

City of Austin Fiscal Year 2012-13 CAPER

Consolidated Annual Performance and Evaluation Report

Providing Opportunities, Changing Lives



Neighborhood Housing and
Community Development Office



HUD's New Consolidated Plan Template

In May 2012, the Federal Department of Housing and Urban Development's Office of Community Planning and Development introduced the eCon Planning Suite, a collection of new online tools to assist grantees in creating market-driven, leveraged housing and community development plans. One of these tools, the Consolidated Plan Template, allows grantees to develop and submit their Five Year Consolidated Plans, Annual Action Plans and Consolidated Annual Performance and Evaluation Reports (CAPERs) online.

Since the FY 2012-13 Action Plan was not completed with the eCon Planning Suite, the FY 2012-13 CAPER is not able to utilize this format during the transition, with the exception of the portions of this report that cover the Emergency Solutions Grant (ESG). These portions are exported from the eCon Planning Suite within HUD's Integrated Disbursement and Information System (IDIS).

However, some changes have been made to prepare for the transition to the eCon Planning Template. **While the procedures for filing these plans have changed, it is important to note that the regulations have not changed. All statutory requirements have been reviewed and are satisfied within this document.**

Next year, the City of Austin will utilize the eCon Planning Suite to complete the FY 2013-14 CAPER.

City of Austin, Texas
Fiscal Year 2012-13
Consolidated Annual Performance and
Evaluation Report (CAPER)

Reporting on the 4th Year from
October 1, 2012 through September 30, 2013
of the FY 2009-14 Consolidated Plan



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www.austintexas.gov/departments/housing

*The font that you will see throughout most of this document is "Spranq Eco-Font", a font type that is especially designed to save ink when printing. The City of Austin is committed to using as many sustainable and green practices as possible in an effort to responsibly conserve natural and financial resources.

Austin City Council

Lee Leffingwell

Mayor

Sheryl Cole, *Place 6*

Mayor Pro Tem

Council Members

Chris Riley, *Place 1*

Mike Martinez, *Place 2*

Kathie Tovo, *Place 3*

Laura Morrison, *Place 4*

Bill Spelman, *Place 5*

Marc A. Ott

City Manager

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Chapter 1: Executive Summary

Chapter 1: Executive Summary

The City of Austin is required to develop a 5-Year Consolidated Plan (Con Plan) to receive federal funding from the U. S. Department of Housing and Urban Development (HUD). The Con Plan outlines goals, priorities, and the City's plan to invest its resources to meet Austin's ongoing affordable housing, community development, and public service needs.

For each succeeding year, the City of Austin is required to prepare an Annual Action Plan to notify HUD and the general public of the City's intended actions during that particular fiscal year, and must show progress towards meeting the established goals outlined in the 5-Year Con Plan. The Action Plan is developed under HUD guidelines and serves as the City's application for the following entitlement grant programs:

- Community Development Block Grant (CDBG),
- HOME Investment Partnerships (HOME),
- Housing Opportunities for Persons with AIDS (HOPWA), and
- Emergency Solutions Grant (ESG).

At the end of each fiscal year, the City must also prepare a Consolidated Annual Performance and Evaluation Report (CAPER) to provide information to HUD and the community about that year's accomplishments. The CAPER allows HUD, the City of Austin, and the public to evaluate the City's performance and determine whether the activities undertaken during the fiscal year helped meet the City's 5-year goals and addressed priority needs identified in the Con Plan. The annual performance report includes public review and comment, and must be submitted to HUD annually by December 30th.

The City of Austin submits this FY 2012-13 CAPER as the fourth of five CAPERs in the Fiscal Years 2009-14 Consolidated Plan. This CAPER reports on specific goals and objectives based on the strategies outlined in the FY 2012-13 Action Plan.

NATIONAL GOALS

Federal law requires that housing and community development grant funds primarily benefit low- and moderate-income persons in accordance with the following U. S. Department of Housing and Urban Development (HUD) goals:

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Provide a suitable living environment

This includes improving the safety and livability of neighborhoods; increasing access to quality facilities and services; reducing the isolation of income groups within areas by dispersing housing opportunities throughout the city, and revitalizing deteriorating neighborhoods; restoring and preserving natural and physical features of special value for historic, architectural, or aesthetic reasons; and conserving energy resources.

Provide decent housing

Included within this broad goal are the following: assist homeless persons in obtaining affordable housing; retain the affordable housing stock; increase the availability of permanent housing that is affordable to low- and moderate-income households without discrimination; and increase supportive housing that includes structural features and services to enable persons with special needs to live with dignity.

Expand economic opportunities

These goals encompass creating jobs accessible to low- and very low-income persons; providing access to credit for community development that promotes long-term economic and social viability; and empowering low-income persons in federally-assisted and public housing to achieve self-sufficiency.

MISSION STATEMENTS

U.S. Department of Housing and Urban Development Mission

To create strong, sustainable, inclusive communities and quality affordable homes. The U.S. Department of Housing and Urban Development (HUD) is working to strengthen the housing market to bolster the economy and protect consumers; meet the need for quality affordable rental homes; utilize housing as a platform for improving quality of life; build inclusive and sustainable communities free from discrimination; and transform the way HUD does business.

CITY OF AUSTIN MISSION

To be the most livable city in the country.

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Neighborhood Housing and Community Development Office Mission

To provide housing, community development, and small business development services to benefit eligible residents so they can have access to livable neighborhoods and increase their opportunities for self-sufficiency.

Austin Housing Finance Corporation Mission

To generate and implement strategic housing solutions for the benefit of low- and moderate- income residents of the City of Austin. The Austin Housing Finance Corporation (AHFC) issues single-family and multi-family bonds for the financing of reasonably priced housing and assists the City in the delivery of reasonably priced housing programs using HOME Investment Partnerships (HOME) and Community Development Block Grant (CDBG) funds as well as other funding.

LEAD AGENCY AND PARTICIPATING ORGANIZATIONS

Neighborhood Housing and Community Development Office

The Neighborhood Housing and Community Development (NHCD) Office is designated by the Austin City Council as the single point of contact for HUD, and is the lead agency for the administration of the CDBG, HOME, HOPWA, and ESG grant programs. NHCD administers the CDBG and HOME programs. The City Council designates the Austin/Travis County Health and Human Services Department (HHSD) to administer the HOPWA and ESG programs.

NHCD is responsible for developing the 5-Year Consolidated Plan, the Annual Action Plan, and the end-of-year Consolidated Annual Performance and Evaluation Report (CAPER). NHCD develops these reports in partnership with the community, other City departments, and guidance from the Community Development Commission (CDC).

Austin Housing Finance Corporation

Created in 1979 as a public, non-profit corporation pursuant to Chapter 394 of the Texas Local Government Code, the Austin Housing Finance Corporation (AHFC) administers many of the City's housing programs. Employees of NHCD manage the funding and operations of AHFC through annual service agreements executed between the City and AHFC.

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Austin/Travis County Health and Human Services Department

The Austin/Travis County Health and Human Services Department (HHSD) works in partnership with the community to promote health, safety, and well-being. HHSD is comprised of five divisions: Administrative Services, Community Services, Disease Prevention and Health Promotion, Environmental Health Services, and Maternal, Child, and Adolescent Health. HHSD administers all of the housing and public services programs identified under the Homeless/Special Needs priority on the FY 2012-13 Investment Plan.

Community Development Commission

The Community Development Commission (CDC) advises the Austin City Council in the development and implementation of programs designed to serve low-income residents and the community at large, with an emphasis on federally-funded programs. The CDC is comprised of 15 members; seven members are elected through a neighborhood-based process and are appointed by the Austin City Council. The CDC also oversees the Community Services Block Grant (CSBG) program managed by HHSD. CSBG regulations require 15 members including representatives from geographic target areas: Colony Park, Dove Springs, East Austin, Montopolis, Rosewood-Zaragosa/Blackland, St. John's, and South Austin. For more information on CDC's mission, visit: www.austintexas.gov/department/boards-and-commissions.

Urban Renewal Board

The Urban Renewal Board (URB) oversees the Urban Renewal Agency's functions and is comprised of seven members appointed by the Mayor, with consent of the Austin City Council. The Urban Renewal Board also oversees the implementation and compliance of approved Urban Renewal Plans that are adopted by the Austin City Council. An Urban Renewal Plan's primary purpose is to eliminate slum and blighting influence within a designated area of the City.

The City Council adopted Resolution No. 971119-34 on November 19, 1997, declaring the East 11th and 12th Streets Revitalization Area to be a slum and blighted area and designated this area appropriate for an urban renewal project. Subsequently, the Austin City Council approved an Urban Renewal Plan, Resolution No. 990114-10, in January, 1999. The City of

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Austin and the Urban Renewal Agency, in the shared interest of completing the Urban Renewal Project, have entered into an agreement which identifies each party's roles and responsibilities for the completion of the revitalization for these two corridors.

Local Funds

The City of Austin allocates to the Neighborhood Housing and Community Development (NHCD) Office local revenue for community development initiatives that encompass affordable housing and economic development activities. These funding sources include the City of Austin Sustainability Funds, General Obligation (G.O.) Bonds, S.M.A.R.T. Housing™, City of Austin General Fund-CIP Funds, Housing Trust Funds (HTF), the University Neighborhood Overlay (UNO) Housing Trust Fund, and Austin Energy (AE) funding for the Holly Good Neighbor Program. For the convenience of its residents and community leaders and consistency in reporting, the City of Austin reflects local funding and accomplishments in its HUD reports, including the Annual Action Plan and the Consolidated Annual Performance and Evaluation Report (CAPER).

Reports and Publications

Public documents are available on the NHCD website at:

www.austintexas.gov/department/housing and at the NHCD office: 1000 East 11th Street, Austin, TX, 78702, Second Floor.

FY 2012-13 CAPER PUBLIC INPUT PROCESS

Federal Guideline - Describe steps taken to obtain citizen input and provide a summary of citizen comments it received regarding the programs covered by its consolidated plan and the disposition of those comments.

In accordance with HUD regulations, the City of Austin prepared the FY 2012-13 CAPER describing the City's accomplishments in affordable housing, community development and public services needs from October 1, 2012, through September 30, 2013. NHCD notified the public about the 15-day public comment period on October 26, 2013. The 15-day public comment period, November 19, 2013, through December 4, 2013, provided an opportunity for the community to review and comment on the draft report. Public notices notifying the public about the comment period were published in English and Spanish. The draft report

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was available for review at ten community centers and electronically on the NHCD's web site, www.austintexas.gov/housing. To view the public notices in both English and Spanish please see *Appendix I*. To view all public comments received during the public comment period please see *Appendix IX*.

Ten Community Centers

- Austin Central Public Library, 800 Guadalupe Street (Central)
- Austin Resource Center for the Homeless, 500 East 7th Street (Central)
- East Austin Neighborhood Center, 211 Comal Street (East)
- Neighborhood Housing and Community Development Department, 1000 East 11th Street, Suite 200 (East)
- Rosewood-Zaragosa Neighborhood Center, 2800 Webberville Road (East)
- St. John's Neighborhood Center, 7500 Blessing Avenue (Northeast)
- AIDS Services of Austin, 7215 Cameron Road (North)
- Housing Authority of the City of Austin, 1124 South IH-35 (South)
- South Austin Neighborhood Center, 2508 Durwood Street (South)
- Pleasant Hill Library Branch, 211 East William Cannon Drive (South)

