

Chapter 3
Federal Reports

City of Austin Fiscal Year 2010-11 CAPER

Chapter 3: Federal Reports

Federal Guideline - Identify progress in obtaining “other” public and private resources to address needs; how federal resources from HUD leveraged other public and private resources; and how matching requirements were satisfied.

Exhibit 3.1 displays the leveraging generated in FY 2010-11. Matching requirements are shown the HOME Match report and ESG Match report, found later in this Chapter.

Exhibit 3.1: FY 2010-11 Leveraging

Program	Fund Source	Units	Unit Funding	Leveraged
Units Leveraged That Received Federal Funds				
Acquisition and Development (A&D)	HOME/CDBG	6	\$232,463	\$641,684
Down Payment Assistance (DPA)	HOME	12	\$274,485	\$1,349,685
Rental Housing Dev. Assist. (RHDA)	HOME/CDBG	16	\$1,023,906	\$456,544
Total-Federal Funded		34	1,530,854	2,447,913
Units Leveraged That Received Local Funds				
Rental Housing Dev. Assist. (RHDA)	GO Bond/UNO/HTF	463	\$14,787,148	\$64,196,190
Down Payment Assistance (DPA)	HOME	0	-	-
Acquisition and Development (A&D)	GO Bond	20	\$468,995	\$2,076,020
Total-Non-Federal		483	\$15,256,143	\$66,272,210

The City leveraged \$14.9 million in private funding in FY 2008-09 to maximize its financial resources with federal funding. In FY 2009-10, a little over \$13 million was leveraged for the A&D, DPA and RHDA units.

The number of units leveraged that receive federal funding decreased significantly; thus, limiting the FY 2010-11 total amount leveraged to \$2.4 million.

City of Austin Fiscal Year 2010-11 CAPER

Chapter 3: Federal Reports

Federal Guideline - Describe Other Actions in Strategic or Action Plan Taken to: Address obstacles to meet underserved needs.

City of Austin's Investment Plan

The City of Austin's Neighborhood Housing and Community Development (NHCD) Office launched the Investment Plan in FY 2009-10. This framework offers an array of housing, small business development programs and public services under seven priority categories: Homeless/Special Needs Assistance, Renter Assistance, Homebuyer Assistance, Homeowner Assistance, Housing Developer Assistance, Commercial Revitalization, and Small Business Assistance. All of these activities in these categories propose to serve very-low, low-, and moderate-income households, meeting the definition of addressing obstacles to meet underserved needs.

The following Investment Plan activities are specifically focused on addressing obstacles to meet underserved needs:

Tenants' Rights Assistance

The City continued to support the Austin Tenants' Council (ATC) through the Tenants' Rights Assistance program in FY 2010-11. Leveraged with HUD's Fair Housing Initiatives Program (FHIP) funds, ATC is able to provide an array of services to Austin's renters. Located in Central East Austin, ATC focuses efforts on educating the public about fair housing, and participates in over 30 outreach events/efforts annually. The ATC partnered with other community organizations to hold a Housing Fair in April 2011 which showcased housing rights educational booths with information about fair housing protections, tenant-landlord laws, affordable housing resources, and homeowner guides. ATC provided services to 810 persons in FY 2010-11.

Tenant-Based Rental Assistance (TBRA)

The Tenant-Based Rental Assistance (TBRA) program provides rental housing subsidies and security deposits to eligible households who may otherwise be homeless. AHFC oversees the contracts with the TBRA sub recipients: Housing Authority of the City of Austin and the Salvation Army. The Comprehensive Housing Market Study, commissioned by the City of Austin in 2009, identified very low-income renters as one of the most underserved populations. The report found that there is a tremendous need for rental housing affordable to those earning 0-30 percent of the area's MFI - just one in six renters earning less than \$20,000 can find affordable housing in Austin. In FY 2010-11, 92 individuals were assisted through TBRA.

Architectural Barrier Removal Program

The Architectural Barrier Removal (ABR) Program modifies the homes of seniors and persons with disabilities who have limited income and would like their homes more accessible. These accessibility modifications help persons with disabilities remain in their homes longer and live with a greater degree of independence. All services are free to eligible persons. ABR Program services include: wheel chair ramps, handrails, door widening, buzzing or

City of Austin Fiscal Year 2010-11 CAPER

Chapter 3: Federal Reports

flashing devices (for people with visual/hearing impairment), accessible door and faucets handles, shower grab bars and shower wands, and accessible showers, toilets and sinks. In FY 2010-11, 185 households received accessibility modifications through the ABR Program – Renter/Owner.

Rental Housing Development Assistance (RHDA)/ Permanent Supportive Housing

The Austin City Council passed a resolution on March 25, 2010, directing the City Manager to give priority to federal and local funding to permanent supportive housing (PSH), and to develop a comprehensive strategy for the construction and operation of 350 permanent supportive housing (PSH) units over the next four years. On September 30, 2010, the City's PSH strategy was presented to City Council. Implementation is underway to achieve the 350-unit goal. The City's PSH efforts will primarily focus on chronic homelessness. In FY 2010-11, 479 households were served through the RHDA program.

East 11th and 12th Streets Revitalization

The City, in partnership with the Urban Renewal Agency (URA), carries out the East 11th and 12th Streets Urban Renewal Project. The East 11th and 12th Streets Plan defines specific redevelopment projects and associated regulatory controls intended to assure quality, compatible, mixed-use development along the East 11th and 12th Street Corridors. East 11th Street is envisioned as a visitor-oriented destination with three to five story buildings that provide entertainment and office space, attracting local residents and customers from the Austin metropolitan area. East 12th Street is envisioned as a mixed-use area with a variety of small-scale, live-work environments with combined office, retail and residential uses that serve the immediate area.

The City of Austin has commissioned a consultant to provide a development strategy for the East 11th and 12th Street Corridors. This strategy will provide a framework for the development of a mixture of commercial, retail and residential within these two corridors. The consultant will conduct a market analysis of the area to evaluate what type of development the area can absorb, conduct an assessment of the current infrastructure, and identify strategies to implement neighborhood priorities and the long desired revitalization of East 11th and 12th Streets. The consultant is working with City staff, key stakeholders and community members to ensure the development strategy reflects the priorities of all parties. Completion of the strategy is scheduled for January 2012.

On September 21, 2011, the consulting team held an Open House and Community Meeting, which was attended by roughly 100 people, launching the East 11th and 12th Streets Development Strategy.

In FY 2010-11, 2,170 households were served through revitalization efforts. During the fiscal year resources were committed and expended on leasing the community facility that provided parking to the area businesses patrons.

City of Austin Fiscal Year 2010-11 CAPER

Chapter 3: Federal Reports

NHCD, in working with other City Departments, designed a community parking facility for the redevelopment area; however, submission for project permitting and construction was postponed. Contributing factors for postponement included: the delay in receiving the City's Design Commission project recommendation and the Commission's final recommendation revised design standards impacting overall project timeline and budget making it infeasible to complete in FY 2010-11.

Federal Guideline - Describe Other Actions in Strategic or Action Plan Taken to: Foster and maintain affordable housing.

Expand Alternate Funding Sources

The City of Austin continued to seek opportunities in FY 2010-11 that could potentially increase and expand funding sources in an effort to foster and maintain affordable housing. NHCD's programs and services rely on local funding sources to deliver many of the activities responsive to community priorities. NHCD applied for several competitive grant opportunities this fiscal year in an effort to increase its financial capacity.

Create and Retain Affordable Housing

Through the City of Austin's Acquisition and Development (A&D) and Rental Housing Development Assistance (RHDA) programs, NHCD partnered with various lenders, non-profit and for-profit developers to increase the supply of affordable rental and homeownership opportunities that will benefit low- and moderate-income households. Both programs together created 505 housing opportunities in FY 2010-11. For more detailed information on program accomplishments, visit Housing Activity tables in Chapter 6.

Community Housing Development Organizations (CHDOs)

Community Housing Development Organizations (CHDOs) are non-profit housing providers whose organizational mission includes the development of affordable housing for low- and moderate-income households. The City is able to work closely with CHDOs to help them meet their housing development goals by coordinating with the CHDO Roundtable, an organization comprised of local, non-profit affordable housing providers. The City met with the CHDO Roundtable regularly to discuss policy matters in FY 2010-11. NHCD provided eight CHDO Operating Expenses Grants in FY 2010-11 to help increase organizational capacity. NHCD continues to work closely and support CHDOs to increase opportunities that will foster and maintain affordable housing.

Home Repair Activities

Home Repair activities are a high priority and are considered critical for the City of Austin. These activities make units more accessible by alleviating life-threatening living conditions and health and safety hazards for low- and moderate-income homeowners. NHCD is reviewing all home repair activities and plans to hold key stakeholder meetings in FY 2011-12 to discuss potentially revising programs and processes. NHCD administers the following home repair programs: Architectural Barrier Removal (ABR) – Rental and

Chapter 3: Federal Reports

Owner, Emergency Home Repair (EHR), Homeowner Rehabilitation Loan Program (HRLP), G.O. Repair! Program and Holly Good Neighbor Program.

Federal Guideline - Describe Other Actions in Strategic or Action Plan Taken to: Eliminate barriers to affordable housing.

Tenants' Rights Assistance

The City continued to support the Austin Tenants' Council (ATC) through the Tenants' Rights Assistance program. Leveraged with HUD's Fair Housing Initiatives Program (FHIP) funds, ATC is able to provide an array of services to Austin's renters. The City's Fair Housing Office also provides assistance to residents who believe they have been discriminated against as it relates to housing. The City will continue working closely with both agencies to eliminate barriers to affordable housing and educate renters on fair housing choice. For more information about the City's efforts on fair housing, visit Chapter 4.

Housing Smarts – Housing Counseling

The Housing Smarts program offers housing counseling class to residents who earn at or below of 80 percent of MFI. The classes are offered in English and Spanish. Housing Smarts has two classroom curricula. The first is pre-purchase one-on-one counseling sessions which provide counseling to potential homebuyers to assist in the home buying process. Post-purchase counseling which assists current homeowners with maintaining homeownership, including necessary education regarding foreclosure prevention. The last component of Housing Smarts is the train-the-trainer scholarship offered to community non-profits that want to address housing financial literacy issues in their individual communities. Those completing all nine hours of instruction will receive a certificate of completion that satisfies the homebuyer education component for many local mortgage lenders. In FY 2010-11, the Housing Smarts exceeded its annual goal by serving 358 households.

NHCD is planning to apply to become a HUD certified *Housing Counseling Agency*. By becoming certified, NHCD will have the opportunity to apply for additional funding that is specifically designated for certified agencies. The certification and potential funding will assist AHFC in furthering its financial and workforce capacity to provide expansive housing counseling services to low- and moderate-income households.

Down Payment Assistance

The Down Payment Assistance (DPA) program provides deferred payment and forgivable, zero-interest loans to low- and moderate-income first-time homebuyers to assist them with the down payment and closing costs of their home purchase. The program is offered to households earning at or below 80 percent MFI and is available in two options: Option 1) The amount of assistance per household will not exceed \$10,000 per household. Loans are secured by a subordinate lien on the property that is non-assumable. The

City of Austin Fiscal Year 2010-11 CAPER

Chapter 3: Federal Reports

loan will be recaptured by the City if the household sells or rents the home, requests an equity loan, or transfers the property title before the end of the ten-year affordability period. Option 2) DPA funds up to \$40,000, as a deferred payment, 30-year, zero-interest loan, with a shared-equity provision and a City of Austin “right of first refusal” provision. This DPA option is not forgivable. Loans are secured by a subordinate lien on the property that is non-assumable. The loan will be recaptured by the City if the household sells or rents the home, requests an equity loan, or transfers the property title before the end of the 30-year affordability period. Eligible income for DPA is at or below 80 percent of MFI. In FY 2010-11 the DPA program exceeded its annual goal and served twelve households.

S.M.A.R.T. Housing™

The City Council adopted the S.M.A.R.T. Housing™ Ordinance in April 2000 to encourage the development of reasonably priced, mixed-income housing units that meet accessibility standards. The S.M.A.R.T. Housing™ Ordinance ensures that new homes are **S**afe, **M**ixed-Income, **A**ccessible, **R**easonably Priced, and **T**ransit-Oriented and meet the City’s Green Building minimum-energy efficiency rating.

The policy stipulates that all S.M.A.R.T. Housing™ certified single-family ownership units meet visitability standards reflected in the City's Visitability Ordinance. All multi-family developments must comply with federal, state and local accessibility standards, and at least 10 percent of the multi-family housing units must be accessible. Developments that meet these and other prerequisites are given expedited review under the City’s development process and reductions waivers for certain development and construction fees. S.M.A.R.T. Housing™ provides a vehicle to achieve neighborhood support for housing that serves low- and moderate-income residents by requiring applicants to meet with neighborhood organizations prior to filing an application for a zoning change. In addition, downtown developments may receive a density bonus without a zoning change by providing a percentage of affordable housing units or pay a fee-in-lieu to fund affordable housing in or near the downtown area.

The goal of the S.M.A.R.T. Housing™ Program for FY 2010-11 was to complete 700 new single-family and multi-family units. At the end of September 2011, 947 total units had been completed, exceeding the annual goal by 35 percent. In addition, NHCD set another goal for the S.M.A.R.T. Housing™ Program, that 40 percent of the units would serve households earning at or below 80 percent of MFI. At the end of the fiscal year, 80 percent of the units completed will serve households at or below 80 percent MFI.

Affordability Impact Statements

Chapter 25-1, Article 15 of the City of Austin’s S.M.A.R.T. Housing™ Ordinance requires an Affordability Impact Statement (AIS) on proposed ordinances, rules or processes that could impact housing affordability. As part of the S.M.A.R.T. Housing™ Ordinance, staff prepares an Affordability Impact

City of Austin Fiscal Year 2010-11 CAPER

Chapter 3: Federal Reports

Statement (AIS) for all proposed city code amendments and other changes to identify any potential impacts on housing affordability. This analysis ensures that the City Council and the community are informed on an amendment's potential impact on housing affordability. To date, the City of Austin has issued more than 120 Affordability Impact Statements (AIS). For more information on Austin's Affordability Impact Statements (AIS), visit www.austintexas.gov/ahfc/smart_afford.htm.

NHCD Web Site Development

NHCD and AHFC provide customers with increased access to housing and community and economic development services through an array of online resources and information. In 2008, NHCD began to archive and streamline online information creating an online presence that is both user-friendly and cross-promotes housing programs and community and economic development programs.

NHCD staff began working closely with the City's web development team in FY 2010-11 to begin a departmental web site overhaul that will improve its online architecture, build on the resources, and offer a user-friendly navigation. To view NHCD's web site, visit www.austintexas.gov/housing.

Federal Guideline - Describe Other Actions in Strategic or Action Plan Taken to: Evaluate and reduce lead-based paint hazards.

Austin was awarded a \$3.7 million Lead Hazard Control Grant from the U.S. Department of Housing and Urban Development (HUD) in March 2007 to identify and control lead-based paint hazards in eligible low-income rental and owner-occupied housing for a three-year grant period. The City's Lead Smart Program serves homes built prior to 1978 where children under 6 years of age live or spend a significant amount of time and who have tested positive for lead poisoning. The grant targets funding to communities with the greatest need, specifically to households with a high incidence of lead poisoning and older rental housing. The Lead Hazard Control Grant for the City of Austin expired in February 2011.

NHCD received notification in September 2011 that the City of Austin was awarded \$2.5 million to evaluate 180 homes for the presence of lead. In addition, NHCD will use the grant funding to conduct extensive outreach and education. NHCD began highlighting the new funding and the LeadSmart Program and services through several media outlets. The LeadSmart Program served 19 households and exceeded its annual goal in FY 2010-11.

Federal Guideline - Describe Other Actions in Strategic or Action Plan Taken to: Ensure compliance with program and comprehensive planning requirements.

NHCD's Planning, Policy and Outreach (PPO) Division is responsible for overseeing the department's planning efforts and development of federal and local plans and reports. Another NHCD area, the Compliance Division ensures

City of Austin Fiscal Year 2010-11 CAPER

Chapter 3: Federal Reports

compliance with all program and planning requirements, which includes a review of current program guidelines with the activities undertaken through these programs. The Compliance Division ensures programs are compliant with mandated federal and local regulations, established program guidelines, and written contract requirements. All staff is required to be trained on federal and local requirements. Both Divisions are dedicated to technical assistance for compliance with HUD regulations.

Federal Guideline - Describe Other Actions in Strategic or Action Plan Taken to: Reduce the number of persons living below the poverty level.

All programs administered by the City of Austin aim to provide housing, community development, and small business development services to benefit eligible residents so they can have access to livable neighborhoods and increase their opportunities for self-sufficiency which includes persons in poverty. HOPWA, ESG, CDBG, and HOME activities assist households that fall under the special populations category outlined in the FY 2009-14 Consolidated Plan.

Housing Opportunities for People with AIDS (HOPWA) Activities

The Austin/Travis County Health and Human Services Department (HHSD) administers all HOPWA activities for the City of Austin. These programs provide housing assistance for income-eligible persons living with HIV/AIDS and their families. The goals of these programs are to prevent homelessness and to support independent, self-sufficient living among persons living with HIV/AIDS. The services ensure clients have improved access to primary medical care and other supportive services.

Emergency Shelter Grant (ESG) Activities

The Austin/Travis County Health and Human Services Department (HHSD) administers all ESG activities for the City of Austin. These programs provide persons experiencing homelessness with basic shelter and essential supportive services (i.e. case management, physical and mental health treatment, substance abuse counseling, childcare, etc.). ESG can also assist with the operational costs of the shelter facility, and for the administration of the grant. ESG also provides short-term homeless prevention assistance to persons at imminent risk of losing their own housing due to eviction, foreclosure, or utility shutoffs.

Federal Guideline - Describe Other Actions in Strategic or Action Plan Taken to: Overcome gaps in institutional structures and enhance coordination.

City of Austin – Interdepartmental Coordination

Several City of Austin departments coordinate efforts to provide program services and projects outlined in the Action Plan. NHCD administers community and economic development activities, as well as public facility programs which require interdepartmental coordination.

City of Austin Fiscal Year 2010-11 CAPER

Chapter 3: Federal Reports

The City of Austin contracts with the Austin Housing Finance Corporation (AHFC) to develop affordable rental and homeownership opportunities and housing rehabilitation of owner-occupied homes. HHSD provides support to Austin residents living with HIV/AIDS and their families through the use of HOPWA grant funds. HHSD also provides homeless and emergency shelter services using Emergency Shelter Grant (ESG) funds. HHSD and NHCD jointly administer public service programs. Numerous non-federally funded housing programs and activities offered by NHCD rely on the coordination of other City departments including: Austin Energy, Budget Office, City Manager's Office, Code Compliance Department, Contract and Land Management Department, Economic Growth and Redevelopment Services, Government Relations, HHSD, Law Department, Parks and Recreation Department, Planning and Development Review Department, Public Works, Austin Resource Recovery, Office of Sustainability, and Watershed Protection Department.

Additional Coordination

NHCD will continue to work closely with the following organizations to overcome gaps and enhance coordination efforts: African American Resource Advisory Commission (AARAC), Community Development Commission (CDC), Community Action Network (CAN), Community Housing Development Organizations (CHDOs), CHDO Roundtable, Ending Community Homelessness Coalition (ECHO), Housing Authority of the City of Austin (HACA), Housing Authority of Travis County (HATC), HousingWorks, the Urban Renewal Board (URB), as well as other key stakeholders and organizations.

Federal Guideline - Describe Other Actions in Strategic or Action Plan Taken to: Enhance coordination between public and private housing and social services agencies.

Community Action Network (CAN)

CAN is a public/private partnership between 15 major community organizations that work to achieve sustainable social, health, educational, and economic outcomes for Austin and Travis County. CAN supports 12 Issue Area Groups (IAGs) that range from housing, health, and elderly services to workforce development. The Issue Area Groups bring together stakeholders from throughout the community to collaborate with organizations that provide social services. CAN maintains a listserv of more than 3,800 community contacts, which is a key resource for NHCD's outreach efforts to provide information to the public, community non-profits and small businesses.

CAN is working with the City of Austin, Travis County, the Travis County Housing Authority, the Housing Authority of the City of Austin and other agencies to explore opportunities to enhance local affordable housing planning efforts and incorporate this planning initiative into the CAN framework.

City of Austin Fiscal Year 2010-11 CAPER

Chapter 3: Federal Reports

Ending Community Homelessness Coalition (ECHO)

The Ending Community Homelessness Coalition (ECHO) is charged with providing dynamic proactive leadership that engages policy makers and the community in ending homelessness. In order to accomplish this, ECHO engages in a variety of activities. City staff from both the Austin/Travis County Health and Human Services Department (HHSD) and NHCD serve on ECHO committees: Planning & Evaluation Committee and City staff also served as institutional members on the Continuum of Care (CoC) Independent Review Team, which evaluates and scores local CoC applications.

Leadership Committee on Supportive Housing Finance

On March 10, 2011, the City Council adopted the City of Austin Permanent Supportive Housing (PSH) Strategy as a working document and authorized the City Manager to facilitate the Leadership Committee on Supportive Housing Finance with the intent to work together to review and analyze the report provided to the City Council and recommend a comprehensive public and private financing strategy for the City Council.

The Leadership Committee on Supportive Housing Finance includes, designated representatives from: the City of Austin, Ending Community Homelessness Coalition (ECHO), Travis County, Seton Hospital Network, the St. David's Foundation, Central Health, Austin-Travis County Integral Care, and the Housing Authority of the City of Austin (HACA), Housing Authority for Travis County (HATC), and the U.S. Department of Veterans Affairs.

Public Housing Authorities (PHA)

Regular contact and collaboration with local PHA officials ensures that the City housing programs are linked to the needs of public housing residents. NHCD contracts with the Housing Authority of the City of Austin (HACA) for tenant-based rental assistance through Passages Program referrals.

Public – Private Partnerships

The City of Austin partners with for-profit and non-profit developers to disperse affordable housing throughout the community and to preserve and create long-term affordability. For more information about projects, visit Chapter 1.

Affordable Housing Forums

NHCD's quarterly Affordable Housing Forums serve as an opportunity for the City to enhance coordination between public and private housing and social services agencies. In FY 2010-11, NHCD enhanced coordination and dialogue between industry experts, stakeholders, private developers, housing providers, and public policy makers by hosting three forums. Below are the dates and topic of each forum:

- October 2010, Linking Community Investments: Affordable Housing and Economic Development

Chapter 3: Federal Reports

- February 2011, Linking Community Investments: Affordable Housing and Transportation
- May 2011, Linking Community Investments: Affordable Housing and Health

For more information about NHCD's Affordable Housing Forums, visit www.austintexas.gov.org/housing.

Federal Guideline - Describe Other Actions in Strategic or Action Plan Taken to: Improve public housing and resident initiatives.

The City of Austin boundaries are served by two public housing authorities, the Housing Authority of the City of Austin and the Housing Authority of Travis County. The agencies are not departments of the City or County, respectively, but work independently of the City of Austin and Travis County.

Housing Authority of the City of Austin (HACA)

Created in 1937, the Housing Authority of the City of Austin (HACA) is a major provider of affordable housing for lower-income households, disabled persons and seniors in the Austin area. There are 1,929 public housing units and more than 5,171 Housing Choice Vouchers administered by HACA. HACA administers five additional voucher programs under the Housing Choice Voucher program for qualified applicants: the Tenant Based Rental Assistance (TBRA), Single Room Occupancy (SRO), Shelter Plus Care, Veterans Affairs Supportive Housing (VASH) and Family Unification Program (FUP). HACA continues to be successful with over 70 households who have qualified to become homeowners through its down payment assistance program. The program provides assistance to qualified households participating in either the Public Housing or Housing Choice Voucher programs to receive a \$10,000 forgivable loan to be applied towards the down payment of a new or pre-existing home. If the applicant meets all the criteria of the program for the first five years, then the loan is forgiven.

HACA was recently awarded the 2009 Resident Opportunity and Self-Sufficiency (ROSS) grant to provide additional supportive services to the youth and residents of public housing properties. These combined programs enable public housing residents to receive tutoring and youth drop-out prevention services, computer and job training, and other enhanced services to help the residents achieve self-sufficiency. HACA received three American Recovery and Reinvestment Act (ARRA) grants to provide major modernization and energy efficiency improvements at Booker T. Washington, Gaston Place and North Loop apartments. Annually, HACA receives resident input to address capital improvements and resident initiatives through an annual series of meetings with the Resident Councils, and surveys are distributed to all public housing residents. This information is incorporated, where appropriate, into HACA's annual plan.

Chapter 3: Federal Reports

Housing Authority of Travis County (HATC)

The Housing Authority of Travis County (HATC) was created in 1975 as an administrator of two HUD housing programs, the Housing Choice Voucher Program and Public Housing. HATC administers 8 housing services programs, the largest of which is 566 units of Housing Choice Vouchers, with approximately 800 individuals and households on a waiting list to receive a voucher. HATC receives a Shelter Plus Care grant for 95 units to provide assistance for homeless individuals and households in the Austin Travis County Metropolitan area, as well as interlocal agreements with two other counties that allow for services in those areas. HATC owns and manages 105 units of public housing in Austin, 33 units of non-HUD affordable housing for seniors in Manor, and 16 affordable duplex units for households in Del Valle, TX. An affiliate of HATC, the Strategic Housing Finance Corporation, operates three tax credit/bond financed multifamily affordable housing developments in Austin: two elderly developments providing 278 units and a multi-family development with 192 units.

The City of Austin is committed to continuing the support of partnership and efforts that will improve public housing and resident initiatives and will continue coordinating with both HACA and HATC each fiscal year to inform public housing residents of affordable housing programs and opportunities.

City of Austin Fiscal Year 2010-11 CAPER

Chapter 3: Federal Reports

PERFORMANCE OUTCOME MEASUREMENT

Citizen Survey 2010

The City's Budget Office contracted with the ETC Institute in 2010 to administer a statistically valid community survey as an opportunity for Austin residents to provide input on satisfaction of City's services. The input gathered assists City leadership in setting budget priorities and making policy recommendations.

The survey asked respondents to rank satisfaction on services provided by the Neighborhood Housing and Community Development (NHCD) Office. Ranking categories included: Very Satisfied, Satisfied, Neutral, and Dissatisfied.

Areas related to NHCD in which citizens were asked to rank:

- City efforts to offer financial literacy and homebuyer education.
- Availability of affordable housing.

Exhibits 3.2 and 3.3 provide the results from the survey.

Exhibit 3.2: Citizen's satisfaction with availability of affordable housing

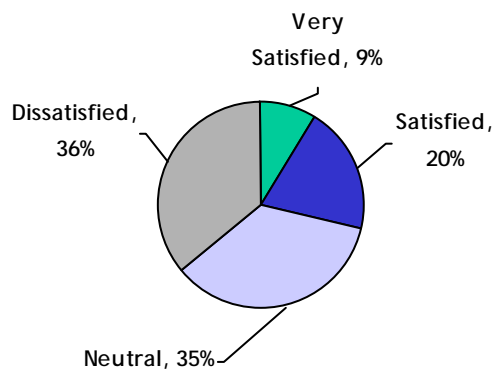
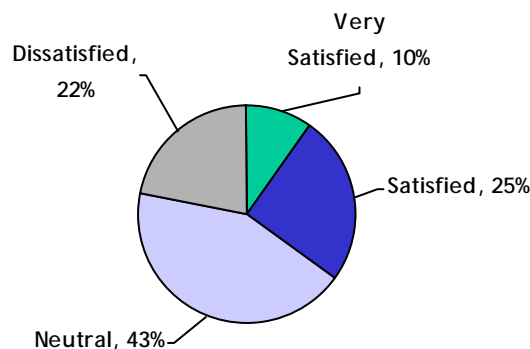


Exhibit 3.3: Citizen's satisfaction with City's efforts to offer financial literacy and homebuyer education



City of Austin Fiscal Year 2010-11 CAPER

Chapter 3: Federal Reports

NHCD is exploring additional ways to increase awareness of its programs and services. In FY 2011-12 NHCD will be modifying its Citizen Participation Plan to include producing a more comprehensive community engagement strategy for the development of its 5-Year Consolidated Plan and annual Action Plans. The intent is to increase community participation in setting priorities for funding and offering input on policies.

