

## Chapter 2

### Financial Reports

# City of Austin Fiscal Year 2010-11 CAPER

## Chapter 2: Financial Reports

### FEDERAL FUNDING SOURCES

The following section outlines funding sources in used in FY 2010-11.

#### **Community Development Block Grant**

The Community Development Block Grant (CDBG) Program is authorized under Title I of the Housing and Community Development Act of 1974 as amended. The primary objective of CDBG is the development of viable communities by:

- Providing decent housing.
- Providing a suitable living environment.
- Expanding economic opportunities.

To achieve these goals, any activity funded with CDBG must meet one of three national objectives:

- Benefit low- and moderate-income persons.
- Aid in the prevention of slums or blight.
- Meet a particular urgent need.

#### ***Revolving Loan Fund***

A Revolving Loan Fund (CDBG – Rev. Loan) is a dedicated source of funds to a particular activity from which loans are made for housing or small business development projects. Once loans are repaid to the City, the funds revolve back into the original activity as available new funding.

#### **HOME Investment Partnerships Program**

The HOME Program was created by the National Affordable Housing Act of 1990 (NAHA), and has been amended several times by subsequent legislation. The three objectives of the HOME Program are:

- Expand the supply of decent, safe, sanitary, and affordable housing to very low- and low-income individuals.
- Mobilize and strengthen the ability of state and local governments to provide decent, safe, sanitary, and affordable housing to very low- and low-income individuals.
- Leverage private sector participation and expand the capacity of non-profit housing providers.

#### ***HOME CHDO***

Community Housing Development Organizations (CHDOs) are non-profit housing providers whose organizational mission includes the development of affordable housing for low- and moderate-income households. The City of Austin must set aside a minimum of 15 percent of the HOME allocation for housing development activities in which qualified CHDOs are the owners, developers, and/or sponsors of the housing.

#### ***HOME CHDO Operating Expenses Grants***

HOME CHDO Operating (HOME CO) funds are provided to support the CHDOs organization's operations. The funds are provided as grants based on need and are

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used for reasonable and necessary operational costs of a CHDO. These funds are not part of the 15 percent set aside for CHDOs.

### **Emergency Shelter Grant**

The Emergency Shelter Grants (ESG) Program awards grants for the rehabilitation or conversion of buildings into homeless shelters. It also funds certain related social service, operating expenses, homeless prevention activities, and administrative costs. ESG supplements state, local, and private efforts to improve the quality and number of emergency homeless shelters.

### **Housing Opportunity for Persons with AIDS**

The Housing Opportunity for Persons with AIDS (HOPWA) Program was established by the U.S. Department of Housing and Urban Development (HUD) to address the specific needs of low-income persons living with HIV/AIDS and their families. HOPWA makes grants to local communities, states, and non-profit organizations. HOPWA funds provide housing assistance and related supportive services in partnership with communities and neighborhoods.

### **Program Income**

#### ***CDBG Program Income***

CDBG Program Income (CDBG PI) is the gross income received by the City of Austin directly generated by the use of CDBG funds. Program income is available to activities that do not have established revolving loan funds.

#### ***HOME Program Income***

HOME Program Income (HOME PI) is the gross income received by the City of Austin directly generated by the use of HOME funds.

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### ADDITIONAL GRANT FUNDING SOURCES

#### Section 108

The Section 108 Loan Guarantee Program offers local governments a source of financing for economic development, large-scale public facility projects, and public infrastructure for CDBG eligible activities. HUD sells bonds on the private market and uses the proceeds to fund Section 108 loans to local governments. The local government's future CDBG allocations and other resources must be pledged as security for repayment of the loan to HUD.

#### Lead Hazard Control Grant

HUD, under the Healthy Homes and Lead Hazard Control Program, awards funds to local governments to develop lead-based paint awareness and inspection programs for homes built prior to 1978. Even though lead-based paint was banned for residential use in 1978, HUD estimates that 24 million homes across the United States still have significant lead-based paint hazards.

The City of Austin applied for an additional 3-year Lead Hazard Control Grant under the Healthy Homes and Lead Hazard Control Program in FY 2010-11 and HUD awarded the City a \$2.5 million grant to evaluate 180 homes for the presence of lead. The money directs critical funds to cities, counties and states to eliminate dangerous lead paint hazards in thousands of privately-owned, low-income housing units. Grant funds will also be used to conduct outreach and education.

#### Economic Development Initiative II and III

HUD funds several Economic Development Initiative (EDI) Grants. The City receives competitive EDI funds to provide façade improvements grants to local businesses in East Austin.

#### Federal Stimulus Funds

The City received \$7.6 million in stimulus funds in FY 2008-09, in response to applications submitted to the U.S. Department of Housing and Urban Development (HUD) and the Texas Department of Housing and Community Affairs (TDHCA). Funding related to the American Recovery and Reinvestment Act (ARRA) released by HUD is in the form of one-time grants for the purpose of stimulating the economy. The Housing and Economic Recovery Act (HERA) offers funding to address home foreclosures at the local level.

Although it is not required for the ARRA funds or HERA funds to be reported in a participating jurisdiction's Consolidated Annual Performance and Evaluation Reports (CAPER) and Action Plans, the City of Austin is committed to reporting all sources of funds in its federal and local funding reports. The stimulus funds awarded in FY 2008-09 include:

#### ***Community Development Block Grant Recovery*** - \$2 million

Under the American Recovery and Reinvestment Act (ARRA), the Community Development Block Grant Recovery (CDBG-R) program enables local governments to undertake a wide range of activities intended to create suitable living environments, provide decent affordable housing and create economic opportunities, primarily for persons of low and moderate income.

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### ***Homeless Prevention and Rapid Re-Housing Program*** - \$3.1 million

The Homeless Prevention and Rapid Re-housing Program, which is administered by the Austin/Travis County Health and Human Services Department (HHSD), has three primary goals: 1) Prevention: Prevent people from becoming homeless whenever possible; 2) Diversion: Intervene when people enter shelters and divert them into housing; and 3) Rapid Re-Housing: Move people who become homeless into permanent housing as quickly as possible.

### ***Housing and Economic Recovery Act Funding*** - \$2.5 million

Neighborhood Stabilization Program (NSP) funds are established by the Housing and Economic Recovery Act (HERA) of 2008 with the goal of creating permanent affordability rental opportunities. These federal funds are distributed by the Texas Department of Housing and Community Affairs (TDHCA).

For an update on Stimulus activities, visit Chapter 6.

# City of Austin Fiscal Year 2010-11 CAPER

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### CITY OF AUSTIN LOCAL FUNDING SOURCES

#### **Sustainability Fund**

The Sustainability Fund was created in FY 2000-01 to provide resources for projects that will help the City of Austin build a sustainable economic, environmental, and equitable infrastructure. The Sustainability Fund is generated from transfers from the City's major enterprise operating funds, including Solid Waste Services (SWS), Drainage Utility, Transportation, and the Austin Water Utility (AWU) funds.

#### **General Obligation (G.O.) Bonds**

City of Austin voters approved a \$55 million bond package for affordable housing in November 2006. The bonds were apportioned into \$33 million for rental and \$22 million for homeownership programs over seven years. The City has obligated about 90 percent of the G.O. Bond funds and anticipates committing the remaining funds in FY 2011-12.

#### **Austin Energy - Holly Good Neighbor Program**

The Holly Good Neighbor program provides repairs to properties surrounding the former Holly Power Plant area. Austin Energy funds the program, and it is administered and facilitated by Austin Housing Finance Corporation (AHFC).

#### **University Neighborhood Overlay (UNO) Housing Trust Fund**

The University Neighborhood Overlay (UNO) Housing Trust Fund generates funding for the development of new affordable housing in a targeted near the University of Texas. The City Council adopted the University Neighborhood Overlay (UNO) District Housing Trust Fund in FY 2004-05. The fund was established as part of the UNO zoning district, which was created to implement some of the goals, including affordable housing, in the Combined Central Austin Neighborhood Plan.

#### **S.M.A.R.T. Housing™ Capital Improvement Funds**

S.M.A.R.T. Housing™ Capital Improvement Funds (GF-CIP) are City of Austin funds used to construct affordable housing.

#### **Housing Trust Fund**

The Austin City Council dedicates 40 percent of all City property tax revenues from developments built on City owned lands to affordable housing. In FY 1999-2000, the Austin City Council pledged \$1 million annually for three years to establish a Housing Trust Fund (HTF).

#### **Housing Assistance Fund**

The Austin Housing Finance Corporation (AHFC) issued \$10 million in Residual Value Revenue Bonds in 1988 from its 1980 Single-Family Bond Issue. The purpose of the issue was to create an income stream that can be used to support activities providing housing assistance to persons and families of low- and moderate-income. Bond proceeds of \$7.5 million were deposited into the Housing Assistance Fund.

