection or Uniform Physical Conditions Standards (UPCS) inspection. Technical assistance is available to assist beneficiaries/ borrowers in understanding any aspect of the contractual obligation so that performance goals are met with minimal deficiencies.

Attachment II E: Summary Funding & Production Table

NHCD OFFICE
FISCAL YEAR 2014-2015 & 2015-16 FUNDING COMPARISON - FEDERAL SOURCES

Program / Activity	Funding Source	FY 2014-15	FY 2015-16 Proposed		Funding Variance
		New Funding	New Funding	Production	
HOMELESS/SPECIAL NEEDS ASSISTANCE					
Emergency Solutions Grant					
ARCH - ESG Shelter Operating and Maintenance	ESG	313,922	313,922	2,000	-
Communicable Disease Unit - Rapid Re-Housing Program	ESG				-
Downtown Austin Court - Rapid Re-Housing Program	ESG				-
Homeless Management Information Systems	ESG	-	13,200	-	13,200
Front Steps - Rapid Re-Housing Program	ESG				-
Rapid Re-Housing Programs	ESG	248,130	248,667	123	537
Subtotal, Emergency Solutions Grant		562,052	575,789	2,123	13,737
Housing Opportunities for Persons for AIDS					
Permanent Housing Placement	HOPWA	28,344	32,702	32	4,358
Short termed supported housing assistance	HOPWA	60,327	103,694	85	43,367
Short-term rent mortgage and utilities	HOPWA	104,098	56,631	67	(47,467)
Supportive Services	HOPWA	91,109	90,969	45	(140)
Tenant-Based Rental Assistance	HOPWA	539,894	579,877	75	39,983
Facility Based Transitional Housing	HOPWA	195,522	195,662	45	140
HOPWA Housing Case Management	HOPWA	59,725	24,726	-	(34,999)
Subtotal, Housing Opportunities for Persons with AIDS		1,079,019	1,084,261	349	5,242
Child Care Services	CDBG	603,226	611,434	194	8,208
Senior Services	CDBG	20,439	20,717	30	278
Youth Support Services	CDBG	189,042	191,614	146	2,572
Subtotal, Public Services		812,707	823,765	370	11,058
Subtotal, Homeless and Special Needs		2,453,778	2,483,815	2,842	30,037
RENTER ASSISTANCE					
Tenant-Based Rental Assistance	HOME	510,300	510,300	101	-
Architectural Barrier Program - Rental	GO Bonds	-	-	-	-
	CDBG	280,000	280,000	15	-
Tenants' Rights Assistance	CDBG	234,798	237,993	511	3,195
Subtotal, Renters Assistance		1,025,098	1,028,293	627	3,195
HOMEBUYER ASSISTANCE					
Down Payment Assistance	HOME	425,000	425,000	15	-
	HOME - PI	220,000	220,000	-	-
Subtotal, Homebuyer Assistance		645,000	645,000	15	-

NHCD OFFICE
FISCAL YEAR 2014-2015 & 2015-16 FUNDING COMPARISON - FEDERAL SOURCES

Program / Activity	Funding Source	FY 2014-15	FY 2015-16 Proposed		Funding Variance
		New Funding	New Funding	Production	
HOMEOWNER ASSISTANCE					
<u>Architectural Barrier Removal - Owner</u>	CDBG	1,173,470	1,173,470	76	-
<u>Emergency Home Repair Program</u>	CDBG	1,000,000	1,000,000	417	-
<u>Homeowner Rehabilitation Loan Program</u>	HOME	648,634	648,634	4	-
	HOME - PI	50,000	50,000	1	-
	CDBG	100,000	250,000	3	150,000
	CDBG - RL	75,000	75,000	1	-
<i>Subtotal, Homeowner Rehab</i>		873,634	1,023,634	9	150,000
<u>LeadSmart Program</u>	Lead	-	-	50	-
<u>GO Repair! Program</u>	GO Bonds	2,000,000	2,000,000	-	-
Subtotal, Homeowner Assistance		5,047,104	5,197,104	552	150,000
HOUSING DEVELOPER ASSISTANCE					
<u>Rental Housing Development Assistance</u>	CDBG	461,350	515,298	3	53,948
	CDBG - PI	-	-	-	-
	HOME	-	-	-	-
	HOME - PI	50,000	50,000	1	-
	HOME (CHDO)	201,507	182,483	5	(19,024)
	HTF	630,526	669,577	-	39,051
	GO Bonds	6,062,500	6,062,500	-	-
<i>Subtotal, Rental Housing Dev Assist</i>		7,405,883	7,479,858	9	73,975
<u>Acquisition and Development</u>	CDBG	431,159	338,345	5	(92,814)
	CDBG - RL	100,000	100,000	2	-
	HOME	331,140	165,897	-	(165,243)
	HOME (CHDO)	201,507	182,483	3	(19,024)
	GO Bonds	1,937,500	1,937,500	-	-
<i>Subtotal, Acquisition and Development</i>		3,001,306	2,724,225	10	(277,081)
<u>CHDO Operating Expenses Grants</u>	HOME (CO)	100,000	75,000	3	(25,000)
Subtotal, Housing Developer Assistance		10,507,189	10,279,083	22	(228,106)