FY 2011-12 Action Plan Process

During the FY 2011-12 Action Plan process NHCD developed community engagement strategies in order to generate quantifiable results about the community's priorities as it relates to NHCD/AHFC programs and services. The engagement strategy was comprised of: five public hearings, six neighborhood gatherings, eight enhanced outreach opportunities, and an online funding allocation exercise, and several marketing and outreach promotions in English and Spanish.

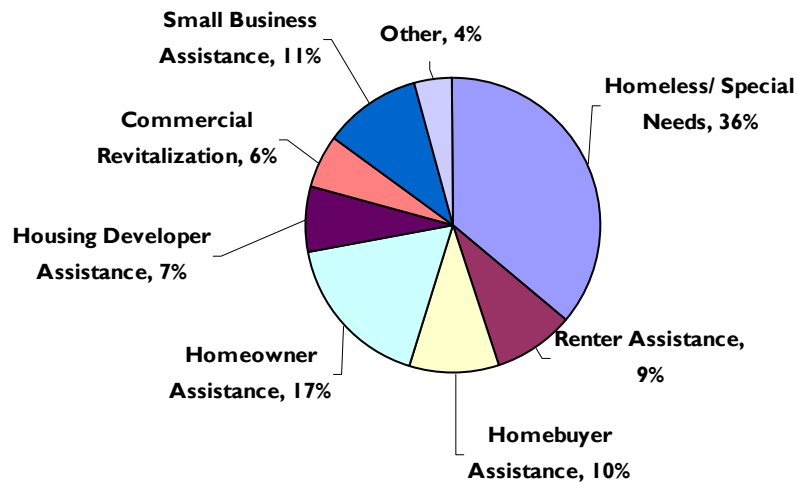
151 individuals participated in the funding allocation exercise. Each participant received ten dots and each dot representing \$10. Participants were asked to prioritize each dot on NHCD's Investment Plan. The Investment Plan outlines affordable housing, community development, economic development, and public service activities under seven categories: Homeless/Special Needs, Renter Assistance, Homebuyer Assistance, Homeowner Assistance, Housing Developer Assistance, Commercial Revitalization, and Small Business Assistance. The dots could be placed at the Investment Plan category level or at the program and services levels. Participants could also provide a detailed comment on a program or service that they would like to highlight or that NHCD and HHSD currently does not administer. If a participant felt particularly strongly about a program or service, they could assign multiple dots to that area. NHCD in collaboration with the City's Corporate Public Information Office (CPIO), also launched the funding tool for the community to provide feedback on funding prioritization: www.neighborhoodhousing.austintexas.icanmakeitbetter.com/ideas

Exhibit 3.4 illustrates the results from the funding allocation exercise provided to participants. The prioritization was allotted fairly equally across all Investment Plan categories, with the largest amount of dots going to the Homeless/Special Needs category.

City of Austin Fiscal Year 2010-11 CAPER

Chapter 3: Federal Reports

Exhibit 3.4: Funding Allocation Results



Community input has always been instrumental in the development in the City's annual Action Plan and will continue to remain a key element in future federal reports.

Imagine Austin Comprehensive Planning Process

The Imagine Austin Comprehensive Planning process began in August 2009 and is expected to be adopted in early 2012. Community engagement, sustainability and implementation are the three overarching themes of the Imagine Austin Plan.

NHCD provided input to the City's Planning Development Review (PDR) on components related to affordable housing in the Vision and Plan Framework process, and continues providing housing affordability information to contribute to the development of the plan. NHCD's Planning, Policy, and Outreach staff serves as members of the Housing and Neighborhoods Building Block Working Group, and regularly attend meetings to provide input on any action items needed to address Austin's critical housing issues.

As a part of Phase II: Vision Plan and Framework, the Imagine Austin team solicited citizen input on various issues facing Austin households and their priorities for the future of the City of Austin. Two products summarize this public input: the Common Ground working paper and a statistically valid community survey. These products provide insight on the community's concern about the lack of affordable housing in Austin, as well as the willingness to prioritize affordable housing as one of the most pressing needs that the community should continue to address.

Chapter 3: Federal Reports

The Common Ground Working Paper was produced in March 2010 in order to compile and organize community input from the first Community Forum series, and as a first step toward identifying potential elements in a Vision Statement for the future of Austin. Imagine Austin participants were asked to describe the biggest challenges facing Austin today. Voters ranked affordable housing third among the biggest challenges currently facing Austin (253), after traffic congestion (491) and public transit (297).

PDR will continue with Phase III in FY 2011-12. For Phase III, staff at PDR has identified seven main building blocks that will be addressed in the Comprehensive Plan document. For each building block, a set of strategies and action items have been developed using feedback from past public meetings and recommendations from the consultants. At the beginning of FY 2011-12, the City with assistance from Wallace Roberts & Todd, finished drafting the Comprehensive Planning document itself, and the draft is now undergoing review from the public, city staff, and Task Force members before it is adopted by City Council.

City of Austin Fiscal Year 2010-11 CAPER
Chapter 3: Federal Reports

Federal Requirement: The annual report will include a comparison of the proposed versus actual outcomes for each outcome measure submitted with the consolidated plan and explain, if applicable, why progress was not made toward meeting goals and objectives.

Exhibit 3.5 is a summary of the FY 2010-11 accomplishments according to each outcome performance measure. For more detailed information about these programs, including an explanation about progress towards meeting goals and objectives, see Chapter 6.

Outcome Statement: Accessibility for the purpose of creating suitable living environments.

Program	FY	FY 2010-11 Proposed Goal	FY 2010-11 Accomplishment	Percent Completed
Shelter Operation and Maintenance (ARCH)	FY 2010-11	8,500	8,947	105%
Homeless Essential Services (ATHHSD CDU)	FY 2010-11	35	41	117%
Child Care Services	FY 2010-11	324	382	118%
Senior Services	FY 2010-11	208	211	101%
Youth Support Services	FY 2010-11	159	189	119%
Tenant's Rights Assistance	FY 2010-11	549	810	148%
Architectural Barrier Removal Program - Rental	FY 2010-11	20	40	200%
Architectural Barrier Removal Program - Owner	FY 2010-11	110	145	132%
Housing Smarts - Housing Counseling	FY 2010-11	340	358	105%

Outcome Statement: Accessibility for the purpose of providing decent housing.

Program	FY	FY 2010-11 Proposed Goal	FY 2010-11 Accomplishment	Percent Completed
Permanent Housing Placement Services	FY 2010-11	83	52	63%
Tenant-Based Rental Assistance	FY 2010-11	115	92	80%
Down Payment Assistance	FY 2010-11	10	12	120%
Rental Housing Development Assistance	FY 2010-11	216	479	222%
Acquisition and Development	FY 2010-11	35	26	74%

Outcome Statement: Accessibility for the purpose of creating economic opportunities.

Program	FY	FY 2010-11 Proposed Goal	FY 2010-11 Accomplishment	Percent Completed
Community Development Bank	FY 2010-11	6	6	100%
Neighborhood Commercial Management Program	FY 2010-11	11	-	0%
Community Preservation & Revitalization	FY 2010-11	2	0	0%

Outcome Statement: Affordability for purpose of creating suitable living environments.

Program	FY	FY 2010-11 Proposed Goal	FY 2010-11 Accomplishment	Percent Completed
<i>None</i>				

City of Austin Fiscal Year 2010-11 CAPER
Chapter 3: Federal Reports

Outcome Statement: Affordability for the purpose of providing decent housing.

Program	FY	FY 2010-11 Proposed Goal	FY 2010-11 Accomplishment	Percent Completed
Short-Term Rent, Mortgage, and Utility Assistance	FY 2010-11	210	147	70%
HOPWA - Tenant-Based Rental Assistance	FY 2010-11	130	147	113%
Short-Term Supportive Housing	FY 2010-11	50	38	76%
Transitional Housing	FY 2010-11	49	49	100%
Supportive Services	FY 2010-11	49	49	100%
CHDO Operating Expenses Grants	FY 2010-11	7	8	114%
Developer Incentive-Based Program	FY 2010-11	700	947	135%

Outcome Statement: Affordability for the purpose of creating economic opportunities.

Program	FY	FY 2010-11 Proposed Goal	FY 2010-11 Accomplishment	Percent Completed
<i>None</i>				

Outcome Statement: Sustainability for the purpose of creating suitable living environments.

Program	FY	FY 2010-11 Proposed Goal	FY 2010-11 Accomplishment	Percent Completed
Homeowner Rehabilitation Loan Program	FY 2010-11	15	17	113%
G.O. Repair! Program	FY 2010-11	222	142	64%
Lead Hazard Control Grant	FY 2010-11	16	19	119%
Holly Good Neighbor Program	FY 2010-11	63	8	13%

Outcome Statement: Sustainability for the purpose of providing decent housing.

Program	FY	FY 2010-11 Proposed Goal	FY 2010-11 Accomplishment	Percent Completed
Emergency Home Repair	FY 2010-11	450	487	108%

Outcome Statement: Sustainability for the purpose of creating economic opportunities.

Program	FY	FY 2010-11 Proposed Goal	FY 2010-11 Accomplishment	Percent Completed
Microenterprise Technical Assistance	FY 2010-11	33	34	103%
East 11th and 12th Streets Revitalization - Acquisition and Development*	FY 2010-11	-	-	-
East 11th and 12th Streets Revitalization - Historic Preservation*	FY 2010-11	-	-	-
East 11th and 12th Streets Revitalization - Public Facilities*	FY 2010-11	-	-	-
East 11th and 12th Streets Revitalization - Parking Facilities	FY 2010-11	4668	2170	46%

*There were no activities scheduled for these programs in FY 2010-11.

City of Austin Fiscal Year 2010-11 CAPER
Chapter 3: Federal Reports

Current Affordable Rental Housing

The affordable housing the City of Austin creates today brings years of affordable housing into the community. Each funding source, whether local or federal, has its own affordable guidelines. Each project targets different levels of affordability, serving households at or below 80 percent MFI. Affordable rental projects vary in scope and size. Community Housing Development Organizations (CHDOs) develop rental housing in neighborhoods throughout Austin. Private developers also create rental housing in Austin, with the help of city funds. Projects funded by the City often receive other financing, such as state funds, other federal funds, bond financing, and low-income housing tax credits. Exhibit 3.6 lists all of the affordable rental projects that have received city funds or S.M.A.R.T. Housing™ certifications and are currently affordable.

Note: Projects are listed in ascending order by affordability start date.

Project Number	Project Name	Address	Amount of City Funds	Funding Source*	# of Units	# Units Affordable	Affordability Start	Affordability End**
1	Guadalupe Neighborhood Development Corp. (GNDC)	1202 E. 7th St.	\$61,250	CDBG/HOME	1	1	1997	2014
2	Woodland Heights Apts	8312 N. IH-35	\$7,795,000	COA Bond	288	288	1997	2017
3	Rutland Place	1647-1711 Rutland Pl.	\$12,590,000	COA Bond	294	294	1998	2018
4	Cobblestone Court	2101 Davis Ln.	\$1,000,000	HOME/HTF	68	68	1998	2018
5	Stony Creek/Princeton	4911 Manchaca Rd.	\$9,660,000	COA Bond	222	222	1999	2019
6	GNDC	907 Spence St. (various)	\$100,000	CDBG	3	3	1999	2023
7	Village Green Apts	7224 N. East Dr.	\$6,500,000	COA Bond	200	200	2000	2020
8	Fairway Village Apts	6118 Fairway	\$3,523,000	COA Bond	128	128	2000	2020
9	Santa Maria Village Apts	8071 N. Lamar	\$3,315,000	COA Bond	176	176	2000	2020
10	South Congress Apts	2703 S. Congress Ave.	\$6,300,000	COA Bond	172	172	2000	2020
11	Springdale Apts	6415 Springdale	\$3,600,000	COA Bond	98	98	2000	2020
12	Southwest Trails	6300 Fletcher Ln.	\$7,500,000	HOME/COA Bond/HTF/LIHTC/SMART Housing	160	160	2000	2030
13	Fort Branch Landing	43201/2 Ed Bluestein	\$500,000	HOME/LIHTC	250	250	2000	2030
14	GNDC	1100 E. 10th (various)	\$100,000	HOME	4	4	2001	2021
15	VOA - Manor House	5905 Manor	\$260,960	HOME/SMART Housing	11	11	2001	2021
16	SafePlace	1515 Grove Blvd.	\$765,000	CDBG/HTF	40	40	2001	2021
17	Primrose of Shadow Creek	1022 Clayton Ln.	\$8,600,000	COA Bond/SMART Housing/LIHTC	176	176	2001	2031
18	Riverside Meadows	1601 Montopolis Dr.	\$11,700,000	COA Bond/SMART Housing/LIHTC	248	248	2001	2031
19	Blunn Creek Apts	607 Woodward St.	\$15,000,000	COA Bond/SMART Housing/LIHTC	280	280	2001	2031
20	Spring Valley Apts	2303 E. William Cannon Dr.		LIHTC/SMART Housing	230	173	2001	2031
21	Rosemont at Oak Valley	2600 Pleasant Valley	\$15,000,000	Travis County Bond/SMART Housing	248	248	2002	2022
22	Mary Lee Community - Cornerstone Apts	1322 Lamar Square Dr.	\$1,009,502	HOME/SMART Housing	30	30	2002	2022
23	Garden Terrace	1015 W. William Cannon Dr.	\$1,775,750	CDBG/HOME/SMART Housing	100	100	2002	2032
24	Villas on Cordoba	5300 Jimmy Clay		LIHTC/SMART Housing	156	93	2002	2032
25	Circle S. Apts	7201 S. Congress Ave.		LIHTC/SMART Housing	200	200	2002	2032
26	Oak Springs Villas	3001 Oak Springs Dr.	\$466,715	HTF/SMART Housing	56	56	2003	2023
27	Lyons Gardens	2720 Lyons Rd.	\$800,000	HOME/SMART Housing/HTF	54	54	2003	2025
28	Parker Springs	4601 E. St. Elmo Rd.		LIHTC/SMART Housing	35	35	2003	2033
29	Town Vista Multi-family Apts	2201 Montopolis Dr.		LIHTC/SMART Housing	280	280	2003	2033
30	Woodway Village	4600 Nuckols Crossing Rd.		LIHTC/SMART Housing	160	160	2003	2033
31	Woodway Square	1700 Teri Rd.		LIHTC/SMART Housing/Travis County Bond	240	240	2003	2033
32	Texan West Campus	2616 Salado		SMART Housing (UNO)	62	10	2004	2019
33	Grove Place Apts	1881 Grove Blvd.		LIHTC/SMART Housing	184	184	2004	2034
34	Heritage Point Senior Apts	1950 Webberville Rd.		LIHTC/Travis County Bond/SMART Housing	240	192	2004	2034
35	Rosemont at Williamson Creek	4509 E. St. Elmo Rd.		LIHTC/SMART Housing	163	130	2004	2034
36	Century Park Apts	2900 Century Park Blvd.		LIHTC/Travis County Bond/SMART Housing	240	240	2004	2034
37	Stassney Woods Apts	1800 E. Stassney	\$13,300,000	COA Bond	288	288	2004	2024
38	The Boulevard	1201 Grove Blvd.	\$181,717	CDBG/SMART Housing	120	6	2005	2015
39	Quarters at Cameron	2707 Rio Grande St.		SMART Housing (UNO)	64	6	2005	2020
40	Quarters at Montgomery	2700 Nueces		SMART Housing (UNO)	88	9	2005	2020
41	Quarters at Sterling	709 W. 22nd St.		SMART Housing (UNO)	100	10	2005	2020
42	Sterling University Student Housing	2704 Rio Grande St.		SMART Housing (UNO)	76	8	2005	2020

City of Austin Fiscal Year 2010-11 CAPER
Chapter 3: Federal Reports

Project Number	Project Name	Address	Amount of City Funds	Funding Source*	# of Units	# Units Affordable	Affordability Start	Affordability End**
43	Blackland CDC	1700 MLK (various locations)	\$330,000	HOME	9	9	2005	2025
44	GNDC. (loan includes all addresses below)	809-B San Marcos St.	\$395,000	HOME CHDO	1	1	2005	2025
45		907-B Spence St.			1	1	2005	2025
46		1002 Wheelless			2	2	2005	2025
47		1009-B E. 10th St.			1	1	2005	2025
48		2320 Santa Rita St.			1	1	2005	2025
49		303 San Saba St.			1	1	2005	2025
50	Rosemont at Hidden Creek	9345 E. US Hwy 290		LIHTC/SMART Housing	250	250	2005	2035
51	Villas on Sixth	2011 E 6th		LIHTC/HTF/ SMART Housing	160	136	2005	2035
52	Riverchase Apts	2239 Cromwell Cir.	\$8,600,000	COA Bond	284	284	2005	2025
53	NMF VI	6607 & 7102 Guadalupe St.	\$180,000	CDBG	15	15	2006	2016
54	NMF VII	1507 W. 39 1/2 St.	\$250,000	CDBG	20	20	2006	2016
55	Spring Terrace SRO	7101 N. I-H 35	\$2,000,000	HOME/SMART Housing/HTF	140	140	2006	2105
56	Blackland CDC (loan includes address below)	2008 Chicon St.	\$152,180	HOME CHDO/ CDBG	4	4	2007	2017
57		2201 Salina St.			1	1	2007	2017
58	Community Partnerships for the Homeless (loan includes addresses below)	2505 Village Trail Cir.	\$123,163	HOME	7	7	2007	2027
59		2407 S. 4th St.			3	3	2007	2027
60		7605 Elderberry			4	4	2007	2027
61	Community Partnerships for the Homeless (loan includes address below)	2014 Covered Wagon Pass	\$100,000	HTF	3	3	2007	2027
62		5611 Teri Rd.			4	4	2007	2027
63	City View at the Park	2000 Woodward	\$71,000	HTF/SMART Housing/LIHTC	70	68	2007	2047
64	GNDC- La Vista de Guadalupe Apts.	813 E. 8th St.	\$2,138,239	HOME CHDO	22	22	2007	2049
65	Skyline Terrace SRO	1212 W. Ben White Blvd.	\$3,516,850	HOME/HTF/GO Bond/SMART	100	100	2007	2106
66	Lifeworks Transitional Housing Project	3710 S. 2nd	\$300,000	HTF	6	6	2008	2028
67	Community Partnership for the Homeless	904, 908, 912, 916 Neal St.	\$500,000	HTF	6	6	2008	2049
68	United Cerebral Palsy Texas-Allandale Condos	7685 Northcross Dr.	\$500,000	HTF	10	10	2008	2049
69	AHA Carol's House	6607 & 7102 Guadalupe St.	\$140,810	GO Bond/HOME CHDO	1	1	2008	2107
70	Saint Louise House #1 (formerly Vincare Services of Austin)	address not disclosed	\$1,765,294	GO Bond	24	22	2008	2107
71	Austin Travis County MHMR Crisis Respite Center	6222 N. Lamar Blvd.	\$2,300,000	GO Bond	37	37	2009	2108
72	Crossroads Apts - Children's Home Initiative	8801 McCann Dr.	\$900,000	GO Bond	14	14	2009	2108
73	Pecan Springs Commons, Phase I	5802, 5804, 5805, 5807, 5809 and 5811 Sweeney Cir.	\$1,300,000	GO Bond/HOME	24	24	2009	2108
74	Saint Louise House #2	address not disclosed	\$1,500,000	GO Bond	24	24	2009	2108
75	Palms on Lamar (formerly Malibu Apts)	8600 N. Lamar Blvd.	\$3,000,000	LIHTC/GO Bond	476	215	2009	2108
76	Lydia Street Alley Flat	904-B Lydia St.	\$60,000	GO Bond/SMART Housing	1	1	2009	2108
77	Franklin Gardens	E.M. Franklin & MLK	\$1,000,000	GO Bond/SMART Housing	22	22	2009	2049
78	Elm Ridge Apts	1121 Harvey St.	\$2,500,000	GO Bond/LIHTC/COA Bond	130	130	2010	2109
79	East 15th Street Transitional Facility	403 E. 15th St.	\$2,013,175	CIP/GO Bond	24	24	2010	2050
80	Sierra Vista (formerly Shady Oaks Apts)	4320 S. Congress Ave.	\$3,000,000	LIHTC/GO Bond	238	143	2010	2109
81	The Ivy Condominiums	3400 Manchaca Rd.	\$494,740	GO Bond	8	8	2011	2051
82	Treaty Oaks Apts	3700 Manchaca Rd.	\$1,350,000	GO Bond/GO Bond PSH/HTF	47	47	2011	2110
83	1903 E. 20th St. Apts	1903 E. 20th St.	\$491,919	HOME CHDO	8	8	2011	2110
84	M Station	2096 E. MLK	\$2,000,000	LIHTC/GO Bond	150	89	2011	2110
85	Marshall Apts	1402 E. 12th St. & 1157 Salina St.	\$2,500,000	GO Bond/GO Bond PSH	100	100	2011	2110
86	The Willows	1330 Lamar Square Dr.	\$2,475,000	GO Bond	64	60	2011	2051
87	Retreat at North Bluff (formerly Village on Little Texas)	6204 Crow Ln.	\$2,940,000	GO Bond	240	50	2011	2110
88	Arbor Terrace (formerly Suburban Lodge)	2501 S. IH-35	\$2,000,000	CDBG/GO Bond PSH	120	120	2012	2111
89	Wildflower Terrace	RMMA Development	\$2,000,000	GO Bond/LIHTC	201	86	2012	2111
	Totals		\$186,291,264		9,311	7,895		

Chapter 3: Federal Reports

Project Number	Project Name	Address	Amount of City Funds	Funding Source*	# of Units	# Units Affordable	Affordability Start	Affordability End**
Released from Monitoring Requirements								
1	Manor Circle Apts	2500-2502 Manor Cir.	\$36,900	HOME	8	8	1996	2001
2	Ebenezer Senior Village	1015 E 10th	N/A	N/A	12	12	1999	2004
3	Sandshof	6701-03 Sandsof Dr.	\$10,930	CDBG	2	2	1996	2006
4	Blackland CDC	2009 Salina (various)	\$99,000	HOME	11	11	2001	2006
5	Roosevelt Gardens	5606 Roosevelt Ave.	\$75,000	CDBG	24	24	1997	2007
6	Stonecreek Ranch Apts	333 Slaughter Ln.		SMART Housing	198	80	2002	2007
7	East 20th St. Apts	1803 E. 20th St.		SMART Housing	8	1	2002	2007
8	Villas on Guadalupe	2810 Hemphill Park		SMART Housing	150	15	2002	2007
9	Corporation for Affordable Housing	7315 Blessing Ave.	\$220,000	HOME	16	16	1997	2008
10	GNDK	914 E. 7th St.	N/A	N/A	6	6	2003	2008
11	Fountains of Austin	6300 S. Congress Ave.		SMART Housing	122	49	2003	2008
12	GNDK	1005 Lydia (various)	\$20,000	HOME	10	10	2003	2008
13	GNDK	1902 Willow St.	\$34,500	HOME	1	1	1998	2010
14	Eden Park	3129-3131 E. 12th St.	\$711,865	CDBG, RRP, HIP	10	10	1996	2011
15	Meadow Glen Apts	7418 Cameron Rd.	\$342,915	HOME, RRP, CDBG	40	40	1996	2011
16	St. Louise Transitional Housing & Supportive Services	700 Neiray	\$169,810	CIP	11	11	2003	2028+

* Funding Source: Projects may have additional funding sources.

**Affordability End: Affordability period requirements vary by funding source and/or individual project requirements.

+ Property was sold in 2009 and funds were repaid.

Chapter 3: Federal Reports

Federal Guideline - Assessment of Relationship of CDBG Funds to Goals and Objectives. Assess use of CDBG funds in relation to the priorities, needs, goals, and specific objectives in the Consolidated Plan, particularly the highest priority activities.

See Five-Year Assessment of Progress in Chapter 1.

Federal Guideline - Evaluate progress made toward meeting goals for providing affordable housing using CDBG funds, including the number and types of households served.

See Housing and Community Development Activity Tables in Chapter 6.

Federal Guideline - Changes in Program Objectives. Identify nature of and reasons for any changes in program objectives and how the jurisdiction would change its program as a result of its experiences.

The City of Austin did not change objectives outlined in the FY 2010-11 Action Plan.

Federal Guideline - Assessment of Efforts in Carrying Out Planned Actions. Indicate how you pursued all resources indicated in the Consolidated Plan.

See Five-Year Assessment of Progress in Chapter 1 for the assessment of efforts to carry out outlined objectives from the FY 2009-14 Consolidated Plan. In addition to the formula grants received by HUD, NHCD utilized additional federal and local funds to assist with priorities outlined in the FY 2010-11 Action Plan. Additional funds included: Economic Development Initiative (EDI), Section 108 Loans, Lead Hazard Control Grant, local Housing Trust Funds, and General Obligation (G.O.) Bond funds for affordable housing initiatives. Please see the Chapter 2 for detailed definitions of all funding sources.

Federal Guideline - Indicate how you provided certifications of consistency in a fair and impartial manner.

NHCD staff provided certificates of consistency to 10 organizations in FY 2010-11. Each request was reviewed and assessed to determine consistency with the priorities outlined in the FY 2010-11 Action Plan and the City's overall FY 2009-14 Consolidated Plan. Recommendations are submitted to departmental leadership who make final determination on consistency. See Appendix III for list of certifications processed in FY 2010-11.

Federal Guideline - Indicate how you did not hinder Consolidated Plan implementation by action or willful inaction.

The City of Austin's FY 2010-11 CAPER identifies progress made on its affordable housing, community development, economic development and

City of Austin Fiscal Year 2010-11 CAPER

Chapter 3: Federal Reports

public services priorities outlined in the FY 2009-14 Consolidated Plan. This report reflects that the City did not hinder the implementation of its FY 2009-14 Consolidated Plan either by action or willful inaction.

NHCD provides the Community Development Commission (CDC) and the general public a summary of its monthly and quarterly production which showcases progress of all programs and services outlined on the NHCD Investment Plan. Detailed information related to demographics on clients served is also available quarterly.

All NHCD/AHFC staff meet monthly to share production goals, accomplishments, outreach and marketing ideas for promoting programs. Summaries of NHCD's ongoing production results are available on NHCD's website (www.austintexas.gov/housing).

Federal Guideline - Use of CDBG Funds for National Objectives -- If CDBG funds were not used exclusively for activities benefiting low/moderate persons, for slum/ blight activities, or to meet urgent community needs, explain why? If you did not comply with certification to expend no less than 70 percent of your CDBG funding during the specified period on activities that benefit low/moderate persons, explain why?

The use of CDBG funds must meet one of three national objectives: benefiting low- and moderate- income persons; preventing or eliminating slum or blight; and meeting urgent needs. All CDBG funds allocated by the City of Austin were used to benefit low- and moderate-income persons or to eliminate slum or blight in FY 2010-11. NHCD complied with the overall area benefit certification.

Federal Guideline - Anti-displacement and Relocation -- for activities that involve acquisition, rehabilitation or demolition of occupied real property: Steps actually taken to minimize the amount of displacement resulting from the CDBG-assisted activities.

NHCD administered programs that involved the acquisition, rehabilitation, or demolition of real property. In FY 2010-11, there were no displacements or relocations generated from its programs. All programs adhered to the certifications submitted in the FY 2010-11 Action Plan and were in accordance to applicable state and federal regulations related to displacement and relocation.