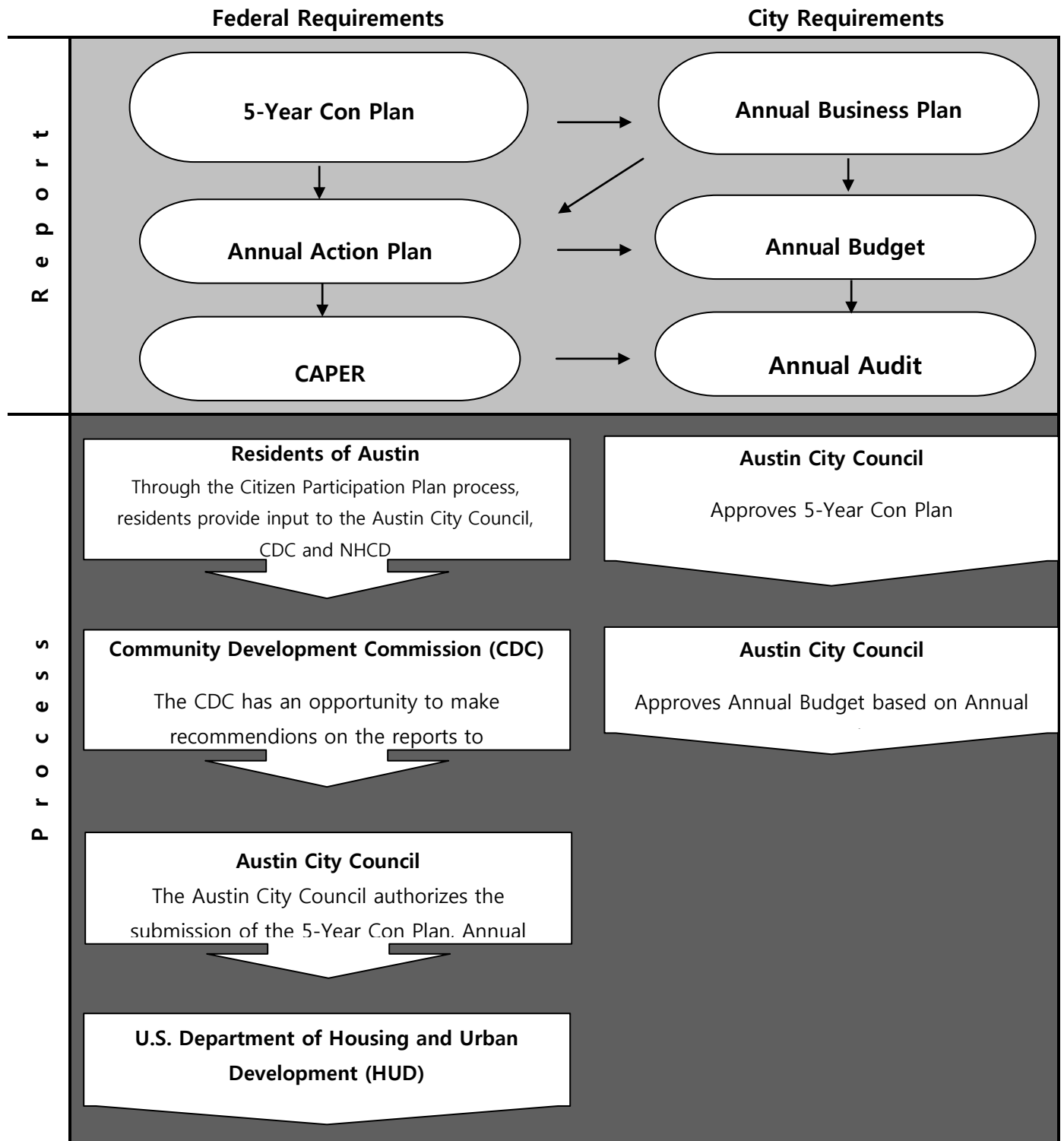
The final FY 2012-13 CAPER will be made available online for the public and hardcopies are distributed to the Community Development Commission (CDC). NHCD's Integrated Disbursement and Information System (IDIS) reports are available by request. Requests may be made by phone at (512) 974-3100 or email, at NHCD@austintexas.gov.

HUD and City of Austin Allocation Process

Exhibit 1.1 provides a high-level outline of the allocation and reporting process for the 5-Year Con Plan, Annual Action Plan, and the CAPER.

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Exhibit 1.1: Allocation Process



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NHCD's Funding and Expenditures

Federal Guideline: Identify the Federal funds made available for furthering the objectives of the consolidated plan. For each formula grant program, the grantee shall identify the total amount of funds available (including estimated program income), the total amount of funds committed during the reporting period, and the total amount expended during the reporting period.

HUD provides federal funds through four entitlement grants with amounts determined by statutory formulas: Community Development Block Grant (CDBG); HOME Investment Partnerships Program (HOME); Emergency Solutions Grant; and Housing Opportunities for People with AIDS (HOPWA). In FY 2012-13, the City received \$12,508,438 in new funding through these four formula grants and expended \$14,800,390 from the four grants and prior years', including Program Income and unspent grant funds. The City spent a total of \$16,125,551 in federal funds and \$8,432,832 in local funds. Exhibit 1.2 displays funding and expenditures for FY 2012-13.

Exhibit 1.2: FY 2012-13 Funding and Expenditures

Federal Funds	New Funds	Total Funds Available	Expended	Encumbered	Estimated Beginning Balance
Community Development Block Grant (CDBG) (PL 93-383)	\$ 6,946,686	\$ 13,510,028	\$ 7,334,304	\$ 444,462	\$ 5,731,262
CDBG Line of Credit	\$ -	\$ -	\$ -	\$ -	\$ -
CDBG - Program Income	\$ 70,000	\$ 70,000	\$ 70,000	\$ -	\$ -
CDBG - Revolving Loan Fund	\$ 409,498	\$ 1,216,834	\$ 151,523	\$ 492	\$ 1,064,819
<i>Subtotal CDBG Funds</i>	<i>\$ 7,426,184</i>	<i>\$ 14,796,862</i>	<i>\$ 7,555,827</i>	<i>\$ 444,954</i>	<i>\$ 6,796,081</i>
HOME Investment Partnership Program (HOME) (PL 102-625)	\$ 1,964,800	\$ 8,101,000	\$ 3,638,764	\$ 2,542,912	\$ 1,919,324
HOME Program Income	\$ 665,337	\$ 665,337	\$ 665,337	\$ -	\$ -
HOME (CHDO)	\$ 364,377	\$ 1,487,847	\$ 1,204,235	\$ -	\$ 283,612
HOME (CO)	\$ 100,000	\$ 225,854	\$ 39,929	\$ 15,698	\$ 170,227
<i>Subtotal HOME Funds</i>	<i>\$ 3,094,514</i>	<i>\$ 10,480,038</i>	<i>\$ 5,548,265</i>	<i>\$ 2,558,610</i>	<i>\$ 2,373,163</i>
Emergency Shelter Grant Program (HESG) (PL 102-550)	\$ 661,524	\$ 661,524	\$ 533,184	\$ -	\$ 55,745
Housing Opportunities for Persons with AIDS Program (HOPWA) (PL 102-550)	\$ 1,100,219	\$ 1,100,219	\$ 970,420	\$ -	\$ 120,672
Economic Development Initiative (EDI II)	\$ -	\$ -	\$ -	\$ -	\$ -
Economic Development Initiative (EDI III)	\$ -	\$ 147,000	\$ -	\$ 147,000	\$ -
<i>Subtotal EDI Funds</i>	<i>\$ -</i>	<i>\$ 147,000</i>	<i>\$ -</i>	<i>\$ 147,000</i>	<i>\$ -</i>
Section 108	\$ 913,156	\$ 1,614,006	\$ 400,598	\$ -	\$ 1,213,408
Lead Hazard Control Grant - Healthy Homes	\$ 2,500,000	\$ 4,615,936	\$ 604,931	\$ 727,068	\$ 3,283,937
Community Challenge Grant (CCG)	\$ -	\$ 2,923,396	\$ 157,879	\$ 563,925	\$ 2,201,592
Neighborhood Stabilization Program (NSP)	\$ -	\$ 294,946	\$ 291,350	\$ -	\$ 3,596
Community Development Block Grant - Recovery (CDBG-R)	\$ -	\$ -	\$ -	\$ -	\$ -
Homeless Prevention and Rapid Re-housing Program (HPRP)	\$ -	\$ -	\$ -	\$ -	\$ -
<i>Subtotal Federal Funds</i>	<i>\$ 15,695,597</i>	<i>\$ 36,633,927</i>	<i>\$ 16,062,454</i>	<i>\$ 4,441,557</i>	<i>\$ 16,048,194</i>
Local Funds	New Funds	Total Funds Available	Expended	Encumbered	Estimated Carry Forward
Sustainability Fund (SF)	\$ 3,527,336	\$ 3,620,194	\$ 3,176,799	\$ 206,584	\$ 236,811
General Obligation (GO Bonds)	\$ -	\$ 5,908,586	\$ 2,740,534	\$ 1,289,878	\$ 1,878,174
Austin Energy (Holly Good Neighbor)	\$ -	\$ 2,052,292	\$ 301,600	\$ 45,320	\$ 1,705,372
University Neighborhood Overlay (UNO) Housing Trust Fund	\$ 55,265	\$ 296,747	\$ -	\$ -	\$ 296,747
General Fund - Capital Improvement Program (GF-CIP)	\$ 9,750,000	\$ 10,749,262	\$ 3,006,976	\$ 841,098	\$ 6,496,054
Housing Trust Fund (HTF)	\$ 602,132	\$ 1,776,488	\$ 1,090,801	\$ 79,636	\$ 578,219
Housing Assistance Fund (HAF)	\$ 276,570	\$ 276,570	\$ 22,252	\$ -	\$ 254,318
<i>Subtotal Local Funds</i>	<i>\$ 14,211,303</i>	<i>\$ 24,680,139</i>	<i>\$ 10,338,962</i>	<i>\$ 2,462,516</i>	<i>\$ 11,445,695</i>
Total	\$ 29,906,900	\$ 61,314,066	\$ 26,401,416	\$ 6,904,073	\$ 27,493,889

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***Federal Guideline* - Jurisdictions are encouraged to include maps describing the geographic distribution and location of investment (including areas of low-income and minority concentration). The geographic distribution and expenditure requirement may be satisfied by specifying the census tracts where expenditures were concentrated and the percentage of funds expended in target areas.**

The City of Austin continued to focus much of its funding and program/services in low-income neighborhoods of Austin, identified by HUD as low-, moderate-income priority neighborhoods. HUD defines CDBG qualifying areas as census tracts that have more than 51 percent of households living in poverty. The City did not identify priority neighborhoods for exclusive or priority funding in the FY 2009-14 Consolidated Plan period; however, this does not mean that the City will not continue to fund projects and programs in past priority areas, but that the City will no longer give those neighborhoods priority for funding over other qualifying neighborhoods.

***Federal Guideline* - The local jurisdiction must also submit an updated CDBG Financial Summary Report (PR26).**

The CDBG Financial Summary (PR26) report is included in the FY 2012-13 CAPER. To view the PR26 report, visit *Appendix VII*.

FUNDING PRIORITIES

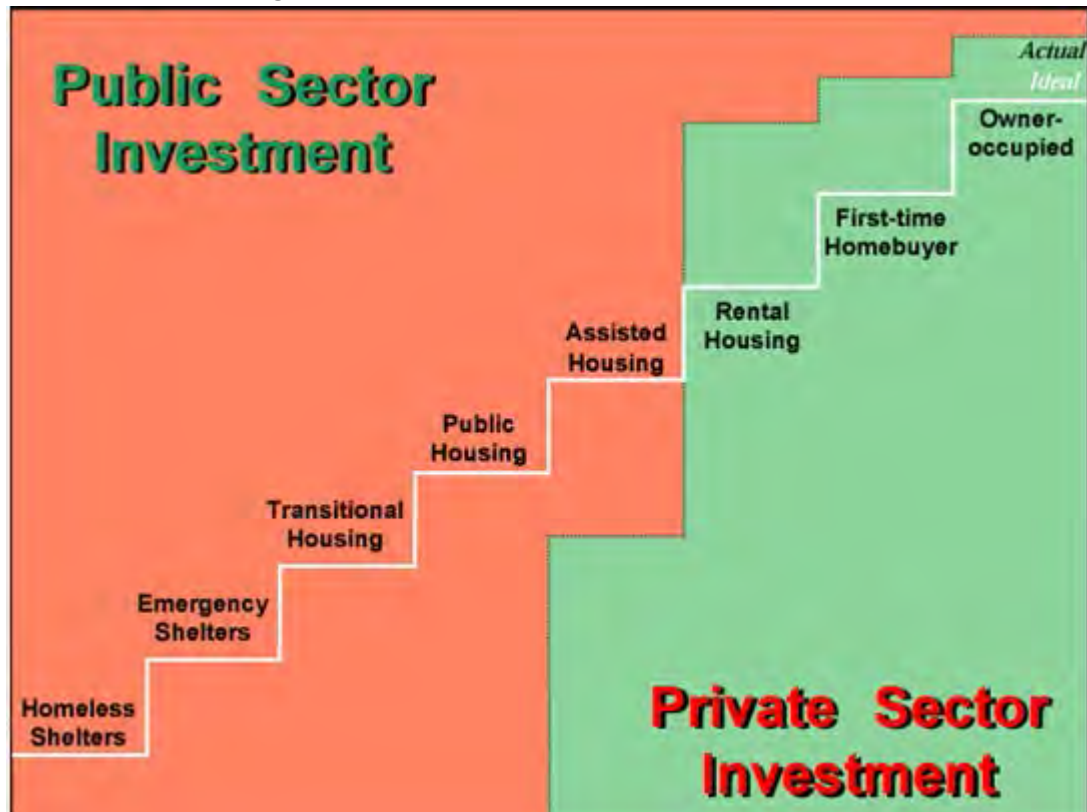
City of Austin's Housing Continuum

In FY 2012-13, Austin's Housing Continuum, Exhibit 1.3, remained an important tool for classifying housing needs and services as a key policy tool to educate the community on housing solutions in Austin. The objective of the Housing Continuum is to educate the community that an adequate affordable housing stock can only be provided if both the private and the public sectors collaborate along each step of the continuum. The steps on the left of the image reflect housing that requires increased public subsidy. As the continuum moves to the right, the housing products and goals, shown as steps along the continuum, are increasingly funded through the private sector or market rate developers.