NHCD OFFICE
FISCAL YEAR 2014-2015 & 2015-16 FUNDING COMPARISON - FEDERAL SOURCES

Program / Activity	Funding Source	FY 2014-15	FY 2015-16 Proposed		Funding Variance
		New Funding	New Funding	Production	
COMMERCIAL REVITALIZATION					
Historic Preservation	CDBG	-	-	-	-
Public Facilities	CDBG	-	-	-	-
Parking Facilities	CDBG	-	-	-	-
Neighborhood Opportunity Improvement Program	CDBG	100,000	-	4	(100,000)
Choice Neighborhoods Implementation Grant	CDBG	-	-	-	-
Subtotal, Commercial Revitalization		100,000	-	4	(100,000)
SMALL BUSINESS ASSISTANCE					
Community Development Bank	CDBG	150,000	150,000	6	-
Microenterprise Technical Assistance	CDBG	200,000	200,000	31	-
Neighborhood Commercial Management	CDBG - RL	40,000	40,000	-	-
Family Business Loan Program	Section 108	-	-	52	-
Subtotal, Small Business Assistance		390,000	390,000	89	-
FINANCIAL EMPOWERMENT					
Individual Development Account Program	IDA	300,000	-	95	(300,000)
Subtotal, Financial Empowerment		300,000	-	95	(300,000)
DEBT SERVICE					
Neighborhood Commercial Mgmt., Debt Service	CDBG	-	-	-	-
	Section 108	-	-	-	-
	Section 108 - PI	168,741	169,160	-	419
East 11th and 12th Streets Revital, Debt Service	CDBG	643,209	693,835	-	50,626
	CDBG - PI	56,000	56,000	-	-
	Section 108 - PI	190,000	190,000	-	-
Subtotal, Debt Service		1,057,950	1,108,995	-	51,045

NHCD OFFICE
FISCAL YEAR 2014-2015 & 2015-16 FUNDING COMPARISON - FEDERAL SOURCES

Program / Activity	Funding Source	FY 2014-15	FY 2015-16 Proposed		Funding Variance
		New Funding	New Funding	Production	
ADMINISTRATION					
	ESG	21,654	46,685	-	25,031
	HOPWA	33,371	33,533	-	162
	CDBG	1,396,673	1,415,676	-	19,003
	CDBG - PI	14,000	14,000	-	-
	HOME	268,676	243,311	-	(25,365)
	HOME - PI	27,000	27,000	-	-
	HTF	181,068	211,399	-	30,331
Subtotal, Administration		1,942,442	1,991,604	-	49,162
TOTAL Programs, Debt Service, and Admin Cost		23,468,561	23,123,894	4,246	(344,667)

FUND SUMMARIES:

HOPWA	1,112,390	1,117,794	349	5,404
ESG	583,706	622,474	2,123	38,768
CDBG	6,983,366	7,078,382	1,441	95,016
CDBG - PI	70,000	70,000	-	-
CDBG - RL	215,000	215,000	3	-
HOME	2,183,750	1,993,142	120	(190,608)
HOME (CHDO)	403,014	364,966	8	(38,048)
HOME (CO)	100,000	75,000	3	(25,000)
HOME - PI	347,000	347,000	2	-
HTF	811,594	880,976	-	69,382
GO Bonds	10,000,000	10,000,000	-	-
Section 108 - PI	358,741	359,160	-	419
IDA	300,000	-	95	(300,000)
Lead	-	-	50	-

Attachment III: SF-424's and Certification(s)