In December 2009, the Austin City Council approved zoning for the South Shore PUD (Planned Unit Development) located in the East Riverside Corridor. This approval allowed Grayco Town Lake Investment 2010 LP to demolish two existing apartment complexes in order to build condominium developments in their place. As part of this agreement, Grayco was obligated to work with Casa Blanca Realty, a consultant, and NHCD on a tenant relocation plan for existing tenants. As of the end of FY 2010-11, all tenants from the

City of Austin Fiscal Year 2010-11 CAPER

Chapter 3: Federal Reports

Brookhollow and Shoreline apartment complexes have been relocated, and stipends and security deposits have been paid to each resident in full. Residents with school-aged children were offered assistance to move to another complex within the same school district, or given the option to transfer their child back to the same school in the event that they needed to move out of the school district.

Federal Guideline - Steps taken to identify households, businesses, farms or nonprofit organizations who occupied properties subject to the Uniform Relocation Act or Section 104(d) of the Housing and Community Development Act of 1974, as amended, and whether or not they were displaced, and the nature of their needs and preferences.

There were no displacements or relocations in FY 2010-11. All programs adhered to the certifications submitted in the FY 2010-11 Action Plan and were in accordance to applicable state and federal regulations dealing with displacement and relocation.

Federal Guideline - Steps taken to ensure the timely issuance of information notices to displaced households, businesses, farms, or nonprofit organizations.

There were no displacements or relocations in FY 2010-11. All housing programs adhered to the certifications submitted in the City's in the FY 2010-11 Action Plan and are administered in accordance to applicable state and federal regulations connected to displacement and relocation.

Federal Guideline - Low/Moderate Job Activities -- for economic development activities undertaken where jobs were made available but not taken by low/moderate-income individuals: Actions taken by grantee and businesses to ensure first consideration was or will be given to low/moderate-income individuals. List by job title of all the permanent jobs created/retained and those that were made available to low/moderate individuals. If any jobs claimed as being available to low/moderate-income individuals require special skill, work experience, or education, a description of steps being taken or that will be taken to provide such skills, experience, or education.

The City of Austin utilized CDBG funds to help increase job opportunities to low- to moderate-income individuals in FY 2010-11. As a condition to receive financial assistance, businesses agree to provide a specific number of jobs to low/moderate-income individuals.

During FY 2010-11, job opportunities offered by the Community Development Bank program were available to low- to moderate-income persons. Six jobs were created during the program year, four laborers and two service workers. These positions did not require special skills, work experience or education.

City of Austin Fiscal Year 2010-11 CAPER

Chapter 3: Federal Reports

CDBG PROGRAM INCOME

Federal Guideline - Program income received. Amount of program income reported that was returned to each individual revolving fund, e.g., housing rehabilitation, economic development, or other type of revolving fund. Amount repaid on each float-funded activity. All other loan repayments broken down by the categories of housing rehabilitation, economic development, or other amount of income received from the sale of property by parcel.

The amount of program income from each property is determined by the source that funds the unit. Projects that received both CDBG and HOME will have program income realized by both sources.

Exhibit 3.7: CDBG Loan Repayment FY 2010-11

Neighborhood Commercial Management Program	\$94,498.12
Shared Equity A&D Loan	17,916.72
SCIP I Loan	627.00
Homeowner Rehabilitation Loan Program	10,596.26
Administration	15,166.70
Subtotal	\$138,804.80

Exhibit 3.8: CDBG Repayment FY 2010-11

Public Services	\$9,488.43
Former Anderson – A&D	\$1,200.00
Subtotal	\$10,688.43

Exhibit 3.9: CDBG Rental FY 2010-11

Public Facilities	\$60,666.59
Subtotal	\$60,666.59

Total	\$210,159.82
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City of Austin Fiscal Year 2010-11 CAPER
Chapter 3: Federal Reports

CDBG PRIOR PERIOD ADJUSTMENTS

Federal Guideline - Where reimbursement was made this reporting period for expenditures (made in previous reporting periods) that have been disallowed, provide the following information: Activity name and number as shown in IDIS.

Program year(s) in which the expenditure(s) for the disallowed activity(ies) was reported. Amount returned to line-of-credit or program account. Total amount to be reimbursed and the time period over which the reimbursement is to be made, if the reimbursement is made with multi-year payments.

Exhibit 3.10: CDBG Prior Period Adjustments

Activity	IDIS#	Program Year(s)	Line of Credit	Reimbursed	Time Period
A&D 5905 Ventus St.	5334	2008	\$300.28	\$300.28	2010
A&D 1113 Altum St.	5340	2008	\$300.27	\$300.27	2010

City of Austin Fiscal Year 2010-11 CAPER
Chapter 3: Federal Reports

LOANS AND OTHER RECEIVABLES

Federal Guideline - Principal balance for each float-funded activity outstanding as of the end of the reporting period and the date(s) by which the funds are expected to be received.

The City of Austin has no float-funded activities.

Federal Guideline - Total number of other loans outstanding and the principal balance owed as of the end of the reporting period.

As of September 30, 2011, the City of Austin, NHCD, has a total of 2,187 loans outstanding with a principal balance of \$98,552,971.76. These loans were the result of NHCD programs, including Down Payment Assistance, Home Rehabilitation Loan Program, East 11th and 12th Streets, Acquisition and Development, Neighborhood Commercial Management Program, and Rental Housing Development Assistance Program.

Federal Guideline - List separately the total number of outstanding loans that are deferred or forgivable, the principal balance owed as of the end of the reporting period, and the terms of the deferral or forgiveness.

Exhibit 3.11: Loans

Type	Number of Loans	Total Loan Amount
Amortized	120	\$20,285,710.60
Deferred	1,670	\$74,438,926.95
Forgivable	397	\$57,816,564.62
<i>Total</i>	<i>2,187</i>	<i>\$152,541,202.17</i>
<i>Principle Outstanding</i>		<i>\$98,552,971.76</i>

Terms of the deferral or forgiveness vary depending on the negotiated loan agreement. Typically, borrowers with deferred loans begin payment upon maturity of the loan. Forgiveness occurs upon maturity of the loan as long as all the terms of the agreement have been met. Loan terms range from five to thirty years.

Federal Guideline - Total number and amount of loans made with CDBG funds that have gone into default and for which the balance was forgiven or written off during the reporting period.

\$0.00 in CDBG funds became delinquent during FY 2010-11. No delinquent CDBG loans have been written off or forgiven this fiscal year.

City of Austin Fiscal Year 2010-11 CAPER

Chapter 3: Federal Reports

Federal Guideline - A list of the parcels of property owned by the grantee or its subrecipients that have been acquired or improved using CDBG funds and that are available for sale as of the end of the reporting period.

Austin Habitat for Humanity

Austin Habitat for Humanity is building in Devonshire Village which is a new single-family residential subdivision in the neighborhoods of University Hills and Windsor Park in northeast Austin. This new community is situated on a 10-acre wooded parcel and will provide 43 home sites for low-income households. This is the largest Austin Habitat neighborhood project to date with 31 Habitat homes scheduled to be built in Devonshire Village. At the end of FY 2010-11 the following 15 homes sites were for sale in this development:

2409 Towbridge Circle
2410-A Towbridge Circle
2410-B Towbridge Circle
2412 Devonshire Drive
2419 Towbridge Circle
2502 Devonshire Drive
2504 Devonshire Drive
2506 Devonshire Drive
2508 Devonshire Drive
2510 Devonshire Drive
6107 Devonshire Cove
6313 North Hampton
6313-C North Hampton
6313-D North Hampton
6313-E North Hampton

Frontier at Montana

The Frontier at Montana single-family ownership subdivision is in the Montopolis neighborhood. In Frontier at Montana, the City has partnered with several non-profit housing builders to construct 81 homes that provide homeownership opportunities for households earning at or below 80 percent of MFI. Austin Habitat for Humanity completed 30 single-family homes in Frontier at Montana, and American Youthworks is scheduled to construct nine single-family homes, the fifth of which was completed in FY 2010-11. The AHFC homes use a shared equity model with a “right of first refusal” to preserve long-term affordability.

In FY 2010-11, AHFC used Neighborhood Stabilization Program (NSP) funds to construct the remaining 12 vacant lots. These homes are complete and the homes are being marketed to households earning at or below 80 percent of MFI (households earning at or below 50 percent of MFI can apply for a zero interest, 30-year loan through Texas Department of Housing and Community Affairs). As of September 31, 2011, there were eight pending sales contracts for Frontier at Montana homes. All homes are expected to be closed in FY 2011-12.

City of Austin Fiscal Year 2010-11 CAPER

Chapter 3: Federal Reports

LUMP SUM AGREEMENTS

Federal Guideline -

- a. Name of the financial institution.
- b. Date the funds were deposited.
- c. Date the use of funds commenced.
- d. Percentage of funds disbursed within 180 days of deposit in the institution.

The City of Austin has no lump sum agreements.

NEIGHBORHOOD REVITALIZATION STRATEGIES

Federal Guideline - Neighborhood Revitalization Strategies – for grantees that have HUD-approved neighborhood revitalization strategies:

- o Progress against benchmarks, i.e. outputs and outcomes for the program year.
- o For grantees with Federally-designated EZs or ECs that received HUD approval for a neighborhood revitalization strategy, reports that are required as part of the EZ/EC process shall suffice for purposes of reporting progress.

The City of Austin has no HUD-approved neighborhood revitalization strategies.

Federal Guideline - Assess the use of HOME funds in relation to the priorities, needs, goals, and specific objectives in the consolidated plan, particularly the highest priority needs.

See Five-Year Assessment of Progress in Chapter 1.

Federal Guideline - Evaluate progress made toward meeting goals for providing affordable housing using HOME funds, including the number and types of households served.

See Housing and Community Development Activity Tables in Chapter 6.

HOME Match Report

HOME Match Report

U.S. Department of Housing and Urban Development
Office of Community Planning and Development

OMB Approval No. 2506-0171
(exp. 8/31/2009)

Match Contributions for
Federal Fiscal Year (yyyy)
2011

Part I Participant Identification	
1. Participant No. (assigned by HUD) 480264	2. Name of the Participating Jurisdiction City of Austin
5. Street Address of the Participating Jurisdiction 1000 East 11th Street, Suite 300	3. Name of Contact (person completing this report) Nora Richardson
6. City Austin	4. Contact's Phone Number (include area code) 512-974-3138
7. State TX	8. Zip Code 78702

Part II Fiscal Year Summary

1. Excess match from prior Federal fiscal year	\$ 1,889,198.52
2. Match contributed during current Federal fiscal year (see Part III.9.)	\$ 697,910.24
3. Total match available for current Federal fiscal year (line 1 + line 2)	\$ 2,587,108.76
4. Match liability for current Federal fiscal year	\$ 687,620.95
5. Excess match carried over to next Federal fiscal year (line 3 minus line 4)	\$ 1,899,487.81

Part III Match Contribution for the Federal Fiscal Year

1. Project No. or Other ID	2. Date of Contribution (mm/dd/yyyy)	3. Cash (non-Federal sources)	4. Foregone Taxes, Fees, Charges	5. Appraised Land/ Real Property	6. Required Infrastructure	7. Site Preparation, Construction Materials, Donated labor	8. Bond Financing	9. Total Match
Prior Year MF Bonds	10/1/2007						\$ 4,864,393.93	\$ 171,905.24
1910 E 16th St	9/30/2011		\$ 629.00					\$ 629.00
510 26th St	9/30/2011		\$ 4,000.00					\$ 4,000.00
1901 8th St	9/30/2011		\$ 673.00					\$ 673.00
1905 E 9th St	9/30/2011		\$ 1,000.00					\$ 1,000.00
2503 E 9th St	9/30/2011		\$ 1,000.00					\$ 1,000.00
5928 Abby Ann Ln	9/30/2011		\$ 1,100.00					\$ 1,100.00
5929 Abby Ann Ln	9/30/2011		\$ 1,600.00					\$ 1,600.00
6800 Ali Cv	9/30/2011		\$ 1,650.00					\$ 1,650.00
6804 Ali Cv	9/30/2011		\$ 1,650.00					\$ 1,650.00
6806 Ali Cv	9/30/2011		\$ 1,650.00					\$ 1,650.00
5521 Alomar Cv	9/30/2011		\$ 1,100.00					\$ 1,100.00

HOME Match Report

U.S. Department of Housing and Urban Development
Office of Community Planning and Development

OMB Approval No. 2506-0171
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2. Name of the Participating Jurisdiction		Federal Fiscal Year (yyyy)						
City of Austin		2011						
1. Project No. or Other ID	2. Date of Contribution (mm/dd/yyyy)	3. Cash (non-Federal sources)	4. Foregone Taxes, Fees, Charges	5. Appraised Land/ Real Property	6. Required Infrastructure	7. Site Preparation, Construction Materials, Donated labor	8. Bond Financing	9. Total Match
5525 Alomar Cv	9/30/2011		\$ 1,100.00					\$ 1,100.00
5529 Alomar Cv	9/30/2011		\$ 1,100.00					\$ 1,100.00
5816 Alomar Cv	9/30/2011		\$ 1,100.00					\$ 1,100.00
5817 Alomar Cv	9/30/2011		\$ 1,100.00					\$ 1,100.00
5820 Alomar Cv	9/30/2011		\$ 1,100.00					\$ 1,100.00
5821 Alomar Cv	9/30/2011		\$ 1,100.00					\$ 1,100.00
1101 Altum St	9/30/2011		\$ 1,300.00					\$ 1,300.00
1109 Altum St	9/30/2011		\$ 1,000.00					\$ 1,000.00
228 Camperdown Elm Dr	9/30/2011		\$ 1,100.00					\$ 1,100.00
2201 Antone St	9/30/2011		\$ 812.50					\$ 812.50
2205 Antone St	9/30/2011		\$ 1,125.00					\$ 1,125.00
2209 Antone St	9/30/2011		\$ 812.50					\$ 812.50
2213 Antone St	9/30/2011		\$ 812.50					\$ 812.50
2217 Antone St	9/30/2011		\$ 812.50					\$ 812.50
2221 Antone St	9/30/2011		\$ 812.50					\$ 812.50
2225 Antone St	9/30/2011		\$ 812.50					\$ 812.50
2229 Antone St	9/30/2011		\$ 1,187.50					\$ 1,187.50
2233 Antone St	9/30/2011		\$ 812.50					\$ 812.50
3808 Autumn Bay Dr	9/30/2011		\$ 1,100.00					\$ 1,100.00
3812 Autumn Bay Dr	9/30/2011		\$ 1,100.00					\$ 1,100.00
11313 Barns Trl	9/30/2011		\$ 1,100.00					\$ 1,100.00
11401 Barns Trl	9/30/2011		\$ 1,100.00					\$ 1,100.00
11409 Barns Trl	9/30/2011		\$ 1,100.00					\$ 1,100.00

HOME Match Report

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City of Austin		2011						
1. Project No. or Other ID	2. Date of Contribution (mm/dd/yyyy)	3. Cash (non-Federal sources)	4. Foregone Taxes, Fees, Charges	5. Appraised Land/ Real Property	6. Required Infrastructure	7. Site Preparation, Construction Materials, Donated labor	8. Bond Financing	9. Total Match
6812 Baythorne Dr	9/30/2011		\$ 1,100.00					\$ 1,100.00
6816 Baythorne Dr	9/30/2011		\$ 1,100.00					\$ 1,100.00
6820 Baythorne Dr	9/30/2011		\$ 1,100.00					\$ 1,100.00
7000 Bennet Ave	9/30/2011		\$ 632.00					\$ 632.00
3801 Berkman Dr	9/30/2011		\$ 65,312.50					\$ 65,312.50
4300 Berkman Dr Unit A	9/30/2011		\$ 625.00					\$ 625.00
4300 Berkman Dr Unit B	9/30/2011		\$ 625.00					\$ 625.00
4300 Berkman Dr Unit C	9/30/2011		\$ 625.00					\$ 625.00
4300 Berkman Dr Unit D	9/30/2011		\$ 625.00					\$ 625.00
4300 Berkman Dr Unit E	9/30/2011		\$ 625.00					\$ 625.00
4300 Berkman Dr Unit F	9/30/2011		\$ 625.00					\$ 625.00
4306 Berkman Dr Unit A	9/30/2011		\$ 625.00					\$ 625.00
4306 Berkman Dr Unit B	9/30/2011		\$ 625.00					\$ 625.00
4306 Berkman Dr Unit C	9/30/2011		\$ 625.00					\$ 625.00
4306 Berkman Dr Unit D	9/30/2011		\$ 625.00					\$ 625.00
4306 Berkman Dr Unit E	9/30/2011		\$ 625.00					\$ 625.00
4306 Berkman Dr Unit F	9/30/2011		\$ 625.00					\$ 625.00
5701 Berryline Way	9/30/2011		\$ 1,100.00					\$ 1,100.00
5705 Berryline Way	9/30/2011		\$ 2,100.00					\$ 2,100.00
5805 Berryline Way	9/30/2011		\$ 1,100.00					\$ 1,100.00
7817 Bettis Trophy Dr	9/30/2011		\$ 2,700.00					\$ 2,700.00
7633 Breccourt Manor Way	9/30/2011		\$ 1,500.00					\$ 1,500.00
2412 Bryan St	9/30/2011		\$ 400.00					\$ 400.00

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City of Austin		2011						
1. Project No. or Other ID	2. Date of Contribution (mm/dd/yyyy)	3. Cash (non-Federal sources)	4. Foregone Taxes, Fees, Charges	5. Appraised Land/ Real Property	6. Required Infrastructure	7. Site Preparation, Construction Materials, Donated labor	8. Bond Financing	9. Total Match
12900 Buenos Aires Pkwy	9/30/2011		\$ 1,600.00					\$ 1,600.00
13024 Buenos Aires Pkwy	9/30/2011		\$ 2,100.00					\$ 2,100.00
6104 Caddie St	9/30/2011		\$ 720.00					\$ 720.00
4233 Camacho St	9/30/2011		\$ 625.00					\$ 625.00
4305 Camacho St	9/30/2011		\$ 1,000.00					\$ 1,000.00
4309 Camacho St	9/30/2011		\$ 937.50					\$ 937.50
11329 Cherisse Dr	9/30/2011		\$ 1,200.00					\$ 1,200.00
9004 China Rose Dr	9/30/2011		\$ 1,100.00					\$ 1,100.00
9008 China Rose Dr	9/30/2011		\$ 1,100.00					\$ 1,100.00
9104 China Rose Dr	9/30/2011		\$ 1,100.00					\$ 1,100.00
6109 Club Terrace	9/30/2011		\$ 622.00					\$ 622.00
2504 Devonshire Dr	9/30/2011		\$ 1,500.00					\$ 1,500.00
2507 Devonshire Dr	9/30/2011		\$ 900.00					\$ 900.00
10205 Dolce Vista Dr	9/30/2011		\$ 1,100.00					\$ 1,100.00
10209 Dolce Vista Dr	9/30/2011		\$ 1,100.00					\$ 1,100.00
11220 Drumellan St	9/30/2011		\$ 1,100.00					\$ 1,100.00
11221 Drumellan St	9/30/2011		\$ 1,100.00					\$ 1,100.00
11300 Drumellan St	9/30/2011		\$ 1,100.00					\$ 1,100.00
11301 Drumellan St	9/30/2011		\$ 1,100.00					\$ 1,100.00
11304 Drumellan St	9/30/2011		\$ 1,100.00					\$ 1,100.00
11305 Drumellan St	9/30/2011		\$ 1,100.00					\$ 1,100.00
11308 Drumellan St	9/30/2011		\$ 1,100.00					\$ 1,100.00
11309 Drumellan St	9/30/2011		\$ 1,100.00					\$ 1,100.00

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City of Austin		2011						
1. Project No. or Other ID	2. Date of Contribution (mm/dd/yyyy)	3. Cash (non-Federal sources)	4. Foregone Taxes, Fees, Charges	5. Appraised Land/ Real Property	6. Required Infrastructure	7. Site Preparation, Construction Materials, Donated labor	8. Bond Financing	9. Total Match
11315 Drumellan St	9/30/2011		\$ 1,100.00					\$ 1,100.00
11316 Drumellan St	9/30/2011		\$ 1,100.00					\$ 1,100.00
11320 Drumellan St	9/30/2011		\$ 1,100.00					\$ 1,100.00
7801 Duber Ln	9/30/2011		\$ 1,100.00					\$ 1,100.00
7824 Duber Ln	9/30/2011		\$ 1,100.00					\$ 1,100.00
7828 Duber Ln	9/30/2011		\$ 1,100.00					\$ 1,100.00
8808 Edmundsbury Dr	9/30/2011		\$ 1,600.00					\$ 1,600.00
8808 Edmundsbury Dr	9/30/2011		\$ 2,301.00					\$ 2,301.00
8816 Edmundsbury Dr	9/30/2011		\$ 1,600.00					\$ 1,600.00
8828 Edmundsbury Dr	9/30/2011		\$ 1,600.00					\$ 1,600.00
8833 Edmundsbury Dr	9/30/2011		\$ 1,800.00					\$ 1,800.00
6013 Elfen Way	9/30/2011		\$ 1,400.00					\$ 1,400.00
5500 Emma Thompson Way	9/30/2011		\$ 1,600.00					\$ 1,600.00
5512 Emma Thompson Way	9/30/2011		\$ 1,600.00					\$ 1,600.00
5516 Emma Thompson Way	9/30/2011		\$ 1,100.00					\$ 1,100.00
5517 Emma Thompson Way	9/30/2011		\$ 1,600.00					\$ 1,600.00
5520 Emma Thompson Way	9/30/2011		\$ 1,100.00					\$ 1,100.00
5525 Emma Thompson Way	9/30/2011		\$ 1,100.00					\$ 1,100.00
5600 Emma Thompson Way	9/30/2011		\$ 1,100.00					\$ 1,100.00
5605 Emma Thompson Way	9/30/2011		\$ 1,600.00					\$ 1,600.00
5608 Emma Thompson Way	9/30/2011		\$ 1,600.00					\$ 1,600.00
5616 Emma Thompson Way	9/30/2011		\$ 1,600.00					\$ 1,600.00
5620 Emma Thompson Way	9/30/2011		\$ 1,600.00					\$ 1,600.00

HOME Match Report

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City of Austin		2011						
1. Project No. or Other ID	2. Date of Contribution (mm/dd/yyyy)	3. Cash (non-Federal sources)	4. Foregone Taxes, Fees, Charges	5. Appraised Land/ Real Property	6. Required Infrastructure	7. Site Preparation, Construction Materials, Donated labor	8. Bond Financing	9. Total Match
5621 Emma Thompson Way	9/30/2011		\$ 1,100.00					\$ 1,100.00
5624 Emma Thompson Way	9/30/2011		\$ 1,600.00					\$ 1,600.00
5628 Emma Thompson Way	9/30/2011		\$ 1,100.00					\$ 1,100.00
5632 Emma Thompson Way	9/30/2011		\$ 1,600.00					\$ 1,600.00
5636 Emma Thompson Way	9/30/2011		\$ 1,100.00					\$ 1,100.00
5640 Emma Thompson Way	9/30/2011		\$ 1,100.00					\$ 1,100.00
5644 Emma Thompson Way	9/30/2011		\$ 1,100.00					\$ 1,100.00
6119 Felix Ave.	9/30/2011		\$ 673.00					\$ 673.00
1108 1/2 Fiesta St	9/30/2011		\$ 588.00					\$ 588.00
1203 Frontera Ln	9/30/2011		\$ 1,100.00					\$ 1,100.00
6902 Frontera Trl	9/30/2011		\$ 1,100.00					\$ 1,100.00
6904 Frontera Trl	9/30/2011		\$ 1,100.00					\$ 1,100.00
13309 Guarnere Dr	9/30/2011		\$ 1,100.00					\$ 1,100.00
13313 Guarnere Dr	9/30/2011		\$ 1,100.00					\$ 1,100.00
1116 Harvard St	9/30/2011		\$ 1,000.00					\$ 1,000.00
13113 Hymeadow Cir	9/30/2011		\$ 1,000.00					\$ 1,000.00
8609 Ipswich Bay Dr	9/30/2011		\$ 1,100.00					\$ 1,100.00
5009 Irvine Ln	9/30/2011		\$ 1,100.00					\$ 1,100.00
5013 Irvine Ln	9/30/2011		\$ 1,100.00					\$ 1,100.00
316 Island Oak Dr	9/30/2011		\$ 1,100.00					\$ 1,100.00
11300 Kincairg Ct	9/30/2011		\$ 700.00					\$ 700.00
11304 Kincairg Ct	9/30/2011		\$ 1,100.00					\$ 1,100.00
11308 Kincairg Ct	9/30/2011		\$ 1,100.00					\$ 1,100.00

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City of Austin		2011						
1. Project No. or Other ID	2. Date of Contribution (mm/dd/yyyy)	3. Cash (non-Federal sources)	4. Foregone Taxes, Fees, Charges	5. Appraised Land/ Real Property	6. Required Infrastructure	7. Site Preparation, Construction Materials, Donated labor	8. Bond Financing	9. Total Match
6516 Kirkwynd Dr	9/30/2011		\$ 1,100.00					\$ 1,100.00
6517 Kirkwynd Dr	9/30/2011		\$ 1,100.00					\$ 1,100.00
6520 Kirkwynd Dr	9/30/2011		\$ 1,100.00					\$ 1,100.00
6600 Kirkwynd Dr	9/30/2011		\$ 1,100.00					\$ 1,100.00
6601 Kirkwynd Dr	9/30/2011		\$ 1,100.00					\$ 1,100.00
6604 Kirkwynd Dr	9/30/2011		\$ 1,100.00					\$ 1,100.00
6605 Kirkwynd Dr	9/30/2011		\$ 1,100.00					\$ 1,100.00
6608 Kirkwynd Dr	9/30/2011		\$ 1,100.00					\$ 1,100.00
6616 Kirkwynd Dr	9/30/2011		\$ 1,100.00					\$ 1,100.00
6620 Kirkwynd Dr	9/30/2011		\$ 1,100.00					\$ 1,100.00
300 Kissing Oak Dr	9/30/2011		\$ 1,100.00					\$ 1,100.00
324 Kissing Oak Dr	9/30/2011		\$ 1,100.00					\$ 1,100.00
7106 Leppke Cv	9/30/2011		\$ 1,100.00					\$ 1,100.00
13021 Lipton Loop	9/30/2011		\$ 1,100.00					\$ 1,100.00
13025 Lipton Loop	9/30/2011		\$ 1,100.00					\$ 1,100.00
13117 Lipton Loop	9/30/2011		\$ 1,100.00					\$ 1,100.00
13200 Lipton Loop	9/30/2011		\$ 1,100.00					\$ 1,100.00
13201 Lipton Loop	9/30/2011		\$ 1,100.00					\$ 1,100.00
13204 Lipton Loop	9/30/2011		\$ 1,100.00					\$ 1,100.00
13205 Lipton Loop	9/30/2011		\$ 1,100.00					\$ 1,100.00
1913 Littlefield St	9/30/2011		\$ 375.00					\$ 375.00
6800 Lucy Cv	9/30/2011		\$ 1,500.00					\$ 1,500.00
6801 Lucy Cv	9/30/2011		\$ 1,650.00					\$ 1,650.00