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The continuum reflects the ideal that both the private and public sector must participate to respond to a community's housing needs.

Exhibit 1.3: Housing Continuum



FY 2009-14 CONSOLIDATED PLAN PROCESS

The U. S. Department of Housing and Urban Development (HUD) Consolidated Plan Regulations require that the public be provided opportunities to participate in the development of the Consolidated Plan. This process contains two distinct periods, the needs assessment and the draft comment period. HUD also requires the City to adopt a Citizen Participation Plan (CPP). The City of Austin's CPP provides for enhanced opportunities for public input beyond federal requirements and was adopted by the Austin City Council on March 28, 1996, and most recently updated in November 2011.

When developing the FY 2009-14 Consolidated Plan, the City's goal was to ensure a collaborative process by which the community, in partnership with the City, created a unified

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vision of housing and community development needs, priorities, and actions. An instrumental element in this process was citizen participation. For the FY 2009-14 Consolidated Plan, the City received public input through the following:

Needs Assessment Period

- Five Public Hearings
- A Citizen Survey in English and Spanish
- Nine Stakeholder meetings
- 30-day Written Comment Period

Draft Comment Period

- Two Public Hearings
- 30-day Written Comment Period

Austin City Council action on the final FY 2009-14 Consolidated Plan

Exhibit 1.4, FY 2009-14 Consolidated Plan Priorities, serves as a guide for funding priorities for Austin for FY 2009-14. This section summarizes the funding priorities that will be implemented to achieve the objectives and accompanying strategies for the 5-year plan. The funding priorities were established based on the housing and community development needs identified through public and stakeholder input, the housing market analysis and the analysis of special populations. The City of Austin also used the Analysis of Impediments to Fair Housing report and several other studies to form these priorities.

All the proposed funding priorities will serve very-low, low- and moderate-income households in the City of Austin. Household incomes will be based on the Median Family Income (MFI) definitions updated by HUD annually. In addition to household incomes, the activities will serve special needs populations including: seniors, persons with disabilities, persons experiencing homelessness, and persons living with HIV/AIDS. Activities designated as high priority will be funded by the City of Austin. Medium priority activities will be funded if funds are available. Low priority activities will not be funded.

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Exhibit 1.4: FY 2009-14 Consolidated Plan Priorities

Priority Category	Description	Priority	Objectives and Outcomes
Homeless/Special Needs Assistance	Homeless/Special Needs Assistance provides services to the City's most vulnerable populations, including persons experiencing homelessness, persons living with HIV/AIDS, seniors, youth, and children.	High	Suitable Living Environment Availability/ Accessibility
Renter Assistance	Renter Assistance provides assistance to renters so that rent is more affordable as well as providing tenants' rights services to equip renters with information that may allow them more stability. It also provides financial assistance for needed rehabilitation to make homes accessible.	High	Decent Housing Availability/ Accessibility
Homebuyer Assistance	Homebuyer Assistance provides housing counseling services to renters wishing to become homebuyers and to existing homebuyers to help them stay in their homes. This category includes the Down Payment Assistance Program, which offers loans to qualifying low and moderate-income homebuyers to help them buy their first home.	High	Suitable Living Environment Sustainability
Homeowner Assistance	Homeowner Assistance provides services for low and moderate-income individuals who own their homes, but need assistance to make it safe, functional, and/or accessible.	High	Decent Housing Availability/ Accessibility
Housing Developer Assistance	Housing Developer Assistance includes programs that offer assistance to non-profit and for-profit developers to develop affordable housing for low- and moderate-income households.	High	Decent Housing Availability/ Accessibility
Commercial Revitalization	Commercial Revitalization includes programs related to the revitalization of the East 11 th and 12 th Street Corridors. These programs include acquisition and development, historic preservation efforts related to the African American Cultural and Heritage Facility, as well as public facilities and parking facilities within the Corridors.	High	Creating Economic Opportunity Sustainability
Small Business Assistance	Small business assistance will provide a range of services for small business, from technical assistance to gap financing, to ensure not only the success of growing small businesses in the community, but also to encourage the creation of jobs for low- and moderate-income households.	High	Creating Economic Opportunity Availability/ Accessibility
Public Facilities	The East 11 th and 12 th Streets Revitalization: Public Facilities will complete the development of the African American Cultural and Heritage Facility.	Medium	Creating Economic Opportunity
Infrastructure	N/A	Low	N/A

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Federal Guideline: Describe the accomplishments in attaining the goals and objectives for the reporting period. This should be summary information so that HUD and citizens can easily assess progress made toward meeting longer term goals.

Exhibit 1.5 and 1.6 provide the housing priorities, proposed goals and accomplishments for FY 2012-13, as well as the percent of the 5-Year Con Plan goal accomplished.

Exhibit 1.5

PROPOSED GOALS AND ACCOMPLISHMENTS		
	FY 2012-13 Proposed Goal	FY 2012-13 Accomplishment
Total Households	13,140	12,797

Exhibit 1.6

FY 2009-14 CONSOLIDATED PLAN PRIORITIES										
Priority for Federal Funds	Population Served	Accomplishments								
		FY 2009-10 Accomplish-ment	FY 2010-11 Accomplish-ment	FY 2012-13 Accomplish-ment	Proposed FY 2012-13	FY 2012-13 Accomplish-ment	% of 1-Year Goal Accomplished	Proposed FY 2013-14	Total Proposed FY 2009-14	% of 5-Year Con Plan Goal Accomplished
Priority 1: Homeless/Special Needs										
High	Person experiencing homelessness, elderly, persons with disabilities, at-risk youth, very low-income households, and persons living with HIV/AIDS	10,526	10,252	7,430	9,629	7,430	77%	NA	48,894	73%
Priority 2: Renter Assistance										
High	Persons experiencing homelessness, persons with disabilities, vulnerable populations, and very low- to moderate-income households	840	942	891	496	653	132%	NA	3,770	88%
Priority 3: Homebuyer Assistance										
High	Persons with disabilities and very low- to moderate-income households	421	370	321	255	321	126%	NA	1,490	96%
Priority 4: Homeowner Assistance										
High	Persons with disabilities and very low- to moderate-income households	957	818	529	682	529	78%	NA	4,419	64%
Priority 5: Housing Developer Assistance										
High	Very low- to moderate-income households, persons with disabilities, and Community Housing Development Organizations (CHDOS)	982	1,460	1,141	21	1,649	7852%	NA	5,677	92%
Priority 6: Commercial Revitalization										
High	Very low- to moderate-income households, small businesses	4,668	2,170	2,170	2,170	2,172	100%	NA	23,352	48%
Priority 7: Small Business Assistance										
High	Small businesses, job creation for low-income households	40	40	39	59	43	73%	NA	223	73%
Priority 8: Financial Empowerment										
High	Low- and moderate-income households	N/A	N/A	N/A	68	0	0%	NA	N/A	N/A
TOTAL		18,434	16,052	12,521	13,140	12,797	1055%	N/A	87,825	54%

See Chapter 6 for detailed program narratives. See Chapter 2 for definitions of funding sources.

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FY 2009-14 CONSOLIDATED PLAN PRIORITIES

Homeless/Special Needs Assistance

Persons experiencing homelessness or with special needs are Austin's most vulnerable populations and therefore are a high priority for the Consolidated Plan. This decision was made based on feedback from the public process, including stakeholder meetings that included homeless and special needs providers, public hearings, and a Consolidated Plan survey.

Objective 1: Assist persons experiencing homelessness or who are at risk of becoming homeless.

Strategy 1.1: Help low-income households avoid homelessness.

Strategy 1.2: Develop permanent and transitional housing for households earning at or below 30 percent of the Median Family Income (MFI).

Strategy 1.3: Provide financial support to organizations that work with persons experiencing homelessness and persons that are at risk of becoming homeless, including providing rental assistance under the Tenant-Based Rental Assistance Program (TBRA)

Objective 2: Assist persons living with HIV/AIDS achieve stable housing and increase access to medical care and supportive services.

Strategy 2.1: Increase the number of homeless persons living with HIV/AIDS who move into permanent housing through the provision of supportive services and permanent housing placement assistance.

Strategy 2.2: Increase the number of persons living with HIV/AIDS who access HIV medical care by providing housing assistance and supportive services.

Strategy 2.3: Provide tenant-based rental assistance and supportive services to persons living with HIV/AIDS and their families to prevent homelessness.

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Strategy 2.4: Provide short-term rent, mortgage, and utility assistance to help maintain stable housing for persons living with HIV/AIDS and their families who are experiencing a financial crisis and the potential loss of permanent housing.

Strategy 2.5: Prevent homelessness among persons living with HIV/AIDS by providing temporary shelter and developing individualized housing and service plans for clients which links them to permanent housing.

Objective 3: Assist low-income and special needs populations with supportive services to increase self-sufficiency.

Strategy 3.1: Increase the supply of quality child care for households that make less than 200 percent of the Federal Poverty Guidelines.

Strategy 3.2: Assist low-income seniors to maintain independent living by providing guardianship and bill-payer services to prevent and protect seniors from becoming victims of abuse, neglect, or exploitation.

Strategy 3.3: Assist at-risk youth and their families through wrap-around services that focus on basic needs, mental health services, educational support and social enrichment.

Renter Assistance

The City of Austin's 2009 Comprehensive Housing Market Study identifies the high need for affordable rental housing in Austin. Renter assistance for low-income households is a high priority in the Consolidated Plan.

Objective 1: Provide tenant-based rental assistance, utility and security deposits to persons experiencing homelessness and low-income households who earn less than 50 percent of MFI.

Strategy 1.1: Provide tenant-based rental assistance for the purpose of transitioning homeless households out of emergency shelter and into permanent housing.

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Strategy 1.2: Expand the tenant-based rental assistance program to serve low-income households that earn at or below 50 percent of MFI.

Objective 2: Retrofit or modify the rental units of low-income households and severely disabled renters to make their homes more accessible.

Strategy 2.1: Provide renter households that earn at or below 80 percent of MFI with the necessary physical improvements to their housing to assist with daily living.

Objective 3: Provide support services that educate renters and promote housing rights.

Strategy 3.1: Provide funding for mediation services between landlords and low-income tenants that result in necessary health and safety repairs to rental units.

Strategy 3.2: Provide funding for direct counseling and technical assistance to low-income renters regarding tenant/landlord issues.

Strategy 3.3: Provide funding for public education and information regarding fair housing and tenant/landlord issues.

Homebuyer Assistance

The City placed assistance to homebuyers as a high priority in the Consolidated Plan. The housing market analysis illustrates the difficulty for low-to-moderate income households to transition from renting to buying a home because of the rising real estate market in Austin. The public process also highlighted the need for financial literacy for new and current homebuyers to have the necessary tools to stay in their homes.

Objective 1: Expand the resources available for low- to moderate-income renters wanting to become homeowners through down-payment assistance.

Strategy 1.1: Provide down-payment assistance to first-time homebuyers earning at or below 80 percent of MFI to increase and preserve the number of homeowner households in Austin.

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Strategy 1.2: Explore and utilize tools to preserve long-term affordability, including such ownership models as shared-equity and community land trusts.

Strategy 1.3: Coordinate with and train local lenders about first-time homebuyer programs available to low- and moderate-income households.

Objective 2: Provide homeownership, financial literacy, and foreclosure prevention counseling to low- and moderate-income households.

Strategy 2.1: Provide homeownership counseling to low-to-moderate income households to ensure households have the knowledge to become successful homeowners.

Strategy 2.2: Partner with existing agencies to continue to provide homeownership counseling in Spanish.

Strategy 2.3: Provide post-purchase education to homeowners at risk of losing their homes.

Strategy 2.4: Expand current program to provide foreclosure prevention counseling to households in mortgage default.

Homeowner Assistance

Preserving the home safety and livability for low-income homeowners, allowing them to stay in their homes, thereby improving the City's aging housing stock, were highlighted as high priority needs by stakeholders and community members. Homeowner assistance is a high priority in the Consolidated Plan.

Objective 1: Assist low- and moderate-income homeowners with necessary home repairs to make their homes safe, habitable, and accessible.

Strategy 1.1: Retrofit or modify the homeowner units of low- and moderate-income households earning at or below 80 percent of MFI to make their home more accessible.

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Strategy 1.2: Make necessary emergency home repairs to alleviate life-threatening living conditions and health and safety hazards for households earning at or below 80 percent of MFI.

Strategy 1.3: Rehabilitate or reconstruct homes of households earning at or below 60 percent of MFI in need of substantial repairs, such as foundation repair, roofing, plumbing, and electrical repair.

Strategy 1.4: Provide funding to local non-profit organizations to cover the cost of materials used to repair the homes of low-income households earning at or below 60 percent of MFI.

Strategy 1.5: Continue to fund necessary repairs to income-eligible households in the Holly neighborhood.