## CAPER Budget – Federal Sources

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FY 2010-11 CAPER BUDGET - FEDERAL FUNDING SOURCES							
	Funding Source	FY 2010-11 Estimated Beginning Balance	FY 2010-11 Appropriation	FY 2010-11 Total Amount Available	Expenditures	Encumbrance	FY 2011-12 Estimated Beginning Balance
<b>HOMELESS/SPECIAL NEEDS ASSISTANCE</b>							
<i>Homeless/Emergency Shelter</i>							
Shelter Operation and Maintenance	ESG	-	261,689	261,689	251,411	10,278	-
Homeless Essential Services:	ESG	-	52,233	52,233	52,233	-	-
<i>Subtotal, Homeless/Emergency Shelter</i>		-	313,922	313,922	303,644	10,278	-
<i>Housing Opportunities for Persons for AIDS</i>							
Rent, Mortgage, and Utility Assistance	HOPWA	-	138,779	138,779	138,779	-	-
Tenant-Based Rental Assistance	HOPWA	-	543,511	543,511	543,511	-	-
Permanent Housing Placement	HOPWA	-	61,112	61,112	61,112	-	-
Short-Term Housing Assistance	HOPWA	-	39,785	39,785	39,785	-	-
Transitional Housing	HOPWA	-	191,855	191,855	191,855	-	-
Supportive Services	HOPWA	-	95,767	95,767	95,767	-	-
<i>Subtotal, HOPWA</i>		-	1,070,809	1,070,809	1,070,809	-	-
Child Care Services	CDBG	96,585	650,000	746,585	680,650	-	65,935
	CDBG-PI	-	9,488	9,488	9,488	-	-
Senior Services	CDBG	-	21,781	21,781	21,781	-	-
Youth Support Services	CDBG	-	203,700	203,700	203,700	-	-
<b>Subtotal, Homeless/Special Needs Assistance</b>		<b>96,585</b>	<b>2,269,700</b>	<b>2,366,285</b>	<b>2,290,072</b>	<b>10,278</b>	<b>65,935</b>
<b>RENTER ASSISTANCE</b>							
Tenant-Based Rental Assistance	HOME	106,200	510,300	616,500	296,972	213,328	106,200
Tenants' Rights Assistance	CDBG	-	253,005	253,005	253,005	-	-
<b>Subtotal, Renter Assistance</b>		<b>106,200</b>	<b>763,305</b>	<b>869,505</b>	<b>549,977</b>	<b>213,328</b>	<b>106,200</b>
<b>HOMEBUYER ASSISTANCE</b>							
Down Payment Assistance	CDBG	-	11,500	11,500	-	-	11,500
	HOME	351,692	1,279,279	1,630,971	96,357	9,540	1,525,074
	HOME-PI	-	235,539	235,539	235,539	-	-
<b>Subtotal, Homebuyer Assistance</b>		<b>351,692</b>	<b>1,526,318</b>	<b>1,878,010</b>	<b>331,896</b>	<b>9,540</b>	<b>1,536,574</b>
<b>HOMEOWNER ASSISTANCE</b>							
Architectural Barrier Removal Program	CDBG	102,848	1,367,210	1,470,058	1,409,775	-	60,283
Emergency Home Repair Program	CDBG	192,813	1,000,000	1,192,813	995,651	40,351	156,811
Homeowner Rehabilitation Loan Program	CDBG	700	41,170	41,870	150	-	41,720
	CDBG-RL	230,663	10,596	241,259	22,098	-	219,161
	HOME	570,839	1,198,995	1,769,834	649,604	24,351	1,095,879
	HOME-PI	-	40,614	40,614	40,614	-	-
<i>Subtotal, HRLP</i>		802,202	1,291,375	2,093,577	712,466	24,351	1,356,760
Lead Hazard Control Grant - Healthy Homes	LEAD	1,046,883	-	1,046,883	321,740	-	725,143
<b>Subtotal, Homeowner Assistance</b>		<b>2,144,746</b>	<b>3,658,585</b>	<b>5,803,331</b>	<b>3,439,632</b>	<b>64,702</b>	<b>2,298,997</b>
<b>HOUSING DEVELOPER ASSISTANCE</b>							
Rental Housing Development Assistance	CDBG	1,110,379	176,432	1,286,811	1,857	1,104,209	180,745
	HOME	2,209,421	-	2,209,421	163,332	39,182	2,006,907
	HOME-PI	-	43,121	43,121	-	-	43,121
	HOME (CHDO)	1,285,288	529,742	1,815,030	1,761,030	23,721	30,279
<i>Subtotal, RHDA</i>		4,605,088	749,295	5,354,383	1,926,219	1,167,112	2,261,052
Acquisition and Development	CDBG	2,660,261	76,032	2,736,293	1,220	31,383	2,703,690
	CDBG-RL	48,473	19,744	68,217	9,685	-	58,532
	HOME	1,724,014	190,239	1,914,253	144,356	5,103	1,764,794
	HOME-PI	-	94,904	94,904	-	-	94,904
	HOME (CHDO)	332,665	150,031	482,696	70,692	136,313	275,691
<i>Subtotal, Acquisition and Development</i>		4,765,413	530,950	5,296,363	225,953	172,799	4,897,611
CHDO Operating Expenses Grants	HOME (CO)	4,950	220,050	225,000	192,359	-	32,641
<b>Subtotal, Housing Developer Assistance</b>		<b>9,375,451</b>	<b>1,500,295</b>	<b>10,875,746</b>	<b>2,344,531</b>	<b>1,339,911</b>	<b>7,191,304</b>
<b>COMMERCIAL REVITALIZATION</b>							
East 11th and 12th Streets Revitalization	CDBG	274,903	-	274,903	207,192	57,598	10,113
Acquisition and Development	EDIII	147,000	-	147,000	-	-	147,000
<i>Subtotal, Acquisition and Development</i>		421,903	-	421,903	207,192	57,598	157,113
Historic Preservation	CDBG	325,150	-	325,150	-	3,570	321,580
Public Facilities	CDBG	2,288,850	37,143	2,325,993	18,955	1,988,232	318,806
	EDI II	136,717	-	136,717	-	97,037	39,680
<i>Subtotal, Public Facilities</i>		2,425,567	37,143	2,462,710	18,955	2,085,269	358,486
Parking Facilities	CDBG	279,410	-	279,410	14,714	5,679	259,017
<b>Subtotal, Commercial Revitalization</b>		<b>3,452,030</b>	<b>37,143</b>	<b>3,489,173</b>	<b>240,861</b>	<b>2,152,116</b>	<b>1,096,196</b>
<b>SMALL BUSINESS ASSISTANCE</b>							
Community Development Bank	CDBG	-	150,000	150,000	150,000	-	-
Microenterprise Technical Assistance	CDBG	-	200,000	200,000	200,000	-	-
Neighborhood Commercial Management	CDBG	235,308	-	235,308	-	-	235,308
	CDBG-RL	362,074	94,498	456,572	-	-	456,572
	Section 108	594,846	-	594,846	-	-	594,846
<i>Subtotal, Neighborhood Commercial Mgmt</i>		1,192,228	94,498	1,286,726	-	-	1,286,726
<b>Subtotal, Small Business Assistance</b>		<b>1,192,228</b>	<b>444,498</b>	<b>1,636,726</b>	<b>350,000</b>	<b>-</b>	<b>1,286,726</b>



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FY 2010-11 CAPER BUDGET - FEDERAL FUNDING SOURCES							
	Funding Source	FY 2010-11 Estimated Beginning Balance	FY 2010-11 Appropriation	FY 2010-11 Total Amount Available	Expenditures	Encumbrance	FY 2011-12 Estimated Beginning Balance
<b>DEBT SERVICE</b>							
ARCH Homeless Shelter, Debt	Section 108	6,721	-	6,721	6,721	-	-
	CDBG	-	524,664	524,664	457,275	-	67,389
	CDBG-PI	-	60,667	60,667	60,667	-	-
<i>Subtotal, ARCH Homeless Shelter, Debt</i>		6,721	585,331	592,052	524,663	-	67,389
NCMP, Debt	CDBG	134,222	140,524	274,746	61,247	-	213,499
	Section 108	15,101	124,807	139,908	108,381	-	31,527
<i>Subtotal, NCMP, Debt</i>		149,323	265,331	414,654	169,628	-	245,026
East 11th and 12th Streets Revital., Debt	CDBG	257,090	905,351	1,162,441	863,420	-	299,021
	Section 108	28,317	13,615	41,932	41,932	-	-
<i>Subtotal, East 11th and 12th Revital., Debt</i>		285,407	918,966	1,204,373	905,352	-	299,021
Millennium Youth Center, Debt	CDBG	-	767,207	767,207	602,887	-	164,320
<b>Subtotal, Debt Service</b>		<b>441,451</b>	<b>2,536,835</b>	<b>2,978,286</b>	<b>2,202,530</b>	<b>-</b>	<b>775,756</b>
<b>STIMULUS FUNDS</b>							
<i>Homeless Prevention and Rapid-Rehousing Program</i>							
Financial Assistance	HPRP	1,206,142	-	1,206,142	1,184,321	21,821	-
Housing Relocation and Stabilization	HPRP	476,997	-	476,997	432,454	44,543	-
Data Collection and Evaluation	HPRP	51,380	-	51,380	41,077	3,675	6,628
Administration	HPRP	78,096	-	78,096	76,273	1,823	-
<i>Subtotal, HPRP</i>		1,812,615	-	1,812,615	1,734,125	71,862	6,628
<i>Community Development Block Grant - Recovery</i>							
East Austin Youth and Family Center	CDBG-R	-	-	-	-	-	-
Center for Economic Opportunity	CDBG-R	116,285	-	116,285	116,285	-	-
Afr. Amer. Cultural & Heritage Facility	CDBG-R	552,703	-	552,703	258,614	294,089	-
East Austin Sidewalks	CDBG-R	40,116	-	40,116	31,243	7,944	929
Administration	CDBG-R	116,619	-	116,619	116,619	-	-
<i>Subtotal, CDBG-R</i>		825,723	-	825,723	522,761	302,033	929
<i>Neighborhood Stabilization Program</i>	NSP	1,538,871	-	1,538,871	1,353,754	40,164	144,953
<b>Subtotal, Stimulus</b>		<b>4,177,209</b>	<b>-</b>	<b>4,177,209</b>	<b>3,610,640</b>	<b>414,059</b>	<b>152,510</b>
<b>ADMINISTRATION</b>							
	CDBG	74,631	1,631,429	1,706,060	1,631,429	-	74,631
	CDBG-PI	-	15,167	15,167	15,167	-	-
	HOME	25,757	453,181	478,938	453,181	-	25,757
	HOME-PI	-	45,995	45,995	45,995	-	-
	ESG	-	16,522	16,522	16,522	-	-
	HOPWA	-	33,118	33,118	33,118	-	-
<b>Subtotal, Administration</b>		<b>100,388</b>	<b>2,195,412</b>	<b>2,295,800</b>	<b>2,195,412</b>	<b>-</b>	<b>100,388</b>
<b>TOTAL, Programs, Debt Service, and Admin Cost</b>		<b>21,437,980</b>	<b>14,932,091</b>	<b>36,370,071</b>	<b>17,555,551</b>	<b>4,203,934</b>	<b>14,610,586</b>