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City of Austin		2011						
1. Project No. or Other ID	2. Date of Contribution (mm/dd/yyyy)	3. Cash (non-Federal sources)	4. Foregone Taxes, Fees, Charges	5. Appraised Land/ Real Property	6. Required Infrastructure	7. Site Preparation, Construction Materials, Donated labor	8. Bond Financing	9. Total Match
6802 Lucy Cv	9/30/2011		\$ 1,650.00					\$ 1,650.00
6804 Lucy Cv	9/30/2011		\$ 1,650.00					\$ 1,650.00
6808 Lucy Cv	9/30/2011		\$ 1,650.00					\$ 1,650.00
6814 Lucy Cv	9/30/2011		\$ 1,650.00					\$ 1,650.00
5920 Lux St	9/30/2011		\$ 1,000.00					\$ 1,000.00
5821 Malarkey Rd	9/30/2011		\$ 1,100.00					\$ 1,100.00
1112 Mansell Ave	9/30/2011		\$ 1,500.00					\$ 1,500.00
2918 E Martin Luther King Jr	9/30/2011		\$ 5,000.00					\$ 5,000.00
1133 Mason Ave	9/30/2011		\$ 588.00					\$ 588.00
4301 Mattie St	9/30/2011		\$ 1,218.75					\$ 1,218.75
6901 Montana St	9/30/2011		\$ 2,200.00					\$ 2,200.00
4640 Mueller Blvd	9/30/2011		\$ 5,000.00					\$ 5,000.00
4640 Mueller Blvd Bldg B	9/30/2011		\$ 5,000.00					\$ 5,000.00
4640 Mueller Blvd Bldg C	9/30/2011		\$ 5,000.00					\$ 5,000.00
4640 Mueller Blvd Bldg D	9/30/2011		\$ 5,000.00					\$ 5,000.00
7900 Nater Ln	9/30/2011		\$ 1,100.00					\$ 1,100.00
7904 Nater Ln	9/30/2011		\$ 1,100.00					\$ 1,100.00
7908 Nater Ln	9/30/2011		\$ 1,100.00					\$ 1,100.00
5513 Nelson Oaks Dr	9/30/2011		\$ 1,100.00					\$ 1,100.00
5601 Nelson Oaks Dr	9/30/2011		\$ 1,100.00					\$ 1,100.00
5609 Nelson Oaks Dr	9/30/2011		\$ 1,100.00					\$ 1,100.00
5613 Nelson Oaks Dr	9/30/2011		\$ 1,100.00					\$ 1,100.00
5617 Nelson Oaks Dr	9/30/2011		\$ 1,100.00					\$ 1,100.00

HOME Match Report

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City of Austin		2011						
1. Project No. or Other ID	2. Date of Contribution (mm/dd/yyyy)	3. Cash (non-Federal sources)	4. Foregone Taxes, Fees, Charges	5. Appraised Land/ Real Property	6. Required Infrastructure	7. Site Preparation, Construction Materials, Donated labor	8. Bond Financing	9. Total Match
7032 Outfitter Dr	9/30/2011		\$ 1,100.00					\$ 1,100.00
7100 Outfitter Dr	9/30/2011		\$ 1,600.00					\$ 1,600.00
7101 Outfitter Dr	9/30/2011		\$ 1,100.00					\$ 1,100.00
7104 Outfitter Dr	9/30/2011		\$ 1,600.00					\$ 1,600.00
7105 Outfitter Dr	9/30/2011		\$ 1,600.00					\$ 1,600.00
7108 Outfitter Dr	9/30/2011		\$ 1,100.00					\$ 1,100.00
7109 Outfitter Dr	9/30/2011		\$ 1,600.00					\$ 1,600.00
7112 Outfitter Dr	9/30/2011		\$ 1,600.00					\$ 1,600.00
7117 Outfitter Dr	9/30/2011		\$ 1,600.00					\$ 1,600.00
7121 Outfitter Dr	9/30/2011		\$ 3,200.00					\$ 3,200.00
7124 Outfitter Dr	9/30/2011		\$ 1,600.00					\$ 1,600.00
6401 Panadero Cv	9/30/2011		\$ 1,100.00					\$ 1,100.00
6409 Panadero Cv	9/30/2011		\$ 1,100.00					\$ 1,100.00
6413 Panadero Cv	9/30/2011		\$ 1,100.00					\$ 1,100.00
6417 Panadero Cv	9/30/2011		\$ 1,100.00					\$ 1,100.00
8520 Panadero Dr	9/30/2011		\$ 1,100.00					\$ 1,100.00
8521 Panadero Dr	9/30/2011		\$ 1,100.00					\$ 1,100.00
8528 Panadero Dr	9/30/2011		\$ 1,100.00					\$ 1,100.00
8600 Panadero Dr	9/30/2011		\$ 1,100.00					\$ 1,100.00
8601 Panadero Dr	9/30/2011		\$ 1,100.00					\$ 1,100.00
8605 Panadero Dr	9/30/2011		\$ 1,100.00					\$ 1,100.00
8609 Panadero Dr	9/30/2011		\$ 1,100.00					\$ 1,100.00
8612 Panadero Dr	9/30/2011		\$ 1,100.00					\$ 1,100.00

HOME Match Report

U.S. Department of Housing and Urban Development
Office of Community Planning and Development

OMB Approval No. 2506-0171
(exp. 8/31/2009)

2. Name of the Participating Jurisdiction		Federal Fiscal Year (yyyy)						
City of Austin		2011						
1. Project No. or Other ID	2. Date of Contribution (mm/dd/yyyy)	3. Cash (non-Federal sources)	4. Foregone Taxes, Fees, Charges	5. Appraised Land/ Real Property	6. Required Infrastructure	7. Site Preparation, Construction Materials, Donated labor	8. Bond Financing	9. Total Match
8613 Panadero Dr	9/30/2011		\$ 1,100.00					\$ 1,100.00
8621 Panadero Dr	9/30/2011		\$ 1,100.00					\$ 1,100.00
8709 Panadero Dr	9/30/2011		\$ 1,100.00					\$ 1,100.00
8716 Panadero Dr	9/30/2011		\$ 1,100.00					\$ 1,100.00
8717 Panadero Dr	9/30/2011		\$ 1,100.00					\$ 1,100.00
8721 Panadero Dr	9/30/2011		\$ 1,100.00					\$ 1,100.00
8724 Panadero Dr	9/30/2011		\$ 1,100.00					\$ 1,100.00
8725 Panadero Dr	9/30/2011		\$ 1,100.00					\$ 1,100.00
12913 Perconte Dr	9/30/2011		\$ 1,100.00					\$ 1,100.00
12917 Perconte Dr	9/30/2011		\$ 1,100.00					\$ 1,100.00
12921 Perconte Dr	9/30/2011		\$ 1,100.00					\$ 1,100.00
13001 Perconte Dr	9/30/2011		\$ 1,100.00					\$ 1,100.00
7304 Purnima Cv	9/30/2011		\$ 61.25					\$ 61.25
4714 Reyes St	9/30/2011		\$ 1,500.00					\$ 1,500.00
1705 Rosewood Ave	9/30/2011		\$ 1,300.00					\$ 1,300.00
5900 Sandshof Dr	9/30/2011		\$ 1,650.00					\$ 1,650.00
6002 Sandshof Dr	9/30/2011		\$ 1,650.00					\$ 1,650.00
6003 Sandshof Dr	9/30/2011		\$ 1,650.00					\$ 1,650.00
6007 Sandshof Dr	9/30/2011		\$ 1,650.00					\$ 1,650.00
6301 Sandshof Dr	9/30/2011		\$ 1,650.00					\$ 1,650.00
6403 Sandshof Dr	9/30/2011		\$ 1,650.00					\$ 1,650.00
6709 Santos	9/30/2011		\$ 623.00					\$ 623.00
5928 Silver Screen Dr	9/30/2011		\$ 1,600.00					\$ 1,600.00

HOME Match Report

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Office of Community Planning and Development

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2. Name of the Participating Jurisdiction		Federal Fiscal Year (yyyy)						
City of Austin		2011						
1. Project No. or Other ID	2. Date of Contribution (mm/dd/yyyy)	3. Cash (non-Federal sources)	4. Foregone Taxes, Fees, Charges	5. Appraised Land/ Real Property	6. Required Infrastructure	7. Site Preparation, Construction Materials, Donated labor	8. Bond Financing	9. Total Match
5937 Silver Screen Dr	9/30/2011		\$ 1,600.00					\$ 1,600.00
2025 Simond Ave	9/30/2011		\$ 1,875.00					\$ 1,875.00
2008 Simond Ave Unit A	9/30/2011		\$ 625.00					\$ 625.00
2008 Simond Ave Unit B	9/30/2011		\$ 625.00					\$ 625.00
2008 Simond Ave Unit C	9/30/2011		\$ 625.00					\$ 625.00
2008 Simond Ave Unit D	9/30/2011		\$ 937.50					\$ 937.50
2009 Simond Ave Unit A	9/30/2011		\$ 625.00					\$ 625.00
2009 Simond Ave Unit B	9/30/2011		\$ 625.00					\$ 625.00
2009 Simond Ave Unit C	9/30/2011		\$ 625.00					\$ 625.00
2009 Simond Ave Unit D	9/30/2011		\$ 1,975.00					\$ 1,975.00
2012 Simond Ave Unit A	9/30/2011		\$ 625.00					\$ 625.00
2012 Simond Ave Unit B	9/30/2011		\$ 625.00					\$ 625.00
2012 Simond Ave Unit C	9/30/2011		\$ 625.00					\$ 625.00
2012 Simond Ave Unit D	9/30/2011		\$ 937.50					\$ 937.50
2013 Simond Ave Unit A	9/30/2011		\$ 625.00					\$ 625.00
2013 Simond Ave Unit B	9/30/2011		\$ 625.00					\$ 625.00
2013 Simond Ave Unit C	9/30/2011		\$ 875.00					\$ 875.00
2013 Simond Ave Unit D	9/30/2011		\$ 625.00					\$ 625.00
2016 Simond Ave Unit A	9/30/2011		\$ 625.00					\$ 625.00
2016 Simond Ave Unit B	9/30/2011		\$ 625.00					\$ 625.00
2016 Simond Ave Unit C	9/30/2011		\$ 625.00					\$ 625.00
2016 Simond Ave Unit D	9/30/2011		\$ 937.50					\$ 937.50
2017 Simond Ave Unit A	9/30/2011		\$ 625.00					\$ 625.00

HOME Match Report

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2. Name of the Participating Jurisdiction		Federal Fiscal Year (yyyy)						
City of Austin		2011						
1. Project No. or Other ID	2. Date of Contribution (mm/dd/yyyy)	3. Cash (non-Federal sources)	4. Foregone Taxes, Fees, Charges	5. Appraised Land/ Real Property	6. Required Infrastructure	7. Site Preparation, Construction Materials, Donated labor	8. Bond Financing	9. Total Match
2017 Simond Ave Unit B	9/30/2011		\$ 625.00					\$ 625.00
2017 Simond Ave Unit C	9/30/2011		\$ 625.00					\$ 625.00
2017 Simond Ave Unit D	9/30/2011		\$ 937.50					\$ 937.50
2020 Simond Ave Unit A	9/30/2011		\$ 625.00					\$ 625.00
2020 Simond Ave Unit B	9/30/2011		\$ 625.00					\$ 625.00
2020 Simond Ave Unit C	9/30/2011		\$ 625.00					\$ 625.00
2020 Simond Ave Unit D	9/30/2011		\$ 937.50					\$ 937.50
2021 Simond Ave Unit A	9/30/2011		\$ 625.00					\$ 625.00
2021 Simond Ave Unit B	9/30/2011		\$ 625.00					\$ 625.00
2021 Simond Ave Unit C	9/30/2011		\$ 625.00					\$ 625.00
2021 Simond Ave Unit D	9/30/2011		\$ 937.50					\$ 937.50
2024 Simond Ave Unit A	9/30/2011		\$ 625.00					\$ 625.00
2024 Simond Ave Unit B	9/30/2011		\$ 625.00					\$ 625.00
2024 Simond Ave Unit C	9/30/2011		\$ 625.00					\$ 625.00
2024 Simond Ave Unit D	9/30/2011		\$ 937.50					\$ 937.50
2025 Simond Ave Unit D	9/30/2011		\$ 937.50					\$ 937.50
12205 Sky Harbor Dr	9/30/2011		\$ 400.00					\$ 400.00
7301 South Glenn St	9/30/2011		\$ 1,100.00					\$ 1,100.00
7305 South Glenn St	9/30/2011		\$ 1,100.00					\$ 1,100.00
7309 South Glenn St	9/30/2011		\$ 1,100.00					\$ 1,100.00
7313 South Glenn St	9/30/2011		\$ 1,100.00					\$ 1,100.00
7317 South Glenn St	9/30/2011		\$ 1,100.00					\$ 1,100.00
8713 Southwick Dr	9/30/2011		\$ 1,100.00					\$ 1,100.00

HOME Match Report

U.S. Department of Housing and Urban Development
Office of Community Planning and Development

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2. Name of the Participating Jurisdiction		Federal Fiscal Year (yyyy)						
City of Austin		2011						
1. Project No. or Other ID	2. Date of Contribution (mm/dd/yyyy)	3. Cash (non-Federal sources)	4. Foregone Taxes, Fees, Charges	5. Appraised Land/ Real Property	6. Required Infrastructure	7. Site Preparation, Construction Materials, Donated labor	8. Bond Financing	9. Total Match
8800 Southwick Dr	9/30/2011		\$ 1,100.00					\$ 1,100.00
8809 Southwick Dr	9/30/2011		\$ 1,100.00					\$ 1,100.00
8925 Southwick Dr	9/30/2011		\$ 1,100.00					\$ 1,100.00
9000 Southwick Dr	9/30/2011		\$ 1,100.00					\$ 1,100.00
9005 Southwick Dr	9/30/2011		\$ 1,100.00					\$ 1,100.00
9016 Southwick Dr	9/30/2011		\$ 1,100.00					\$ 1,100.00
9017 Southwick Dr	9/30/2011		\$ 1,100.00					\$ 1,100.00
9021 Southwick Dr	9/30/2011		\$ 1,100.00					\$ 1,100.00
9025 Southwick Dr	9/30/2011		\$ 1,100.00					\$ 1,100.00
9105 Southwick Dr	9/30/2011		\$ 1,100.00					\$ 1,100.00
13100 Spiers Way	9/30/2011		\$ 1,100.00					\$ 1,100.00
8808 Stambourne St	9/30/2011		\$ 1,100.00					\$ 1,100.00
8908 Stambourne St	9/30/2011		\$ 1,100.00					\$ 1,100.00
8909 Stambourne St	9/30/2011		\$ 1,100.00					\$ 1,100.00
8913 Stambourne St	9/30/2011		\$ 1,100.00					\$ 1,100.00
8916 Stambourne St	9/30/2011		\$ 1,100.00					\$ 1,100.00
8917 Stambourne St	9/30/2011		\$ 1,100.00					\$ 1,100.00
8921 Stambourne St	9/30/2011		\$ 1,100.00					\$ 1,100.00
9001 Stambourne St	9/30/2011		\$ 1,100.00					\$ 1,100.00
8621 Sturmer St	9/30/2011		\$ 1,100.00					\$ 1,100.00
8704 Sturmer St	9/30/2011		\$ 1,100.00					\$ 1,100.00
9008 Tall Sky Trce	9/30/2011		\$ 1,100.00					\$ 1,100.00
9113 Tall Sky Trce	9/30/2011		\$ 1,100.00					\$ 1,100.00

HOME Match Report

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2. Name of the Participating Jurisdiction		Federal Fiscal Year (yyyy)						
City of Austin		2011						
1. Project No. or Other ID	2. Date of Contribution (mm/dd/yyyy)	3. Cash (non-Federal sources)	4. Foregone Taxes, Fees, Charges	5. Appraised Land/ Real Property	6. Required Infrastructure	7. Site Preparation, Construction Materials, Donated labor	8. Bond Financing	9. Total Match
9217 Tall Sky Trce	9/30/2011		\$ 1,100.00					\$ 1,100.00
7809 Tee Dr	9/30/2011		\$ 1,100.00					\$ 1,100.00
7111 Thannas Way	9/30/2011		\$ 1,100.00					\$ 1,100.00
7208 Thannas Way	9/30/2011		\$ 1,650.00					\$ 1,650.00
7209 Thannas Way	9/30/2011		\$ 1,650.00					\$ 1,650.00
7210 Thannas Way	9/30/2011		\$ 1,650.00					\$ 1,650.00
7214 Thannas Way	9/30/2011		\$ 1,650.00					\$ 1,650.00
7304 Thannas Way	9/30/2011		\$ 1,650.00					\$ 1,650.00
7305 Thannas Way	9/30/2011		\$ 1,650.00					\$ 1,650.00
3900 Threadgill St Unit 4	9/30/2011		\$ 812.50					\$ 812.50
3900 Threadgill St Unit 6	9/30/2011		\$ 812.50					\$ 812.50
3900 Threadgill St Unit 8	9/30/2011		\$ 812.50					\$ 812.50
3900 Threadgill St Unit 9	9/30/2011		\$ 812.50					\$ 812.50
3900 Threadgill St Unit 10	9/30/2011		\$ 1,187.50					\$ 1,187.50
3900 Threadgill St Unit 11	9/30/2011		\$ 812.50					\$ 812.50
3900 Threadgill St Unit 12	9/30/2011		\$ 812.50					\$ 812.50
3900 Threadgill St Unit 13	9/30/2011		\$ 812.50					\$ 812.50
3900 Threadgill St Unit 14	9/30/2011		\$ 812.50					\$ 812.50
3900 Threadgill St Unit 15	9/30/2011		\$ 812.50					\$ 812.50
3900 Threadgill St Unit 16	9/30/2011		\$ 812.50					\$ 812.50
3900 Threadgill St Unit 17	9/30/2011		\$ 812.50					\$ 812.50
3900 Threadgill St Unit 18	9/30/2011		\$ 812.50					\$ 812.50
3900 Threadgill St Unit 19	9/30/2011		\$ 812.50					\$ 812.50

HOME Match Report

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2. Name of the Participating Jurisdiction		Federal Fiscal Year (yyyy)						
City of Austin		2011						
1. Project No. or Other ID	2. Date of Contribution (mm/dd/yyyy)	3. Cash (non-Federal sources)	4. Foregone Taxes, Fees, Charges	5. Appraised Land/ Real Property	6. Required Infrastructure	7. Site Preparation, Construction Materials, Donated labor	8. Bond Financing	9. Total Match
3900 Threadgill St Unit 20	9/30/2011		\$ 812.50					\$ 812.50
3900 Threadgill St Unit 21	9/30/2011		\$ 812.50					\$ 812.50
3900 Threadgill St Unit 22	9/30/2011		\$ 812.50					\$ 812.50
3900 Threadgill St Unit 23	9/30/2011		\$ 812.50					\$ 812.50
3900 Threadgill St Unit 24	9/30/2011		\$ 812.50					\$ 812.50
3900 Threadgill St Unit 25	9/30/2011		\$ 812.50					\$ 812.50
3900 Threadgill St Unit 26	9/30/2011		\$ 812.50					\$ 812.50
3900 Threadgill St Unit 27	9/30/2011		\$ 812.50					\$ 812.50
3900 Threadgill St Unit 28	9/30/2011		\$ 812.50					\$ 812.50
3900 Threadgill St Unit 29	9/30/2011		\$ 1,187.50					\$ 1,187.50
3900 Threadgill St Unit 30	9/30/2011		\$ 812.50					\$ 812.50
3900 Threadgill St Unit 31	9/30/2011		\$ 812.50					\$ 812.50
3900 Threadgill St Unit 32	9/30/2011		\$ 1,437.50					\$ 1,437.50
2720 Tom Miller St	9/30/2011		\$ 812.50					\$ 812.50
2724 Tom Miller St	9/30/2011		\$ 1,437.50					\$ 1,437.50
2728 Tom Miller St	9/30/2011		\$ 812.50					\$ 812.50
2732 Tom Miller St	9/30/2011		\$ 1,312.50					\$ 1,312.50
2736 Tom Miller St	9/30/2011		\$ 812.50					\$ 812.50
2412 Towbridge Cir	9/30/2011		\$ 1,500.00					\$ 1,500.00
6128 Toye Dr	9/30/2011		\$ 1,100.00					\$ 1,100.00
5521 Trace Creek Pass	9/30/2011		\$ 1,100.00					\$ 1,100.00
5529 Trace Creek Pass	9/30/2011		\$ 1,100.00					\$ 1,100.00
5601 Trace Creek Pass	9/30/2011		\$ 1,100.00					\$ 1,100.00

HOME Match Report

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City of Austin		2011						
1. Project No. or Other ID	2. Date of Contribution (mm/dd/yyyy)	3. Cash (non-Federal sources)	4. Foregone Taxes, Fees, Charges	5. Appraised Land/ Real Property	6. Required Infrastructure	7. Site Preparation, Construction Materials, Donated labor	8. Bond Financing	9. Total Match
8313 Tripod Dr	9/30/2011		\$ 1,400.00					\$ 1,400.00
5909 Ventus St	9/30/2011		\$ 1,200.00					\$ 1,200.00
6900 Villita Avenida	9/30/2011		\$ 1,100.00					\$ 1,100.00
6901 Villita Avenida	9/30/2011		\$ 2,200.00					\$ 2,200.00
6906 Villita Avenida	9/30/2011		\$ 1,100.00					\$ 1,100.00
6918 Villita Avenida	9/30/2011		\$ 1,100.00					\$ 1,100.00
6920 Villita Avenida	9/30/2011		\$ 400.00					\$ 400.00
7000 Villita Avenida	9/30/2011		\$ 1,100.00					\$ 1,100.00
7104 Villita Avenida	9/30/2011		\$ 1,050.00					\$ 1,050.00
7105 Villita Avenida	9/30/2011		\$ 1,100.00					\$ 1,100.00
6902 Villita Cv	9/30/2011		\$ 2,200.00					\$ 2,200.00
6903 Villita Cv	9/30/2011		\$ 2,200.00					\$ 2,200.00
8316 Wesal Dr	9/30/2011		\$ 1,100.00					\$ 1,100.00
8328 Wesal Dr	9/30/2011		\$ 1,100.00					\$ 1,100.00
2605 Willow St	9/30/2011		\$ 676.00					\$ 676.00
5804 Zachary Scott St	9/30/2011		\$ 1,600.00					\$ 1,600.00
5808 Zachary Scott St	9/30/2011		\$ 1,600.00					\$ 1,600.00
5809 Zachary Scott St	9/30/2011		\$ 1,600.00					\$ 1,600.00
5812 Zachary Scott St	9/30/2011		\$ 1,600.00					\$ 1,600.00
5813 Zachary Scott St	9/30/2011		\$ 1,600.00					\$ 1,600.00
5816 Zachary Scott St	9/30/2011		\$ 1,600.00					\$ 1,600.00
5821 Zachary Scott St	9/30/2011		\$ 1,100.00					\$ 1,100.00
5825 Zachary Scott St	9/30/2011		\$ 1,600.00					\$ 1,600.00

City of Austin Fiscal Year 2010-11 CAPER
Chapter 3: Federal Reports

HOME PROGRAM INCOME

Federal Requirement - Program Income is income received by a PJ, state recipient, or sub-recipient directly generated from the use of HOME funds. Program income includes, but is not limited to: proceeds from the sale or long-term lease of real property acquired, rehabilitated, or constructed with HOME funds; income from the use or rental of real property; payment of principal and interest on loans made with HOME; and proceeds from the sale of loans or obligations secured by loans made with HOME, interest on program income, and any other interest or return on the investment of HOME funds. Amount of program income reported that was returned to each individual revolving fund, e.g., housing rehabilitation. Amount repaid on each float-funded activity. All other loan repayments broken down by the categories of housing rehabilitation, or other amount of income received from the sale of property by parcel. The amount of program income from each property is determined by the source that funds the unit. Projects that received both CDBG and HOME will have program income realized by both sources.

Exhibit 3.12: HOME Loan Repayment FY 2010-11

Down Payment Assistance (DPA)	\$235,538.65
Homeowner Rehabilitation Loan Program (HRLP)	40,613.74
Rental Housing Development Assistance (RHDA)	43,121.29
Shared Equity A&D Loan	10,978.76
A&D Loan	83,925.13
Administration	45,994.72
Total	\$460,172.29

City of Austin Fiscal Year 2010-11 CAPER

Chapter 3: Federal Reports

HOME PRIOR PERIOD ADJUSTMENTS

Federal Guideline - Where reimbursement was made this reporting period for expenditures (made in previous reporting periods) that have been disallowed, provide the following information: Activity name and number as shown in IDIS.

Program year(s) in which the expenditure(s) for the disallowed activity(ies) was reported. Amount returned to line-of-credit or program account. Total amount to be reimbursed and the time period over which the reimbursement is to be made, if the reimbursement is made with multi-year payments.

Exhibit 3.13: HOME Prior Period Adjustments

Activity	IDIS#	Program Year(s)	Line of Credit	Reimbursed	Time Period
A&D 0 MLK	3672	2008	\$2,944.58	\$2,944.58	2008-09
A&D 1802 Astor Pl.	3998	2008	\$2,219.07	\$2,219.07	2005-10
A&D 1800 Astor Pl.	3999	2008	\$3,813.76	\$3,813.76	2005-10
A&D 1710 Astor Pl.	4001	2008	\$1,895.66	\$1,895.66	2005-10
A&D 1708 Astor Pl.	4002	2008	\$2,583.77	\$2,583.77	2005-10
A&D 1706 Astor Pl.	4003	2008	\$2,395.46	\$2,395.46	2005-10
A&D 1704 Astor Pl.	4004	2008	\$2,566.35	\$2,566.35	2005-10
A&D 1129 Tillery St.	4021	2008	\$1,640.56	\$1,640.56	2005-06
A&D 1202 Henninger St.	4257	2008	\$487.50	\$487.50	2005
A&D 1204 Henninger St.	4272	2008	\$487.50	\$487.50	2005
A&D 5905 Ventus St.	5334	2008	\$44.60	\$44.60	2010
A&D 1113 Altum St.	5340	2008	\$44.60	\$44.60	2010
A&D 1129 ½ Tillery St.	2332	2008	\$1,661.75	\$1,661.75	2002-06
A&D 1131 Tillery St.	2333	2008	\$3,252.09	\$3,252.09	2005-08
A&D 1127 Tillery St.	2488	2008	\$795.08	\$795.08	2005-06
A&D 1712 Astor Pl.	5624	2008	\$1,872.66	\$1,872.66	2010
A&D 1702 Astor Pl.	5625	2008	\$2,015.55	\$2,015.55	2010

City of Austin Fiscal Year 2010-11 CAPER
Chapter 3: Federal Reports

Federal Guideline - Use Part III of HUD Form 40107 to report contracts and subcontracts with Minority Business Enterprises (MBEs) and Women's Business Enterprises (WBEs).

Minority Business Enterprises (MBE) and Women Business Enterprises (WBE)
 HUD Form 40107 Part III

Exhibit 3.14: MBE and WBE

Minority Business Enterprises (MBE) and Women Business Enterprises (WBE)

	a. Total	b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	f. White Non-Hispanic
A. Contracts:						
1. Number	19	0	1	0	4	14
2. Dollar Amount	\$971,877	0	\$2,060	0	\$159,644	\$810,173
B. Sub-Contracts						
1. Number	0	N/A	N/A	N/A	N/A	N/A
2. Dollar Amount	0	N/A	N/A	N/A	N/A	N/A

	a. Total	b. Women Business Enterprises (WBE)	c. Male
C. Contracts:			
1. Number	19	18	1
2. Dollar Amount	\$971,877	\$907,151	\$64,726
D. Sub-Contracts:			
1. Number	0	0	0
2. Dollar Amount	0	0	0

N/A = Not Applicable

City of Austin Fiscal Year 2010-11 CAPER

Chapter 3: Federal Reports

ASSESSMENTS

Federal Guideline - Results of on-site inspections of rental housing.

NHCD completed a total of 145 inspections for Rental Housing Development Assistance and Acquisition and Development. Out of 145, 132 units passed inspection.

Federal Guideline - HOME jurisdiction's affirmative marketing actions.

As a recipient of federal funds, the City of Austin must adopt affirmative marketing procedures and requirements for rental and homebuyer projects containing five or more HOME-assisted housing units. Affirmative marketing steps consist of actions that provide information and otherwise attract eligible persons in the housing market area to the available housing without regard to race, color, national origin, sex, religion, familial status or disability.