Objective 2: Provide lead hazard reduction services to eligible low-income rental and ownership households.

Strategy 2.1: Continue to provide lead services to households earning at or below 80 percent of MFI. The Lead Hazard Control Grant targets homes built prior to 1978 with children under the age of six.

Housing Developer Assistance

The need for affordable housing for low-to-moderate income renters, including special needs populations and homebuyers, was reflected in the housing market analysis and public input received from the community. The City's main tool to create affordable housing is through financing to non-profit and for-profit developers. In addition, the City encourages the development of affordable housing through developer incentives. The City therefore, makes Housing Developer Assistance a high priority for the Consolidated Plan.

Objective 1: Create and retain affordable rental units for low-income households, including households with special needs.

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Strategy 1.1: Provide below-market rate financing to non-profit and for-profit developers for the acquisition, rehabilitation, or new construction of affordable rental projects. These projects are designated for households earning at or below 50 percent of MFI.

Strategy 1.2: Provide financing to develop permanent and transitional housing for households earning at or below 30 percent of MFI and households experiencing homelessness.

Strategy 1.3: Provide financing to assist in the preservation of market-rate and federally-subsidized affordable rental units at risk of losing affordability.

Objective 2: Create new homeownership opportunities for low- and moderate-income households earning at or below 80 percent of MFI.

Strategy 2.1: Work with non-profit Community Housing Developer Organizations (CHDOs) and for-profit developers to leverage local and federal funds in order to acquire land, rehabilitate existing housing, and construct new housing to sell to low- and moderate-income households.

Strategy 2.2: Assist with the preservation of affordable homeownership through the expansion of long-term affordability tools, including shared equity and community land trust ownership models.

Strategy 2.3: Continue, through program design and marketing, to expand homeownership opportunities for low- and moderate-income households.

Objective 3: Encourage development of affordable rental and homebuyer housing through developer incentives.

Strategy 3.1: Coordinate with City planning efforts to expand affordable housing incentives for developers throughout the City.

Strategy 3.2: Promote current affordable housing developer incentives including: S. M. A. R. T. Housing™, Vertical Mixed Use (VMU), Downtown Density Bonus, North Burnet/Gateway,

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University Neighborhood Overlay (UNO), Transit-Oriented Development (TODs), the Robert Mueller Airport Redevelopment, and other mechanisms.

Strategy 3.3: Encourage density in order to increase affordability in efforts that will also leverage infrastructure costs, promote housing choice of location and styles, provide walkable neighborhoods, expand transportation choices, support community development, and protect the environment.

Strategy 3.4: Continue to explore implementation of additional developer incentives including: expedited review and approvals, expanded fee waivers, and enhanced development entitlements.

Objective 4: Continue to assist and support Community Development Housing Organizations (CHDOs).

Strategy 4.1: Provide financial operating support to the City certified CHDOs.

Strategy 4.2: Enhance the capacity to develop sustainable projects through training and support.

Commercial Revitalization

Commercial Revitalization is a high priority for the City of Austin based on the feedback received at public hearings and the Consolidated Plan survey.

Objective 1: Provide funding and technical assistance to eligible organizations in order to improve the economic viability of neighborhoods and promote the creation of jobs.

Strategy 1.1: Promote public and private partnerships with businesses, financial institutions, and non-profit communities to spur quality investment, commercial development, and job creation throughout the East 11th and 12th Street Corridors.

Strategy 1.2: Continue to partner with the Urban Renewal Agency to achieve the revitalization goals of the East 11th and 12th Street Corridors.

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Strategy 1.3: Conduct activities such as land acquisition, demolition of dilapidated structures, redevelopment of abandoned and/or substandard structures, improvement of infrastructure, construction of new mixed-use facilities, and gap financing to existing small businesses for the purpose of job creation to achieve the goals of the East 11th and 12th Street Corridors.

Strategy 1.4: Provide financial assistance to owners of eligible commercial buildings for renovation and rehabilitation to exterior facades.

Small Business Assistance

Recognizing that small businesses are essential drivers of the Austin economy, assistance to small businesses is a high priority for the City of Austin. Feedback from the Consolidated Plan survey identified job creation as the highest community development need.

Objective 1: Help small businesses grow and prosper through financing and technical assistance in order to improve the economic viability of neighborhoods and promote the creation and/or retention of jobs.

Strategy 1.1: Provide gap financing and technical assistance to small businesses to expand their businesses for the purpose of creation or retention of low- and moderate-income jobs.

Strategy 1.2: Fund a Community Development Bank to provide loans to small and minority-owned businesses that are expanding or relocating to low-income areas for the purpose of creating and retaining low- and moderate-income jobs.

Strategy 1.3: Provide training and technical assistance to qualified microenterprises (HUD defines a microenterprise as a business with five or fewer employees).

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PROGRESS ON NHCD PROJECTS BY NEIGHBORHOOD PLANNING AREA

Project: East 11th and 12th Streets Revitalization

The City, in partnership with the Urban Renewal Agency (URA), carries out the East 11th and 12th Streets Urban Renewal Project. The East 11th and 12th Streets Plan defines specific redevelopment projects and associated regulatory controls intended to assure quality, compatible, mixed-use development along the East 11th and 12th Street Corridors. In March of 2013 the City completed the historic renovation of the Dedrick-Hamilton house and new construction of the African American Cultural and Heritage Facility. Since the ribbon cutting, the City of Austin's, Austin Convention Center has opened the Office of Arts & Cultural Resources and Capital City African American Chamber of Commerce has relocated their offices to the facility. The Chamber is also operating the City of Austin's African American Visitor's Center out of the historic Dedrick-Hamilton house. This mixed-use public facility is serving the City of Austin and the community within the newly created African American Cultural Heritage District.

Project: Juniper-Olive District

The renovation and the dismantling/reconstruction of seven AHFC-owned homes in the Juniper-Olive district was completed by the end of FY 2012-13. Two of the homes are zoned historic and were renovated per plans approved by the City of Austin Historic Landmark Commission, while another four were renovated in a manner that maintains the character of the neighborhood. Salvaged materials for some of the dismantled homes were used in the reconstructed homes. One newly constructed home was built and completes the construction on the properties owned by AHFC in the Juniper-Olive district. The homes will be marketed and sold during FY 2013-14.

Project: 1313 Willow and 807 Waller Streets

Located in the Guadalupe Neighborhood, 1313 Willow Street has been completed and has been sold to an eligible buyer. The home was constructed by the Guadalupe Neighborhood Development Corporation (GNDC) which used the Community Land Trust model of ownership to make the home affordable to the buyer. GNDC retained ownership of the land

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and provided a mortgage to the buyer for the improvements. GNDC will begin construction on 807 Waller Street in FY 2013-14.

Project: Chicon Corridor

The Chestnut Neighborhood Revitalization Corporation (CNRC) received G.O. Bond funding in FY 2012-13 to acquire three parcels of land in the Chicon Street corridor upon which at least 33 units of residential ownership housing will be constructed for buyers with incomes at or below 80 percent of MFI. The development will be mixed-use and will revitalize a pocket area notorious for criminal activity associated with vacant or abandoned structures and lack of attention by absentee landlords. CNRC acquired the property and demolition work has been completed. Engineering and permitting activities were underway at the end of FY 2012-13. Construction is expected to begin in late FY 2013-14 or early FY 2014-15.

Downtown Central Business District (CBD):

Project: Capital Studios

Foundation Communities was awarded CDBG funding for the acquisition of property in Austin's CBD for the purpose of constructing a 135-unit Single Room Occupancy facility to house individuals earning incomes at or below 50 percent of MFI. The is located at the corner of East 11th and Trinity Streets. It is situated at or near multiple transit stops and is a location that will minimize the transportation needs of its residents working in low-wage jobs downtown. Capital Studios will also have 24 units of Permanent Supportive Housing that will provide housing and services to individuals exiting homelessness. The project is also being financed through tax credits and G.O. Bond funding in addition to private sources. Acquisition of the property occurred in FY 2012-13 and construction was underway by the end of the fiscal year.

East Riverside/Oltorf Neighborhood Planning Area:

Project: Arbor Terrace

Foundation Communities acquired a former extended-stay hotel located at 2501 South Interstate 35 and converted it into a Single Room Occupancy (SRO) facility to house individuals earning incomes at or below 50 percent of MFI. The development is called Arbor Terrace. AHFC has taken title to the land and is leasing it back to an affiliate of Foundation

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Communities. The property tax savings realized by AHFC's ownership will be put back into resident services. Foundation Communities has committed to provide 25 units of Permanent Supportive Housing at Arbor Terrace. Renovations were complete and the initial lease-up was completed in FY 2012-13.

Montopolis Neighborhood Planning Area:

Project: Frontier at Montana

The Frontier at Montana single-family ownership subdivision is in the Montopolis neighborhood. In Frontier at Montana, the City partnered with several non-profit housing builders to construct 81 homes that provide homeownership opportunities for households earning at or below 80 percent of MFI. Austin Habitat for Humanity completed 30 single-family homes in Frontier at Montana, and American Youthworks is scheduled to construct nine single-family homes, the seventh of which was completed in FY 2012-13. AHFC used Neighborhood Stabilization Program (NSP) funds to construct the remaining 12 vacant lots in FY 2010-11. All 12 homes have been completed and sold.

Pecan Springs/Springdale Combined Neighborhood Planning Area:

Project: Pecan Springs Commons

The City partnered with Green Doors beginning in FY 2009-10 to provide affordable housing in the Pecan Springs neighborhood. Green Doors used private financing, federal funds and G. O. Bond funds to acquire 82 units of rental housing. The 9 four-plexes and a 46-unit apartment complex, all on Sweeney Circle, are in the process of being rehabilitated or are completed and leasing up. This development has already had a transformational effect in this cul-de-sac which had previously experienced a high crime rate and poor property conditions due to absentee landlords. In 2008, there were 653 9-1-1 emergency calls. In 2010, the number had dropped to 30 calls. The development is known as Pecan Springs Commons and serves the chronically homeless, homeless veterans, persons or families exiting shelter, and low-income working families. This project was also the recipient of the Multi-Family and Redevelopment Excellence award from the National Association of Local Housing Finance Agencies (NALHFA) in 2012.

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St. John's/Coronado Hills Combined Neighborhood Planning Area:

Project: St. John's Neighborhood

The City has partnered with Neighborhood Housing Services (NHS) of Austin to provide affordable housing in the St. John's neighborhood. In FY 2012-13, the second of two planned single-family rental units was completed.

University Hills/Windsor Park Combined Neighborhood Planning Area:

Project: Devonshire Village

Austin Habitat for Humanity, in partnership with the City, completed infrastructure work on its subdivision, Devonshire Village, in the Windsor Park/University Hills neighborhood. The subdivision will be comprised of 43 new construction single-family homes that will be sold to low- and moderate-income buyers. Home construction has been underway since FY 2008-09 and by the end of FY 2012-13, 38 homes have been constructed and sold. Home construction and sales will continue in FY 2013-14.

Govalle/Johnston Terrace Combined Neighborhood Planning Area:

Project: The Works at Pleasant Valley

LifeWorks, a non-profit organization serving youth and families, received funding to acquire property to develop a 45-unit rental housing development that will serve formerly homeless youth, teen families, single-parent families, and youth aging out of foster care. Located next to Austin Community College's Eastview Campus and served by major transit routes, The Works at Pleasant Valley and the services provided by LifeWorks will offer residents the means to achieve their personal goals. Construction is expected to be completed in FY 2013-14.

Project: Guadalupe-Saldaña Subdivision

The Guadalupe Neighborhood Development Corporation (GNDC) completed predevelopment work, Phase I infrastructure and 4 duplexes in the 11-acre Guadalupe-Saldaña subdivision which will contain a total of 90 units of affordable rental and ownership housing. Partnering with Austin Energy, GNDC will equip residences with solar panels with the goal of making the project the City's first "net zero" energy subdivision. GNDC has partnered with the Jeremiah Program, a program that provides support to highly-motivated

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single parents while they complete their post-secondary education and break the cycle of poverty. Support services include on-site day care and rental assistance if needed. Families with ties to the Guadalupe-Johnston Terrace Neighborhood will receive priority consideration.

West Campus Neighborhood Planning Area:

Project: University Neighborhood Overlay (UNO)

In 2012-13, stakeholder meetings led to refinements in the University Neighborhood Overlay (UNO) ordinance to achieve enhanced affordability. The City Council has not adopted proposed code refinements to UNO that have been recommended by the Planning Commission and the UNO stakeholders for new housing built in the West Campus neighborhood adjacent to the University of Texas. All new housing developments that receive incentives must provide at least 10 percent of new units on-site to households at or below 80 percent of MFI for at least 15 years. Participating developers must also provide an additional 10 percent of on-site units for households earning at or below 65 percent of MFI or pay a fee-in-lieu.