## CAPER Budget – All Sources

City of Austin Fiscal Year 2010-11 CAPER  
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FY 2010-11 CAPER BUDGET & ACCOMPLISHMENTS - ALL FUNDING SOURCES								
	Funding Source	FY 2010-11 Estimated Beginning Balance	FY 2010-11 Appropriation	FY 2010-11 Total Amount Available	Expenditures	Encumbrance	FY 2011-12 Estimated Beginning Balance	FY 2010-11 Accomplishments
<b>HOMELESS/SPECIAL NEEDS ASSISTANCE</b>								
<i>Homeless/Emergency Shelter</i>								
Shelter Operation and Maintenance	ESG	-	261,689	261,689	251,411	10,278	-	8,947
Homeless Essential Services:	ESG	-	52,233	52,233	52,233	-	-	41
<i>Subtotal, Homeless/Emergency Shelter</i>		-	313,922	313,922	303,644	10,278	-	8,988
<i>Housing Opportunities for Persons for AIDS</i>								
Short-Term Rent, Mortgage, and Utility	HOPWA	-	138,779	138,779	138,779	-	-	147
Tenant-Based Rental Assistance	HOPWA	-	543,511	543,511	543,511	-	-	147
Permanent Housing Placement	HOPWA	-	61,112	61,112	61,112	-	-	52
Short-Term Housing Assistance	HOPWA	-	39,785	39,785	39,785	-	-	38
Transitional Housing	HOPWA	-	191,855	191,855	191,855	-	-	49
Supportive Services	HOPWA	-	95,767	95,767	95,767	-	-	49
<i>Subtotal, HOPWA</i>		-	1,070,809	1,070,809	1,070,809	-	-	482
Child Care Services	CDBG	96,585	650,000	746,585	680,650	-	65,935	382
	CDBG-PI	-	9,488	9,488	9,488	-	-	
	SF	-	38,250	38,250	38,250	-	-	
<i>Subtotal, Child Care Services</i>		96,585	697,738	794,323	728,388	-	65,935	382
Senior Services	CDBG	-	21,781	21,781	21,781	-	-	211
	SF	-	114,469	114,469	92,315	22,154	-	
<i>Subtotal, Senior Services</i>		-	136,250	136,250	114,096	22,154	-	211
Youth Support Services	CDBG	-	203,700	203,700	203,700	-	-	189
	SF	-	4,500	4,500	4,500	-	-	
<i>Subtotal, Youth Support Services</i>		-	208,200	208,200	208,200	-	-	189
<b>Subtotal, Homeless/Special Needs Assistance</b>		<b>96,585</b>	<b>2,426,919</b>	<b>2,523,504</b>	<b>2,425,137</b>	<b>32,432</b>	<b>65,935</b>	<b>10,252</b>
<b>RENTER ASSISTANCE</b>								
Tenant-Based Rental Assistance	HOME	106,200	510,300	616,500	296,972	213,328	106,200	92
	SF	56,700	-	56,700	52,249	4,052	399	
<i>Subtotal, TBRA</i>		162,900	510,300	673,200	349,221	217,380	106,599	92
Architectural Barrier Removal Program	GO Bonds	-	250,000	250,000	178,902	38,727	32,371	40
Tenants' Rights Assistance	CDBG	-	253,005	253,005	253,005	-	-	810
	SF	35,724	-	35,724	-	35,724	-	
<i>Subtotal, Tenants' Rights Assistance</i>		35,724	253,005	288,729	253,005	35,724	-	810
<b>Subtotal, Renter Assistance</b>		<b>198,624</b>	<b>1,013,305</b>	<b>1,211,929</b>	<b>781,128</b>	<b>291,831</b>	<b>138,970</b>	<b>942</b>
<b>HOMEBUYER ASSISTANCE</b>								
Housing Smarts - Housing Counseling	SF	1,343	159,113	160,456	136,517	21,160	2,779	358
Down Payment Assistance	CDBG	-	11,500	11,500	-	-	11,500	12
	HOME	351,692	1,279,279	1,630,971	96,357	9,540	1,525,074	
	HOME-PI	-	235,539	235,539	235,539	-	-	
<i>Subtotal, Down Payment Assistance</i>		351,692	1,526,318	1,878,010	331,896	9,540	1,536,574	12
<b>Subtotal, Homebuyer Assistance</b>		<b>353,035</b>	<b>1,685,431</b>	<b>2,038,466</b>	<b>468,413</b>	<b>30,700</b>	<b>1,539,353</b>	<b>370</b>
<b>HOMEOWNER ASSISTANCE</b>								
Architectural Barrier Removal Program	CDBG	102,848	1,367,210	1,470,058	1,409,775	-	60,283	145
Emergency Home Repair Program	CDBG	192,813	1,000,000	1,192,813	995,651	40,351	156,811	487
Homeowner Rehabilitation Loan Program	CDBG	700	41,170	41,870	150	-	41,720	17
	CDBG-RL	230,663	10,596	241,259	22,098	-	219,161	
	HOME	570,839	1,198,995	1,769,834	649,604	24,351	1,095,879	
	HOME-PI	-	40,614	40,614	40,614	-	-	
	HTF	78,485	-	78,485	78,485	-	-	
	HAF	-	2,909	2,909	2,909	-	-	
<i>Subtotal, HRLP</i>		880,687	1,294,284	2,174,971	793,860	24,351	1,356,760	17
GO Repair Program	GO Bonds	1,014,103	1,135,000	2,149,103	1,406,592	413,024	329,487	142
Lead Hazard Control Grant - Healthy Homes	Lead	1,046,883	-	1,046,883	321,740	-	725,143	19
Holly Good Neighbor	AE	1,485,120	550,000	2,035,120	336,191	35,253	1,663,676	8
<b>Subtotal, Homeowner Assistance</b>		<b>4,722,454</b>	<b>5,346,494</b>	<b>10,068,948</b>	<b>5,263,809</b>	<b>512,979</b>	<b>4,292,160</b>	<b>818</b>
<b>HOUSING DEVELOPER ASSISTANCE</b>								
Rental Housing Development Assistance	CDBG	1,110,379	176,432	1,286,811	1,857	1,104,209	180,745	479
	HOME	2,209,421	-	2,209,421	163,332	39,182	2,006,907	
	HOME-PI	-	43,121	43,121	-	-	43,121	
	HOME (CHDO)	1,285,288	529,742	1,815,030	1,761,030	23,721	30,279	
	UNO	278,164	1,374	279,538	25,756	6,189	247,593	
	GF-CIP	375,327	-	375,327	375,327	-	-	
	GO Bonds	3,827,416	3,860,000	7,687,416	6,490,891	905,417	291,108	
	HTF	1,114,799	233,420	1,348,219	502,366	556	845,297	
	HAF	-	347,091	347,091	289,629	-	57,462	
<i>Subtotal, RHDA</i>		10,200,794	5,191,180	15,391,974	9,610,188	2,079,274	3,702,512	479
Acquisition and Development	CDBG	2,660,261	76,032	2,736,293	1,220	31,383	2,703,690	26
	CDBG-RL	48,473	19,744	68,217	9,685	-	58,532	
	HOME	1,724,014	190,239	1,914,253	144,356	5,103	1,764,794	
	HOME-PI	-	94,904	94,904	-	-	94,904	
	HOME (CHDO)	332,665	150,031	482,696	70,692	136,313	275,691	
	GF-CIP	1,149,495	-	1,149,495	131,887	83,832	933,776	
	GO Bonds	1,431,486	1,250,000	2,681,486	1,467,940	1,099,574	113,972	
	HTF	482,479	116,828	599,307	34,889	800	563,618	
	HAF	-	-	-	-	-	-	
<i>Subtotal, Acquisition and Development</i>		7,828,873	1,897,778	9,726,651	1,860,669	1,357,005	6,508,977	26
CHDO Operating Expenses Grants	HOME (CO)	4,950	220,050	225,000	192,359	-	32,641	8
Developer Incentive-Based Programs								
S.M.A.R.T. Housing	SF	-	39,616	39,616	59,609	-	(19,993)	947
	GF-CIP	23,672	-	23,672	9,716	13,956	-	
<i>Subtotal, Developer Incentive-Based Programs</i>		23,672	39,616	63,288	69,325	13,956	(19,993)	947
<b>Subtotal, Housing Developer Assistance</b>		<b>18,058,289</b>	<b>7,348,624</b>	<b>25,406,913</b>	<b>11,732,541</b>	<b>3,450,235</b>	<b>10,224,137</b>	<b>1,460</b>