NHCD's efforts toward affirmative marketing in its housing programs aim to ensure that eligible households have ample access and opportunity to participate in programs and services that use federal funds. In FY 2010-11, Homebuyer, Renter Assistance, and Homeowner Assistance programs and services were marketed to residents in low- to moderate-income neighborhoods and those with limited English proficiency. NHCD participated in multiple events throughout Austin promoting programs and services.

Marketing efforts in FY 2010-11 were conducted through English and Spanish media outlets. NHCD has two full-time equivalent (FTE) employees that are certified bilingual and offer assistance with clients with limited English proficiency.

In addition, the City's web site continues to play a vital role in targeting information to the public and ensuring program information reaches a broad audience. For more information on NHCD's Affirmative Marketing and Minority Outreach Plan, see Chapter 5.

Federal Guideline - Outreach to minority and women owned businesses.

NHCD homeownership and rental development programs used contractors providing construction-related services. NHCD's Purchasing and Procurement representative solicits for its programs and services from more than 1,100 minority-owned and women-owned business vendors made available through the Small and Minority Business Resources (SMBR) Department. All construction contracts include good faith efforts and include documentation of those efforts with their bid submittal. Included in the provisions are requirements to solicit through available means the services from organizations that have the capability to perform the work of the contract; to provide interested contractors with adequate information about plans, specifications, and requirements of the contract in a timely manner allowing

City of Austin Fiscal Year 2010-11 CAPER

Chapter 3: Federal Reports

opportunity to respond to solicitations; and negotiate in good faith with the respective contractors.

Community Involvement and Outreach

In FY 2010-11, NHCD and AHFC provided a significant amount of outreach to the community regarding city programs and services, participated and planned numerous events, and provided valuable services to Austin's communities. The following is a list of NHCD's community involvement and outreach during the fiscal year.

- October 2010, *Holly Neighborhood Gathering* – Marketed Holly Good Neighbor and home repair programs and services.
- October 2010, *National Night Out* – Marketed all AHFC housing services and programs.
- October 2010, *Realtor Training on Down Payment Assistance (DPA) Program Training* – Three on-site training opportunities.
- November 2010, *Holly Neighborhood Gathering* – Marketed Holly Good Neighbor and home repair programs and services.
- November 2010, *City Career Fair and Expo* – Marketed all AHFC housing services and programs.
- December 2010, *City Manager's Walk in Montopolis Neighborhood* - Marketed all AHFC housing services and programs.
- February 2011, *Home Repair Program Outreach in Montopolis Neighborhood* - Marketed home repair program and services.
- February 2011, *City of Austin's Employee Home Showcase* – Showcased model homes for City of Austin employees to encourage pre-sales.
- March 2011, *NHCD Developer Stakeholder Meeting* – Opportunity for developers and stakeholders to provide input on customer and community needs in light of funding constraints.
- March 2011, *City of Austin's Health and Life Style Expo* – Marketed all AHFC housing services and programs.
- March 2011, *Holly Neighborhood Gathering* – Marketed Holly Good Neighbor and home repair programs and services.
- March 2011, *Community Event in Dove Springs* – Marketed all AHFC housing services and programs.
- March – April 2011, *FY 2011-12 Action Plan Enhanced Outreach Opportunities* – community assessment.
- March – July 2011, *FY 2011-12 Action Plan Public Hearings* – Opportunities for the public to provide feedback.
- April 2011, *City of Austin's Dare to be Fair 2011 Fair Housing Conference* – Marketed all AHFC housing services and programs.
- April 2011, *Austin Tenants' Council Housing Fair* – Marketed all AHFC housing services and programs.
- April 2011, *Dove Springs Neighborhood Gathering for FY 2011-12 Action Plan* – Marketed all AHFC housing services and programs.
- April 2011, *Proclamation for CDBG Week* – Austin City Council highlighted NHCD's efforts through CDBG funding.

Chapter 3: Federal Reports

- May 2011, *Mail-Out to South Austin Residents* – Marketed the Home Rehabilitation Loan Program (HRLP) and home repair services and programs.
- June 2011, *Housing Works Affordable Housing Tour* – Marketed all AHFC housing services and programs.
- June 2011, *Lenders Training on DPA* – On-site training opportunity.
- June 2011, *Realtor Training on DPA* – On-site training opportunity.
- June 2011, *Juneteenth Celebration* – Marketed all AHFC housing services and programs.
- June 2011, *Mail-Out to North Austin Residents* – Marketed the Home Rehabilitation Loan Program (HRLP) and home repair services and programs.
- July 2011, *Community Meet-and-Greet* – Marketed all AHFC housing services and programs.
- July 2011, *Communities Coming Together: Living Tobacco Free Conference* – Marketed all AHFC housing services and programs.
- September 2011, *City of Austin's Health Expo* – Marketed all AHFC housing services and programs.
- September 2011, *Community Resource Fair* – Marketed all AHFC housing services and programs.
- September 2011, *11th and 12th Street Corridor Meeting* - Community meeting launching the East 11th and 12th Streets Development Strategy.

Federal Requirement - Describe how and the frequency with which you monitored your activities.

Desk reviews are performed on 100 percent of our activities, annually, during the term of affordability. Projects are reviewed for long-term compliance through compliance documentation submitted by the subrecipient/borrower: financial audits, occupancy reports, tenant income certifications, HQS inspection reports, and insurance certificates. In addition to the documentation submitted by the subrecipient/borrower, the following resources are reviewed:

- County tax office records to determine if property taxes are current.
- City code compliance services to determine if there are any active code violations on the property.
- Central appraisal district to determine if there has been a transfer of ownership.
- County, Official Public Record to determine if there has been a transfer of ownership or foreclosure.
- City utility records to verify occupancy.
- Pay request documentation.
- Performance Reports.
- Proof of homeowner's insurance coverage.
- Demographic documentation.

Chapter 3: Federal Reports

By annually reviewing the above referenced documentation and other resources, staff determines if the project is compliant with monitoring requirements. Based upon risk assessment of each case, staff may conduct a site visit to confirm information provided by the subrecipient/borrower and/or determine the adequacy of on-site records. In addition, technical assistance is provided should necessary improvements be found through the City's monitoring.

Federal Requirement - What is the status of your grant programs? Are any activities or strategies falling behind schedule?

See self-evaluation in Chapter 1 for status on our grant programs.

- ***Federal Requirement*** - Are grant disbursements timely?

Yes, all grant disbursements are timely.

- ***Federal Requirement*** - Do actual expenditures differ from letter of credit disbursements?

No, actual expenditures do not differ from letter of credit disbursements.

City of Austin Fiscal Year 2010-11 CAPER
Chapter 3: Federal Reports

Federal Requirements - Evaluation of progress in meeting the specific objective of providing affordable housing, including the number of extremely low-income, low-income, and moderate-income renters and owner households assisted in FY 2010-11 and the number of households assisted with housing that meets the Section 215 definition of affordable housing for rental and homeownership. This summary of progress includes a comparison of proposed goals and actual accomplishments in the FY 2010-11 reporting period, efforts to address "worst-case needs," and the accessibility needs of persons with disabilities. Exhibit 3.15 displays the City's progress in meeting specific objectives of providing affordable housing.

Priority		Programs/Projects/Activities	Funding Source	FY 2010-11 Beginning Balance	FY 2010-11 Appropriation	FY 2010-11 Total Amount Available	Total Amount Expended	FY 2010-11 Proposed Goal	FY 2010-11 Accomplishment
Homeless/ Special Needs Assistance	1	Shelter Operation and Maintenance	ESG	-	\$261,689	\$261,689	\$251,411	8,500	8,947
	2	Homeless Essential Services:	ESG	-	\$52,233	\$52,233	\$52,233	35	41
	3	Short-Term Rent, Mortgage, and Utility	HOPWA	-	\$138,779	\$138,779	\$138,779	210	147
	4	Tenant-Based Rental Assistance	HOPWA	-	\$543,511	\$543,511	\$543,511	130	147
	5	Permanent Housing Placement	HOPWA	-	\$61,112	\$61,112	\$61,112	83	52
	6	Short-Term Housing Assistance	HOPWA	-	\$39,785	\$39,785	\$39,785	50	38
	7	Transitional Housing	HOPWA	-	\$191,855	\$191,855	\$191,855	49	49
	8	Supportive Services	HOPWA	-	\$95,767	\$95,767	\$95,767	49	49
	9	Child Care Services	CDBG SF	\$96,585	\$650,000	\$746,585	\$680,650	324	382
	10	Senior Services	CDBG SF	-	\$21,781	\$21,781	\$21,781	208	211
	11	Youth Support Services	CDBG SF	-	\$203,700	\$203,700	\$203,700	159	189
Renter Assistance	1	Tenant-Based Rental Assistance	HOME SF	\$106,200	\$510,300	\$616,500	\$296,972	115	92
	2	Architectural Barrier Removal Program	GO Bonds	\$56,700	-	\$56,700	\$52,249	20	40
	3	Tenants' Rights Assistance	CDBG SF	-	\$253,005	\$253,005	\$253,005	549	810
Homebuyer Assistance	1	Housing Smarts	SF	\$1,343	\$159,113	\$160,456	\$136,517	340	358
	2	Down Payment Assistance	CDBG HOME HOME-PI	-	\$11,500	\$11,500	-	10	12
Homeowner Assistance	1	Architectural Barrier Removal Program	CDBG	\$102,848	\$1,367,210	\$1,470,058	\$1,409,775	110	145
	2	Emergency Home Repair Program	CDBG	\$192,813	\$1,000,000	\$1,192,813	\$995,651	450	487
	3	Homeowner Rehabilitation Loan Program	CDBG	\$700	\$41,170	\$41,870	\$150	-	-
			CDBG-RL	\$230,663	\$10,596	\$241,259	\$22,098	-	-
			HOME	\$570,839	\$1,198,995	\$1,769,834	\$649,604	15	17
			HOME-PI	-	\$40,614	\$40,614	\$40,614	-	-
4	Home Repair Program	GO Bonds	\$1,014,103	\$1,135,000	\$2,149,103	\$1,406,592	222	142	
5	Lead Hazard Control Grant - Healthy Homes	Lead	\$1,046,883	-	\$1,046,883	\$321,740	16	19	
6	Holly Good Neighbor	AE	\$1,485,120	\$550,000	\$2,035,120	\$336,191	63	8	
Housing Developer Assistance	1	Rental Housing Development Assistance	CDBG	\$1,110,379	\$176,432	\$1,286,811	\$1,857	-	-
			HOME	\$2,209,421	-	\$2,209,421	\$163,332	-	-
			HOME-PI	-	\$43,121	\$43,121	-	-	-
			HOME (CHDO)	\$1,285,288	\$529,742	\$1,815,030	\$1,761,030	216	479
			UNO	\$278,164	\$1,374	\$279,538	\$25,756	-	-
			GF-CIP	\$375,327	-	\$375,327	\$375,327	-	-
			GO Bonds	\$3,827,416	\$3,860,000	\$7,687,416	\$6,490,891	-	-
			HTF	\$1,114,799	\$233,420	\$1,348,219	\$502,366	-	-
			HAF	-	\$347,091	\$347,091	\$289,629	-	-
			HABG	\$2,660,261	\$76,032	\$2,736,293	\$1,220	-	-
	2	Acquisition and Development	CDBG-RL	\$48,473	\$19,744	\$68,217	\$9,685	-	-
			HOME	\$1,724,014	\$190,239	\$1,914,253	\$144,356	-	-
			HOME-PI	-	\$94,904	\$94,904	-	-	-
			HOME (CHDO)	\$332,665	\$150,031	\$482,696	\$70,692	35	26
			GF-CIP	\$1,149,495	-	\$1,149,495	\$131,887	-	-
			GO Bonds	\$1,431,486	\$1,250,000	\$2,681,486	\$1,467,940	-	-
	3	CHDO Operating Expenses Grants	HTF	\$482,479	\$116,828	\$599,307	\$34,889	-	-
			HAF	-	-	-	-	-	-
			HOME (CO)	\$4,950	\$220,050	\$225,000	\$192,359	7	8
4	Developer Incentive-Based Programs	SF	-	\$39,616	\$39,616	\$59,609	700	947	
		GF-CIP	23,672	0	\$23,672	\$9,716	-	-	
TOTAL Households Served: All Housing Programs/ All Funding Sources*				\$23,405,315	\$17,811,285	\$41,216,600	\$20,651,824	12,665	13,842

*These financial number totals do not include Administration costs.

City of Austin Fiscal Year 2010-11 CAPER

Chapter 3: Federal Reports

Federal Guideline - Evaluate progress in meeting specific affordable housing objectives, including:

- a) Comparison of proposed numeric goals (from the consolidated plan and annual action plan) with the actual number of extremely low income, low income, and moderate income renter and owner households assisted during the reporting period.

See demographic information and income levels served in Appendix II.

- b) Report the number of households served meeting the Section 215 requirements of affordable housing (essentially meeting the definitions in 24 CFR 92.252 and 92.254 for renters and owners, respectively).

See Housing Activity Tables in Chapter 6.

- c) Description of efforts to address worst case needs (defined as low-income renters with severe cost burden, in substandard housing, or involuntarily displaced).

NHCD has several programs that serve worst case needs. The Architectural Barrier Removal (ABR) Program provides home accessibility modifications for both low-income owners and renters. The Tenant Based Rental Assistance program provides rental housing subsidies and security deposits to households earning 50 percent or less of MFI, or \$36,650 a year for a family of four. The Rental Housing Development Assistance (RHDA) program provides funding for non-profit and for-profit developers to acquire, rehabilitate or construct affordable rental housing for low-income households. In response to the City's commitment to ending homelessness, Permanent Supportive Housing (PSH) has now become a focus of the RHDA program.

- d) Description of efforts to address the accessibility needs of persons with disabilities.

Architectural Barrier Removal (ABR) Program

The Architectural Barrier Removal (ABR) Program modifies the homes of seniors and persons with disabilities who have limited income and would like their homes more accessible. These accessibility modifications help persons with disabilities remain in their homes longer and live with a greater degree of independence. All services are free to eligible persons. ABR Program services include: wheel chair ramps, handrails, door widening, buzzing or flashing devices (for people with visual/hearing impairment), accessible door and faucets handles, shower grab bars and shower wands, and accessible showers, toilets and sinks. For more information on program performance for FY 2010-11, see Chapter 6.

Chapter 3: Federal Reports

S.M.A.R.T. Housing™

The City Council adopted the S.M.A.R.T. Housing™ Ordinance in April 2000 to encourage the development of reasonably priced, mixed-income housing units that meet accessibility standards. The S.M.A.R.T. Housing™ Ordinance ensures that new homes are Safe, Mixed-Income, Accessible, Reasonably Priced, and Transit-Oriented and meet the City's Green Building minimum-energy efficiency rating.

The policy stipulates that all S.M.A.R.T. Housing™ certified single-family ownership units meet visitability standards reflected in the City's Visitability Ordinance. All multi-family developments must comply with federal, state and local accessibility standards, and at least 10 percent of the multi-family housing units must be accessible. Developments that meet these and other prerequisites are given expedited review under the City's development process and reductions/exemptions for certain development and construction fees. S.M.A.R.T. Housing™ provides a vehicle to achieve neighborhood support for housing that serves low- and moderate-income residents by requiring applicants to meet with neighborhood organizations prior to filing an application for a zoning change.

In 2008, recommendations from the Council-appointed Affordable Housing Incentives Task Force (AHITF), some of which applied to S.M.A.R.T. Housing™, were incorporated into the City of Austin's Land Development Code. The City Council has adopted Land Development Code amendments that allow density bonuses in certain single-family and/or multi-family S.M.A.R.T. Housing™ developments without a zoning change. In addition, downtown developments may receive a density bonus without a zoning change by providing a percentage of affordable housing units or pay a fee-in-lieu to fund affordable housing in or near the downtown area.

The goal of the S.M.A.R.T. Housing™ Program for FY 2010-11 was to complete 700 new single-family and multi-family units. At the end of September 2011, 947 total units had been completed, exceeding the annual goal by 35 percent. In addition, NHCD set another goal for the S.M.A.R.T. Housing™ Program, that 40 percent of the units would serve households earning at or below 80 percent of MFI. At the end of the fiscal year, 80 percent of the units completed will serve households at or below 80 percent MFI.

ESG Report

City of Austin Fiscal Year 2010-11 CAPER

Chapter 3: Federal Reports

EMERGENCY SHELTER GRANTS

Federal Guideline - Identify actions taken to prevent homelessness.

The City of Austin does not use Emergency Shelter Grant funding for Homeless prevention activities. The City of Austin funds Basic Needs services (rent and utility assistance) with General Fund dollars.

ESG- Specific Requirements

Federal Guideline - 1. Assessment of Relationship of ESG Funds to Goals and Objectives a. Identify actions to address emergency shelter and transitional housing needs of homeless individuals and families (including significant subpopulations such as those living on the streets).

The Emergency Shelter Grant (ESG) is very important in the overall plan for addressing homelessness in Austin. All ESG funds support the Continuum of Care homeless strategies as well as the Plan to End Community Homelessness. ESG-funded programs include the following:

- 1) Operations and maintenance of the Austin Resource Center for the Homeless (ARCH)
- 2) Essential services of the Communicable Disease Unit for HIV-positive homeless clients

For further details see Housing Activity Tables in Chapter 6.

Federal Guideline - b. Evaluate progress made in using ESG funds to address homeless and homeless prevention needs, goals, and specific objectives established in the Consolidated Plan.

See Housing Activity Tables in Chapter 6.

Federal Guideline - c. Detail how ESG projects are related to implementation of comprehensive homeless planning strategy, including the number and types of individuals and persons in households served with ESG funds.

See Housing Activity Tables in Chapter 6.

Federal Guideline - 2. Matching Resources

a. Provide specific sources and amounts of new funding used to meet match as required by 42 USC 11375(a)(1), including cash resources, grants, and staff salaries, as well as in-kind contributions such as the value of a building or lease, donated materials, or volunteer time.

The Emergency Shelter Grant (ESG) Program requires each local government grantee to match dollar-for-dollar the ESG funding provided by the U.S.

City of Austin Fiscal Year 2010-11 CAPER
Chapter 3: Federal Reports

Department of Housing and Urban Development (HUD). These matching funds must come from other public or private sources. For fiscal year 2010-11, the City of Austin’s preliminary ESG grant allocation was \$330,444. Therefore, another \$330,444 was needed from the City and the sub recipients/contractors for matching funds. Any of the following may be included in calculating the matching funds requirement: 1) cash, 2) the value or fair rental value of any donated material or building, 3) the value of any lease on a building, 4) any salary paid to staff to carry out the program of the recipient, and 5) the value of the time and services contributed by volunteers to carry out the program of the recipient at a current rate of \$5 per house.

Exhibit 3.16: FY 2010-11 ESG Grant Award

Total ESG Grant Award FY 2010-11:			\$330,444				
ESG Funded Contractor	Total ESG Grant Award (10/1/10-9/30/11)	Total Match Funds Pledged (10/1/10-9/30/11)	Staff Salaries	City or County Funds	Private/Corporate Donations	Other Private Grants	Total Match Expended (10/1/10-9/30/11)
HHS Grant Admin (City of Austin)	\$16,522	\$16,522	\$16,522				
Communicable Disease Unit (City of Austin)	\$52,233	\$52,233	\$60,078				
Front Steps, Inc. (ARCH)	\$261,689	\$261,689		\$261,689			
TOTAL:	\$330,444	\$330,444	\$76,600	\$261,689			\$338,289

Federal Guideline - 3. State Method of Distribution

a. States must describe their method of distribution and how it rated and selected its local government agencies and private nonprofit organizations acting as sub recipients.

Not Applicable.

Federal Guideline - 4. Activity and Beneficiary Data

a. Completion of attached Emergency Shelter Grant Program Performance Chart or other reports showing ESGP expenditures by type of activity. Also describe any problems in collecting, reporting, and evaluating the reliability of this information.

See Housing Activity Tables in Chapter 6.

Chapter 3: Federal Reports

Federal Guideline - 5. Chronic Homelessness

a. Describe actions steps taken to address chronic homelessness.

The following are programs specifically designed to address the needs of Chronically Homeless individuals, particularly Permanent Supportive Housing projects.

- Established the **End Chronic Homelessness Organizing Committee** in 2004 and developed the **Ten Year Plan to End Chronic Homelessness**. In 2010 ECHO developed the **Plan to End Community Homelessness** which includes the chronic homeless.
- **Representative Payee Program** for chronically homeless persons using HHSD General Fund.
- **Caritas of Austin** has applied for a Permanent Supportive Housing Program through the 2011 Permanent Housing Bonus: Focus on ARCH/Front Steps clients. Caritas provides case management, housing at Arbor Terrace or other Foundation Community site. 25 units set-aside for chronically homeless.
- Front Steps Inc. has a new Housing First, scattered site project from the 2009 Continuum of Care competition. **Home Front Samaritan** - Permanent Supportive Housing Program which is 100% Focus on Chronically Homeless with 26 units total.
- Caritas of Austin's permanent supportive housing program for single, chronically homeless individuals at **Spring Terrace**. The project, funded through the Continuum of Care, is designed to lease 20 efficiency apartments with in-depth, long-term case management.
- **Front Steps** permanent supportive housing program for single, chronically homeless individuals. The project, funded through the Continuum of Care, is designed to lease 10 efficiency apartments with in-depth, long-term case management.
- City of Austin has established a Leadership Finance Team with to identify funds for permanent supportive housing. The Ending Community Homelessness Coalition (ECHO), chairs this work group.

Federal Guideline: 6. Homeless Discharge Coordination -

As part of the government developing and implementing a homeless discharge coordination policy, ESG homeless prevention funds may be used to assist very-low income individuals and families at risk of becoming homeless after being released from publicly funded institutions such as health care facilities, foster care or other youth facilities, or corrections institutions or programs.

Explain how your government is instituting a homeless discharge coordination policy, and how ESG homeless prevention funds are being used in this effort.

The City of Austin does not use ESG funds for homeless prevention activities. However, the community organization, ECHO, has submitted the following Discharge Planning Protocols in the 2011 Continuum of Care application.

Chapter 3: Federal Reports

Foster Care:

In 2009, the ECHO Prevention Committee partnered with the Ready by 21 Youth Aging Out Subcommittee to address the needs of youth aging out of foster care system. The Subcommittee includes members of local foster care serving agencies, Austin Community College, the Texas Department of Family and Protective Services, the Casey Family Foundation, Travis County Court Appointed Special Advocates as well as the Travis County Juvenile Probation Department. The Subcommittee has established a Transition Plan checklist used by judges, child placing agencies, and case workers to ensure that youth are prepared to leave care.

A checklist of safe boarding homes has been created, and Austin Community College is exploring the establishment of a boarding house cooperative for youth exiting the foster care system and taking classes at the local community college. LifeWorks, an ECHO member, is contracted to provide Life Skills training to all youth aging out of foster care which includes information about obtaining and maintaining housing. The Subcommittee meets monthly and aims to improve the coordination and communication of all the agencies that impact youth aging out of foster care. A housing workgroup has been established to specifically address the housing needs of youth aging out of the foster care system. ECHO recognized that by partnering with this Subcommittee and creating a cooperative relationship that they could more effectively address the issue and reduce duplication of efforts.

Health Care:

In 2007, the ECHO Exiting Committee identified the need to address the discharge of homeless people from local hospitals. The Committee engaged in dialogue with both local health providers and funders in 2008. A Recuperative Care Pilot Project was funded in 2008 and continues to run successfully in 2009. This pilot project is a collaboration of St. David's Community Health Foundation, Seton Family of Hospitals, Travis County Health Care District, and Front Steps. Through this project, homeless clients stay in a recuperative care facility for 14 days. This program is more cost efficient and program effective than the average 4.5 day stay in a hospital for a homeless person. In order to gather data on how many homeless patients are in hospitals, hospitals refer all homeless clients to the recuperative care program regardless of their eligibility.

Front Steps, a homeless services provider, provides follow-up services on the eligible clients. For the duration of the project, the recuperative care program is operated at a nursing home. The nursing home provides medical care, and Front Steps provides case management. Clients are connected to appropriate services, including a medical home (area clinic) and, whenever possible, are placed in transitional or permanent housing. The ECHO Exiting Committee receives regular updates on the project and monitors its progress.

City of Austin Fiscal Year 2010-11 CAPER

Chapter 3: Federal Reports

Mental Health Discharge

The ECHO Prevention Committee is charged with overseeing the prevention of discharge of individuals from mental health institutions into homelessness. One of the Co-Chairs of this Committee works for the local mental health authority (Austin-Travis County Integral Care (ATCIC) and keeps the group apprised of the discussions and progress. ATCIC has been working with the State Hospital system to help ensure that no one is discharged from any State Mental Health Facility directly into homelessness in Austin/Travis County.

In collaboration with the State Hospital system, protocols and procedures have been designed and implemented to alert ATCIC when someone is admitted to the hospital that is homeless. When this occurs, ATCIC then sends a staff member who meets the individual at the hospital and becomes involved in his/her discharge planning. This ensures that the individual is not discharged directly into homelessness and instead receives continuity of care. This assistance also reduces the possibility of recidivism.

Corrections Discharge:

In 2009, ECHO partnered with the Austin/Travis County Re-entry Roundtable to increase its connection to the criminal justice system and to sponsor a Corporation for Supportive Housing funded project to:

1. Establish/Strengthen housing planning partnerships related to persons experiencing reentry, homelessness, disabilities, & lack of housing in Travis County;
2. Determine the scope of the needs for permanent supportive housing for a reentry population in Travis County, and;
3. Design a permanent reentry supportive housing project/strategy for persons leaving correctional settings returning to Travis County.

Through the course of this project, which included representatives from local criminal justice agencies as well as the homeless-serving and mental health agencies, it was discovered that over 1,100 individuals fit this description in 2008 and were entering from incarceration back into Travis County. The preliminary recommendations from this group call for 100 new units of permanent supportive housing for people who are homeless, with a disability, and reentering from incarceration into Travis County. Other recommendations call for formal partnerships between ECHO and the local jail, from where the majority of homeless exit, to prevent release into homelessness. Both ECHO and Travis County officials support these recommendations. This project has highlighted the scope of the issue & forged new alliances from which to build programming.

City of Austin Fiscal Year 2010-11 CAPER

Chapter 3: Federal Reports

Exhibit 3.17: State and Local Government ESG Expenditures Form

State and Local Government ESG Expenditures Form

Grantee Government: City of Austin Health and Human Services Department **Official:** Director of Health and Human Services

	FY 2009-2010		FY 2010-2011		FY 2011-2012	
	PLANNED	ACTUAL	PLANNED	ACTUAL	PLANNED	ACTUAL
Major Rehabilitation/ Renovation/Conversion	N/A	N/A	N/A	N/A	N/A	N/A
Homeless Prevention	N/A	N/A	N/A	N/A	N/A	N/A
Essential Services	\$52,233	\$52,233	\$52,233	\$52,233	\$52,233	N/A
Operations	\$260,087	\$260,087	\$261,689	\$261,689	\$261,726	N/A
Administration	\$16,026	\$16,026	\$16,522	\$16,522	\$16,522	N/A
TOTAL	\$328,346	\$328,346	\$330,444	\$330,444	\$330,481	N/A

Federal Guideline: Continuum of Care -

a. Identify actions taken to address the needs of homeless persons and persons with special needs who are not homeless but require supportive housing (including persons with HIV/AIDS). This description must include actions taken to implement a continuum of care strategy for homeless and new Federal resources obtained during the year.