South Lamar Combined Neighborhood Planning Area:

Project: The Ivy Condos, Phase II

Easter Seals Central Texas received a HUD Section 811 grant plus HOME funding to acquire 10 condominium units at the Ivy Condominiums on Manchaca Road. The units were acquired with accessibility modifications already completed and house persons with disabilities with incomes at or below 50 percent of MFI. Phase I consisted of 8 units at The Ivy Condos acquired by Easter Seals Central Texas in 2010. Those units were also funded by a HUD Section 811 grant and G.O. Bond funds. All 10 units of Phase II were completed and leased to eligible residents in FY 2012-13.

Project: Treaty Oaks Apartments

Green Doors partnered with the City to acquire and rehabilitate a 47-unit apartment complex on Manchaca Road. The units will be leased to individuals and families with incomes at or below 50 percent of MFI. Treaty Oaks will house formerly homeless individuals, including veterans, persons at risk of becoming homeless, and very low-income

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working families. Green Doors has committed to providing 24 units of Permanent Supportive Housing (PSH) at Treaty Oaks. Rehabilitation of the complex was still underway at the end of FY 2012-13.

Project: The Legacy Apartments

The City partnered with Mary Lee Community, an affiliate of the Mary Lee Foundation, to acquire property fund construction for a new 40-unit complex providing affordable rental units to extremely low-income individuals and families with disabilities. This development received a 4-Star Rating from the Austin Energy Green Building® program because of the integrated design approach that resulted in a building that is energy and water efficient; healthier and more comfortable to live in; well-constructed; economical to operate; easier to maintain; and helps create a better community. The development was completed in late FY 2012-13 and will be leased up in early FY 2013-14.

Westgate Neighborhood Planning Area:

Project: Westgate Grove

G.O. Bond funding was provided to PeopleTrust (now HomeBase) and MoMark Development to acquire and develop nine acres for a 50-unit subdivision. The subdivision will consist of single-family homes that will be sold to buyers earning at or below 80 percent of MFI. The homes will use a shared equity/right of first refusal model of ownership in order to ensure long-term affordability. The acquisition of the property was completed in FY 2009-10. Pre-development work is currently underway. In FY 2010-11 additional G.O. Bond funding was approved for PeopleTrust (now HomeBase), in partnership with Peoples/Howell 2, LLC, to acquire seven acres adjacent to the nine acres previously referenced. This 7-acre tract will be developed for 50 attached condominium units to be sold to buyers earning at or below 80 percent of MFI. In FY 2012-13, a Unified Development Agreement was granted by the City that will allow the two adjacent tracts to be developed under one development agreement. HomeBase has accepted applications from potential buyers, and is in the process of determining the applicants' eligibility.

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Other Areas:

Project: Sendero Hills Ownership

Habitat for Humanity of Austin partnered with the City to use G. O. Bond funding to assist with the acquisition of 15 acres plus infrastructure construction, for up to 49 homeownership units in the Sendero Hills neighborhood of East Austin. At the close of FY 2012-13, 33 homes had been constructed and sold to income-eligible buyers.

Project: Colony Park Sustainable Community Initiative

The Colony Park Sustainable Community Initiative, funded by a \$3 million HUD Community Challenge Grant, is a 3-year community planning process that will accomplish three project goals:

- Further land-use planning and development of 208 acres of publicly-owned land at Colony Park inspired by the U.S. Department of Housing and Urban Development (HUD) Livability Principles as an economic catalyst for the broader project area.
- Foster cross-department/agency coordination and create successful models of comprehensive systems change to support sustainable and equitable development.
- Support capacity building and community transformation goals of Colony Park area residents and stakeholders.

These goals will support and further the U.S. Department of Housing and Urban Development's six "livability principles" which include: providing more transportation choices; promoting equitable, affordable housing; enhancing economic competitiveness; supporting existing communities; coordinating policies and leveraging investment; and valuing communities and neighborhoods. Guiding the work is a set of four activities that serve as benchmarks for detailing next steps and measuring progress. Those project activities are:

- Activity 1: Team Formation and Initial Analysis
- Activity 2: Kickoff and Visioning Phase
- Activity 3: Plan Development and Approval
- Activity 4: Evaluation and Knowledge Sharing

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NHCD has partnered with the Colony Park Neighborhood Association along with the University of Texas at Austin and Austin Community College to conduct public engagement activities. In addition, the joint venture of Urban Design Group and Farr Associates was hired as Design Team consultants to provide architectural, engineering, market/economic analysis, and landscape architectural services leading to the creation of a master plan. The first of four community planning workshops was held on October 19 with subsequent workshops scheduled every two months. The master plan is anticipated to be approved by the Austin City Council in June of 2014. The grant period ends in February, 2015.

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Federal Guideline: Provide a breakdown of the CPD formula grant funds spent in attaining the goals and objectives.

Exhibit 1.7 displays the formula funding grant expenditures in the FY 2009-14 Consolidated Plan period.

Exhibit 1.7: Formula Grant Expenditures by Fiscal Year

Funding Source	FY 2009-10	FY 2010-11	FY 2012-13	FY 2012-13	FY 2013-14	Total
CDBG	\$5,633,007	\$7,774,908	\$8,727,107	\$7,334,304	0	\$29,469,326
CDBG - PI	604,510	85,322	64,206	70,000	0	\$824,038
CDBG - Rev. Loan	\$268,032	\$31,783	\$195,072	\$151,523	0	\$646,410
CDBG-R	\$1,177,280	\$0	\$0	\$0	0	\$1,177,280
Subtotal CDBG Funds	\$7,682,829	\$7,892,013	\$8,986,385	7,555,827	0	\$32,117,054
HOME	\$4,864,945	\$1,803,802	\$2,973,613	\$3,638,764	0	\$13,281,124
HOME PI	\$714,195	\$322,148	\$368,709	\$665,337	0	\$2,070,389
HOME (CHDO)	\$606,621	\$1,831,722	\$989,589	\$1,204,235	0	\$4,632,167
HOME (CO)	\$190,000	\$192,359	\$107,644	\$39,929	0	\$529,932
Subtotal HOME Funds	\$6,375,761	\$4,150,031	\$4,439,555	5,548,265	0	\$20,513,612
HESG	\$328,346	\$320,166	\$330,481	\$533,184	0	\$1,512,177
HOPWA	\$1,029,086	\$1,103,927	\$1,043,969	\$970,420	0	\$4,147,402
Total	\$15,416,022	\$13,466,137	\$14,800,390	14,607,696	0	\$58,290,245

See Chapter 2 for definitions of all funding sources.

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SELF EVALUATION

The City of Austin annually assesses its progress in meeting the goals and priorities outlined in the FY 2009-14 Consolidated Plan through the development of the Consolidated Annual Performance and Evaluation Report (CAPER). The CAPER provides an opportunity for the City to evaluate the performance of its programs and services and to determine whether adjustments to the current Investment Plan, performance measurements or 5-year goals are needed.

The partnerships and collaboration with the City of Austin, the U. S. Department of Housing and Urban Development (HUD), community partners, and the citizens of Austin, contribute to the ongoing success of programs featured in the FY 2012-13 CAPER. HUD, through four formula grants, continues to make a substantial financial commitment to low- and moderate-income households in the City of Austin. In FY 2012-13, the City received four formula grants: Community Development Block Grant (CDBG), HOME Investment Partnerships, Emergency Solutions Grant (ESG), and Housing Opportunities for Persons with AIDS (HOPWA).

In addition to federal funding, the City used local funds for affordable housing, community and economic development, and public service needs: General Fund – Capital Improvement Plan (GF-CIP), Sustainability Funds, General Obligation (G.O.) Bonds, Housing Trust Fund (HTF), University Neighborhood Overlay (UNO) Housing Trust Fund, Housing Assistance Fund (HAF), and Austin Energy - Holly Good Neighbor Program funding.

Federal Guideline - What barriers may have a negative impact on fulfilling strategies and achieving the overall vision?

City of Austin – Population, Demographic and Economic Dynamics

Since 1990, Austin's population has continued to grow at a consistent and rapid pace. Austin's population in 1990 was 465,622 and as of 2013 it is estimated that 842,750 people

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now reside in Austin.¹ Population forecasts show Austin's population exceeding one million residents by 2025.²

The City has reached a unique threshold in terms of racial composition by becoming a "majority-minority" city. This means that in Austin, no ethnic or demographic group exists as a majority.³ The Anglo (non-Hispanic white) share represented 47.1 percent of the population in 2011. The Hispanic (Latino) share has steadily increased since 1990 to 36.3 percent of the population in 2011. The Asian community has also grown considerably in the last ten years. In 1990, the Asian community represented about 3.3 percent of the population – in 2010 this share has grown to 6.4 percent of the population. African Americans represented about 12 percent of Austin's population in 1990. In 2010, the African American percentage dropped to 7.9 percent and is expected to continue to decline as the city continues to increase in population². African Americans, as well as other demographic groups, have migrated to surrounding areas outside Austin - the suburbs and neighboring communities. The geographical dispersion of affordable housing has also moved into the suburbs as the Austin housing market has become more expensive. This also accounts for the migration of residents to the suburbs.

In 1990, the poverty rate in Austin was slightly below 18 percent. In 2000, the rate dropped to 14 percent; however, by 2010, the poverty rate climbed to approximately 20.4 percent. The percent of persons under the age of 18 living below the poverty line has grown in Austin since 2007. At that time 23.8% of persons under the age of 18 lived below the poverty line in Austin, as of 2011 data indicates that nearly 30% do.³

Some groups are disproportionally represented in poverty, especially in the areas of race and ethnicity, age, and education. According to the Community Action Network's "2013 Community Dashboard" report, children living in poverty are less likely to be ready to enter

¹ U.S. Census Bureau. URL: www.census.gov

² Robinson, Ryan. City of Austin Planning and Development Review Department. [Austin Area Population Histories and Forecasts](http://www.austintexas.gov/demographics). URL: www.austintexas.gov/demographics

³ Robinson, Ryan. City of Austin Planning and Development Review Department. [Top Ten Demographic Trends in Austin](http://www.austintexas.gov/page/top-ten-demographic-trends-austin-texas). URL: <http://www.austintexas.gov/page/top-ten-demographic-trends-austin-texas>

⁴ U.S. Census Bureau, American Community Survey 5-Year Estimates, 2009 URL: factfinder2.census.gov

³ U.S. Census Bureau, American Community Survey 1-Year Estimates, 2007-2011 URL: www.census.gov

⁵ 2013 CAN Community Dashboard URL: <http://www.cancommunitydashboard.org/building.php>

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kindergarten. Older children living in poverty are also less likely to be ready to enter college. CAN's analysis shows that only 59 percent of high school graduates were prepared to enter college.⁵

Analysis of new data, the launch of a series of local housing reports, and the understanding of Austin's overall composition, the City recognizes that the programs and services administered by NHCD and the Austin/Travis County Health and Human Service Department (HHSD) are more critical than ever as needs are increasing and financial resources are being reduced.

Program Administration

The ability of the City to efficiently and effectively plan, implement, and deliver affordable housing, community and economic development initiatives, and public services is based on available resources and how well it administratively manages required federal and local processes and procedures. Program accounting, monitoring, and reporting provide essential documentation on eligibility and financial allowance. The following represents an assessment of the City's management of federal and non-federal funding for NHCD's initiatives.

Business Planning/Consolidated Planning/Budget Process Coordination

The City continues to improve its reporting to the U. S. Department of Housing and Urban Development (HUD), local officials, and the public, and increases public participation in its planning processes through increased transparency, outreach, marketing efforts to include web site improvements, and increased email communication. In addition, City staff stays apprised of any updates or enhancements HUD implements for its reporting requirements. Key reports for FY 2012-13 include: the Neighborhood Housing and Community Development (NHCD) Office Business Plan, City of Austin Annual Action Plan, City of Austin Annual Budget, and the CAPER. These documents allow the Austin community to have complete information about the resources being directed to meet the needs of low- and moderate-income residents.

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Transition to eCon Planning Suite

HUD has introduced an eCon Planning Suite and after November 15, 2012, all HUD Participating Jurisdictions will be required to use the IDIS template for submitting their next Five-Year Consolidated Plan. This will streamline the process, and provide greater transparency to the public through resources like CPD Maps. NHCD staff utilized the system for the preparation and submission of its FY 2013-14 Action Plan. Although the FY 2012-13 CAPER cannot wholly be submitted through the eCon Planning Suite at this time, portions of the document particularly those encompassing ESG (Emergency Solutions Grant) funding will be submitted to HUD using eCon. All documents submitted via this system are included with this CAPER and are available during the 15-day public comment period. The FY 2013-14 CAPER, future CAPERs, Action Plans and the forthcoming FY 2014-19 Consolidated Plan will be submitted wholly through the eCon system. In preparation for submitting the FY 2014-19 Consolidated Plan through the ECon Planning Suite, the City of Austin submitted the FY 2012-13 Action Plan through the eCon Suite in IDIS with no problems or findings.