City of Austin Fiscal Year 2010-11 CAPER  
**Chapter 2: Financial Reports**

FY 2010-11 CAPER BUDGET & ACCOMPLISHMENTS - ALL FUNDING SOURCES								
	Funding Source	FY 2010-11 Estimated Beginning Balance	FY 2010-11 Appropriation	FY 2010-11 Total Amount Available	Expenditures	Encumbrance	FY 2011-12 Estimated Beginning Balance	FY 2010-11 Accomplishments
<b>COMMERCIAL REVITALIZATION</b>								
East 11th and 12th Streets Revitalization Acquisition and Development (Previously: Façade Improvement Prg)	CDBG	274,903	-	274,903	207,192	57,598	10,113	-
	EDI III	147,000	-	147,000	-	-	147,000	-
<i>Subtotal, Acquisition and Development</i>		421,903	-	421,903	207,192	57,598	157,113	-
Historic Preservation	CDBG	325,150	-	325,150	-	3,570	321,580	-
	SF	30,000	-	30,000	38,030	4,291	(12,321)	-
<i>Subtotal, Historic Preservation</i>		355,150	-	355,150	38,030	7,861	309,259	-
Public Facilities	CDBG	2,288,850	37,143	2,325,993	18,955	1,988,232	318,806	-
	EDI II	136,717	-	136,717	-	97,037	39,680	-
Parking Facilities	CDBG	279,410	-	279,410	14,714	5,679	259,017	2,170
	SF	-	10,000	10,000	-	-	10,000	-
<i>Subtotal, Parking Facilities</i>		279,410	10,000	289,410	14,714	5,679	269,017	2,170
<b>Subtotal, Commercial Revitalization</b>		<b>3,482,030</b>	<b>47,143</b>	<b>3,529,173</b>	<b>278,891</b>	<b>2,156,407</b>	<b>1,093,875</b>	<b>2,170</b>
<b>SMALL BUSINESS ASSISTANCE</b>								
Community Development Bank	CDBG	-	150,000	150,000	150,000	-	-	6
Microenterprise Technical Assistance	CDBG	-	200,000	200,000	200,000	-	-	34
Neighborhood Commercial Mgmt	CDBG	235,308	-	235,308	-	-	235,308	-
	CDBG-RL	362,074	94,498	456,572	-	-	456,572	-
	Section 108	594,846	-	594,846	-	-	594,846	-
<i>Subtotal, NCMP</i>		1,192,228	94,498	1,286,726	-	-	1,286,726	-
Community Preservation & Revitalization	SF	75,000	-	75,000	-	-	75,000	0
<b>Subtotal, Small Business Assistance</b>		<b>1,267,228</b>	<b>444,498</b>	<b>1,711,726</b>	<b>350,000</b>	<b>-</b>	<b>1,361,726</b>	<b>40</b>
<b>DEBT SERVICE</b>								
ARCH Homeless Shelter, Debt Service	CDBG	-	524,664	524,664	457,275	-	67,389	-
	CDBG-PI	-	60,667	60,667	60,667	-	-	-
	Section 108	6,721	-	6,721	-	-	-	-
<i>Subtotal, ARCH Homeless Shelter</i>		6,721	585,331	592,052	524,663	-	67,389	-
NCMP, Debt Service	CDBG	134,222	140,524	274,746	61,247	-	213,499	-
	Section 108	15,101	124,807	139,908	108,381	-	31,527	-
<i>Subtotal, NCMP</i>		149,323	265,331	414,654	169,628	-	245,026	-
East 11th and 12th Streets, Debt Service	CDBG	257,090	905,351	1,162,441	863,420	-	299,021	-
	Section 108	28,317	13,615	41,932	41,932	-	-	-
<i>Subtotal, East 11th and 12th Streets</i>		285,407	918,966	1,204,373	905,352	-	299,021	-
Millennium Youth Center, Debt Service	CDBG	-	767,207	767,207	602,887	-	164,320	-
<b>Subtotal, Debt Service</b>		<b>441,451</b>	<b>2,536,835</b>	<b>2,978,286</b>	<b>2,202,530</b>	<b>-</b>	<b>775,756</b>	
<b>STIMULUS FUNDS</b>								
<i>Homeless Prevention and Rapid-Rehousing Program</i>								
Financial Assistance	HPRP	1,206,142	-	1,206,142	1,184,321	21,821	-	-
Housing Relocation and Stabilization	HPRP	476,997	-	476,997	432,454	44,543	-	-
Data Collection and Evaluation	HPRP	51,380	-	51,380	41,077	3,675	6,628	-
Administration	HPRP	78,096	-	78,096	76,273	1,823	-	-
<i>Subtotal, HPRP</i>		1,812,615	-	1,812,615	1,734,125	71,862	6,628	-
<i>Community Development Block Grant - Recovery</i>								
East Austin Youth and Family Center	CDBG-R	-	-	-	-	-	-	9
Center for Economic Opportunity	CDBG-R	116,285	-	116,285	116,285	-	-	23
Afr. Amer. Cultural and Heritage Facility	CDBG-R	552,703	-	552,703	258,614	294,089	-	1
East Austin Sidewalks	CDBG-R	40,116	-	40,116	31,243	7,944	929	17
Administration	CDBG-R	116,619	-	116,619	116,619	-	-	-
<i>Subtotal, CDBG-R</i>		825,723	-	825,723	522,761	302,033	929	50
Neighborhood Stabilization Program	NSP	1,538,871	-	1,538,871	1,353,754	40,164	144,953	-
<b>Subtotal, Stimulus</b>		<b>4,177,209</b>	<b>-</b>	<b>4,177,209</b>	<b>3,610,640</b>	<b>414,059</b>	<b>152,510</b>	<b>50</b>
<b>ADMINISTRATION</b>								
	CDBG	74,631	1,631,429	1,706,060	1,631,429	-	74,631	-
	CDBG-PI	-	15,167	15,167	15,167	-	-	-
	HOME	25,757	453,181	478,938	453,181	-	25,757	-
	HOME-PI	-	45,995	45,995	-	-	-	-
	ESG	-	16,522	16,522	16,522	-	-	-
	HOPWA	-	33,118	33,118	33,118	-	-	-
	SF	-	1,936,320	1,936,320	1,806,250	8,487	121,583	-
<b>Subtotal, Administration</b>		<b>100,388</b>	<b>4,131,732</b>	<b>4,232,120</b>	<b>4,001,662</b>	<b>8,487</b>	<b>221,971</b>	<b>-</b>
<b>TOTAL, Programs, Debt Service, and Admin Cost</b>		<b>32,897,293</b>	<b>24,980,981</b>	<b>57,878,274</b>	<b>31,114,751</b>	<b>6,897,130</b>	<b>19,866,393</b>	<b>16,102</b>

## CAPER Budget – Local Sources

City of Austin Fiscal Year 2010-11 CAPER  
**Chapter 2: Financial Reports**

FY 2010-11 CAPER BUDGET - LOCAL FUNDING SOURCES							
	Funding Source	FY 2010-11 Estimated Beginning Balance	FY 2010-11 Appropriation	FY 2010-11 Total Amount Available	Expenditures	Encumbrance	FY 2011-12 Estimated Beginning Balance
<b>HOMELESS/SPECIAL NEEDS ASSISTANCE</b>							
Child Care Services	SF	-	38,250	38,250	38,250	-	-
Senior Services	SF	-	114,469	114,469	92,315	22,154	-
Youth Support Services	SF	-	4,500	4,500	4,500	-	-
<b>Subtotal, Homeless/Special Needs Assistance</b>		<b>-</b>	<b>157,219</b>	<b>157,219</b>	<b>135,065</b>	<b>22,154</b>	<b>-</b>
<b>RENTER ASSISTANCE</b>							
Tenant-Based Rental Assistance	SF	56,700	-	56,700	52,249	4,052	399
Architectural Barrier Removal Program	GO Bonds	-	250,000	250,000	178,902	38,727	32,371
Tenants' Rights Assistance	SF	35,724	-	35,724	-	35,724	-
<b>Subtotal, Renter Assistance</b>		<b>92,424</b>	<b>250,000</b>	<b>342,424</b>	<b>231,151</b>	<b>78,503</b>	<b>32,770</b>
<b>HOMEBUYER ASSISTANCE</b>							
Housing Smarts - Housing Counseling	SF	1,343	159,113	160,456	136,517	21,160	2,779
<b>Subtotal, Homebuyer Assistance</b>		<b>1,343</b>	<b>159,113</b>	<b>160,456</b>	<b>136,517</b>	<b>21,160</b>	<b>2,779</b>
<b>HOMEOWNER ASSISTANCE</b>							
Homeowner Rehabilitation Loan Program	HTF	78,485	-	78,485	78,485	-	-
	HAF	-	2,909	2,909	2,909	-	-
<i>Subtotal, HRLP</i>		78,485	2,909	81,394	81,394	-	-
G.O. Repair! Program	GO Bonds	1,014,103	1,135,000	2,149,103	1,406,592	413,024	329,487
Holly Good Neighbor	AE	1,485,120	550,000	2,035,120	336,191	35,253	1,663,676
<b>Subtotal, Homeowner Assistance</b>		<b>2,577,708</b>	<b>1,687,909</b>	<b>4,265,617</b>	<b>1,824,177</b>	<b>448,277</b>	<b>1,993,163</b>
<b>HOUSING DEVELOPER ASSISTANCE</b>							
Rental Housing Development Assistance	UNO	278,164	1,374	279,538	25,756	6,189	247,593
	GF-CIP	375,327	-	375,327	375,327	-	-
	GO Bonds	3,827,416	3,860,000	7,687,416	6,490,891	905,417	291,108
	HTF	1,114,799	233,420	1,348,219	502,366	556	845,297
	HAF	-	347,091	347,091	289,629	-	57,462
<i>Subtotal, RHDA</i>		5,595,706	4,441,885	10,037,591	7,683,969	912,162	1,441,460
Acquisition and Development	GF-CIP	1,149,495	-	1,149,495	131,887	83,832	933,776
	GO Bonds	1,431,486	1,250,000	2,681,486	1,467,940	1,099,574	113,972
	HTF	482,479	116,828	599,307	34,889	800	563,618
	HAF	-	-	-	-	-	-
<i>Subtotal, Acquisition and Development</i>		3,063,460	1,366,828	4,430,288	1,634,716	1,184,206	1,611,366
Developer Incentive-Based Programs							
S.M.A.R.T. Housing	SF	-	39,616	39,616	59,609	-	(19,993)
	GF-CIP	23,672	-	23,672	9,716	13,956	-
<i>Subtotal, Developer Incentive-Based Programs</i>		23,672	39,616	63,288	69,325	13,956	(19,993)
<b>Subtotal, Housing Developer Assistance</b>		<b>8,682,838</b>	<b>5,848,329</b>	<b>14,531,167</b>	<b>9,388,010</b>	<b>2,110,324</b>	<b>3,032,833</b>
<b>COMMERCIAL REVITALIZATION</b>							
East 11th and 12th Streets Revitalization							
Historic Preservation	SF	30,000	-	30,000	38,030	4,291	(12,321)
Parking Facilities	SF	-	10,000	10,000	-	-	10,000
<b>Subtotal, Commercial Revitalization</b>		<b>30,000</b>	<b>10,000</b>	<b>40,000</b>	<b>38,030</b>	<b>4,291</b>	<b>(2,321)</b>
<b>SMALL BUSINESS ASSISTANCE</b>							
Community Preservation & Revitalization	SF	75,000	-	75,000	-	-	75,000
<b>Subtotal, Small Business Assistance</b>		<b>75,000</b>	<b>-</b>	<b>75,000</b>	<b>-</b>	<b>-</b>	<b>75,000</b>
<b>ADMINISTRATION</b>							
	SF	-	1,936,320	1,936,320	1,806,250	8,487	121,583
<b>Subtotal, Administration</b>		<b>-</b>	<b>1,936,320</b>	<b>1,936,320</b>	<b>1,806,250</b>	<b>8,487</b>	<b>121,583</b>
<b>TOTAL, Programs, Debt Service, and Admin Cost</b>		<b>11,459,313</b>	<b>10,048,890</b>	<b>21,508,203</b>	<b>13,559,200</b>	<b>2,693,196</b>	<b>5,255,807</b>