The Ending Community Homelessness Coalition has taken several steps toward addressing the need for supportive housing, including:

1. The ECHO Board Chair, also chairs a City led coalition of resource providers who are focused on gaining resources for an identified gap of 1,889 units of permanent supportive housing in Austin/Travis County. The work group's goal is to fund 350 units in the next four years.
2. ECHO established a Housing Work Group that has inventoried all available supportive housing options and has created a housing guide for case managers
3. ECHO is spearheading a 100 Homes Campaign in the fall of 2011 which will identify the most vulnerable homeless individuals and attempt to connect them with housing resources

Chapter 3: Federal Reports

4. ECHO's housing work group has established a Veteran's Task Group which will identify and attempt to align all the resources available for homeless veterans who require supportive housing
5. The City of Austin's Neighborhood Housing and Community Development (NHCD) Office awarded G.O. Bond funding to supportive housing applications
6. ECHO monitors the success of maintaining individuals in supportive housing through tracking in the HMIS system

New resources: The Housing Authority of the City of Austin in 2011 received 100 VASH vouchers and 25 project-based VASH vouchers which are used to house veterans who are homeless. The project-based VASH vouchers are used at Green Doors which has a 12 year history of successfully housing and providing services for veterans.

b. Identify actions taken to prevent homelessness and to help homeless persons make the transition to permanent housing and independent living.

1. The Prevention work group identifies and creates strategies to decrease the number of individuals entering homelessness.
2. In 2010, based on the high score achieved by the CoC in the SuperNOFA application process, the Salvation Army was awarded one of five HUD funded Veterans Homeless Prevention demonstration projects which provides housing assistance, employment, & supportive services in a 5 county area for veterans. ECHO monitors its success.
3. The Employment and Income work group of ECHO is creating a list of employment, education, and training opportunities for individuals who are homeless or at-risk of homelessness and sharing this information with case managers.
4. Goodwill is placing job specialists at several sites that assist individuals who are homeless or at-risk for homelessness.
5. Caritas of Austin provides financial literacy classes to all who seek financial assistance.

c. Identify new Federal resources obtained from the Homeless SuperNOFA.

Through the successful SuperNOFA application process, in 2009, Austin/Travis County was awarded funding that resulted in an additional 20 new units of permanent supportive housing focused on the chronically homeless from the permanent housing bonus and 6 new units of permanent supportive housing and a trainer for the local HMIS department from Continuum of Care Final Pro Rata Need (FPRN).

Through the 2010 HUD SuperNOFA application process, Austin/Travis County received another permanent housing bonus which resulted in 20 new units of permanent supportive housing with a 100% Focus on Chronically Homeless, frequent users of the Downtown Community Court with severe & persistent mental illness. Through FPRN, a data analyst was also added for HMIS.

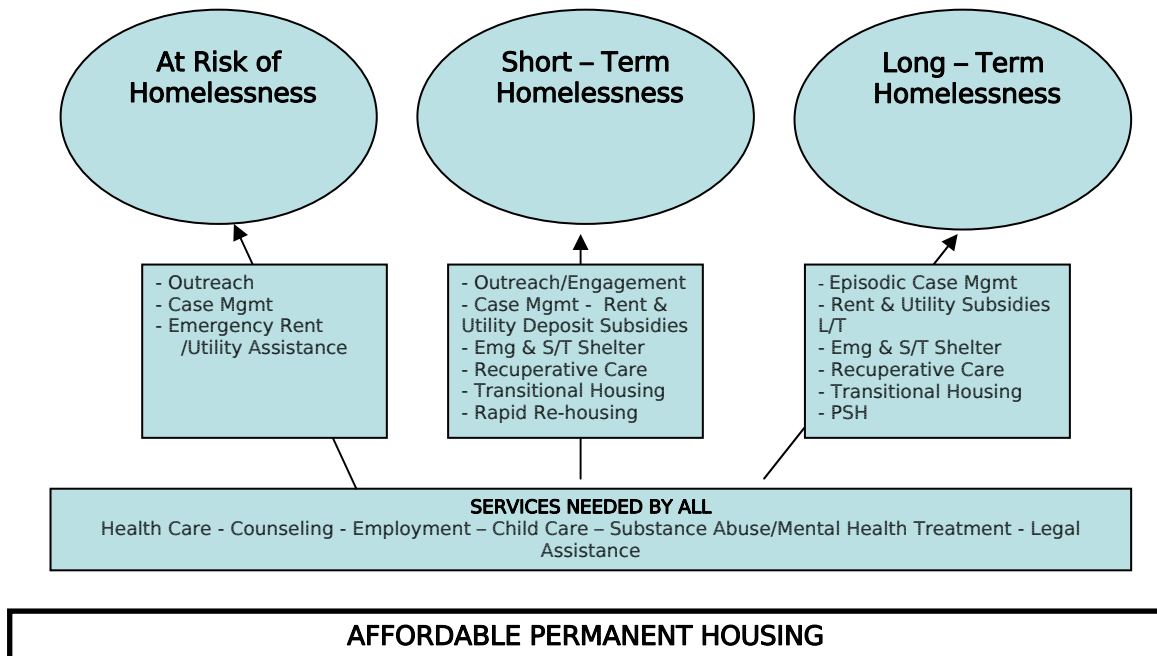
City of Austin Fiscal Year 2010-11 CAPER

Chapter 3: Federal Reports

Homeless Community Planning

A number of city and community programs exist to address homelessness in Austin/Travis County. Together they form a continuum of services that range from preventing homelessness to assisting people who are in homeless situations or nearly homeless with the goal of obtaining permanent housing and achieving independent living.

Exhibit 3.18: Homeless Continuum



City of Austin Fiscal Year 2010-11 CAPER

Chapter 3: Federal Reports

ECHO's Vision

A community fiercely focused on ending homelessness.

ECHO's Mission

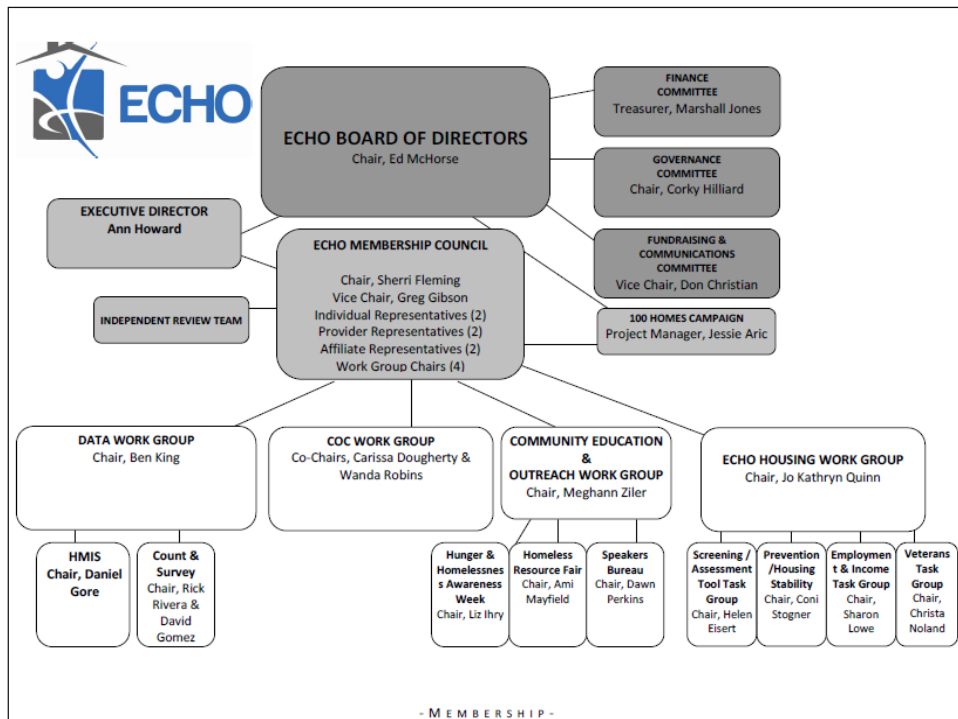
To provide dynamic, proactive leadership that engages policy makers and the community to end homelessness.

The ECHO Coalition organizational structure is described in the chart below.

ECHO is actively engaged in ending community homelessness through the collaboration of community volunteers, business leaders, service providers, and City staff support. Together, social service organizations form a continuum of services that range from preventing homelessness to assisting people who are experiencing homelessness to obtain permanent housing and achieve independent living. The Austin community has been actively engaged in ending community homelessness. ECHO's Planning and Evaluation Subcommittee is the primary planning body for the annual Continuum of Care application to HUD.

In FY 2009-2010 ECHO worked to incorporate as a 501c3 non-profit entity. The new ECHO structure was finalized in FY 2010-2011 and an Executive Director was hired in October 2011.

Exhibit 3.19: ECHO Organization Chart



City of Austin Fiscal Year 2010-11 CAPER

Chapter 3: Federal Reports

Austin's Plan to End Community Homelessness (2010)

The Plan to End Community Homelessness 2010 updates and expands upon Austin's 2004 Plan to End Chronic Homelessness. The 2004 plan focused on one segment of the homeless population – the chronically homeless – who have both an extended history of homelessness and significant barriers to self-sufficiency. The 2010 Plan substantially expands the scope of planning to include the entire continuum of the homeless population, from those at immediate risk of becoming homeless to the chronically homeless. The Plan addresses community homelessness by focusing on four critical areas: Prevention, Short-term Homelessness, Long-term Homelessness, and Highly Effective Coordination. ECHO membership unanimously voted to approve the Plan at the Quarterly Membership Meeting on April 16, 2010.

Exhibit 3.20: 2009 Application for FY 2010-11 CoC Funding

Agency	Project	Funded Amount
Front Steps*	Home Front Samaritan: Permanent Supportive Housing Program: 100% Focus on Chronically Homeless. Housing First, scattered site approach.	Two awards: \$397,770 and \$120,349 Total: \$518,119
Austin-Travis County Integral Care HMIS Expansion*	Expand HMIS (Homeless Management Information System); consolidated client database required by HUD for all HUD-funded programs	\$80,001
Austin Travis County Integral Care	Austin/Travis County HMIS ServicePoint	\$78,533
Caritas of Austin	My Home: Permanent supportive housing primarily for people with a disabling condition. Lease 30 units.	\$303,712
LifeWorks	Supportive Housing: Transitional housing for homeless youth	\$212,969
Caritas of Austin	Permanent Supportive Housing at Spring Terrace: lease 20 units for chronically homeless	\$196,492
Front Steps	Permanent Supportive Housing at Garden Terrace: lease 10 units for chronically homeless	\$94,668
Community Partnerships for the Homeless- DBA: Green Doors	Permanent Supportive Housing at Glen Oaks Corner for homeless single head-of-household parents with a disability and their children	\$65,985
SafePlace	Supportive Housing Program: Transitional housing for families who are survivors of domestic violence.	\$613,002
The Salvation Army	Passages Program: 6-agency collaboration providing comprehensive case management with TBRA..	\$538,081
Austin-Travis County Integral Care	Safe Haven – Transitional housing and support services for people with mental illness.	\$348,007
Housing Authority of the City of Austin (HACA)	Shelter Plus Care with ATCIC	\$169,608
HACA	Shelter Plus Care with ATCIC	\$475,320
HACA	Shelter Plus Care with ATCIC	\$168,840
HACA	Shelter Plus Care with ATCIC and AIDS Services of Austin	\$341,964
Total		\$4,205,301

City of Austin Fiscal Year 2010-11 CAPER
Chapter 3: Federal Reports

Exhibit 3.21: 2010 CoC Application for FY 2011-2012 Funding

Agency	Project	Funded Amount *	Number of Units
Caritas of Austin	New: Partnership Housing – Housing First frequent users of Downtown Community Court.	\$401,884	20
Austin/Travis County Integral Care	New pro rata need: Service Point Expansion	\$28,893	0
Austin/Travis County Integral Care	HMIS ServicePoint	\$78,533	0
Caritas of Austin	My Home: lease units, mostly for chronically homeless	\$303,712	32
LifeWorks	Transitional housing for homeless youth	\$212,969	15
Caritas of Austin	Spring Terrace: lease units for chronically homeless	\$196,492	20
Caritas of Austin	My Home Too	\$198,885	21
Front Steps	First Steps: lease units for chronically homeless	\$94,668	10
Community Partnerships for the Homeless- DBA: Green Doors	Permanent Supportive Housing at Glen Oaks Corner for homeless single head-of-household parents with a disability and their children	\$65,985	6
SafePlace	Spring Terrace Permanent Supportive Housing Project	\$613,002	40
The Salvation Army	Passages Program	\$538,081	0
Austin/Travis County Mental Health/Mental Retardation Center	Safe Haven	\$348,007	16
Housing Authority of the City of Austin/ATCIC	Shelter Plus Care	\$179,112	18
Housing Authority of Travis County /ATCIC	SP1 - Shelter Plus Care	\$547,392	54
Housing Authority of City of Austin	Shelter Plus Care	\$361,116	35
Housing Authority of Travis County /ATCIC	SP2 - Shelter Plus Care	\$198,096	20
Total		\$4,366,827	307

Chapter 3: Federal Reports

Exhibit 3.22: CoC Previously-Funded Multi-Year Contracts Active in FY 2010-11

Type of Project	Length of Contract Term	Year Contract Awarded	Agency	Project Description	Project Amount Awarded
Rapid Re-Housing	3 years	2008	The Salvation Army	Rapid Re-housing: Assist homeless families with dependent children to transition to permanent housing. Targets homeless families residing in local shelters. Leases apartments at Foundation Communities sites	\$795,540
New SHP w/ 85% Housing	5 years	2008	HACA/Foundation Communities	Shelter + care; 10 units of permanent supportive housing leased at Foundation Communities' Trails at the Park & Vintage Creek; for families who have a disabled adult	\$508,080
Samaritan Bonus	2 years	2008	Caritas of Austin	My Home Too: Permanent supportive housing for chronically homeless. Lease 21 units of permanent supportive at two different apartment complexes	\$397,770
Section 8 Moderate Rehabilitation	10 years	2002	HACA/Foundation Communities	Permanent Housing at Garden Terrace 50 units of Single Room Occupancy facility for homeless individuals.	\$2,976,000

HOPWA Report



Housing Opportunities for Persons with AIDS (HOPWA) Program

Consolidated Annual Performance and Evaluation Report (CAPER) Measuring Performance Outcomes

OMB Number 2506-0133 (Expiration Date: 08/31/2011)

The CAPER report for HOPWA formula grantees provides annual information on program accomplishments that supports program evaluation and the ability to measure program beneficiary outcomes as related to: maintain housing stability; prevent homelessness; and improve access to care and support. This information is also covered under the Consolidated Plan Management Process (CPMP) report and includes Narrative Responses and Performance Charts required under the Consolidated Planning regulations. The public reporting burden for the collection of information is estimated to average 42 hours per manual response, or less if an automated data collection and retrieval system is in use, along with 60 hours for record keeping, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Grantees are required to report on the activities undertaken only, thus there may be components of these reporting requirements that may not be applicable. This agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless that collection displays a valid OMB control number.

Overview. The Consolidated Annual Performance and Evaluation Report (CAPER) provide annual performance reporting on client outputs and outcomes that enables an assessment of grantee performance in achieving the housing stability outcome measure. The CAPER, in conjunction with the Integrated Disbursement Information System (IDIS), fulfills statutory and regulatory program reporting requirements and provides the grantee and HUD with the necessary information to assess the overall program performance and accomplishments against planned goals and objectives

HOPWA formula grantees are required to submit a CAPER, and complete annual performance information for all activities undertaken during each program year in the IDIS, demonstrating coordination with other Consolidated Plan resources. HUD uses the CAPER and IDIS data to obtain essential information on grant activities, project sponsors, housing sites, units and households, and beneficiaries (which includes racial and ethnic data on program participants). The Consolidated Plan Management Process tool (CPMP) provides an optional tool to integrate the reporting of HOPWA specific activities with other planning and reporting on Consolidated Plan activities.

Table of Contents

PART 1: Grantee Executive Summary

1. Grantee Information
2. Project Sponsor Information
3. Subrecipient Information
4. Grantee Narrative and Performance Assessment
 - a. Grantee and Community Overview
 - b. Annual Performance under the Action Plan
 - c. Barriers or Trends Overview
 - d. Assessment of Unmet Housing Needs

PART 2: Sources of Leveraging and Program Income

1. Sources of Leveraging
2. Program Income and Resident Rent Payments

PART 3: Accomplishment Data: Planned Goals and Actual Outputs

PART 4: Summary of Performance Outcomes

1. Housing Stability: Permanent Housing and Related Facilities
2. Prevention of Homelessness: Short-Term Housing Payments
3. Access to Care and Support: Housing Subsidy Assistance with Supportive Services

PART 5: Worksheet - Determining Housing Stability Outcomes

PART 6: Annual Certification of Continued Use for HOPWA Facility-Based Stewardship Units (Only)

PART 7: Summary Overview of Grant Activities

- A. Information on Individuals, Beneficiaries and Households Receiving HOPWA Housing Subsidy Assistance (TBRA, STRMU, Facility Based Units, Master Leased Units ONLY)
- B. Facility-Based Housing Assistance

Continued Use Periods. Grantees that received HOPWA funding for new construction, acquisition, or substantial rehabilitations are required to operate their facilities HOPWA-eligible beneficiaries for a ten (10) years period. If no further HOPWA funds are used to support the facility, in place of completing Section 7B of the CAPER, the grantee must submit an Annual Certification of Continued Project Operation throughout the required use periods. This certification is included in Part 6 in CAPER. The required use period is three (3) years if the rehabilitation is non-substantial.

Faith-Based Organization: Religious organizations of three types: (1) congregations; (2) national networks, which include national denominations, their social service arms (for example, Catholic Charities, Lutheran Social Services), and networks of related organizations (such as YMCA and YWCA); and (3) freestanding religious organizations, which are incorporated separately from congregations and national networks.

In connection with the development of the Department’s standards for Homeless Management Information Systems (HMIS), universal data elements are being collected for clients of HOPWA-funded homeless assistance projects. These project sponsor records would include: Name, Social Security Number, Date of Birth, Ethnicity and Race, Gender, Veteran Status, Disabling Conditions, Residence Prior to Program Entry, Zip Code of

Last Permanent Address, Housing Status, Program Entry Date, Program Exit Date, Personal Identification Number, and Household Identification Number. These are intended to match the elements under HMIS. The HOPWA program-level data elements include: Income and Sources, Non-Cash Benefits, HIV/AIDS Status, , Services Provided, and Housing Status or Destination at the end of the operating year. Other suggested but optional elements are: Physical Disability, Developmental Disability, Chronic Health Condition, Mental Health, Substance Abuse, Domestic Violence, Date of Contact, Date of Engagement, Financial Assistance, Housing Relocation & Stabilization Services, Employment, Education, General Health Status, , Pregnancy Status, Reasons for Leaving, Veteran’s Information, and Children’s Education. Other HOPWA projects sponsors may also benefit from collecting these data elements.

Final Assembly of Report. After the entire report is assembled, please number each page sequentially.

Filing Requirements. Within 90 days of the completion of each program year, grantees must submit their completed CAPER to the CPD Director in the grantee’s State or Local HUD Field Office, and to the HOPWA Program Office: Office of HIV/AIDS Housing, Room 7212, U.S. Department of Housing and Urban Development, 451 Seventh Street, SW, Washington, D.C. 20410 or at Hopwa@hud.gov. **Record Keeping.** Names and other individual information must be kept confidential, as required by 24 CFR 574.440. However, HUD reserves the right to review the information used to complete this report for grants management oversight purposes, except for recording any names and other identifying information. **In the case that HUD must review client level data, no client names or identifying information will be retained or recorded. Information is reported in aggregate to HUD without personal identification. Do not submit client or personal information in data systems to HUD.**

Definitions

Adjustment for Duplication: Enables the calculation of unduplicated output totals by accounting for the total number of households or units that received more than one type of HOPWA assistance in a given service category such as HOPWA Subsidy Assistance or Supportive Services. For example, if a client household received both TBRA and STRMU during the operating year, report that household in the category of HOPWA Housing Subsidy Assistance in Part 3C, Chart 1, Column [1] in the following manner:

HOPWA Housing Subsidy Assistance		[1] Outputs: Number of Households
1.	Tenant-Based Rental Assistance	1
2a.	Permanent Housing Facilities: Received Operating Subsidies/Leased units	
2b.	Transitional/Short-term Facilities: Received Operating Subsidies	
3a.	Permanent Housing Facilities: Capital Development Projects placed in service during the operating year	
3b.	Transitional/Short-term Facilities: Capital Development Projects placed in service during the operating year	
4.	Short-term Rent, Mortgage, and Utility Assistance	1
5.	Adjustment for duplication (subtract)	1
6.	TOTAL Housing Subsidy Assistance (Sum of Rows 1-4 minus Row 5)	1

Administrative Costs: Costs for general management, oversight, coordination, evaluation, and reporting. By statute, grantee administrative costs are limited to 3% of total grant award, to be expended over the life of the grant. Project sponsor administrative costs are limited to 7% of the portion of the grant amount they receive.

Beneficiary(ies): All members of a household who received HOPWA assistance during the operating year including the one individual who qualified the household for HOPWA assistance as well as any other members of the household (with or without HIV) who benefitted from the assistance.

Central Contractor Registration (CCR): The primary registrant database for the U.S. Federal Government. CCR collects, validates, stores, and disseminates data in support of agency acquisition missions, including Federal agency contract and assistance awards. Both current and potential federal government registrants (**grantees**) are required to register in CCR in order to be awarded contracts by the federal government. Registrants must update or renew their registration at least once per year to maintain an active status. Although recipients of direct federal contracts and grant awards have been required to be registered with CCR since 2003, this requirement is now being extended to indirect recipients of federal funds with the passage of ARRA (American Recovery and Reinvestment Act). Per ARRA and FFATA (Federal Funding Accountability and Transparency Act) federal regulations, all **grantees** and sub-grantees or subcontractors receiving federal grant awards or contracts must have a DUNS (Data Universal Numbering System) Number.

Chronically Homeless Person: An unaccompanied homeless individual (age 18 years or older) with a disabling condition or a family with at least one adult member (age 18 years or older) who has a disabling condition who has either been continuously homeless for a year or more **OR** has at least four episodes of homelessness in the past three years." For this purpose, the term "homeless" means "a person sleeping in a place not meant for human habitation (e.g., living on the streets) or in an emergency homeless shelter." This does not include doubled-up or overcrowding situations.

Disabling Condition: Evidencing a diagnosable substance use disorder, serious mental illness, developmental disability, chronic physical illness, or disability, including the co-occurrence of two or more of these conditions. In addition, a disabling condition may limit an individual's ability to work or perform one or more activities of daily living. An HIV/AIDS diagnosis is considered a disabling condition.

Facility-Based Housing Assistance: All eligible HOPWA Housing expenditures for or associated with supporting facilities including community residences, SRO dwellings, short-term facilities, project-based rental units, master leased units, and other housing facilities approved by HUD.

Faith-Based Organization: Religious organizations of three types: (1) congregations; (2) national networks, which include national denominations, their social service arms (for example, Catholic Charities, Lutheran Social Services), and networks of related organizations (such as YMCA and YWCA); and (3) freestanding religious organizations, which are incorporated separately from congregations and national networks.

Grassroots Organization: An organization headquartered in the local community where it provides services; has a social services budget of \$300,000 or less annually, and six or fewer full-time equivalent employees. Local affiliates of national organizations are not considered "grassroots."

HOPWA Eligible Individual: The one (1) low-income person with HIV/AIDS who qualifies a household for HOPWA assistance. This person may be considered "Head of Household." When the CAPER asks for information on eligible individuals, report on this individual person only. Where there is more than one person with HIV/AIDS in the household, the additional PWH/A(s), would be considered a beneficiary(s).

HOPWA Housing Placement Assistance Activity Total: The unduplicated number of households receiving assistance with either
Previous editions are obsolete

housing information services or permanent housing placement. These services are dedicated to helping persons living with HIV/AIDS and their families to identify, locate, and acquire housing.

HOPWA Housing Subsidy Assistance Total: The unduplicated number of households receiving housing subsidies (TBRA, STRMU, and Master Leasing) and/or residing in units of facilities dedicated to persons living with HIV/AIDS and their families and supported with HOPWA funds during the operating year.

Household: A single individual or a family composed of two or more persons for which household incomes are used to determine eligibility and for calculation of the resident rent payment. The term is used for collecting data on changes in income, changes in access to services, receipt of housing information services, and outcomes on achieving housing stability. Live-In Aides (see definition for Live-In Aide) and non-beneficiaries (e.g. a shared housing arrangement with a roommate) who resided in the unit are not reported on in the CAPER.

Housing Stability: The degree to which the HOPWA project assisted beneficiaries remain in stable housing during the operating year. See Part 6: Worksheet definitions of stable and unstable housing situations.

In-kind Leveraged Resources: These involve additional types of support provided to assist HOPWA beneficiaries such as volunteer services, materials, use of equipment and building space. The actual value of the support can be the contribution of professional services, based on customary rates for this specialized support, or actual costs contributed from other leveraged resources. In determining a rate for the contribution of volunteer time and services, use the rate established in HUD notices, such as the rate of ten dollars per hour. The value of any donated material, equipment, building, or lease should be based on the fair market value at time of donation. Related documentation can be from recent bills of sales, advertised prices, appraisals, or other information for comparable property similarly situated.

Leveraged Funds: The amount of funds expended during the operating year from non-HOPWA federal, state, local, and private sources by grantees or sponsors in dedicating assistance to this client population. Leveraged funds or other assistance used directly in HOPWA program delivery.

Live-In Aide: A person who resides with the HOPWA Eligible Individual and who meets the following criteria: (1) is essential to the care and well-being of the person; (2) is not obligated for the support of the person; and (3) would not be living in the unit except to provide the necessary supportive services. *See the Code of Federal Regulations Title 24, Part 5.403 and the HOPWA Grantee Oversight Resource Guide for additional reference.*

Operating Costs: Applies to facility-based housing only, for facilities that are currently open. Operating costs can include day-to-day housing function and operation costs like utilities, maintenance, equipment, insurance, security, furnishings, supplies and salary for staff costs directly related to the housing project but not staff costs for delivering services.

Outcome: The HOPWA assisted households who have been enabled to establish or better maintain a stable living environment in housing that is safe, decent, and sanitary, (per the regulations at 24 CFR 574.310(b)) and to reduce the risks of homelessness, and improve access to HIV treatment and other health care and support.

Output: The number of units of housing or households that receive HOPWA assistance during the operating year.

Permanent Housing Placement: A supportive housing service that helps establish the household in the housing unit, including but not limited to reasonable costs for security deposits not to exceed two months of rent costs.

Project-Based Rental Assistance (PBRA): A rental subsidy program that is tied to specific facilities or units owned or controlled by a project

form HUD-40110-D (Expiration Date: 08/31/11)

sponsor. Assistance is tied directly to the properties and is not portable or transferable.

Program Income: Gross income directly generated from the use of HOPWA funds, including repayments. See grant administration requirements on program income for state and local governments at 24 CFR 85.25, or for non-profits at 24 CFR 84.24.

Project Sponsor Organizations: Any nonprofit organization or governmental housing agency that receives funds under a contract with the grantee or subrecipient to provide housing and other support services as defined in 24 CFR 574.300.

Short-Term Rent, Mortgage, and Utility (STRMU) Assistance: A time-limited, housing subsidy assistance designed to prevent homelessness and increase housing stability. Grantees may provide assistance for up to 21 weeks in any 52 week period. The amount of assistance varies per client depending on funds available, tenant need and program guidelines.

Stewardship Units: Units developed with HOPWA, where HOPWA funds were used for acquisition, new construction and rehabilitation that no longer receive operating subsidies. Report information for the units is subject to the three-year use agreement if rehabilitation is non-substantial and to the ten-year use agreement if rehabilitation is substantial.

Subrecipient Organization: Organizations that hold an agreement with the grantee or sponsor agencies to provide administrative or limited implementation activities that do not involve direct services to clients. Examples of these organizations are as follows: evaluation firms, construction firms, administrative agencies, etc. Agreements include: grants, subgrants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders.