Expenditure and Timeliness Ratio Information

The City of Austin has met all required deadlines for commitment and drawdown of its grant funds for FY 2012-13. The City is required to disburse all grant funds in a timely manner after drawdown from HUD. For CDBG funds, the balance of the CDBG line-of-credit must not exceed 1.5 times the annual grant on July 31st of every year. For HOME funds, the City must commit all HOME funds in two years and spend the funds within five years. The City met requirements for CDBG and HOME in FY 2012-13. The current system assures that federal expenditure and timeliness requirements are adequately met each year.

Federal Guideline - Are activities and strategies having an impact on identified needs? What indicators would best describe overall results? Are major goals on target?

Self-Evaluation Methodology

The impact of the City's affordable housing, community and economic development programs were primarily measured in how the City meets the CDBG national program objective, benefits to low- and moderate-income households. Performance measures

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include: affordable housing units produced, jobs created, and number of low- and moderate-income households served through NHCD's program and services.

The City reports its primary performance measure according to low- and moderate-income households served in FY 2012-13. Though the City continued to track and monitor sub-measures, such as housing units produced and jobs created and retained, the FY 2012-13 CAPER reflects the primary performance measures.

The following represents an evaluation of NHCD's programmatic progress in FY 2012-13 as it relates to the FY 2009-14 Consolidated Plan goals and priorities, as well as identifying areas that may require future modifications to City housing and community development performance goals. The City continues to strive to meet all program goals in a fiscally responsible manner while maintaining accountability to HUD and the citizens of Austin.

Overall Accomplishments

The City's FY 2012-13 overall Action Plan goal was to serve 13,140 eligible households. During the fiscal year, NHCD served a total of 12,797 low- to moderate-income households using federal and local funding. The overall number of households served was slightly lower than anticipated. In response, NHCD has been modifying program administration to ensure such conditions are considered. This has been undertaken through improved program guidelines, service delivery processes, and improved marketing and outreach efforts.

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Exhibit 1.8: Programs that Met or Exceeded Goals Analysis

Program	Goal	Accomplishment	% of Goal
Homeless/Special Needs Assistance			
Homeless Management Information System (HMIS)	N/A	N/A	N/A
Homeless Relocation and Stabilization	212	248	117%
Permanent Housing Placement	38	47	124%
Short-Term Supportive Housing	45	100	222%
Transitional Housing	49	50	102%
Supportive Services	49	50	102%
Senior Services	208	236	113%
Youth Support Services	138	159	115%
Renter Assistance			
Tenant-Based Rental Assistance	68	105	154%
Architectural Barrier Removal (ABR) - Rental	N/A*	20	N/A
Tenants' Rights Assistance	428	528	123%
Homebuyer Assistance			
Housing Smarts – Housing Counseling	N/A*	302	N/A
Down Payment Assistance	15	19	127%
Homeowner Assistance			
G.O. Repair! Program	26	32	123%
Holly Good Neighbor Program	N/A*	6	N/A
Housing Developer Assistance			
Rental Housing Development Assistance	13	156	1,200%
Acquisition and Development	4	27	675%
Developer Incentive Based Programs	N/A*	1465	N/A
Commercial Revitalization			
East 11th & 12th Streets: Historic Preservation	N/A	1	N/A
East 11th & 12th Streets: Public Facilities	N/A	1	N/A
East 11th & 12th Streets: Parking Facilities	2,170	2,170	100%
Small Business Assistance			
Community Development Bank	6	6	100%
Microenterprise Technical Assistance	33	33	100%

* Goals were not established for the activity in the FY 2012-13 Action Plan because the program was funded with local funds which had not yet been appropriated at the time the FY 2012-13 Action Plan was published.

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Exhibit 1.9: Programs that Did Not Meet Goals

Program	Goal	Accomplishment	% of Goal
Homeless/Special Needs Assistance			
Austin Resource Center for the Homeless (ARCH)	8,500	6,097	72%
Short-Term Rent, Mortgage and Utility Assistance	199	127	64%
HOPWA Tenant Based Rental Assistance	100	89	89%
Child Care Services	269	227	84%
Homeowner Assistance			
Architectural Barrier Removal (ABR) – Owner	111	53	48%
Emergency Home Repair	475	406	85%
Homeowner Rehabilitation Loan Program	10	3	30%
LeadSmart Program	60	29	48%
Housing Developer Assistance			
CHDO Operating Expenses Grants	4	1	25%
Small Business Assistance			
Family Business Loan Program	20	4	20%
Financial Empowerment			
Individual Development Account (IDA) Program	68	0	0%

***Federal Guideline* - Based on this evaluation, what adjustments or improvements to strategies and activities might help meet the identified needs more effectively?**

NHCD and HHSD make annual adjustments and outreach improvements to programs to better serve the Austin community. The following is an analysis of housing production by investment plan area:

Homeless/Special Needs Assistance

The Austin/Travis County Health and Human Services Department (HHSD) administers programs that are under the Homeless/Special Needs Assistance category.

The Emergency Solutions Grant (ESG) programs continued to remain vital to the continuum of services by serving a high number of individuals experiencing homelessness in FY 2012-

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13. Overall, ESG program served 6,293 individuals experiencing homeless, or approximately 73 percent of the established goal. ESG funds Front Steps to operate the ARCH, a homeless shelter located in downtown Austin. Front Steps Front Steps and the City of Austin have invested funding to de-duplicate client files in the Homeless Management Information System (HMIS). Front Steps has been utilizing HMIS for performance numbers, and therefore with the de-duplication efforts in HMIS, Front Steps performance numbers are lower than anticipated. They have not served fewer clients, but there are less duplicate records and more efficiency in the HMIS system. For in-depth information on ESG programs see the ESG report in Chapter 3.

The overall HOPWA goal was to provide housing assistance to a total of 463 clients in six different programs. The programs were as follows: Short-Term Rent, Mortgage, and Utility Assistance (STRMU), Tenant Based Rental Assistance (TBRA), Permanent Housing Placement (PHP), Short-Term Supportive Housing (STSH), Transitional Housing, and Supportive Services.

The program actually served 463 clients or 96 percent of its goal. The two programs that fell short of their goals were STRMU and PHP. The decrease in the number of STRMU clients was due to an increase in the amount of assistance provided to each client as well as clients using services longer than planned. Additionally, STRMU eligible clients were able to receive assistance through another housing program called the "Best Single Source Plus" which became available in April of 2012. The Permanent Housing Placement Program did not meet its goal due to limited housing placement resources in the area. For in-depth information on HOPWA programs, see the HOPWA report in Chapter 3.

In the public services programs, the Senior Services and Youth Services program met their established goals. The number of households served through the Child Care services program was less than anticipated due to lower turnover of children enrolled in the program and to the increasing cost of child care, especially for infants and toddlers.

Renter Assistance

Programs that provide rental housing assistance services for low- and moderate-income households, including special needs populations, collectively exceeded goals by 32 percent

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in FY 2012-13. The Tenant Based Rental Assistance (TBRA) Program exceeded its goal by 54 percent, and the Tenants' Rights Assistance Program exceeded its goal by 23 percent.

The Architectural Barrier Removal – Renter Program provides accessibility modifications for elderly and severely disabled individuals. While the program did not have a goal in the FY 2012-13 Action Plan because the program was funded with local funds which had not yet been appropriated at the time the FY 2012-13 Action Plan was published, the program served twenty households. The department has redirected resources to more strategically and aggressively market the program, which will increase applications and program participation moving forward.

Homebuyer Assistance

Homebuyer Assistance Programs collectively exceeded program goals in FY 2012-13. The Down Payment Assistance program served 19 households in FY 2012-13, exceeding the goal of 15 households served. Low mortgage interest rates and new construction of homeownership opportunities in the City of Austin supported the success of the DPA program this fiscal year.

While the HousingSmarts program did not have a goal in the FY 2012-13 Action Plan because the program was funded with local funds which had not yet been appropriated at the time the FY 2012-13 Action Plan was published, the program served 302 households. The program continues to be a resource for individuals who want to prepare for homeownership and planning long-term financial independence. Due to high demand for services, staff referred some potential clients to local non-profits for assistance. Many of those individuals are at risk of losing their homes; those individuals were referred to Business and Community Lenders of Texas, a non-profit that the Austin Housing Finance Corporation contracts with for foreclosure prevention services. In addition, a number of referrals were made to Frameworks CDC for individuals who require counseling in Spanish. Both non-profits continued to meet the needs of the community with services that complement the mission of the department.

Homeowner Assistance

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Overall, the Homeowner Assistance programs collectively served 529 households, falling short of 682 households anticipated to be served in FY 2012-13. The G.O. Repair! Program served 32 households, exceeding the goal by 23 percent. This program continues to increase the capacity of nonprofits in Austin to address the needs for home repair for low-income residents, seniors and persons with disabilities. As noted in the Austin Housing Repair Coalition 2012 Report on GO Repair Program Impacts, GO Repair funding provides a strong foundation for these organizations that enables the agencies to bring in additional resources to support and expand services.

The ABR – Homeowner Program served 53 households and met 48 percent of its goal in FY 2012-13. Although the program fell short in meeting its goals for Fiscal Year 2013, the department recognizes the crucial need for programs that assist seniors and persons with disabilities and continues to remain committed to allocating funding to support the program. In fact, data from the department's Needs Assessment completed in 2013 indicates there were 66,585 persons living with disabilities within the City of Austin in 2011. The population of those under 18 years old with disabilities has increased by 20.5 percent over the same period. The program continues to require increased resources for outreach efforts. The ABR-owner program is one of several that have been included in targeted block walking that NHCD staff has implemented with other City of Austin departments in order to maximize resources to provide education and outreach regarding a number of City of Austin services. The department expects to address the production deficiencies with the recently approved funding that will allow the department to hire a full-time Neighborhood Liaison in Fiscal Year 2014. In the past, temporary, part-time employees have been recruited to assist in outreach effort. However, NHCD through its Fiscal Year 2014 local budgeting process successfully acquired additional funding so that a full-time Neighborhood Liaison will be hired to more comprehensively address outreach needs and increase program participation. In addition, the department will continue to broaden community partnerships to assist in its outreach efforts, to include the Mayor's Committee for People with Disabilities, the Commission on Seniors, and other community agencies.

The Emergency Home Repair Program served 406 households and met 85% of its goal this year. The program sub-recipient, the Austin Area Urban League (AAUL), experienced a

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transition in leadership during this fiscal year. Conversations are underway between Austin Housing Finance Corporation staff and AAUL leadership about mechanisms to continue the success of this longstanding contract and to explore new program delivery systems and program design to meet the community need.

During fiscal year 2012-2013, the Homeowner Rehabilitation Loan Program (HRLP) served 3 households and met approximately 30% of its annual goal. The Austin Housing Finance Corporation continued to evaluate this program during the fiscal year with a focus on aligning the program to meet the community need for home rehabilitation. Guideline changes were approved at the end of September 2013 to align City of Austin policies with the recent HOME rule change. These changes should result in more HRLP loans being approved and closed in FY13-14; indeed, 50 HRLP applications received in FY 2012-13 rolled over to FY13-14. In addition, NHCD has hired an Neighborhood Liaison to develop short-term strategies and coordinate long-range outreach plans to increase awareness of home repair programs.

The LeadSmart program provided remediation services to 29 units, achieving approximately 48% of its goal in FY 2012-13. Although the program did not meet production goals in Fiscal Year 2013, the department remains in compliance related to its production goals with the Office of Healthy Homes and Lead Hazard Control. The City of Austin will be exploring expanding the program to incorporate Travis County, which will offer additional potential clientele. The City of Austin expects that a dedicated full-time Neighborhood Liaison will increase participation in this program area.

Although the Holly Good Neighbor Program did not have a goal in the FY 2012-13 Action Plan because the program was funded with local funds which had not yet been appropriated at the time the FY 2012-13 Action Plan was published, six households were served in FY 2012-13. The Holly Good Neighbor Program continues to receive strong support from the Austin Community Development Commission, which has been instrumental in supporting the department's outreach efforts to date. The Commission in 2013 recommended expanding the boundaries of the program to broaden the potential clientele base. With this program guideline change, the department estimates that an estimated 200

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additional homesteads could be eligible for the program, which will assist in addressing future goals.

Housing Developer Assistance

Housing Developer Assistance programs exceeded collective goals in FY 2012-13, serving 1,649 households. At the time the FY 2012-13 Action Plan was published, only federal funding was allocated to the Rental Housing Development Assistance (RHDA) Program and Acquisition & Development (A&D) Program. Due to a number of projects in the pipeline which were funded in a previous fiscal year became occupied this fiscal year, both programs vastly exceeded the goals. 2006 General Obligation Bond funding produced the balance of all units reported this fiscal year.