## CAPER Budget – By Funding Sources

City of Austin Fiscal Year 2010-11 CAPER  
**Chapter2: Financial Reports**  
**CDBG**

FY 2010-11 CAPER BUDGET - BY FUNDING SOURCES							
	Funding Source	FY 2010-11 Estimated Beginning Balance	FY 2010-11 Appropriation	FY 2010-11 Total Amount Available	Expenditures*	Encumbrance	FY 2011-12 Estimated Beginning Balance
<b>Community Development Block Grant •</b>							
<b>HOMELESS/SPECIAL NEEDS ASSISTANCE</b>							
Child Care Services	CDBG	96,585	650,000	746,585	680,650	-	65,935
Senior Services		-	21,781	21,781	21,781	-	-
Youth Support Services	CDBG	-	203,700	203,700	203,700	-	-
<i>Subtotal Homeless/Special Needs Assistance</i>		<b>96,585</b>	<b>875,481</b>	<b>972,066</b>	<b>906,131</b>	<b>-</b>	<b>65,935</b>
<b>RENTER ASSISTANCE</b>							
Tenants' Rights Assistance	CDBG	-	253,005	253,005	253,005	-	-
<i>Subtotal, Renters Assistance</i>		<b>-</b>	<b>253,005</b>	<b>253,005</b>	<b>253,005</b>	<b>-</b>	<b>-</b>
<b>HOMEBUYER ASSISTANCE</b>							
Down Payment Assistance	CDBG	-	11,500	11,500	-	-	11,500
<i>Subtotal, Homebuyer Assistance</i>		<b>-</b>	<b>11,500</b>	<b>11,500</b>	<b>-</b>	<b>-</b>	<b>11,500</b>
<b>HOMEOWNER ASSISTANCE</b>							
Architectural Barrier Removal Program	CDBG	102,848	1,367,210	1,470,058	1,409,775	-	60,283
Emergency Home Repair Program	CDBG	192,813	1,000,000	1,192,813	995,651	40,351	156,811
Homeowner Rehabilitation Loan Program	CDBG	700	41,170	41,870	150	-	41,720
<i>Subtotal, Homeowner Assistance</i>		<b>296,361</b>	<b>2,408,380</b>	<b>2,704,741</b>	<b>2,405,576</b>	<b>40,351</b>	<b>258,814</b>
<b>HOUSING DEVELOPER ASSISTANCE</b>							
Rental Housing Development Assistance	CDBG	1,110,379	176,432	1,286,811	1,857	1,104,209	180,745
Acquisition and Development	CDBG	2,660,261	76,032	2,736,293	1,220	31,383	2,703,690
<i>Subtotal, Housing Developer Assistance</i>		<b>3,770,640</b>	<b>252,464</b>	<b>4,023,104</b>	<b>3,077</b>	<b>1,135,592</b>	<b>2,884,435</b>
<b>COMMERCIAL REVITALIZATION</b>							
East 11th and 12th Streets Revitalization							
Acquisition and Development	CDBG	274,903	-	274,903	207,192	57,598	10,113
Historic Preservation	CDBG	325,150	-	325,150	-	3,570	321,580
Public Facilities	CDBG	2,288,850	37,143	2,325,993	18,955	1,988,232	318,806
Parking Facilities	CDBG	279,410	-	279,410	14,714	5,679	259,017
<i>Subtotal, Commercial Revitalization</i>		<b>3,168,313</b>	<b>37,143</b>	<b>3,205,456</b>	<b>240,861</b>	<b>2,055,079</b>	<b>909,516</b>
<b>SMALL BUSINESS ASSISTANCE</b>							
Community Development Bank	CDBG	-	150,000	150,000	150,000	-	-
Microenterprise Technical Assistance	CDBG	-	200,000	200,000	200,000	-	-
Neighborhood Commercial Mgmt	CDBG	235,308	-	235,308	-	-	235,308
<i>Subtotal, Small Business Assistance</i>		<b>235,308</b>	<b>350,000</b>	<b>585,308</b>	<b>350,000</b>	<b>-</b>	<b>235,308</b>
<b>DEBT SERVICE</b>							
ARCH, Homeless Shelter, Debt Service	CDBG	-	524,664	524,664	457,275	-	67,389
NCMP, Debt Service	CDBG	134,222	140,524	274,746	61,247	-	213,499
East 11th and 12th Streets Revital., Debt Ser	CDBG	257,090	905,351	1,162,441	863,420	-	299,021
Millennium Youth Center, Debt Service	CDBG	-	767,207	767,207	602,887	-	164,320
<i>Subtotal, Debt Service</i>		<b>391,312</b>	<b>2,337,746</b>	<b>2,729,058</b>	<b>1,984,829</b>	<b>-</b>	<b>744,229</b>
<b>ADMINISTRATION</b>							
	CDBG	74,631	1,631,429	1,706,060	1,631,429	-	74,631
<b>TOTAL, CDBG Funding</b>		<b>8,033,150</b>	<b>8,157,148</b>	<b>16,190,298</b>	<b>7,774,908</b>	<b>3,231,022</b>	<b>5,184,368</b>

\*Reconciling project expenditures between federal and local systems identified below.

HRLP Cancelled Activities. The City recorded entries, moving prior year unreimbursed expenses to FY 2010-11. (42.05)  
A&D Cancelled Activities. The City recorded entries, moving prior year unreimbursed expenses to FY 2010-11. (9,524.42)  
Expenditures rounded to the nearest dollar. 2.00  
(9,564.47)



## Chapter 2: Financial Reports

### CDBG PI and CDBG-RL

FY 2010-11 CAPER BUDGET - BY FUNDING SOURCE							
	Funding Source	FY 2010-11 Estimated Beginning Balance	FY 2010-11 Appropriation	FY 2010-11 Total Amount Available	Expenditures*	Encumbrance	FY 2011-12 Estimated Beginning Balance
<b>Community Development Block Grant - Program Income</b>							
<b>HOMELESS/SPECIAL NEEDS ASSISTANCE</b>							
Child Care Services	CDBG-PI	-	9,488	9,488	9,488	-	-
<b>DEBT SERVICE</b>							
East 11th and 12th Streets, Debt Srv.	CDBG-PI	-	60,667	60,667	60,667	-	-
<b>ADMINISTRATION</b>							
	CDBG-PI	-	15,167	15,167	15,167	-	-
<b>TOTAL, CDBG - Program Income</b>		<b>-</b>	<b>85,322</b>	<b>85,322</b>	<b>85,322</b>	<b>-</b>	<b>-</b>
<b>Funding Source: Community Development Block Grant - Revolving Loan Fund</b>							
<b>HOMEOWNER ASSISTANCE</b>							
Homeowner Rehabilitation Loan Program	CDBG-RL	230,663	10,596	241,259	22,098	-	219,161
<b>HOUSING DEVELOPER ASSISTANCE</b>							
Acquisition and Development	CDBG-RL	48,473	19,744	68,217	9,685	-	58,532
<b>SMALL BUSINESS ASSISTANCE</b>							
Neighborhood Commercial Mgmt	CDBG-RL	362,074	94,498	456,572	-	-	456,572
<b>TOTAL, CDBG - RL Funding</b>		<b>641,210</b>	<b>124,838</b>	<b>766,048</b>	<b>31,783</b>	<b>-</b>	<b>734,265</b>

\*Reconciling project expenditures between federal and local systems identified on page 2-11.