Application for Federal Assistance SF-424		
* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application		
* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision		
* If Revision, select appropriate letter(s): <input type="text"/> * Other (Specify): <input type="text"/>		
* 3. Date Received: <input type="text"/>		4. Applicant Identifier: B-15-MC48-0500
5a. Federal Entity Identifier: <input type="text"/>		5b. Federal Award Identifier: <input type="text"/>
State Use Only:		
6. Date Received by State: <input type="text"/>		7. State Application Identifier: <input type="text"/>
8. APPLICANT INFORMATION:		
* a. Legal Name: City of Austin		
* b. Employer/Taxpayer Identification Number (EIN/TIN): 74-6000085		* c. Organizational DUNS: 9422307640000
d. Address:		
* Street1: 1000 East 11th Street		
Street2: <input type="text"/>		
* City: Austin		
County/Parish: <input type="text"/>		
* State: TX: Texas		
Province: <input type="text"/>		
* Country: USA: UNITED STATES		
* Zip / Postal Code: 78702-1945		
e. Organizational Unit:		
Department Name: NHCD		Division Name: <input type="text"/>
f. Name and contact information of person to be contacted on matters involving this application:		
Prefix: Mrs.		* First Name: Elizabeth
Middle Name: A.		
* Last Name: Spencer		
Suffix: <input type="text"/>		
Title: Director		
Organizational Affiliation: NHCD		
* Telephone Number: 512-974-3182		Fax Number: 512-974-3161
* Email: betsy.spencer@austintexas.gov		

Application for Federal Assistance SF-424

* 9. Type of Applicant 1: Select Applicant Type:

C: City or Township Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

* 10. Name of Federal Agency:

U.S. Department of Housing and Urban Development

11. Catalog of Federal Domestic Assistance Number:

14-218

CFDA Title:

Community Development Block Grants / Entitlement Grants

* 12. Funding Opportunity Number:

N/A

* Title:

N/A

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

Add Attachment

Delete Attachment

View Attachment

* 15. Descriptive Title of Applicant's Project:

Housing repair / rehabilitation, acquisition, economic development, public services, planning and administration of HUD programs.

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

Application for Federal Assistance SF-424

16. Congressional Districts Of:

* a. Applicant

TX-010

* b. Program/Project

TX-010

Attach an additional list of Program/Project Congressional Districts if needed.

Add Attachment

Delete Attachment

View Attachment

17. Proposed Project:

* a. Start Date:

10/01/2015

* b. End Date:

09/30/2016

18. Estimated Funding (\$):

* a. Federal

7,078,382.00

* b. Applicant

0.00

* c. State

0.00

* d. Local

0.00

* e. Other

215,000.00

* f. Program Income

70,000.00

* g. TOTAL

7,363,382.00

* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?

☐

a. This application was made available to the State under the Executive Order 12372 Process for review on

☒

b. Program is subject to E.O. 12372 but has not been selected by the State for review.

☐

c. Program is not covered by E.O. 12372.

* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)

☐

Yes

☒

No

If "Yes", provide explanation and attach

Add Attachment

Delete Attachment

View Attachment

21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)

☒ ** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix:

Mrs.

* First Name:

Elizabeth

Middle Name:

A.

* Last Name:

Spencer

Suffix:

* Title:

Director

* Telephone Number:

512-974-3182

Fax Number:

* Email:

betsy.spencer@austintexas.gov

* Signature of Authorized Representative:



* Date Signed:

08/11/2015

Application for Federal Assistance SF-424*** 1. Type of Submission:**

- ☐ Preapplication
☒ Application
☐ Changed/Corrected Application

*** 2. Type of Application:**

- ☒ New
☐ Continuation
☐ Revision

*** If Revision, select appropriate letter(s):***** Other (Specify):***** 3. Date Received:****4. Applicant Identifier:**

M-15-MC-48-0500

5a. Federal Entity Identifier:**5b. Federal Award Identifier:****State Use Only:****6. Date Received by State:****7. State Application Identifier:****8. APPLICANT INFORMATION:***** a. Legal Name:**

City of Austin

*** b. Employer/Taxpayer Identification Number (EIN/TIN):**

74-6000085

*** c. Organizational DUNS:**

9422307640000

d. Address:*** Street1:**

1000 East 11th Street

Street2:*** City:**

Austin

County/Parish:*** State:**

TX: Texas

Province:*** Country:**

USA: UNITED STATES

*** Zip / Postal Code:**

78702-1945

e. Organizational Unit:**Department Name:**

NHCD

Division Name:**f. Name and contact information of person to be contacted on matters involving this application:****Prefix:**

Mrs.