While no goal was established for the S.M.A.R.T. Housing™ Program in the FY 2012-13 Action Plan because the program was funded with local funds which had not yet been appropriated at the time the FY 2012-13 Action Plan was published, the program completed 1,465 total units in FY 2012-13. At the end of the fiscal year, 635 single family units had been completed, with close to 40 percent of these units affordable at 80 percent and below Median Family Income (MFI). 830 units of multifamily had been completed at the end of the Fiscal Year 2013; 20 percent of these units were also affordable at 80% and below MFI. The majority of the multifamily units completed were in the University Neighborhood Overlay District. These are privately funded development projects that have a minimum 10 percent affordability requirement at 80% and below Median Family Income (MFI).

The CHDO Operating Expenses Grant Program did not meet its goal of providing operating grants to all CHDOs because there are fewer CHDOs eligible for this needs-based program. This is significant because, as intended, these CHDOs have developed sufficient capacity to generate their own operating income. There are, however, smaller CHDOs with significant operational needs for which this program can provide support. During FY 2012-13, these smaller organizations were gaining experience in housing development in order to develop staff and organizational capacity as required by HUD under new rules that took effect August 23, 2013. NHCD anticipates that at least three of these CHDOs may qualify for

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operating expenses grants in the next fiscal year, conditioned upon meeting program requirements.

Commercial Revitalization

Together with the Urban Renewal Agency (URA), the City continued to make progress in revitalization efforts for East 11th and 12th Streets. Efforts that began in the late 1990s have removed slum and blight, attracted new restaurants, offices and residential developments. The East 11th and 12th Streets Revitalization project is comprised of the following areas: Acquisition & Development, Historic Preservation, Public Facilities and Parking Facilities.

No goals for the Acquisition & Development, Historic Preservation, Public Facilities activities were established in the FY 2012-13 Action Plan. However, with the completion of the construction and tenant move-in into the City of Austin's African American Cultural and Heritage Facility in March 2013, the Public Facilities activity reported one unit of construction. This project included the historic renovation of the Dedrick-Hamilton house and new construction for an estimated total square footage of 8,300 for the African American Cultural Heritage District. Since the ribbon cutting in March, the City of Austin's, Austin Convention Center has opened the Office of Arts & Cultural Resources and Capital City African American Chamber of Commerce (Chamber) has relocated their offices to the facility. The Chamber is also operating the City of Austin's African American Visitor's Center out of the historic Dedrick-Hamilton house. This mixed-use public facility is serving the City of Austin and the community within the newly created African American Cultural Heritage District.

In addition, the Parking Facilities activity met its goal. During the fiscal year resources were committed and expended on leasing a community parking facility in the revitalization area. The facility provided parking to the area neighborhood and provided additional access for area businesses.

Small Business Assistance

NHCD's Small Business Assistance programs provide education and financing to support small businesses and create job opportunities for low- and moderate-income households.

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Collectively the programs met 120 percent of their goal in FY 2012-13. The Microenterprise Technical Assistance program met its annual goal by providing small business education that includes topics such as finance, industry standards, legal requirements and business plan development. The Community Development Bank met its goal as well, by offering flexible capital and technical assistance to small and minority businesses that are expanding or relocating to low-income areas.

Financial Empowerment

The City of Austin Individual Development Account Program (IDA) was launched in FY 2012-13. This program is available for all City of Austin residents over the age of 18, who are employed full or part time and whose income is less than 60% MFI for the Austin area. IDAs can be used to buy a first home, pay for college or other training, and start or expand a small business. Participants receive financial training and coaching on family budgeting, consumer issues, debt and credit counseling, using mainstream financial products, accessing refundable tax credits, and accessing other benefits and services. This program is funded through a \$600,000 five year grant from the Office of Community Services of the U.S. Department of Health and Human Services.

5-Year Progress Overview

As mentioned above, the overall number of households served during FY 2012-13 was lower than anticipated in the FY 2012-13 Action Plan and NHCD remains focused to ensure the all programs strive to reach their 5-Year Consolidated Plan goals.

Conclusion

NHCD's overall strategy for its affordable housing and community development initiatives is operating at a satisfactory pace given market conditions, economic constraints and the constraints of financial resources. Affordable housing continues to be a high priority for the City of Austin as it relates to the use of the federal grants.

The City of Austin continues to foster the success of small businesses and neighborhood revitalization efforts through activities that fall under the East 11th and 12th Streets Revitalization initiative. Both small business and commercial revitalization efforts support

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greater opportunities for job creation for low- and moderate-income households that will provide economic sustainability.

The federally and locally funded programming meets the stated priorities in the City's 5-Year Consolidated Plan. The City has leveraged over \$71 million in private funding to maximize its financial resources with federal and local funding. The City expended approximately \$21.5 million in local funds to increase affordable housing development in FY 2012-13.

The City of Austin is committed to improving its programs, service delivery and partnerships in order to better serve and meet the needs of Austin's very low- to moderate-income residents. As the City moves forward, its commitment to invest in its community, to improve and retain its affordable housing stock, and develop its communities through job creation and revitalization efforts will remain priorities.

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The following chapter outlines funding sources the City of Austin utilized in FY 2012-13.

FEDERAL FUNDING SOURCES

Community Development Block Grant (CDBG)

The Community Development Block Grant (CDBG) Program is authorized under Title I of the Housing and Community Development Act of 1974 as amended. The primary objective of CDBG is the development of viable communities by:

- Providing decent housing.
- Providing a suitable living environment.
- Expanding economic opportunities.

To achieve these goals, any activity funded with CDBG must meet one of three national objectives:

- Benefit low- and moderate-income persons.
- Aid in the prevention of slums or blight.
- Meet a particular urgent need.

CDBG Program Income

CDBG Program Income (CDBG PI) is the gross income received by the City of Austin directly generated by the use of CDBG funds. Program income is available to activities that do not have established revolving loan funds.

CDBG Revolving Loan Fund

A Revolving Loan Fund (CDBG – Rev. Loan) is a dedicated source of funds to a particular activity from which loans are made for housing or small business development projects. Once loans are repaid to the City, the funds revolve back into the original activity as available new funding.

HOME Investment Partnerships Program (HOME)

The HOME Program was created by the National Affordable Housing Act of 1990 (NAHA), and has been amended several times by subsequent legislation. The three objectives of the HOME Program are:

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- Expand the supply of decent, safe, sanitary, and affordable housing to very low- and low-income individuals.
- Mobilize and strengthen the ability of state and local governments to provide decent, safe, sanitary, and affordable housing to very low- and low-income individuals.
- Leverage private sector participation and expand the capacity of non-profit housing providers.

HOME CHDO

Community Housing Development Organizations (CHDOs) are non-profit housing providers whose organizational mission includes the development of affordable housing for low- and moderate-income households. The City of Austin must set aside a minimum of 15 percent of its annual HOME allocation for housing development activities in which qualified CHDOs are the owners, developers, and/or sponsors of the housing.

HOME CHDO Operating Expenses Grants

HOME CHDO Operating (HOME CO) funds are provided to support the CHDOs organization's operations. The funds are provided as grants based on need and are used for reasonable and necessary operational costs of a CHDO. These funds are not part of the 15 percent set aside for CHDOs.

HOME Program Income

HOME Program Income (HOME PI) is the gross income received by the City of Austin directly generated by the use of HOME funds.

Emergency Solutions Grant (ESG)

The Homeless Emergency Assistance and Rapid Transition to Housing Act of 2009 (HEARTH Act) became law on May 20, 2009, and consolidated several homeless assistance programs administered by the U.S. Department of Housing and Urban Development (HUD). The Emergency Shelter Grants program was also renamed the Emergency Solutions Grants (ESG), in which the first grants were made to the City of Austin in FY 2012-13. The ESG program is designed to be the first step in a continuum of assistance to help clients quickly regain stability in permanent housing after experiencing a housing crisis and/or homelessness.

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Housing Opportunity for Persons with AIDS (HOPWA)

The Housing Opportunity for Persons with AIDS (HOPWA) Program was established by the U.S. Department of Housing and Urban Development (HUD) to address the specific needs of low-income persons living with HIV/AIDS and their families. HOPWA makes grants to local communities, states, and non-profit organizations. HOPWA funds provide housing assistance and related supportive services in partnership with communities and neighborhoods.

ADDITIONAL FUNDING SOURCES UTILIZED IN FY 2012-13

Section 108

The Section 108 Loan Guarantee Program offers local governments a source of financing for economic development, large-scale public facility projects, and public infrastructure for CDBG eligible activities. HUD sells bonds on the private market and uses the proceeds to fund Section 108 loans to local governments. The local government's future CDBG allocations and other resources must be pledged as security for repayment of the loan to HUD.

Economic Development Initiative II and III

HUD funds several Economic Development Initiative (EDI) Grants. The City receives competitive EDI funds to provide façade improvements grants to local businesses in East Austin.

Lead Hazard Control and Lead Hazard Reduction Demonstration Grants

HUD, under the Healthy Homes and Lead Hazard Control Program, awards funds to local governments to develop lead-based paint awareness and inspection programs for homes built prior to 1978. Even though lead-based paint was banned for residential use in 1978, HUD estimates that 24 million homes across the United States still have significant lead-based paint hazards.

In FY 2010-11 the City of Austin was awarded a \$2.5 million Lead Hazard Reduction Demonstration Grant from HUD's Healthy Homes and Lead Hazard Control Program to evaluate 180 homes for the presence of lead over a three-year period. The money directs critical funds to cities, counties and states to eliminate dangerous lead paint hazards in

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thousands of privately-owned, low-income housing units. Grant funds will also be used to conduct outreach and education.

In May 2013 the City of Austin was awarded a \$2.5 million Lead Hazard Control/Healthy Homes Grant. The lead hazard demonstration grant provides services for 1388 eligible households for the three-year grant period targeting homeowners and renters earning at or below 80 percent of MFI with children under the age of six and living in homes built prior to 1978.

CITY OF AUSTIN LOCAL FUNDING SOURCES

Sustainability Fund

The Sustainability Fund was created in FY 2000-01 to provide resources for projects that will help the City of Austin build a sustainable economic, environmental, and equitable infrastructure. The Sustainability Fund is generated from transfers from the City's major enterprise operating funds, including Solid Waste Services (SWS), Drainage Utility, Transportation, and the Austin Water Utility (AWU) funds.

General Obligation (G.O.) Bonds

City of Austin voters approved a \$55 million bond package for affordable housing in November 2006. The bonds were apportioned into \$33 million for rental and \$22 million for homeownership programs over seven years. The City obligated all remaining funds in FY 2012-13.

Austin Energy - Holly Good Neighbor Program

The Holly Good Neighbor program provides repairs to properties surrounding the former Holly Power Plant area. Austin Energy funds the program, and it is administered and facilitated by Austin Housing Finance Corporation (AHFC).

University Neighborhood Overlay (UNO) Housing Trust Fund

The University Neighborhood Overlay (UNO) Housing Trust Fund generates funding for the development of new affordable housing in a targeted near the University of Texas. In 2012-13, stakeholder meetings led to proposed refinements in the University Neighborhood

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Overlay (UNO) ordinance to achieve enhanced affordability. The City Council has not adopted code refinements to UNO that have been recommended by the Planning Commission and the UNO stakeholders for new housing built in the West Campus neighborhood adjacent to the University of Texas. The fund was established as part of the UNO zoning district, which was created to implement some of the goals, including affordable housing, in the Combined Central Austin Neighborhood Plan.

General Fund - Capital Improvement Program (GF-CIP)

General Fund - Capital Improvement Program (GF-CIP) funds are City of Austin funds used to construct affordable housing. The Austin City Council appropriated \$10 million in funding for affordable housing in January 2013.

Housing Trust Fund

The Austin City Council dedicates 40 percent of all City property tax revenues from developments built on City owned lands to affordable housing. In FY 1999-2000, the Austin City Council pledged \$1 million annually for three years to establish a Housing Trust Fund (HTF).

Housing Assistance Fund

The Austin Housing Finance Corporation (AHFC) issued \$10 million in Residual Value Revenue Bonds in 1988 from its 1980 Single-Family Bond Issue. The purpose of the issue was to create an income stream that can be used to support activities providing housing assistance to persons and families of low- and moderate-income.