## Chapter 2: Financial Reports

### HOME, HOME CO, and HOME CHDO

FY 2010-11 CAPER BUDGET - BY FUNDING SOURCE							
	Funding Source	FY 2010-11 Estimated Beginning Balance	FY 2010-11 Appropriation	FY 2010-11 Total Amount Available	Expenditures*	Encumbrance	FY 2011-12 Estimated Beginning Balance
<b>HOME Investment Partnership Grants</b>							
<b>RENTER ASSISTANCE</b>							
Tenant-Based Rental Assistance	HOME	106,200	510,300	616,500	296,972	213,328	106,200
<b>HOMEBUYER ASSISTANCE</b>							
Down Payment Assistance	HOME	351,692	1,279,279	1,630,971	96,357	9,540	1,525,074
<b>HOMEOWNER ASSISTANCE</b>							
Homeowner Rehabilitation Loan Program	HOME	570,839	1,198,995	1,769,834	649,604	24,351	1,095,879
<b>HOUSING DEVELOPER ASSISTANCE</b>							
Rental Housing Development Assistance	HOME	2,209,421	-	2,209,421	163,332	39,182	2,006,907
	HOME (CHDO)	1,285,288	529,742	1,815,030	1,761,030	23,721	30,279
<i>Subtotal, RHDA</i>		3,494,709	529,742	4,024,451	1,924,362	62,903	2,037,186
Acquisition and Development	HOME	1,724,014	190,239	1,914,253	144,356	5,103	1,764,794
	HOME (CHDO)	332,665	150,031	482,696	70,692	136,313	275,691
<i>Subtotal, Acquisition and Development</i>		2,056,679	340,270	2,396,949	215,048	141,416	2,040,485
CHDO Operating Expenses Grants	HOME (CO)	4,950	220,050	225,000	192,359	-	32,641
<i>Subtotal, Housing Developer Assistance</i>		<b>5,556,338</b>	<b>1,090,062</b>	<b>6,646,400</b>	<b>2,331,769</b>	<b>204,319</b>	<b>4,110,312</b>
<b>ADMINISTRATION</b>							
	HOME	25,757	453,181	478,938	453,181	-	25,757
<b>TOTAL, HOME Programs</b>		<b>6,610,826</b>	<b>4,531,817</b>	<b>11,142,643</b>	<b>3,827,883</b>	<b>451,538</b>	<b>6,863,222</b>

\*Reconciling project expenditures between federal and local systems identified below.

HRLP Cancelled Activities. The City recorded entries, moving prior year unreimbursed expenses to FY 2010-11.	40,742.23
The City recorded expenses incurred in prior years but allocated to FY 2010-11 (Community Partnership for Homeless - Sweeney Circle II reconciliation).	(944.40)
This entry is an adjusting entry to reflect the appropriate funding source (HAF).	1,718.94
Repaid to HUD (credit) for costs associated with the HOME grant as reflected in the federal report, PR07.	(30,665.53)
Repaid to HUD (credit) for costs associated with A&D activities that were cancelled as reflected in the federal report, PR07.	(2,654.99)
	<u>8,196.25</u>

Available Balance from PR27 Report on 11/1/11

## Chapter 2: Financial Reports

### HOME PI

FY 2010-11 CAPER BUDGET - BY FUNDING SOURCE							
	Funding Source	FY 2010-11 Estimated Beginning Balance	FY 2010-11 Appropriation	FY 2010-11 Total Amount Available	Expenditures*	Encumbrance	FY 2011-12 Estimated Beginning Balance
<b>HOME Investment Partnership Grant - Program Income</b>							
<b>RENTER ASSISTANCE</b>							
<b>HOMEBUYER ASSISTANCE</b>							
Down Payment Assistance	HOME-PI	-	235,539	235,539	235,539	-	-
<b>Subtotal, Homebuyer Assistance</b>		<b>-</b>	<b>235,539</b>	<b>235,539</b>	<b>235,539</b>	<b>-</b>	<b>-</b>
<b>HOMEOWNER ASSISTANCE</b>							
Homeowner Rehabilitation Loan Program	HOME-PI	-	40,614	40,614	40,614	-	-
<b>Subtotal, Homeowner Assistance</b>		<b>-</b>	<b>40,614</b>	<b>40,614</b>	<b>40,614</b>	<b>-</b>	<b>-</b>
<b>HOUSING DEVELOPER ASSISTANCE</b>							
Rental Housing Development Assistance	HOME-PI	-	43,121	43,121	-	-	43,121
<i>Subtotal, RHDA</i>		-	43,121	43,121	-	-	43,121
Acquisition and Development	HOME-PI	-	94,904	94,904	-	-	94,904
<i>Subtotal, Acquisition and Development</i>		-	94,904	94,904	-	-	94,904
<b>Subtotal, Housing Developer Assistance</b>		<b>-</b>	<b>138,025</b>	<b>138,025</b>	<b>-</b>	<b>-</b>	<b>138,025</b>
<b>ADMINISTRATION</b>							
	HOME-PI	-	45,995	45,995	45,995	-	-
<b>Subtotal, Administration</b>		<b>-</b>	<b>45,995</b>	<b>45,995</b>	<b>45,995</b>	<b>-</b>	<b>-</b>
<b>TOTAL, HOME Programs - Program Income</b>		<b>-</b>	<b>460,173</b>	<b>460,173</b>	<b>322,148</b>	<b>-</b>	<b>138,025</b>

\*Reconciling project expenditures between federal and local systems identified on page 2-13.

Available Balance from PR27 Report on 11/1/11

## Chapter 2: Financial Reports

### ESG and HOPWA

FY 2010-11 CAPER BUDGET - BY FUNDING SOURCE							
	Funding Source	FY 2010-11 Estimated Beginning Balance	FY 2010-11 Appropriation	FY 2010-11 Total Amount Available	Expenditures	Encumbrance	FY 2011-12 Estimated Beginning Balance
<b>Emergency Shelter Grant</b>							
<b>HOMELESS/SPECIAL NEEDS ASSISTANCE</b>							
<i>Homeless/Emergency Shelter</i>							
Shelter Operation and Maintenance	ESG	-	261,689	261,689	251,411	10,278	-
Homeless Essential Services:	ESG	-	52,233	52,233	52,233	-	-
<i>Subtotal, Homeless/Special Needs Assistance</i>		-	313,922	313,922	303,644	10,278	-
<b>HOMELESS/SPECIAL NEEDS ASSISTANCE</b>							
Administration	ESG	-	16,522	16,522	16,522	-	-
<b>TOTAL, ESG Funding</b>		-	<b>330,444</b>	<b>330,444</b>	<b>320,166</b>	<b>10,278</b>	-
<b>Housing Opportunities for Persons with AIDS</b>							
<b>HOMELESS/SPECIAL NEEDS ASSISTANCE</b>							
Rent, Mortgage, and Utility Assistance	HOPWA	-	138,779	138,779	138,779	-	-
Tenant-Based Rental Assistance	HOPWA	-	543,511	543,511	543,511	-	-
Permanent Housing Placement	HOPWA	-	61,112	61,112	61,112	-	-
Short Term Supportive Housing	HOPWA	-	39,785	39,785	39,785	-	-
Transitional Housing Assistance	HOPWA	-	191,855	191,855	191,855	-	-
Supportive Services	HOPWA	-	95,767	95,767	95,767	-	-
<i>Subtotal, Homeless Special Needs Assistance</i>		-	1,070,809	1,070,809	1,070,809	-	-
<b>HOMELESS/SPECIAL NEEDS ASSISTANCE</b>							
Administration	HOPWA	-	33,118	33,118	33,118	-	-
<b>TOTAL, HOPWA Funding</b>		-	<b>1,103,927</b>	<b>1,103,927</b>	<b>1,103,927</b>	-	-

**Chapter 2: Financial Reports****Section 108, EDI, Lead**

<b>FY 2010-11 CAPER BUDGET - BY FUNDING SOURCE</b>							
	<b>Funding Source</b>	<b>FY 2010-11 Estimated Beginning Balance</b>	<b>FY 2010-11 Appropriation</b>	<b>FY 2010-11 Total Amount Available</b>	<b>Expenditures</b>	<b>Encumbrance</b>	<b>FY 2011-12 Estimated Beginning Balance</b>
<b>Section 108</b>							
<b>DEBT SERVICE</b>							
NCMP, Debt Service	Section 108	594,846	-	594,846	-	-	594,846
<b>TOTAL, Section 108 Funding</b>		<b>594,846</b>	<b>-</b>	<b>594,846</b>	<b>-</b>	<b>-</b>	<b>594,846</b>
<b>Economic Development Initiative (EDI III)</b>							
<b>COMMERCIAL REVITALIZATION</b>							
East 11th and 12th Streets Revitalization (Previously: Façade Improvement Prg)	EDI III	147,000	-	147,000	-	-	147,000
Public Facilities	EDI II	136,717	-	136,717	-	97,037	39,680
<b>TOTAL, EDI Funding</b>		<b>283,717</b>	<b>-</b>	<b>283,717</b>	<b>-</b>	<b>97,037</b>	<b>186,680</b>
<b>Lead Hazard Control Grant - Healthy Homes</b>							
<b>HOMEOWNER ASSISTANCE</b>							
Lead Hazard Control Grant - Healty Homes	Lead	1,046,883	-	1,046,883	321,740	-	725,143
<b>TOTAL, Lead Funding</b>		<b>1,046,883</b>	<b>-</b>	<b>1,046,883</b>	<b>321,740</b>	<b>-</b>	<b>725,143</b>