Tenant-Based Rental Assistance (TBRA): TBRA is a rental subsidy program similar to Section 8 that grantees can provide to help low-income households access affordable housing. The TBRA voucher is not tied to a specific unit, so tenants may move to a different unit without losing their assistance, subject to individual program rules. The subsidy amount is determined in part based on household income and rental costs associated with the tenant's lease.

Housing Opportunities for Person with AIDS (HOPWA) Consolidated Annual Performance and Evaluation Report (CAPER) Measuring Performance Outputs and Outcomes

OMB Number 2506-0133 (Expiration Date: 10/31/2014)

Part 1: Grantee Executive Summary

As applicable, complete the charts below to provide more detailed information about the agencies and organizations responsible for the administration and implementation of the HOPWA program. In Part 4, please submit a written narrative to questions a. through c., and the completion of Chart d.. Chart 1 requests general Grantee Information and Chart 2 is to be completed for each organization selected or designated as a project sponsor, as defined by CFR 574.3. In Chart 3, indicate each subrecipient organization with a contract/agreement of \$25,000 or greater that assists grantees or project sponsors carrying out their administrative or evaluation activities. These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A. Do not leave any section blank.

1. Grantee Information

HUD Grant Number TXH 11 F004		Operating Year for this report <i>From 10/01/10 To) 09/30/11</i>		
Grantee Name City of Austin				
Business Address		7201 Levander Loop		
City, County, State, Zip		Austin	Travis	TX 78702
Employer Identification Number (EIN) or Tax Identification Number (TIN)		74-6000085		
DUN & Bradstreet Number (DUNs):		945607265	Central Contractor Registration (CCR): Is the grantee's CCR status currently active? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, provide CCR Number: 37ZPO	
*Congressional District of Grantee's Business Address		10th		
*Congressional District of Primary Service Area(s)		10 21 25 31		
*City(ies) and County(ies) of Primary Service Area(s)		Cities: Austin Bastrop Round Rock Georgetown San Marcos	Counties: Travis Bastrop Caldwell Hays Williamson	
Organization's Website Address www.ci.austin.tx.us		Is there a waiting list(s) for HOPWA Housing Subsidy Assistance Services in the Grantee service Area? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section what services maintain a waiting list and how this list is administered.		

* Service delivery area information only needed for program activities being directly carried out by the grantee

2. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsors, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A.

Note: Please see Definition section for distinctions between project sponsor and subrecipient

Project Sponsor Agency Name AIDS Services of Austin		Parent Company Name, if applicable N/A			
Name and Title of Contact at Project Sponsor Agency		Mathilde Hyams-Flores		Mark Casstevens	
Email Address		ASA.Mail@asaustin.org			
Business Address		PO Box 4874			
City, County, State, Zip,		Austin	Travis	TX	78765
Phone Number (with area code)		512.458.2437		Fax Number (with area code) 512.452.3299	
Employer Identification Number (EIN) or Tax Identification Number (TIN)		74-2440865			
DUN & Bradstreet Number (DUNs):		782220941			
Congressional District of Project Sponsor's Business Address		10th			
Congressional District(s) of Primary Service Area(s)		10, 21, 25,31			
City(ies) and County(ies) of Primary Service Area(s)		Cities: Austin Bastrop Round Rock Georgetown San Marcos		Counties: Travis Bastrop Caldwell Hays Williamson	
Total HOPWA contract amount for this Organization for the operating year		\$ 783,187			
Organization's Website Address http://www.asaustin.org			Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>			If yes, explain in the narrative section how this list is administered.		

3. Subrecipient Information

Use Chart 3 to provide the following information for each subrecipient with a contract/agreement of \$25,000 or greater that assists the grantee or project sponsors to carry out their administrative services. Agreements include: grants, subgrants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders. (Organizations listed may have contracts with project sponsors or other organizations beside the grantee.) These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A.

Note: Please see Definition section for distinctions between project sponsor and subrecipient

Subrecipient Name	Project Transitions, Inc.			Parent Company Name, if applicable	
				N/A	
Name and Title of Contact at Subrecipient	Janice Morgan, Interim Executive Director				
Email Address	jmorgan@projecttransitions.org				
Business Address	P.O. Box 4826				
City, State, Zip, County	Austin	TX	78765	Travis	
Phone Number (with area code)	512.454.8646			Fax Number (include area code)	
				512.454.5039	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	74-2502171				
DUN & Bradstreet Number (DUNs):	78-837-5921				
North American Industry Classification System (NAICS) Code	923120				
Congressional District of Subrecipient's Business Address	10th				
Congressional District of Primary Service Area	10, 21, 25,31				
City (ies) <u>and</u> County (ies) of Primary Service Area(s)	Cities: Austin Bastrop Round Rock Georgetown San Marcos			Counties: Travis Bastrop Caldwell Hays Williamson	
Total HOPWA Subcontract Amount of this Organization for the operating year	\$ 287,622				

4. Grantee Narrative and Performance Assessment

a. Grantee and Community Overview

Provide a one to three page narrative summarizing major achievements and highlights that were proposed and completed during the program year. Include a, area of service, the name(s) of the program contact(s), and an overview of the range/type of housing activities provided. This overview may be used for public information, including posting on HUD's website. *Note: Text fields are expandable.*

Brief Description of the Grant Organization

The Austin Eligible Metropolitan Statistical Area (EMSA) is located in central Texas and has received HOPWA funding since 1995. The EMSA serves Bastrop, Caldwell, Hays, Travis and Williamson counties which covers 4,281 square miles. The racial/ethnic distribution is as follows: 54.1% White; 33.1% Hispanic; 7.8% African American and 5% classified as Other. The Austin EMSA is one of the fastest growing areas in the United States. Its growth rate is double the rate for the State of Texas, and is more than three times larger than the rate for the United States. In 2006, nearly 85% of newly reported HIV and AIDS were reported in Travis County, with approximately 90% of those within the Austin city limits. The Austin Area Comprehensive HIV Planning Council provides input into the development of the City of Austin Consolidated Plan for housing services, which includes funding for the HOPWA program.

AIDS Services of Austin and Project Transitions are the Project Sponsors for the program. They are long term partners with the City of Austin and provide HOPWA services through subcontracts.

HIV Resources Administration Unit (HRAU) staff performs fiscal monitoring through monthly reviews of payment requests and expenditure patterns. Each subcontractor's annual independent financial audit is reviewed to obtain an overview of the agency's financial position. Project Sponsor site visits are performed annually. Staff reviews the agency's Board-approved financial policies and procedures, and ensures that Project Sponsor's maintain an accounting system in accordance with Generally Accepted Accounting Principles (GAAP).

Annual fiscal and program monitoring is required to ensure that the sponsors have systems in place to deliver high quality services in compliance with contract terms and conditions. Programmatic reviews address the following areas: general program expectations, intake, demographic information, eligibility, and income verification. Sponsors are monitored to ensure compliance with program objectives including target populations, services provided, number of clients served, and outcomes measured. During site visits, the monitoring team reviews client charts/files, interviews staff, and documents methods for collecting and reporting service outcomes. HRUA staff assesses program operations through a review of program policies and procedures and contract terms and conditions. Additionally, staff reviews monthly and quarterly program performance reports and annual client satisfaction survey results.

Name of the Program Contact: Hugh Beck, Grants Coordinator, 512-972-5079

Overview of the Range/Type of Housing Activities Provided

Case managers, located in six HIV agencies throughout the EMSA, serve as entry points for HIV/AIDS clients in need of housing assistance. Case managers assess each client's actual need for housing assistance, as well as their need for primary medical care and other HIV-related support services. Clients who are determined to be eligible for HOPWA program services participate in the development of an individualized housing service plan designed to identify and remedy obstacles to their attaining self-sufficiency. The case managers ensure that clients are informed of the availability of needed medical and supportive services, and provide referrals and assistance in accessing those services.

HOPWA program services are provided through two project sponsors, which are described below:

AIDS Services of Austin (ASA) provides direct client services and also acts as the fiscal agent for a collaboration that includes five other HIV case management service providers. ASA's HOPWA program provides rent, mortgage, and utility assistance for income-eligible persons with HIV and AIDS and their families. The goal of the program is to prevent homelessness and to support independent living for clients. Case managers assess client need and submit requests for assistance accordingly.

There are four HUD-approved activities through ASA's HOPWA Program:

1. **Emergency Assistance Program:** This program provides payments for short-term rent, mortgage, and utility assistance (STRMU) in order to prevent homelessness by enabling income-eligible individuals to remain in their current residences.
2. **Rental Assistance Program:** This program provides tenant-based rental assistance (TBRA) and assistance for shared housing arrangements.
3. **Permanent Housing Placement (PHP):** This program provides first month's rent and utility assistance to meet the urgent needs of eligible persons with HIV/AIDS and their families. PHP will assist eligible clients in establishing a new residence where on-going occupancy is expected to continue. Assistance will be provided to eligible clients and their families with payment of first month's rent, when necessary to secure permanent housing, and will complement other forms of HOPWA housing assistance.
4. **Short Term Supportive Housing (STSH):** This program provides short term emergency shelter to homeless families or individuals (households) living with HIV/AIDS. Short term facilities are intended to provide temporary shelter (up to 60 days in a six month period) to prevent homelessness and allow for the opportunity to develop an individualized housing and service plan to guide the client's linkage to permanent housing.

The second project sponsor is Project Transitions (PT). This agency's HOPWA program provides residential supportive services through apartment-style and scattered-site housing. Supportive services are also provided. Thirty apartments are located in two agency-owned facilities, and other apartments are leased throughout the community. A variety of supportive services are offered to all clients including: facility-based meals, counseling, substance abuse relapse prevention support, client advocacy, transportation, and assistance with obtaining permanent housing. This addresses the Consolidated Plan Assisted Housing Strategy goal to "Assist eligible households in securing and non-profit organization in creating limited-term housing and supportive services."

There are two HUD-approved activities through PT's HOPWA Program:

1. **Transitional Housing:** This activity provides facility-based and scattered-site transitional housing with support services to persons with HIV disease. Transitional housing is not provided to any individual for more than 24 months. A variety of supportive services are offered to all clients including: facility-based meals, life skills management counseling, substance abuse relapse prevention support, client advocacy, transportation, and assistance with obtaining permanent housing. Case managers ensure that clients are informed of the availability of needed medical and supportive services, and provide referrals and assistance in accessing those services. Project Transitions has 30 apartments, located in two agency-owned facilities, and other apartments that are leased throughout the community. This program is designed to increase stability, to reduce homelessness, and increase access to care and support.
2. **Supportive Services:** This activity provides residential supportive services through apartment-style and scattered-site transitional housing to persons with HIV disease. Facility-Based and Scattered-Site Transitional Housing is not provided to any individual for more than 24 months. A variety of supportive services are described above. Case managers ensure that clients are informed of the availability of needed medical and supportive services, and provide referrals and assistance in accessing those services. Project Transitions has 30 apartments located in two agency-owned facilities and other apartments are leased throughout the community. The program is designed to increase stability, reduce homelessness, and increase access to care and support.

Major Achievements and Highlights

The HOPWA RMU Coordinator continues to work with the ECHO (End Community Homelessness Coalition) Housing Subcommittee Workgroup to establish screening criteria and assessment tools for the Permanent Supportive Housing units approved by the City of Austin, through the Neighborhood Housing and Community Development Department. The assessment tool workgroup has determined the tool to be used in the pilot and planned the steps for pilot implementation. During the first three quarters of the grant year, the coordinator or designee has attended twelve ECHO Housing Subcommittee Meetings and participated in such points of discussion as local resources for employment/training for the reentry populations, 100,000 Home Campaign, the ECHO 2 Year Action Plan, common screening and assessment tool for permanent supportive housing, and advocacy at the Austin Housing Finance Corporation.

Prior to the Austin Housing Finance Corporation (AHFC) meeting with the Austin City Council sitting as the corporation representative, this coordinator registered a "for" vote for the approval of 20 Permanent Supportive Housing (PSH) units at the Marshall Apartments. The housing provider community mobilized to support the PSH proposition despite community opposition to designating permanent supportive housing in this neighborhood.

The HOPWA RMU Coordinator has once again followed up on the proposed recommendations for revision of the Housing Authority of Travis County eligibility policy, as it relates to the re-entry population. The Chair of the Austin/Travis County Reentry Roundtable is pursuing efforts to present the eligibility revisions to the Board of HATC.

Wright House Wellness Center (WHWC) and AIDS Services of Austin continue working with a collaborative of housing providers funded by the Homelessness Prevention and Rapid Re-housing Project through the Texas Department of Housing and Community Affairs. Each collaborative partner employs one housing case manager to address the needs of the re-entry population. WHWC works with the reentry population with Hepatitis C. The Right at Home program was one of three finalists for the Butler award for best community collaboration. At ASA, several clients obtained housing for the first time. Two clients entered the program as homeless and obtained their personal goals to become stable and independent in their housing, and secure income upon exit of the program. Seventy four percent (74%) of participants successfully maintained their housing and 72% percent achieved stable income which is essential to housing stability. Outcome percentages increase to 87% and 84% respectively when only clients who continued program participation are considered. There was a 13 percent recidivism rate as only 6 clients who continued participating in the program returned to jail. Given that this funding will end in August of 2011, ASA and WHWC have participated in preparation for the submission of a collaborative Request for Funding Approval called Best Single Source Plus to the City of Austin that would allow for continuation of this programming with additional dollars for direct assistance for housing stability. Funding for the program will begin in April of 2012. The collaborative will be funded but the exact amount of the total award and the level of dollars per agency is unknown at this time.

Through assistance from the Austin/Travis County Health and Human Services Department, ASA successfully secured \$87,045 in additional housing assistance funding through Ryan White Part A Housing Services for the HOPWA/RWA Housing Consortium of providers. At the end of February 2011, 21 clients had received \$23,207 in Emergency Assistance and 65 clients had received \$59,118 in Short-Term Assistance. ASA expended all of this funding by February 2011 and has used this opportunity to stretch TBRA dollars to cover more of the 2010-11 demand for assistance.

Project Transitions provided a mix of housing options for all persons living with HIV, with specific emphasis placed on two target populations who historically have faced extraordinary challenges accessing housing: homeless persons and single heads of household, especially women and children. Clients work toward self-sufficiency and obtain permanent housing with the assistance of a case manager.

Another accomplishment, which initially presented itself as a challenge, was dealing with clients' improved health condition due to the use of new drug therapies. The major challenge stemming from improved health condition was maintaining a client's eligibility when they were able to return to work. Therefore, adequate and focused supportive services continue to be needed along with housing assistance. In addition, as clients are living longer, the need for housing services expands as more clients request affordable housing. This results in long waiting lists for a limited number of available units. These wait lists are reviewed, and when an opening occurs, clients considered to have the greatest need are approved.

b. Annual Performance under the Action Plan

Provide a narrative addressing each of the following four items:

- 1. Outputs Reported.** Describe significant accomplishments or challenges in achieving the number of housing units supported and the number households assisted with HOPWA funds during this operating year compared to plans for this assistance, as approved in the Consolidated Plan/Action Plan. Describe how HOPWA funds were distributed during your program year among different categories of housing and geographic areas to address needs throughout the grant service area, consistent with approved plans.

The units of service provided through TBRA at the end of the grant year were 17% more than the original goal. Assistance dollars were shifted from PHPS, STSH and STRMU to meet client need. The number of households served was over the goal was due to the higher need among eligible clients. The units of service and number of households for STRMU and STSH are lower than the level due to leveraging of Ryan White Part A Housing Services dollars. A total of \$71,907 Ryan White Part A dollars were added for direct housing assistance. The percentages of units of service achieved were 71% for STRMU and 63.5% for STSH. Funds from these categories were shifted to TBRA. Finally, the units of service and number of households served by PHPS were less than expected because

client needs were lower than predicted, and funds from this category were also moved TBRA to help with the higher demand.

The Supportive Housing Programs have served a total of 92 unduplicated clients representing 49 separate households. This represents 100% of the annual goal of service to 49 households. Project Transitions met the objective of providing affordable housing by following the HUD guidelines of charging 30 percent of current income to all residents. If a resident has no income, there is no charge. Residents were assisted in applying to other affordable housing programs for rent, utility, deposit and other resources that helped sustain them in housing.

SUCCESS STORIES:

Community Action (CA) was able to assist one client during her time of need. The client was on a fixed income through SSI with no other sources of income and was behind on rental payments. The client was struggling each month to pay her bills. CA assisted the client in obtaining Low-Income Housing through a local apartment complex. The client's rent through the Low-Income Housing Program was significantly lower than her prior payments. The client ran into a barrier when she discovered that in order to qualify for Low-Income Housing she had to be an established resident in the apartment complex. CA assisted the client with assistance for her first month's rent, deposits and utilities through HOPWA PHP.

AIDS Services of Austin (ASA) assisted a single, transgendered, African- American client in her 40s who was recently released from incarceration. She had been staying with friends who let her know that she had to leave as soon as possible. At that point, the client was proactive in finding affordable housing but had very limited income while her Social Security disability application was pending. The case manager determined that she was eligible for PHPS and then TBRA, and advocated with the landlord to accept the client housing application by indicating that the client promised not to return to illegal activities as part of the rental agreement. The case manager worked with the landlord to understand that the rent would be paid through an agency as long as the client followed the housing plan and rental agreement, and that the client would be assisted by a case manager in meeting the terms of the rental agreement. At that point, the landlord accepted her rent application. Once the client achieved housing stability, she was able to decide who comes into her home so as not to expose herself to triggers and to focus on such self-care as accessing nutritious food from the agency Food Bank, eating healthy, attending all of her primary care appointments, and taking medications as prescribed. According to the case manager, she now follows her service and housing plans. In addition, TBRA assistance has helped the client to establish a stable rental history.

The ASA case manager worked on maintaining housing with a Hispanic male client in his 50s with two elementary school-aged children and an income of \$900 monthly. The client reported significantly reduced stress as a result of STRMU and TBRA rental assistance provided through the agency, which not only affords him additional resources to care for his health and his children, but has also increased his ability to maintain medical care. ASA's case manager reported that the client has successfully attended all scheduled medical appointments, including HIV care and dental care, and has been regularly seeing a counselor to address mental health concerns and further reduce stress. The client is proactive in informing the case manager of barriers to medical care, such as any need for gas cards to attend medical appointments and medication copayment assistance to ensure that client takes all medications as prescribed. Overall, with agency and case manager support, the client has demonstrated an ability to achieve housing stability, medical goals, and personal objectives.

Wright House Wellness Center assisted a 50 year old white female with zero income and a history of depression, substance abuse, and homelessness to become approved for TBRA. Her application for Social Security benefits was approved a few months later. She was then able to pay her own rent and has maintained housing stability with the help from ongoing case management services.

2. Outcomes Assessed. Assess your program's success in enabling HOPWA beneficiaries to establish and/or better maintain a stable living environment in housing that is safe, decent, and sanitary, and improve access to care. Compare current year results to baseline results for clients. Describe how program activities/projects contributed to meeting stated goals. If program did not achieve expected targets, please describe how your program plans to address challenges in program implementation and the steps currently being taken to achieve goals in next operating year. If your program exceeded program targets, please describe strategies the program utilized and how those contributed to program successes.

- 90% Reducing Risk of Homelessness
- 98% Reducing Risks policies.
- 95.49 Access to Health Care

Previous editions are obsolete

form HUD-40110-D (Expiration Date: 08/31/11)

According to the HOPWA Consortium report, the Stable Housing measure for TBRA is 89%. Case managers working with hard-to-reach populations receiving TBRA may lose contact with clients because they are in jail or have moved without informing the case manager. Case managers will follow-up on clients lost to care and in jail in hopes that their exit status may change. Also clients who move in with family or friends are counted as receiving "Temporary Housing" even though it might be for short or long-term periods.

The Reducing Risks of Homelessness Outcome for STRMU is at 99%. The client outcomes on Access to Care and Support improved from previous quarters as clients and their case managers have had more time to address barriers to access to care and support. Outcomes are between 86.3% and 95.4% for all indicators, except for access/maintenance of qualifications for sources of income which is at 55%. Case managers state that the outcome is low because clients are at "0" income awaiting Social Security Disability determination, are ineligible for disability payments, or do not follow-through with Food Stamp applications. The outcome on access to medical insurance/assistance has increased significantly because case managers were reminded to include clients receiving AIDS Drug Assistance Program (ADAP), health insurance assistance, and local indigent medical care programs.

3. Coordination. Report on program coordination with other mainstream housing and supportive services resources, including the use of committed leveraging from other public and private sources that helped to address needs for eligible persons identified in the Consolidated Plan/Strategic Plan.

Because housing and case management needs far exceed supply, other funding sources have been sought. Resources have come from the following:

(1) Eligible AIDS Services of Austin and Wright House Wellness Center clients receive rent, mortgage and utility assistance through the Best Single Source Program funded by the City of Austin and Travis County through the Basic Needs Coalition. Clients must have an income at or above 200% of the federal poverty guideline and a temporary, unexpected financial need that, with sufficient assistance, will allow clients not to request further assistance for the remainder of the calendar year. This extends housing assistance to clients who are either eligible for assistance that is inadequate for their needs, or ineligible for any assistance through HOPWA, and who are very likely to become self-sufficient in maintaining housing stability in the future.

(2) AIDS Services of Austin and Austin Travis County Integral Care have a collaborative contract with the Austin Housing Authority called Shelter Plus whose purpose is to serve those clients who meet HUD's definition of "homeless." ASA is allowed a limited number of housing slots that allow homeless persons to bypass the Section 8 wait list.

(3) AIDS Services of Austin participates in the Customer Assistance Program through Austin Energy for utility payment allocations to avoid cutoff of client utilities.

(4) Ryan White, Travis County, City of Austin and Texas Department of State Health Services fund case managers of five agencies that address the needs of HOPWA eligible individuals by providing case management that focuses on housing assessments and housing service plans. Through this funding, consortium agencies also leverage supportive services such as Food Bank, medication and eye glasses assistance, health insurance premium assistance payment for identification documents, and medical services such as Oral Health and Medication Nutrition Therapy.

(5) Wright House Wellness Center and AIDS Services of Austin have been working with a collaborative of housing providers. The result is the funding of one housing case manager at Wright House and one at ASA through the Homelessness Prevention and Rapid Rehousing Program (HPRP) at the Texas Department of Housing and Community Affairs. The focus of this pilot project, named Right at Home, is the reentry population. About 67 HOPWA-eligible clients were served through HPRP leveraged funding to the total amount of \$38,895. The program ended August 31, 2011.

(6) ASA successfully secured \$87,045 in additional housing assistance funding through Ryan White Part A Housing Services for the HOPWA/RWA Housing Consortium of providers.

4. Technical Assistance. Describe any program technical assistance needs and how they would benefit program beneficiaries.

Some of the technical assistance questions posed during the 2008/2009 and 2009/10 grant cycle have been

answered. Hugh Beck, Grants Coordinator in the City of Austin's HIV Resources Administration Unit, and Mathilde Hyams-Flores, HOPWA RMU Coordinator at ASA, are currently waiting to schedule a telephone conference with Collaborative Solutions, a provider of HOPWA technical assistance, to clear up the remainder of the pending questions. There is a great need for timely and precise responses to HOPWA rules and regulation questions through the Department of Housing and Urban Development and their HOPWA National Technical Assistance Program. The City of Austin has developed a contact at HUD in San Antonio, Texas, Marie Hettler. She is the HUD CPO representative and has been a great resource for technical assistance. Marie has worked closely with the Grants Coordinator to create better services for the community through the timely provision of information clarifying HUD/HOPWA program policies. Crystal Pope and Becky Blalock with Collaborative Solutions, Inc., both have been extremely helpful with their timely responses to rules and regulations and are also great resource for technical assistance. They are always ready to listen and respond very quickly to our needs.

c. Barriers and Trends Overview

Provide a narrative addressing items 1 through 3. Explain how barriers and trends affected your program's ability to achieve the objectives and outcomes discussed in the previous section.

<input checked="" type="checkbox"/> HOPWA/HUD Regulations	<input type="checkbox"/> Planning	<input checked="" type="checkbox"/> Housing Availability	<input type="checkbox"/> Rent Determination and Fair Market Rents
<input type="checkbox"/> Discrimination/Confidentiality	<input checked="" type="checkbox"/> Multiple Diagnoses	<input type="checkbox"/> Eligibility	<input type="checkbox"/> Technical Assistance or Training
<input type="checkbox"/> Supportive Services	<input type="checkbox"/> Credit History	<input type="checkbox"/> Rental History	<input checked="" type="checkbox"/> Criminal Justice History
<input checked="" type="checkbox"/> Housing Affordability	<input type="checkbox"/> Geography/Rural Access	<input type="checkbox"/> Other, please explain further	
	<input type="checkbox"/> Geography/Rural Access	<input type="checkbox"/> Other, please explain further	

<input type="checkbox"/> Supportive Services	<input type="checkbox"/> Credit History	<input type="checkbox"/> Rental History	<input checked="" type="checkbox"/> Criminal Justice History
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1. Describe any barriers (including regulatory and non-regulatory) encountered in the administration or implementation of the HOPWA program, how they affected your program’s ability to achieve the objectives and outcomes discussed, and, actions taken in response to barriers, and recommendations for program improvement. Provide an explanation for each barrier selected.

HOPWA/HUD REGULATIONS:

The Texas Department of State Health Services HOPWA Program Manual is not easily understood and often requires Case Managers, the HOPWA RMU Coordinator at ASA and administrators struggle to determine the intent of the regulations. Currently, the coordinator has to consult with two sets of training materials and the out of date HOPWA Manual developed by Texas State Department of Health and Human Services. The increase in HOPWA reporting definitely impacts the amount of time that case managers have to work on client housing plans and access to housing-related resources. The regulation that does not allow utility assistance for clients in subsidized housing puts clients in jeopardy of losing affordable housing and becoming homeless.

Action: These barriers have been documented in the HOPWA Quarterly Reports for over two years now. HUD is now providing annual training. The RWA Housing Services dollars are being used as a temporary measure to assist clients in subsidized housing with utilities payments.

Recommendations: There needs to be a Federal HOPWA RMU Manual that is updated within three months of revisions and distributed nationwide or through the HOPWA/HUD website. The state manual has incorrect or outdated information in it.

HOUSING AFFORDABILITY/AVAILABILITY:

There is insufficient affordable long-term housing in the five county area served by the Austin TGA HOPWA Consortium. Even under optimal conditions, obtaining Public Housing or other affordable housing in Austin, TX, requires an 18-24 month waiting period. Private housing is often reasonably priced until one considers how many of our clients are forced to live on \$674 SSI checks per month. The lack of affordable housing means that clients with zero or little income stay on TBRA longer.

A recent trend in the Austin area is less availability of rental properties due to increased demand for these properties since fewer people are selecting home ownership. ASA speculates that this trend is due to instability in the economy. The result for clients/households is that landlords are more selective about whom they accept as tenants.

Many HOPWA clients are eligible for Section 8 (Housing Choice Vouchers) housing. Most housing authorities are not accepting applications for the Housing Choice Voucher Program. Clients who are on Section 8 waiting lists in Travis County and the City of Austin can expect to wait two to three years or more for an opportunity to secure housing. In Williamson, Bastrop, Hayes, and Caldwell counties, the wait list is up to two years. These counties do offer low-income housing, although there is a waiting list of 12-18 months to obtain housing.

The waiting lists for Housing Authority of the City of Austin public housing, Round Rock Housing Authority public housing, and rural public housing take up to two years; however, many clients do not want to live in this sort of subsidized housing because of the high crime rates and drug activity.

Community Action has also found that one low-income housing facility in Elgin, TX requires that all low-income tenants must maintain incomes that are 3 times greater than their rent. For clients who receive Social Security Supplement Income Benefits, this make obtaining housing difficult because they only receive, at most, \$674 a month.

There are very few housing resources in the rural counties surrounding Travis County. It has been found that cost of housing in the rural counties is almost as high as in the City of Austin. Most of the clients that CA serves are at or below 100% of the federal poverty guideline and simply cannot afford housing in the rural communities.