*** First Name:**

Elizabeth

Middle Name:

A.

*** Last Name:**

Spencer

Suffix:**Title:**

Director

Organizational Affiliation:

NHCD

*** Telephone Number:**

512-974-3182

Fax Number:

512-974-3161

*** Email:**

betsy.spencer@austintexas.gov

Application for Federal Assistance SF-424

* 9. Type of Applicant 1: Select Applicant Type:

C: City or Township Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

* 10. Name of Federal Agency:

U.S. Department of Housing and Urban Development

11. Catalog of Federal Domestic Assistance Number:

14-239

CFDA Title:

HOME Investment Partnerships Program

* 12. Funding Opportunity Number:

N/A

* Title:

N/A

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

Add Attachment

Delete Attachment

View Attachment

* 15. Descriptive Title of Applicant's Project:

Housing rehabilitation / home construction, down payment assistance, rental housing development, housing development for Community Housing Development Organizations and administration of HUD programs.

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

Application for Federal Assistance SF-424**16. Congressional Districts Of:**

* a. Applicant

TX-010

* b. Program/Project

TX-010

Attach an additional list of Program/Project Congressional Districts if needed.

Add Attachment

Delete Attachment

View Attachment

17. Proposed Project:

* a. Start Date:

10/01/2015

* b. End Date:

09/30/2016

18. Estimated Funding (\$):

* a. Federal	2,433,108.00
* b. Applicant	0.00
* c. State	0.00
* d. Local	0.00
* e. Other	0.00
* f. Program Income	347,000.00
* g. TOTAL	2,780,108.00

*** 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**☐ a. This application was made available to the State under the Executive Order 12372 Process for review on☒ b. Program is subject to E.O. 12372 but has not been selected by the State for review.☐ c. Program is not covered by E.O. 12372.*** 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**☐ Yes☒ No

If "Yes", provide explanation and attach

Add Attachment

Delete Attachment

View Attachment

21. *By signing this application, I certify (1) to the statements contained in the list of certifications and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

☒ ** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix:

Mrs.

* First Name:

Elizabeth

Middle Name:

* Last Name:

Spencer

Suffix:

* Title:

Director

* Telephone Number:

512-974-3182

Fax Number:

* Email:

betsy.spencer@austintexas.gov

* Signature of Authorized Representative:



* Date Signed:

08/11/2015

Application for Federal Assistance SF-424*** 1. Type of Submission:**

- ☐ Preapplication
☒ Application
☐ Changed/Corrected Application

*** 2. Type of Application:**

- ☒ New
☐ Continuation
☐ Revision

*** If Revision, select appropriate letter(s):***** Other (Specify):***** 3. Date Received:****4. Applicant Identifier:**

E-15-MC-48-0500

5a. Federal Entity Identifier:**5b. Federal Award Identifier:****State Use Only:****6. Date Received by State:****7. State Application Identifier:****8. APPLICANT INFORMATION:***** a. Legal Name:**

City of Austin

*** b. Employer/Taxpayer Identification Number (EIN/TIN):**

74-6000085

*** c. Organizational DUNS:**

9422307640000

d. Address:*** Street1:**

1000 East 11th Street

Street2:*** City:**

Austin

County/Parish:*** State:**

TX: Texas

Province:*** Country:**

USA: UNITED STATES

*** Zip / Postal Code:**

78702-1945

e. Organizational Unit:**Department Name:**

NHCD

Division Name:**f. Name and contact information of person to be contacted on matters involving this application:****Prefix:**

Mrs.

*** First Name:**

Elizabeth

Middle Name:

A.

*** Last Name:**

Spencer

Suffix:**Title:**

Director

Organizational Affiliation:

NHCD

*** Telephone Number:**

512-974-3182

Fax Number:

512-974-3161

*** Email:**

betsy.spencer@austintexas.gov

Application for Federal Assistance SF-424

* 9. Type of Applicant 1: Select Applicant Type:

C: City or Township Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

* 10. Name of Federal Agency:

U.S. Department of Housing and Urban Development

11. Catalog of Federal Domestic Assistance Number:

14-231

CFDA Title:

Emergency Solutions Grant

* 12. Funding Opportunity Number:

N/A

* Title:

N/A

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

Add Attachment

Delete Attachment

View Attachment

* 15. Descriptive Title of Applicant's Project:

Provision of shelter and supportive services for persons experiencing homelessness and families, homeless prevention, housing relocation and stabilization, information management, and administration.