## Chapter 2: Financial Reports

### SF and GO Bonds

FY 2010-11 CAPER BUDGET - BY FUNDING SOURCE							
	Funding Source	FY 2010-11 Estimated Beginning Balance	FY 2010-11 Appropriation	FY 2010-11 Total Amount Available	Expenditures	Encumbrance	FY 2011-12 Estimated Beginning Balance
<b>Sustainability Fund</b>							
<b>HOMELESS/SPECIAL NEEDS ASSISTANCE</b>							
Child Care Services	SF	96,585	38,250	38,250	38,250	-	-
Senior Services	SF	-	114,469	114,469	92,315	22,154	-
Youth Support Services	SF	-	4,500	4,500	4,500	-	-
<i>Subtotal Homeless/Special Needs Assistance</i>		<u>96,585</u>	<u>157,219</u>	<u>157,219</u>	<u>135,065</u>	<u>22,154</u>	<u>-</u>
<b>RENTER ASSISTANCE</b>							
Tenant-Based Rental Assistance	SF	56,700	-	56,700	52,249	4,052	399
Tenants' Rights Assistance	SF	<u>35,724</u>	<u>-</u>	<u>35,724</u>	<u>-</u>	<u>35,724</u>	<u>-</u>
<i>Subtotal, Renters Assistance</i>		<u>92,424</u>	<u>-</u>	<u>92,424</u>	<u>52,249</u>	<u>39,776</u>	<u>399</u>
<b>HOMEBUYER ASSISTANCE</b>							
Housing Smarts - Housing Counseling	SF	1,343	159,113	160,456	136,517	21,160	2,779
<b>HOUSING DEVELOPER ASSISTANCE</b>							
Developer Incentive-Based Programs S.M.A.R.T. Housing™	SF	-	39,616	39,616	59,609	-	(19,993)
<b>COMMERCIAL REVITALIZATION</b>							
East 11th and 12th Streets Revitalization							
Historic Preservation	SF	30,000	-	30,000	38,030	4,291	(12,321)
Parking Facilities	SF	-	10,000	10,000	-	-	10,000
<i>Subtotal, Commercial Revitalization</i>		<u>30,000</u>	<u>10,000</u>	<u>40,000</u>	<u>38,030</u>	<u>4,291</u>	<u>(2,321)</u>
<b>SMALL BUSINESS ASSISTANCE</b>							
Community Preservation & Revitalization	SF	75,000	-	75,000	-	-	75,000
<b>ADMINISTRATION</b>							
	SF	-	1,936,320	1,936,320	1,806,250	8,487	121,583
<b>TOTAL, Sustainability Fund</b>		<u>295,352</u>	<u>2,302,268</u>	<u>2,501,035</u>	<u>2,227,720</u>	<u>95,868</u>	<u>177,447</u>
<b>General Obligation (GO) Bonds</b>							
<b>RENTER ASSISTANCE</b>							
Architectural Barrier Removal Program	GO Bonds	-	250,000	250,000	178,902	38,727	32,371
<b>HOMEOWNER ASSISTANCE</b>							
GO Repair! Program	GO Bonds	1,014,103	1,135,000	2,149,103	1,406,592	413,024	329,487
<b>HOUSING DEVELOPER ASSISTANCE</b>							
Rental Housing Development Assistance	GO Bonds	3,827,416	3,860,000	7,687,416	6,490,891	905,417	291,108
Acquisition and Development	GO Bonds	<u>1,431,486</u>	<u>1,250,000</u>	<u>2,681,486</u>	<u>1,467,940</u>	<u>1,099,574</u>	<u>113,972</u>
<i>Subtotal, Housing Developer Assistance</i>		<u>5,258,902</u>	<u>5,110,000</u>	<u>10,368,902</u>	<u>7,958,831</u>	<u>2,004,991</u>	<u>405,080</u>
<b>TOTAL, GO Bonds</b>		<u>6,273,005</u>	<u>6,495,000</u>	<u>12,768,005</u>	<u>9,544,325</u>	<u>2,456,742</u>	<u>766,938</u>

## Chapter 2: Financial Reports

### AE, GF-CIP, UNO, HTF, and HAF

FY 2010-11 ACTION PLAN BUDGET - BY FUNDING SOURCE							
	Funding Source	FY 2010-11 Estimated Beginning Balance	FY 2010-11 Appropriation	FY 2010-11 Total Amount Available	Expenditures	Encumbrance	FY 2011-12 Estimated Beginning Balance
<b>Austin Energy</b>							
<b>HOMEOWNER ASSISTANCE</b>							
Holly Good Neighbor Program	AE	1,485,120	550,000	2,035,120	336,191	35,253	1,663,676
<b>TOTAL, Austin Energy</b>		<b>1,485,120</b>	<b>550,000</b>	<b>2,035,120</b>	<b>336,191</b>	<b>35,253</b>	<b>1,663,676</b>
<b>General Fund - Capital Improvement Program (GF-CIP)</b>							
<b>HOUSING DEVELOPER ASSISTANCE</b>							
Rental Housing Development Assistance	GF-CIP	375,327	-	375,327	375,327	-	-
Acquisition and Development	GF-CIP	1,149,495	-	1,149,495	131,887	83,832	933,776
<b>TOTAL, GF-CIP</b>		<b>1,524,822</b>	<b>-</b>	<b>1,524,822</b>	<b>507,214</b>	<b>83,832</b>	<b>933,776</b>
<b>University Neighborhood Overlay (UNO) Housing Trust Fund</b>							
<b>HOUSING DEVELOPER ASSISTANCE</b>							
Rental Housing Development Assistance	UNO	278,164	1,374	279,538	25,756	6,189	247,593
<b>TOTAL, UNO</b>		<b>278,164</b>	<b>1,374</b>	<b>279,538</b>	<b>25,756</b>	<b>6,189</b>	<b>247,593</b>
<b>Housing Trust Fund</b>							
<b>HOMEOWNER ASSISTANCE</b>							
Homeowner Rehabilitation Loan Program	HTF	78,485	-	78,485	78,485	-	-
<b>HOUSING DEVELOPER ASSISTANCE</b>							
Rental Housing Development Assistance	HTF	1,114,799	233,420	1,348,219	502,366	556	845,297
Acquisition and Development	HTF	482,479	116,828	599,307	34,889	800	563,618
<b>TOTAL, Housing Trust Fund</b>		<b>1,193,284</b>	<b>350,248</b>	<b>2,026,011</b>	<b>615,740</b>	<b>1,356</b>	<b>1,408,915</b>
<b>Housing Assistance Fund</b>							
<b>HOMEOWNER ASSISTANCE</b>							
Homeowner Rehabilitation Loan Program	HAF	-	2,909	2,909	2,909	-	-
<b>HOUSING DEVELOPER ASSISTANCE</b>							
Rental Housing Development Assistance	HAF	-	347,091	347,091	289,629	-	57,462
Acquisition and Development	HAF	-	-	-	-	-	-
<b>TOTAL, Housing Assistance Fund</b>		<b>-</b>	<b>350,000</b>	<b>350,000</b>	<b>292,538</b>	<b>-</b>	<b>57,462</b>

## Chapter 2: Financial Reports

### Stimulus

FY 2010-11 ACTION PLAN BUDGET - BY FUNDING SOURCE							
	Funding Source	FY 2010-11 Estimated Beginning Balance	FY 2010-11 Appropriation	FY 2010-11 Total Amount Available	Expenditures	Encumbrance	FY 2011-12 Estimated Beginning Balance
<b>Stimulus Funds</b>							
<i>Homeless Prevention and Rapid-Rehousing Program</i>							
Financial Assistance	HPRP	1,206,142	-	1,206,142	1,184,321	21,821	-
Housing Relocation and Stabilization	HPRP	476,997	-	476,997	432,454	44,543	-
Data Collection and Evaluation	HPRP	51,380	-	51,380	41,077	3,675	6,628
Administration	HPRP	78,096	-	78,096	76,273	1,823	-
<i>Subtotal, HPRP</i>		1,812,615	-	1,812,615	1,734,125	71,862	6,628
<i>Community Development Block Grant - Recovery</i>							
East Austin Youth and Family Center	CDBG-R	-	-	-	-	-	-
Center for Economic Opportunity	CDBG-R	116,285	-	116,285	116,285	-	-
African-American Cultural Facility	CDBG-R	552,703	-	552,703	258,614	294,089	-
East Austin Sidewalks	CDBG-R	40,116	-	40,116	31,243	7,944	929
Administration	CDBG-R	116,619	-	116,619	116,619	-	-
<i>Subtotal, CDBG-R</i>		825,723	-	825,723	522,761	302,033	929
<i>Neighborhood Stabilization Program</i>	NSP	1,538,871	-	1,538,871	1,353,754	40,164	144,953
<b>TOTAL, Stimulus</b>		<b>4,177,209</b>	<b>-</b>	<b>4,177,209</b>	<b>3,610,640</b>	<b>414,059</b>	<b>152,510</b>