Clients have difficulty finding affordable housing in areas that are not near where they used drugs. Obtaining housing near their drug usage areas can increase the likelihood of them relapsing.

There is insufficient immediate transitional housing that meets client medical and confidentiality needs, especially for homeless persons.

ACTIONS TAKEN OR PLANNED

In 2010, the Austin TGA HOPWA RMU Coordinator requested that a representative from the Housing Authority of the City of Austin (HACA) and a contact from the City of Austin's Neighborhood Housing department attend one of the HOPWA Consortium meetings. The purpose of the meeting will be to increase case manager understanding of how wait lists for Section 8 and public housing services are processed.

Case managers try to assist with client prioritization on waiting lists by assisting client in documenting disability status on Section 8 housing. Case managers assist clients in requesting and attending hearings to waive requirements regarding criminal offenses for non-violent crimes.

RECOMMENDATIONS

More federal and State of Texas funding is needed to support the development of more affordable housing. The HOPWA RMU Coordinator will attend any relevant government hearings to advocate for more funding for affordable housing.

MULTIPLE DIAGNOSES

Clients with multiple diagnoses or extensive substance abuse problems have many barriers in getting and maintaining stable housing. Mental health conditions are often a barrier to completing substance abuse programs, and become a trigger to relapse. Our population continues to struggle with mental health and addiction issues that hinder success, including difficulty in following through with housing and other support service referrals and appointments.

Of particular challenge is finding safe housing for recovering substance abusers. Clients with checkered pasts are often relegated to drug-infested, poorly policed apartment communities, or so-called "sober houses," boarding homes that promise a structured, drug-free living environment that are notorious for failing to meet that promise. These clients, whose housing plans include maintaining their sobriety, have an uphill battle in these environments. Clients often have mental health and substance abuse problems as well as their HIV diagnosis. Due to this client's having trouble finding appropriate housing that will accept criminal backgrounds and a reasonable rent that can be met by SSI.

Recommendations:

The HOPWA RMU Coordinator and other HOPWA providers will attend any relevant government hearings to advocate for more funding for supportive housing to address the needs of those with multiple diagnoses.

CRIMINAL JUSTICE HISTORY:

Clients with a criminal history continue to report that they are experiencing difficulties in obtaining housing. Most apartment complexes that offer low-income housing will not rent to clients with past criminal histories. Some landlords are not allowing anyone with felonies to rent properties when they used to accept clients with felonies that did not involve assaults or sexual crimes. Clients are finding that when they are applying for Section 8 housing or low-income housing, they are being denied housing due to a previous criminal conviction. Clients are reporting that some the offenses that were committed took place more than 10 years ago, and they are still being declined housing.

Action Taken:

ASA and Wright House Wellness Center are working with a collaboration of housing providers to address the needs of the re-entry population. As mentioned, the HOPWA RMU Coordinator followed up on progress to present less restrictive eligibility criteria to Travis County Housing Authority (TCHA) Board, and is awaiting a response. If TCHA implements less restrictive criteria in regard to client criminal history, it is very likely that the Housing Authority of the City of Austin will take similar action.

Wright House Wellness Center and AIDS Services of Austin are working with a collaborative of housing providers and successfully received funding from the Homelessness Prevention and Rapid Re-housing Project through the Texas Department of Housing and Community Affairs. Each collaborative partner has one housing case manager to

address the needs of the re-entry population and there is potential for continuing services through City of Austin funding.

Recommendations:

The HOPWA RMU Coordinator will continue work with the Travis County Re-entry Roundtable and will continue providing testimony at public hearings to decrease barriers to affordable housing or advocate for more funding to house this population.

OTHER:

Due to insufficient HOPWA funding to meet client needs, the Austin TGA has very restrictive TBRA income eligibility guidelines, 15% of Area Median Income. This leaves a substantial number of individuals, specifically those receiving SSI, who cannot reasonably afford housing in this area but who are not eligible to receive TBRA assistance.

Action Taken:

The Austin TGA Collaborative carefully evaluated the income guidelines for TBRA and increased them for individuals. Further increases in income guidelines are not possible unless all of STRMU and PHP are diverted to the TBRA program to serve more clients. ASA and other HOPWA provider agencies always look for opportunities to stretch TBRA dollars, such as using Ryan White Part A and HPRP funding to meet client short-term needs.

Recommendations:

The Austin TGA HOPWA Consortium will continue to work with local housing authorities to expedite referrals for clients receiving TBRA, with the goal of increasing TBRA income eligibility criteria by transitioning higher numbers of current TBRA recipients to subsidized housing.

2. Describe any trends in the community that may affect the way in which the needs of persons living with HIV/AIDS are being addressed, and provide any other information important to the future provision of services to this population.

During the 2009/10 grant year, the HOPWA Consortium looked at the income eligibility issue and determined that all of HOPWA funding would be expended on TBRA only if client eligibility were expanded. The consortium did not want to change eligibility criteria which would result in individuals with no income on a wait list for HOPWA assistance. In September of 2010, the Consortium agreed to change TBRA eligibility criteria to 15% of Area Median Income for all households, including individuals, in order to meet the HUD mandate of fair and equitable eligibility criteria for HOPWA programs. The demand for TBRA continues to increase. As predicted, when eligibility criteria changed for the 2010-11 grant year, TBRA expenses expanded to the point where the predicted expenditures for 2011-12 exceeded current allotment. Consequently, no new TBRA applications will be accepted during 2011-12 until expenses are reduced to the monthly amount based on the annual approved allotment. The project sponsor along with the grantee is in consultation with Collaborative Solutions to design a new waiting list policy and procedure to address this situation.

Most of the Housing Authorities in the CA rural communities are not taking applications for their Housing Choice Voucher Programs. Most housing authorities in the rural communities have a waitlist for housing once they have been put on the Housing Choice Voucher program waitlist. Most individual can expect to wait up to 2 years to obtain housing once they are on the Housing Choice Voucher Program waitlist.

3. Identify any evaluations, studies, or other assessments of the HOPWA program that are available to the public.

Draft Fiscal Years 2009-14 Consolidated Plan, City of Austin Neighborhood Housing and Community Development

The City of Austin has prepared a draft five-year Consolidated Plan for fiscal years 2009-14. This five-year Plan includes funding recommendations for the fiscal year 2010-11. The Plan sets priorities for funding for the Neighborhood Housing and Community Development (NHCD) Office, as well as those HUD funds that are managed by the Austin/Travis County Health and Human Services Department (HHSD). The U. S. Department of Housing and Urban Development (HUD), through four grant programs in the last five years, allocated \$69 million to the City of Austin.

d. Unmet Housing Needs: An Assessment of Unmet Housing Needs

In Chart 1, provide an assessment of the number of HOPWA-eligible households that require HOPWA housing subsidy assistance but are not currently served by any HOPWA-funded housing subsidy assistance in this service area.

In Row 1, report the total unmet need of the geographical service area, as reported in *Unmet Needs for Persons with HIV/AIDS*, Chart 1B of the Consolidated or Annual Plan(s), or as reported under HOPWA worksheet in the Needs Workbook of the Consolidated Planning Management Process (CPMP) tool. **Note:** Report most current data available, through Consolidated or Annual Plan(s), and account for local housing issues, or changes in HIV/AIDS cases, by using combination of one or more of the sources in Chart 2.

If data is collected on the type of housing that is needed in Rows a. through c., enter the number of HOPWA-eligible households by type of housing subsidy assistance needed. For an approximate breakdown of overall unmet need by type of housing subsidy assistance refer to the Consolidated or Annual Plan (s), CPMP tool or local distribution of funds. Do not include clients who are already receiving HOPWA-funded housing subsidy assistance.

Refer to Chart 2, and check all sources consulted to calculate unmet need. Reference any data from neighboring states' or municipalities' Consolidated Plan or other planning efforts that informed the assessment of Unmet Need in your service area. **Note:** In order to ensure that the unmet need assessment for the region is comprehensive, HOPWA formula grantees should include those unmet needs assessed by HOPWA competitive grantees operating within the service area.

1. Planning Estimate of Area's Unmet Needs for HOPWA-eligible Households

1. Total number of households that have unmet housing subsidy assistance need.	1,5,25
2. From the total reported in Row 1, identify the number of households with unmet housing needs by type of housing subsidy assistance:	453
a. Tenant-Based Rental Assistance (TBRA)	
b. Short-Term Rent, Mortgage and Utility payments (STRMU)	680
• Assistance with rental costs	105
• Assistance with mortgage payments	124
• Assistance with utility costs.	451
c. Housing Facilities, such as community residences, SRO dwellings, other housing facilities	392

2. Recommended Data Sources for Assessing Unmet Need (check all sources used)

X = Data as reported in the area Consolidated Plan, e.g. Table 1B, CPMP charts, and related narratives
= Data established by area HIV/AIDS housing planning and coordination efforts, e.g. Continuum of Care
= Data from client information provided in Homeless Management Information Systems (HMIS)
X = Data from project sponsors or housing providers, including waiting lists for assistance or other assessments on need including those completed by HOPWA competitive grantees operating in the region.
= Data from prisons or jails on persons being discharged with HIV/AIDS, if mandatory testing is conducted
X = Data from local Ryan White Planning Councils or reported in CARE Act Data Reports, e.g. number of clients with permanent housing
X = Data collected for HIV/AIDS surveillance reporting or other health assessments, e.g. local health department or CDC surveillance data

End of PART 1

PART 2: Sources of Leveraging

Report the source(s) of cash or in-kind leveraged federal, state, local or private resources identified in the Consolidated or Annual Plan and used in the delivery of the HOPWA program and the amount of leveraged dollars.

[1] Sources of Leveraging		Total Amount of Leveraged Dollars (for this operating year)	
		[2] Housing Assistance	[3] Supportive Services and other non-direct housing costs
1.	Program Income	=	=
2.	Federal government (please specify):	=	=
	RWA	=	= 172,537
	ESG	=43,014	=14,338
	RWA Housing Services	= 78,340	= 8,705
3.	State government (please specify)	=	=
	State Services	=	= 2,917
	HEI	= 47,681	=
		=	=
4.	Local government (please specify)	=	=
	Housing Choice Voucher (Section 8)	= 8,907	=
	City of Austin	= 13,529	= 60,919
	Travis County	=	= 114,348
	HPRP	= 38,895	
	Austin Energy Customer Assistance Program		= 2,100
5.	Foundations and other private cash resources (please specify)	=	=
	Community Action, Inc. Donor Account	=	= 1,000
	Hamill Foundation		= 5,000
	Dental Clinic	=	= 85,569
	Food Bank	=	= 78,388
	Paul Kirby Fund	= 10,683	= 12,618
	Health Insurance Prems & Med Co-pays		= 38,070
6.	In-kind Resources	=	=
7.	Resident rent payments in Rental, Facilities, and Leased Units	= 6,508	= 2,169
8.	Grantee/project sponsor (Agency) cash	= 25,213	= 8,405
9.	TOTAL (Sum of 1-7)	= 272,770	= 607,083

End of PART 2

PART 3: Accomplishment Data - Planned Goal and Actual Outputs

In Chart 1, enter performance information (goals and actual outputs) for all activities undertaken during the operating year supported with HOPWA funds. Performance is measured by the number of households and units of housing that were supported with HOPWA or other federal, state, local, or private funds for the purposes of providing housing assistance and support to persons living with HIV/AIDS and their families. *Note: The total households assisted with HOPWA funds and reported in PART 3 of the CAPER should be the same as reported in the annual year-end IDIS data, and goals reported should be consistent with the Annual Plan information. Any discrepancies or deviations should be explained in the narrative section of PART 1.*

1. HOPWA Performance Planned Goal and Actual Outputs

	HOPWA Performance Planned Goal and Actual				Output Households		Funding			
					HOPWA Assistance		Non-HOPWA		e.	f.
	a.	b.	c.	d.						
	Goal	Actual	Goal	Actual	HOPWA Budget	HOPWA Actual				
Housing Subsidy Assistance					Output Households					
1.	Tenant-Based Rental Assistance				125	147			505,465	591,881
2a.	Households in permanent housing facilities that receive operating subsidies/leased units									
2b.	Households in transitional/short-term housing facilities that receive operating subsidies/leased units REVISED for STSH				93	87			228,855	218,083
3a.	Households in permanent housing facilities developed with capital funds and placed in service during the program year									
3b.	Households in transitional/short-term housing facilities developed with capital funds and placed in service during the program year									
4.	Short-Term Rent, Mortgage and Utility Assistance				220	147			129,065	81,930
5.	Adjustments for duplication (subtract)					32				
6.	Total Housing Subsidy Assistance					349			863,385	891,894
Housing Development (Construction and Stewardship of facility based housing)					Output Units					
7.	Facility-based units being developed with capital funding but not opened (show units of housing planned)									
8.	Stewardship Units subject to 3 or 10 year use agreements									
9.	Total Housing Developed									
Supportive Services					Output Households					
10a.	Supportive Services provided by project sponsors also delivering HOPWA housing assistance				49	49			75,658	75,658
10b.	Supportive Services provided by project sponsors serving households who have other housing arrangements									
11.	Adjustment for duplication (subtract)									
12.	Total Supportive Services								75,658	75,658
Housing Placement Assistance Activities					Output Households					
13.	Housing Information Services				49	49			20,109	20,109
14.	Permanent Housing Placement Services (PHPS)				102	52			56,836	28,327
15.	Adjustment for duplication									
16.	Total Housing Placement Assistance								76,945	48,436
Grant Administration and Other Activities					Output Households					
	Direct Program Activity for Accountant Writing of Rent/Utility Checks									
17.	Resource Identification to establish, coordinate and develop housing assistance resources									
18.	Technical Assistance (if approved in grant agreement)									
19.	Grantee Administration (maximum 3% of total HOPWA grant)								33,118	33,118
20.	Project Sponsor Administration (maximum 7% of portion of HOPWA grant awarded)								54,821	54,821
Total Expenditures for program year (Sum of rows 6, 9, 12, 16, and 20)									1,103,927	1,103,927

2. Listing of Supportive Services

Report on the use of HOPWA funds for all supportive services. In Rows 1 through 16, provide the (unduplicated) total of all households and expenditures for each type of supportive service for all project sponsors.

Supportive Services	Number of <u>Households</u> Receiving HOPWA Assistance	Amount of HOPWA Funds Expended
1. Adult day care and personal assistance		
2. Alcohol and drug abuse services		
3. Case management/client advocacy/ access to benefits & services	49	62,134
4. Child care and other child services		
5. Education		
6. Employment assistance and training		
7. Health/medical/intensive care services, if approved Note: Client records must conform with 24 CFR §574.310		
8. Legal services	49	8,284
9. Life skills management (outside of case management)	49	12,427
10. Meals/nutritional services		
11. Mental health services		
12. Outreach		
13. Transportation		
14. Other Activity (if approved in grant agreement). Specify:		
15. Adjustment for Duplication (subtract)	98	
16. TOTAL Households receiving Supportive Services (unduplicated)	49	82,845

End of PART 3

Part 4: Summary of Performance Outcomes

HOPWA Long-term Performance Objective: *Eighty percent of HOPWA clients will maintain housing stability, avoid homelessness, and access care each year through 2011.*

Section 1. Housing Stability: Assessment of Client Outcomes on Maintaining Housing Stability (Permanent Housing and Related Facilities)

In Column 1, report the total number of eligible households that received HOPWA housing assistance, by type. In Column 2, enter the number of households continuing to access each type of housing assistance, the following year. In Column 3, report the housing status of all households that exited the program. Columns 2 (Number of Households Continuing) and 3 (Exited Households) summed will equal the total households reported in Column 1. *Note: Refer to the housing stability codes that appear in Part 5: Worksheet - Determining Housing Stability Outcomes.*

[A] Permanent Housing Assistance	[1] Total Number of Households Receiving Housing Assistance	[2] Assessment: Number of Households Continuing with this Housing (per plan or expectation for next year)		[3] Assessment: Number of Exited Households and Housing Status	
Tenant-Based Rental Assistance	= 99	= 71		1 Emergency Shelter/Streets	=
				2 Temporary Housing	= 6
				3 Private Housing	= 17
				4 Other HOPWA	=
				5 Other Subsidy	= 1
				6 Institution	=
				7 Jail/Prison	= 1
				8 Disconnected/Unknown	= 2
				9 Death	= 1
Permanent Supportive Housing Facilities/Units	=	=		1 Emergency Shelter/Streets	=
				2 Temporary Housing	=
				3 Private Housing	=
				4 Other HOPWA	=
				5 Other Subsidy	=
				6 Institution	=
				7 Jail/Prison	=
				8 Disconnected/Unknown	=
				9 Death	=
[B] Transitional Housing Assistance	[1] Total Number of Households Receiving Housing Assistance	[2] Of the Total Number of Households Receiving Housing Assistance this Operating Year		[3] Assessment: Number of Exited Households and Housing Status	
Transitional/Short-Term Supportive Facilities/Units	=49	Total number of households that will continue in residences:	= 35	1 Emergency Shelter/Streets	=
		2 Temporary Housing		=	
		3 Private Housing		=	
		4 Other HOPWA		=	
		Total number of households whose tenure exceeded 24 months:	=14	5 Other Subsidy	=
		6 Institution		=	
		7 Jail/Prison		=	
		8 Disconnected/unknown		=	
		9 Death		=	

Section 2. Prevention of Homelessness: Assessment of Client Outcomes on Reduced Risks of Homelessness (Short-Term Housing Assistance)

Report the total number of households that received STRMU assistance in Column 1. In Column 2, identify the result of the housing assessment made at time of assistance, or updated in the operating year. (Column 3 provides a description of housing outcomes; therefore, data is not required.) In Row 1a, enter the total number of households served in the prior operating year that received STRMU assistance this year. In Row 1b, enter the total number of households that received STRMU Assistance in the 2 prior operating years that received STRMU assistance this year. *Note: The sum of Column 2 should equal the number of households reported in Column 1.*

Assessment of Households receiving STRMU Assistance

[1] STRMU Housing Assistance	[2] Assessment of Housing Status		[3] HOPWA Client Outcomes
= 116	Maintain Private Housing without subsidy (<i>e.g. Assistance provided/completed and client is stable, not likely to seek additional support</i>)	= 27	<i>Stable/Permanent Housing (PH)</i>
	Other Private Housing without subsidy	= 7	
	Other HOPWA support (PH) [TBRA, facility-HOPWA]	= 5	
	Other housing subsidy (PH) [Section 9, public housing]	= 2	
	Institution (<i>e.g. residential and long-term care</i>)	=	
	Likely to maintain current housing arrangements, with additional STRMU assistance	= 67	<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
	Transitional Facilities/Short-term (<i>e.g. temporary or transitional arrangement</i>)	= 5	
	Temporary/non-permanent Housing arrangement (<i>e.g. gave up lease, and moved in with family or friends but expects to live there less than 90 days</i>)	= 2	
	Emergency Shelter/street	=	<i>Unstable Arrangements</i>
	Jail/Prison	=	
	Disconnected	= 1	
Death	=	<i>Life Event</i>	
1a. Total number of households that received STRMU assistance in the prior operating year, that also received STRMU assistance in the current operating year.			= 45
1b. Total number of those households that received STRMU assistance in the two (2 years ago) prior operating years, that also received STRMU assistance in the current operating year.			= 31

Section 3. HOPWA Outcomes on Access to Care and Support

1A. Status of Households Accessing Care and Support by Project Sponsors delivering HOPWA Housing Assistance/Housing Placement/Case Management

Use Table 1 A for project sponsors that provide HOPWA housing assistance/housing placement with or without case management services. In Table 1A, identify the number of client households receiving any type of HOPWA housing assistance that demonstrated improved access or maintained connections to care and support within the program year by: having a housing plan; having contact with a case manager/benefits counselor; visiting a primary health care provider; accessing medical insurance/assistance; and accessing or qualifying for income benefits. *Note: For information on types and sources of income and medical insurance/assistance, refer to Charts 1C and 1D.*

Categories of Services Accessed	Households Receiving Housing Assistance within the Operating Year	Outcome Indicator
1. Has a housing plan for maintaining or establishing stable on-going housing.	278/289 96%	Support for Stable Housing
2. Has contact with case manager/benefits counselor consistent with the schedule specified in client's individual service plan..	264/289 91%	Access to Support
3. Had contact with a primary health care provider consistent with the schedule specified in client's individual service plan,	265/289 91.6%	Access to Health Care
4. Has accessed and can maintain medical insurance/assistance.	256/289 88.5%	Access to Health Care
5. Successfully accessed or maintained qualification for sources of income.	181/289 62.6%	Sources of Income

*These are missing reports from Communicable Disease Unit/ATCHHSD as of 10/31/11

1B. Number of Households Obtaining Employment

In Table 1B, identify the number of recipient households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA funded: job training, employment assistance, education or related case management/counseling services. *Note: This includes jobs created by this project sponsor or obtained outside this agency.*

Categories of Services Accessed	Number of Households that Obtained Employment	Outcome Indicator
Total number of households that obtained an income-producing job	27	Sources of Income

Chart 1C: Sources of income include, but are not limited to the following (Reference only)

<ul style="list-style-type: none"> • Earned Income • Unemployment Insurance • Supplemental Security Income (SSI) • Social Security Disability Income (SSDI) • Veteran's Disability Payment • General Assistance, or use local program name • Temporary Assistance for Needy Families (TANF) income, or use local program name 	<ul style="list-style-type: none"> • Veteran's Pension • Pension from Former Job • Child Support • Alimony or Other Spousal Support • Retirement Income from Social Security • Private Disability Insurance • Worker's Compensation
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Chart 1D: Sources of medical insurance and assistance include, but are not limited to the following (Reference only)

<ul style="list-style-type: none"> • MEDICAID Health Insurance Program, or local program name • Veterans Affairs Medical Services • State Children's Health Insurance Program (SCHIP), or local program name 	<ul style="list-style-type: none"> • MEDICARE Health Insurance Program, or local program name • AIDS Drug Assistance Program (ADAP) • Ryan White-funded Medical or Dental Assistance
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2A. Status of Households Accessing Care and Support through HOPWA-funded Services receiving Housing Assistance from Other Sources

In Table 2A, identify the number of client households served by project sponsors receiving HOPWA-funded housing placement or case management services who have other and housing arrangements that demonstrated improved access or maintained connections to care and support within the program year by: having a housing plan; having contact with a case manager/benefits counselor; visiting a primary health care provider; accessing medical insurance/assistance; and accessing or qualifying for income benefits. *Note: For information on types and sources of income and medical insurance/assistance, refer to Charts 2C and 2D.*

Categories of Services Accessed	Households Receiving HOPWA Assistance within the Operating Year	Outcome Indicator
1. Has a housing plan for maintaining or establishing stable on-going housing.	49	Support for Stable Housing
2. Successfully accessed or maintained qualification for sources of income.	49	Sources of Income
3. Had contact with a primary health care provider consistent with the schedule specified in clients individual service plan.	49	Access to Health Care
4. Has accessed and can maintain medical insurance/assistance.	49	Access to Health Care
5. Has contact with case manager, benefits counselor, or housing counselor consistent with the schedule specified in client’s individual service plan.	49	Access to Support

2B. Number of Households Obtaining Employment

In Table 2B, identify the number of recipient households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA funded: job training, employment assistance, education or related case management/counseling services. *Note: This includes jobs created by this project sponsor or obtained outside this agency.*

Categories of Services Accessed	Number of Households that Obtained Employment	Outcome Indicator
Total number of households that obtained an income-producing job	2	Sources of Income

Chart 2C: Sources of income include, but are not limited to the following (Reference only)

<ul style="list-style-type: none"> • Earned Income • Unemployment Insurance • Supplemental Security Income (SSI) • Social Security Disability Income (SSDI) • Veteran’s Disability Payment • General Assistance, or use local program name • Temporary Assistance for Needy Families (TANF) income, or use local program name 	<ul style="list-style-type: none"> • Veteran’s Pension • Pension from Former Job • Child Support • Alimony or Other Spousal Support • Retirement Income from Social Security • Private Disability Insurance • Worker’s Compensation
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Chart 2D: Sources of medical insurance and assistance include, but are not limited to the following (Reference only)

<ul style="list-style-type: none"> • MEDICAID Health Insurance Program, or local program name • Veterans Affairs Medical Services • State Children’s Health Insurance Program (SCHIP), or local program name 	<ul style="list-style-type: none"> • MEDICARE Health Insurance Program, or local program name • AIDS Drug Assistance Program (ADAP) • Ryan White-funded Medical or Dental Assistance
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End of PART 4

PART 5: Worksheet - Determining Housing Stability Outcomes

1. This chart is designed to assess program results based on the information reported in Part 4.

Permanent Housing Assistance	Stable Housing (# of households remaining in program plus 3+4+5+6=#)	Temporary Housing (2)	Unstable Arrangements (1+7+8=#)	Life Event (9)
Tenant-Based Rental Assistance (TBRA)	18 (66.67%)	6 (22.22%)	3 (11.11%)	1
Permanent Facility-based Housing Assistance/Units				
Transitional/Short-Term Facility-based Housing Assistance/Units	49	0	0	0
Total Permanent HOPWA Housing Assistance				
Reduced Risk of Homelessness: Short-Term Assistance	Stable/Permanent Housing	Temporarily Stable, with Reduced Risk of Homelessness	Unstable Arrangements	Life Events
Short-Term Rent, Mortgage, and Utility Assistance (STRMU)	41 (35.35%)	74 (63.79%)	1 (0.86%)	0
Total HOPWA Housing Assistance				

Background on HOPWA Housing Stability Codes

Stable Permanent Housing/Ongoing Participation

3 = Private Housing in the private rental or home ownership market (without known subsidy, including permanent placement with families or other self sufficient arrangements) with reasonable expectation that additional support is not needed.

4 = Other HOPWA-funded housing assistance (not STRMU), e.g. TBRA or Facility-Based Assistance.

5 = Other subsidized house or apartment (non-HOPWA sources, e.g., Section 8, HOME, public housing).

6 = Institutional setting with greater support and continued residence expected (e.g., residential or long-term care facility).

Temporary Housing

2 = Temporary housing - moved in with family/friends or other short-term arrangement, such as Ryan White subsidy, transitional housing for homeless, or temporary placement in institution (e.g., hospital, psychiatric hospital or other psychiatric facility, substance abuse treatment facility or detox center).

Unstable Arrangements

1 = Emergency shelter or no housing destination such as places not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station, or anywhere outside).

7 = Jail /prison.

8 = Disconnected or disappeared from project support, unknown destination or no assessments of housing needs were undertaken.

Life Event

9 = Death, i.e., remained in housing until death. This characteristic is not factored into the housing stability equation.

Tenant-based Rental Assistance: Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as reported under: 3, 4, 5,

and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item: 2.

Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Permanent Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as shown as

items: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported

under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Transitional/Short-Term Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) continue in the residences (ii) those that left the

assistance as shown as items: 3, 4, 5, and 6. Other Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing

arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Tenure Assessment. A baseline of households in transitional/short-term facilities for assessment purposes, indicate the number of households whose tenure exceeded 24 months.

STRMU Assistance: Stable Housing is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period and there is reasonable

expectation that additional support is not needed in order to maintain permanent housing living situation (as this is a time-limited form of housing support) as reported under housing

status: Maintain Private Housing with subsidy; Other Private with Subsidy; Other HOPWA support; Other Housing Subsidy; and Institution. Temporarily Stable, with Reduced Risk of

Homelessness is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period or left their current housing arrangement for a

transitional facility or other temporary/non-permanent housing arrangement and there is reasonable expectation additional support will be needed to maintain housing arrangements in

the next year, as reported under housing status: Likely to maintain current housing arrangements, with additional STRMU assistance; Transitional Facilities/Short-term; and

Temporary/Non-Permanent Housing arrangements Unstable Situation is the sum of number of households reported under housing status: Emergency Shelter; Jail/Prison; and

Disconnected.