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

Application for Federal Assistance SF-424**16. Congressional Districts Of:**

* a. Applicant TX-010

* b. Program/Project TX-010

Attach an additional list of Program/Project Congressional Districts if needed.

Add Attachment

Delete Attachment

View Attachment

17. Proposed Project:

* a. Start Date: 10/01/2015

* b. End Date: 09/30/2016

18. Estimated Funding (\$):

* a. Federal	622,474.00
* b. Applicant	0.00
* c. State	0.00
* d. Local	0.00
* e. Other	35,000.00
* f. Program Income	0.00
* g. TOTAL	657,474.00

*** 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**☐ a. This application was made available to the State under the Executive Order 12372 Process for review on☒ b. Program is subject to E.O. 12372 but has not been selected by the State for review.☐ c. Program is not covered by E.O. 12372.*** 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**☐ Yes☒ No

If "Yes", provide explanation and attach

Add Attachment

Delete Attachment

View Attachment

21. *By signing this application, I certify (1) to the statements contained in the list of certifications and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

☒ ** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix: Mrs. * First Name: Elizabeth

Middle Name:

* Last Name: Spencer

Suffix:

* Title: Director

* Telephone Number: 512-974-3182

Fax Number:

* Email: betsy.spencer@austintexas.gov

* Signature of Authorized Representative:



* Date Signed: 08/11/2015

Application for Federal Assistance SF-424		
* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application		
* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision		
* If Revision, select appropriate letter(s): <input type="text"/> * Other (Specify): <input type="text"/>		
* 3. Date Received: <input type="text"/>		4. Applicant Identifier: TXH-15-F004
5a. Federal Entity Identifier: <input type="text"/>		5b. Federal Award Identifier: <input type="text"/>
State Use Only:		
6. Date Received by State: <input type="text"/>		7. State Application Identifier: <input type="text"/>
8. APPLICANT INFORMATION:		
* a. Legal Name: City of Austin		
* b. Employer/Taxpayer Identification Number (EIN/TIN): 74-6000085		* c. Organizational DUNS: 9422307640000
d. Address:		
* Street1: 1000 East 11th Street		
Street2: <input type="text"/>		
* City: Austin		
County/Parish: <input type="text"/>		
* State: TX: Texas		
Province: <input type="text"/>		
* Country: USA: UNITED STATES		
* Zip / Postal Code: 78702-1945		
e. Organizational Unit:		
Department Name: NHCD		Division Name: <input type="text"/>
f. Name and contact information of person to be contacted on matters involving this application:		
Prefix: Mrs.	* First Name: Elizabeth	
Middle Name: A.		
* Last Name: Spencer		
Suffix: <input type="text"/>		
Title: Director		
Organizational Affiliation: NHCD		
* Telephone Number: 512-974-3182		Fax Number: 512-974-3161
* Email: betsy.spencer@austintexas.gov		

Application for Federal Assistance SF-424

* 9. Type of Applicant 1: Select Applicant Type:

C: City or Township Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

* 10. Name of Federal Agency:

U.S. Department of Housing and Urban Development

11. Catalog of Federal Domestic Assistance Number:

14-241

CFDA Title:

Housing Opportunities for Persons with AIDS

* 12. Funding Opportunity Number:

N/A

* Title:

N/A

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

Add Attachment

Delete Attachment

View Attachment

* 15. Descriptive Title of Applicant's Project:

Provision of rent, mortgage, utility assistance, supportive services, planning and administration of HUD programs.

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

Application for Federal Assistance SF-424**16. Congressional Districts Of:**

* a. Applicant

TX-010

* b. Program/Project

TX-010

Attach an additional list of Program/Project Congressional Districts if needed.