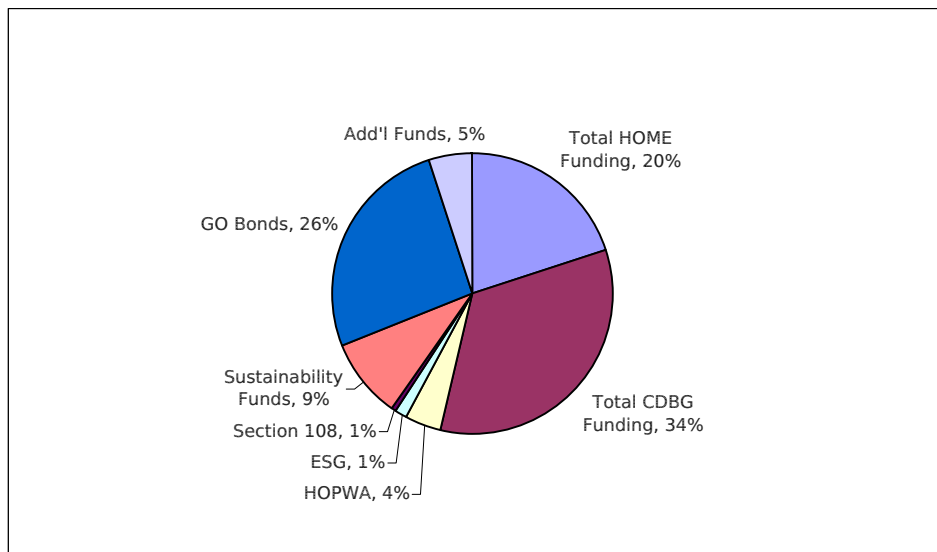


## Chapter 2: Financial Reports

### FY 2010-11 Total New Funds

	Funding Source	New Funds
Federal Funding	CDBG	\$8,157,148
	CDBG Line of Credit	-
	CDBG PI	\$85,322
	CDBG Rev. Loan	\$124,838
	CDBG-R	-
	HOME	\$3,631,994
	HOME PI	460,173
	HOME (CHDO)	\$679,773
	HOME (CO)	\$220,050
	HOPWA	\$1,103,927
	ESG	\$330,444
	Section 108	138,422
	EDI II	-
	EDI III	-
	Lead	-
	NSP	-
	HPRP	-
Local Funding	Sustainability Funds	\$2,302,268
	GO Bonds	\$6,495,000
	Austin Energy	\$550,000
	UNO Housing Trust Fund	\$1,374
	GF-CIP	-
	HTF	\$350,248
	HAF	\$350,000
<b>Total New Funds</b>		<b>\$24,980,981</b>

### FY 2010-11 Total New Funds By Funding Source



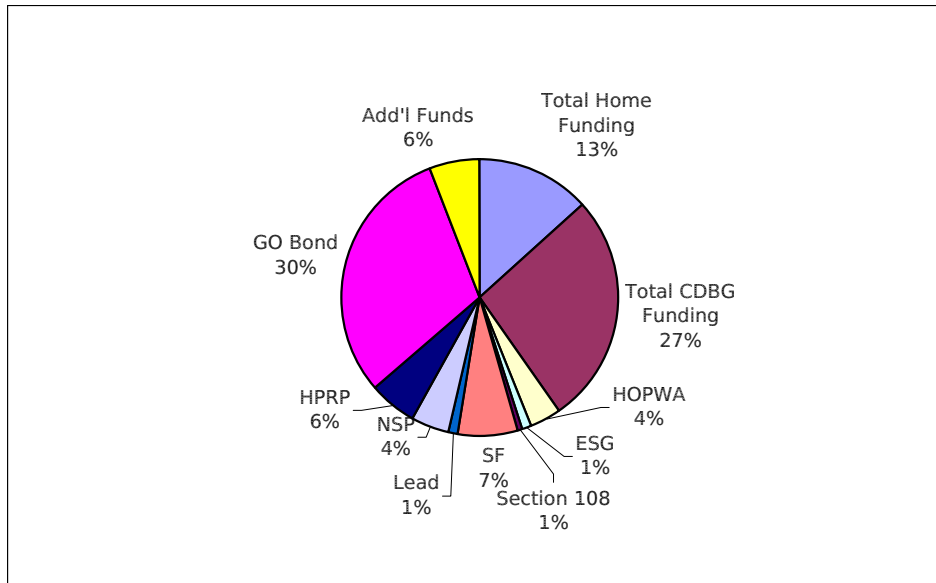
Note: The following funding sources are included in Add'l Funds: Austin Energy, UNO Housing Trust Fund, HTF, and HAF.

## Chapter 2: Financial Reports

### FY 2010-11 Total Expenditures

	Funding Source	Expenditures
Federal Funding	CDBG	\$7,774,908
	CDBG Line of Credit	-
	CDBG PI	\$85,322
	CDBG Rev. Loan	\$31,783
	CDBG-R	\$522,761
	HOME	\$1,803,802
	HOME PI	322,148
	HOME (CO)	192,359
	HOME (CHDO)	1,831,722
	HOPWA	\$1,103,927
	ESG	\$320,166
	Section 108	157,034
	EDI II	-
	EDI III	-
	Lead	\$321,740
	NSP	\$1,353,754
	HPRP	\$1,734,125
Local Funding	Sustainability Funds	\$2,227,720
	GO Bonds	\$9,544,325
	Austin Energy	\$336,191
	UNO Housing Trust Fund	\$25,756
	GF-CIP	\$516,930
	HTF	\$615,740
	HAF	\$292,538
<b>Total Expenditures</b>		<b>\$31,114,751</b>

### FY 2010-11 Total Expenditures By Funding Source



Note: The following funding sources are included in Add'l Funds: Austin Energy, UNO Housing Trust Fund, GF-CIP, HTF, and HAF.

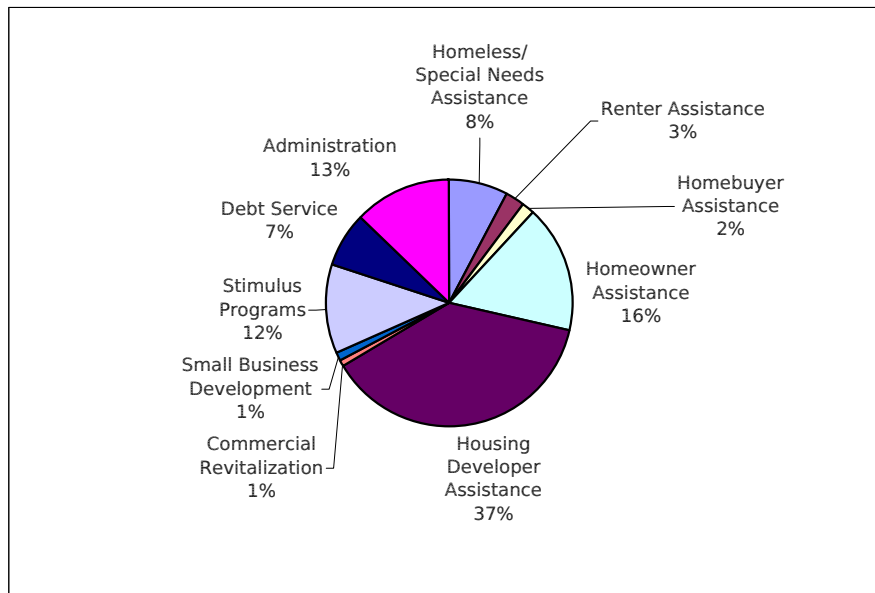
City of Austin Fiscal Year 2010-11 CAPER

## Chapter 2: Financial Reports

### FY 2010-11 Expenditures By Program

	Uses of Funds	Dollars Expended	Percent of Total
Programs	Homeless/Special Needs Assistance	\$2,425,137	80%
	Renter Assistance	\$781,128	
	Homebuyer Assistance	\$468,413	
	Homeowner Assistance	\$5,263,809	
	Housing Developer Assistance	\$11,732,541	
	Commercial Revitalization	\$278,891	
	Small Business Development	\$350,000	
	Stimulus Programs	\$3,610,640	
Debt Service	Debt Service	\$2,202,530	7%
Adminis- tration	Administration	\$4,001,662	13%
<b>Total Expenditures</b>		<b>\$31,114,751</b>	<b>100%</b>

### FY 2010-11 Expenditures By Program



City of Austin Fiscal Year 2010-11 CAPER

# Chapter 2: Financial Reports

## Section 108

Version 2.0

FINANCIAL DATA									
Section 108 Project Number	Project Name	EDJ or BEDI Grant Number (if applicable)	Have EDJ or BEDI funds been drawn (Y/N)	108 Total Loan Amount	108 Amount Advanced Up To 9/30/11	108 Amount Expended FY 10-11	EDJ or BEDI Grant Expended FY 10-11	CDBG Expended FY 10-11	Total Expended FY 10-11 (108+EDJ+CDBG)
B-01-MC-48-0500-A	Neighborhood Commercial Management Program	N/A	N	2,000,000	1,472,657	0	0	0	0
B-01-MC-48-0500	Homeless Shelter	N/A	N	6,030,000	6,030,000	0	0	0	0
B-94-MC-48-0500	Millennium Youth Center	N/A	N	7,830,000	7,830,000	0	0	0	0
B-94-MC-48-0500-A	11th and 12th Streets Revitalization - Job Creation and Acquisition	N/A	N	9,035,000	9,035,000	0	0	0	0

ELIGIBILITY AND NATIONAL OBJECTIVE									
Section 108 Project Number	Project Name	National Objective Code	IDIS Matrix Code	Is Activity Complete? (Y/N)	Has N.O. Been Met? (Y/N)	Presumed Low/ Mod Benefit (P) or Rev. Strategy Area (RSA)	JOBS		
							FTE Jobs Est. in 108 Appl.	Total Actual FTE Jobs Created or Retained	Number of FTE Jobs Held by/ Made Avail. to Low/ Mod
B-01-MC-48-0500-A	Neighborhood Commercial Management Program	LMJ	18A	Y	Y	P	57	53	53
B-01-MC-48-0500	Homeless Shelter	LMC	03C	Y	Y	P	N/A	0	0
B-94-MC-48-0500	Millennium Youth Center	LMA	03D	Y	Y	P	N/A	0	0
B-94-MC-48-0500-A	11th and 12th Streets Revitalization - Job Creation	LMJ	18A	Y	Y	P	0	2	2
B-94-MC-48-0500-A	11th and 12th Streets Revitalization - Acquisition	SBA	01	Y	Y	P	0	0	0

HOUSING									
									Number of Units Occpd. by Low/ Mod Households
									0
									N/A
									N/A
									0
									0