Add Attachment

Delete Attachment

View Attachment

17. Proposed Project:

* a. Start Date:

10/01/2015

* b. End Date:

09/30/2016

18. Estimated Funding (\$):

* a. Federal	1,117,794.00
* b. Applicant	0.00
* c. State	0.00
* d. Local	0.00
* e. Other	0.00
* f. Program Income	0.00
* g. TOTAL	1,117,794.00

*** 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**☐ a. This application was made available to the State under the Executive Order 12372 Process for review on☒ b. Program is subject to E.O. 12372 but has not been selected by the State for review.☐ c. Program is not covered by E.O. 12372.*** 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**☐ Yes☒ No

If "Yes", provide explanation and attach

Add Attachment

Delete Attachment

View Attachment

21. *By signing this application, I certify (1) to the statements contained in the list of certifications and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

☒ ** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix:

Mrs.

* First Name:

Elizabeth

Middle Name:

* Last Name:

Spencer

Suffix:

* Title:

Director

* Telephone Number:

512-974-3182

Fax Number:

* Email:

betsy.spencer@austintexas.gov

* Signature of Authorized Representative:



* Date Signed:

08/11/2015

CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing -- The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential antidisplacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

Anti-Lobbying -- To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction -- The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan -- The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.


Signature/Authorized Official

Date

8/12/15

Specific CDBG Certifications

The Entitlement Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570)

Following a Plan -- It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

1. Maximum Feasible Priority. With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available);
2. Overall Benefit. The aggregate use of CDBG funds including section 108 guaranteed loans during program year(s) 2015 , 2016 (a period specified by the grantee consisting of one, two, or three specific consecutive program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
3. Special Assessments. It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its

jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and

2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

Compliance With Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

Lead-Based Paint -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, subparts A, B, J, K and R;

Compliance with Laws -- It will comply with applicable laws.


Signature/Authorized Official


Date


Title

Specific HOME Certifications

The HOME participating jurisdiction certifies that:

Tenant Based Rental Assistance -- If the participating jurisdiction intends to provide tenant-based rental assistance:

The use of HOME funds for tenant-based rental assistance is an essential element of the participating jurisdiction's consolidated plan for expanding the supply, affordability, and availability of decent, safe, sanitary, and affordable housing.

Eligible Activities and Costs -- it is using and will use HOME funds for eligible activities and costs, as described in 24 CFR § 92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in § 92.214.

Appropriate Financial Assistance -- before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing;


Signature/Authorized Official


Date


Title

ESG Certifications

The Emergency Solutions Grants Program Recipient certifies that:

Major rehabilitation/conversion – If an emergency shelter's rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation. If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion. In all other cases where ESG funds are used for renovation, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation.

Essential Services and Operating Costs – In the case of assistance involving shelter operations or essential services related to street outreach or emergency shelter, the jurisdiction will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the jurisdiction serves the same type of persons (e.g., families with children, unaccompanied youth, disabled individuals, or victims of domestic violence) or persons in the same geographic area.

Renovation – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

Supportive Services – The jurisdiction will assist homeless individuals in obtaining permanent housing, appropriate supportive services (including medical and mental health treatment, victim services, counseling, supervision, and other services essential for achieving independent living), and other Federal State, local, and private assistance available for such individuals.

Matching Funds – The jurisdiction will obtain matching amounts required under 24 CFR 576.201.

Confidentiality – The jurisdiction has established and is implementing procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

Homeless Persons Involvement – To the maximum extent practicable, the jurisdiction will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG program, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted under the program.

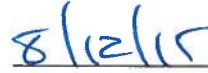
Consolidated Plan – All activities the jurisdiction undertakes with assistance under ESG are consistent with the jurisdiction's consolidated plan.

Discharge Policy – The jurisdiction will establish and implement, to the maximum extent practicable and where appropriate policies and protocols for the discharge of persons from

publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.



Signature/Authorized Official



Date



Title

HOPWA Certifications

The HOPWA grantee certifies that:

Activities -- Activities funded under the program will meet urgent needs that are not being met by available public and private sources.

Building -- Any building or structure assisted under that program shall be operated for the purpose specified in the plan:

1. For at least 10 years in the case of assistance involving new construction, substantial rehabilitation, or acquisition of a facility,
2. For at least 3 years in the case of assistance involving non-substantial rehabilitation or repair of a building or structure.


Signature/Authorized Official


Date


Title

APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING:

A. Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.



The City of Austin is committed to compliance with the Americans with Disabilities Act (ADA) and Section 504 of the Rehabilitation Act of 1973, as amended and does not discriminate on the basis of disability in the admission or access to, or treatment or employment in, its programs and activities. Reasonable modifications and equal access to communications will be provided upon request. For assistance please call 512-974-2210 OR 512-974-2445 TDD.