CAPER Budget – Federal Sources

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FY 2012-13 CAPER BUDGET - FEDERAL FUNDING SOURCES							
	Funding Source	FY 2012-13 Actual Beginning Balance	FY 2012-13 Appropriation	FY 2012-13 Total Amount Available	Expenditures	Encumbrance	FY 2013-14 Estimated Beginning Balance
HOMELESS/SPECIAL NEEDS ASSISTANCE							
<i>Homeless Services/Shelter</i>							
Shelter Operation and Maintenance	HESG	19,397	313,922	333,319	278,998	-	54,321
CDU- Rapid Rehousing Services	HESG	-	65,912	65,912	64,488	-	1,424
Homeless Management Information System	HESG	31,472	5,272	36,744	14,475	-	22,269
Housing Relocation & Stabilization	HESG	132,218	231,747	363,965	130,804	-	233,161
<i>Subtotal, HESG</i>		183,087	616,853	799,940	488,765	-	311,175
<i>Housing Opportunities for Persons for AIDS</i>							
Rent, Mortgage, and Utility Assistance	HOPWA	-	78,232	78,232	74,772	-	3,460
Tenant-Based Rental Assistance	HOPWA	-	551,169	551,169	483,636	-	67,533
Permanent Housing Placement	HOPWA	-	23,742	23,742	21,097	-	2,645
Short-Term Housing Assistance	HOPWA	-	113,302	113,302	63,302	-	50,000
Transitional Housing	HOPWA	-	205,165	205,165	199,250	-	5,915
Supportive Services	HOPWA	-	95,602	95,602	95,602	-	-
<i>Subtotal, HOPWA</i>		-	1,067,212	1,067,212	937,659	-	129,553
Child Care Services	CDBG	32,075	578,130	610,205	577,624	506	32,075
	CDBG-PI	-	-	-	-	-	-
Senior Services	CDBG	-	19,588	19,588	19,588	-	-
Youth Support Services	CDBG	-	181,177	181,177	172,920	-	8,257
Subtotal, Homeless/Special Needs Assistance		215,162	2,462,960	2,678,122	2,196,556	506	481,060
RENTER ASSISTANCE							
Tenant-Based Rental Assistance	HOME	208,213	301,889	510,102	364,528	144,524	1,050
Tenants' Rights Assistance	CDBG	-	225,030	225,030	224,250	780	-
Subtotal, Renter Assistance		208,213	526,919	735,132	588,778	145,304	1,050
HOMEBUYER ASSISTANCE							
Down Payment Assistance	CDBG	11,500	-	11,500	-	-	11,500
	HOME	550,382	397,543	947,925	28,515	18,535	900,875
	HOME-PI	-	512,079	512,079	512,079	-	-
Subtotal, Homebuyer Assistance		561,882	909,622	1,471,504	540,594	18,535	912,375
HOMEOWNER ASSISTANCE							
Architectural Barrier Removal Program	CDBG	491,146	1,509,653	2,000,799	873,663	150,646	976,490
Emergency Home Repair Program	CDBG	-	1,000,000	1,000,000	804,971	188,032	6,997
Homeowner Rehabilitation Loan Program	CDBG	38,835	-	38,835	-	-	38,835
	CDBG-RL	286,023	263,264	549,287	100,742	492	448,053
	HOME	476,621	811,044	1,287,665	305,513	212,514	769,638
	HOME-PI	-	51,095	51,095	51,095	-	-
<i>Subtotal, HRLP</i>		801,479	1,125,403	1,926,882	457,350	213,006	1,256,526
Lead Hazard Control Grant - Healthy Homes	LEAD	2,115,936	2,500,000	4,615,936	604,931	727,068	3,283,937
Subtotal, Homeowner Assistance		3,408,561	6,135,056	9,543,617	2,740,915	1,278,752	5,523,950
HOUSING DEVELOPER ASSISTANCE							
Rental Housing Development Assistance	CDBG	2,088,673	454,359	2,543,032	1,306,345	30,000	1,206,687
	CDBG-PI	-	-	-	-	-	-
	HOME	3,427,804	-	3,427,804	2,572,513	758,715	96,576
	HOME-PI	-	3,748	3,748	3,748	-	-
	HOME (CHDO)	1,037,129	182,188	1,219,317	1,162,553	-	56,764
<i>Subtotal, RHDA</i>		6,553,606	640,295	7,193,901	5,045,159	788,715	1,360,027
Acquisition and Development	CDBG	878,552	430,559	1,309,111	946,402	-	362,709
	CDBG-RL	-	50,781	50,781	50,781	-	-
	HOME	1,447,423	211,406	1,658,829	124,777	1,408,624	125,428
	HOME-PI	-	40,529	40,529	40,529	-	-
	HOME (CHDO)	86,341	182,189	268,530	41,682	-	226,848
<i>Subtotal, Acquisition and Development</i>		2,412,316	915,464	3,327,780	1,204,171	1,408,624	714,985
CHDO Operating Expenses Grants	HOME (CO)	125,854	100,000	225,854	39,929	15,698	170,227
Subtotal, Housing Developer Assistance		9,091,776	1,655,759	10,747,535	6,289,259	2,213,037	2,245,239
COMMERCIAL REVITALIZATION							
East 11th and 12th Streets Revitalization							
Acquisition and Development	CDBG	64,203	253,848	318,051	(35,356)	-	353,407
	EDIII	147,000	-	147,000	-	147,000	-
<i>Subtotal, Acquisition and Development</i>		211,203	253,848	465,051	(35,356)	147,000	353,407
Historic Preservation	CDBG	325,150	-	325,150	41,776	44,794	238,580
Public Facilities	CDBG	754,038	-	754,038	231,466	-	522,572
	EDI II	-	-	-	-	-	-
<i>Subtotal, Public Facilities</i>		754,038	-	754,038	231,466	-	522,572
Parking Facilities	CDBG	426,397	-	426,397	-	4,704	421,693
Subtotal, Commercial Revitalization		1,716,788	253,848	1,970,636	237,886	196,498	1,536,252
SMALL BUSINESS ASSISTANCE							
Community Development Bank	CDBG	-	150,000	150,000	125,000	25,000	-
Microenterprise Technical Assistance	CDBG	-	200,000	200,000	200,000	-	-
Neighborhood Commercial Management	CDBG	235,308	-	235,308	-	-	235,308
	CDBG-RL	521,313	95,453	616,766	-	-	616,766
	Section 108	594,846	-	594,846	-	-	594,846
<i>Subtotal, Neighborhood Commercial Mgmt</i>		1,351,467	95,453	1,446,920	-	-	1,446,920
Subtotal, Small Business Assistance		1,351,467	445,453	1,796,920	325,000	25,000	1,446,920

Chapter 2: Financial Reports

FY 2012-13 CAPER BUDGET - FEDERAL FUNDING SOURCES							
	Funding Source	FY 2012-13 Actual Beginning Balance	FY 2012-13 Appropriation	FY 2012-13 Total Amount Available	Expenditures	Encumbrance	FY 2013-14 Estimated Beginning Balance
DEBT SERVICE							
ARCH Homeless Shelter, Debt	CDBG	67,389	-	67,389	-	-	67,389
Subtotal, ARCH Homeless Shelter, Debt		67,389	-	67,389	-	-	67,389
NCMP, Debt	CDBG	352,134	-	352,134	-	-	352,134
	Section 108	58,050	376,893	434,943	167,091	-	267,852
Subtotal, NCMP, Debt		410,184	376,893	787,077	167,091	-	619,986
East 11th and 12th Streets Revital., Debt	CDBG	557,621	605,774	1,163,395	506,267	-	657,128
	CDBG-PI	-	56,000	56,000	56,000	-	-
	Section 108	47,954	536,263	584,217	233,507	-	350,710
Subtotal, East 11th and 12th Revital., Debt		605,575	1,198,037	1,803,612	795,774	-	1,007,838
Millennium Youth Center, Debt	CDBG	164,320	-	164,320	-	-	164,320
Subtotal, Debt Service		1,247,468	1,574,930	2,822,398	962,865	-	1,859,533
STIMULUS FUNDS							
Homeless Prevention and Rapid-Rehousing Program							
Financial Assistance	HRP	-	-	-	-	-	-
Housing Relocation and Stabilization	HRP	-	-	-	-	-	-
Data Collection and Evaluation	HRP	-	-	-	-	-	-
Administration	HRP	-	-	-	-	-	-
Subtotal, HRP		-	-	-	-	-	-
Community Development Block Grant - Recovery							
East Austin Youth and Family Center	CDBG-R	-	-	-	-	-	-
Center for Economic Opportunity	CDBG-R	-	-	-	-	-	-
Afr. Amer. Cultural & Heritage Facility	CDBG-R	-	-	-	-	-	-
East Austin Sidewalks	CDBG-R	-	-	-	-	-	-
Administration	CDBG-R	-	-	-	-	-	-
Subtotal, CDBG-R		-	-	-	-	-	-
Neighborhood Stabilization Program	NSP	294,946	-	294,946	291,350	-	3,596
Subtotal, Stimulus		294,946	-	294,946	291,350	-	3,596
SUSTAINABLE COMMUNITIES INITIATIVE							
Colony Park Sustainable Community Initiative	CCG	2,923,396	-	2,923,396	157,879	563,925	2,201,592
Subtotal, Sustainable Communities Initiative		2,923,396	-	2,923,396	157,879	563,925	2,201,592
ADMINISTRATION							
	CDBG	76,001	1,338,568	1,414,569	1,339,388	-	75,181
	CDBG-PI	-	14,000	14,000	14,000	-	-
	HOME	25,757	242,918	268,675	242,918	-	25,757
	HOME-PI	-	57,886	57,886	57,886	-	-
	ESG	-	-	-	-	-	-
	ESG	-	-	-	-	-	-
	HOPWA-3%	-	-	-	-	-	-
	HOPWA-7%	-	-	-	-	-	-
Subtotal, Administration		101,758	1,653,372	1,755,130	1,654,192	-	100,938
TOTAL, Programs, Debt Service, and Admin Cost		21,121,417	15,617,919	36,739,336	15,985,274	4,441,557	16,312,505

CAPER Budget – All Sources

City of Austin Fiscal Year 2012-13 CAPER

Chapter 2: Financial Reports

FY 2012-13 CAPER BUDGET & ACCOMPLISHMENTS - ALL FUNDING SOURCES								
	Funding Source	FY 2012-13 Actual Beginning Balance	FY 2012-13 Appropriation	FY 2012-13 Total Amount Available	Expenditures	Encumbrance	FY 2013-14 Estimated Beginning Balance	FY 2012-13 Accomplishments
HOMELESS/SPECIAL NEEDS ASSISTANCE								
<i>Homeless Services/Shelter</i>								
Shelter Operation and Maintenance	HESG	19,397	313,922	333,319	278,998	-	54,321	6,097
CDU - Rapid Rehousing Services	HESG	-	65,912	65,912	64,488	-	1,424	-
Homeless Management Information System	HESG	31,472	5,272	36,744	14,475	-	22,269	-
Housing Relocation & Stabilization	HESG	132,218	231,747	363,965	130,804	-	233,161	248
<i>Subtotal, Homeless Services/Shelter</i>		183,087	616,853	799,940	488,765	-	311,175	6,345
<i>Housing Opportunities for Persons for AIDS</i>								
Short-Term Rent, Mortgage, and Utility	HOPWA	-	78,232	78,232	74,772		3,460	127
Tenant-Based Rental Assistance	HOPWA	-	551,169	551,169	483,636		67,533	89
Permanent Housing Placement	HOPWA	-	23,742	23,742	21,097		2,645	47
Short-Term Housing Assistance	HOPWA	-	113,302	113,302	63,302		50,000	100
Transitional Housing	HOPWA	-	205,165	205,165	199,250		5,915	50
Supportive Services	HOPWA	-	95,602	95,602	95,602		-	50
<i>Subtotal, HOPWA</i>		-	1,067,212	1,067,212	946,540	-	120,672	463
Child Care Services	CDBG	32,075	578,130	610,205	577,624	506	32,075	227
	CDBG-PI	-	-	-	-		-	
	SF	-	110,120	110,120	102,426	7,694	-	
<i>Subtotal, Child Care Services</i>		32,075	688,250	720,325	680,050	8,200	32,075	227
Senior Services	CDBG	-	19,588	19,588	19,588	-	-	236
	SF	-	116,883	116,883	115,085	957	841	
<i>Subtotal, Senior Services</i>		-	136,471	136,471	134,673	957	841	236
Youth Support Services	CDBG	-	181,177	181,177	172,920	-	8,257	159
	SF	-	27,023	27,023	4,500	22,523	-	
<i>Subtotal, Youth Support Services</i>		-	208,200	208,200	177,420	22,523	8,257	159
Subtotal, Homeless/Special Needs Assistance		215,162	2,716,986	2,932,148	2,427,448	31,680	473,020	7,430
RENTER ASSISTANCE								
Tenant-Based Rental Assistance	HOME	208,213	301,889	510,102	364,528	144,524	1,050	103
	SF	-	56,700	56,700	56,700	-	-	
<i>Subtotal, TBRA</i>		208,213	358,589	566,802	421,228	144,524	1,050	103
Architectural Barrier Removal - Renter	GO Bonds	283,945	-	283,945	225,582	58,363	-	20
Tenants' Rights Assistance	CDBG	-	225,030	225,030	224,250	780	-	528
	SF	-	63,699	63,699	24,290	39,409	-	
<i>Subtotal, Tenants' Rights Assistance</i>		-	288,729	288,729	248,540	40,189	-	528
Subtotal, Renter Assistance		492,158	647,318	1,139,476	895,350	243,076	1,050	651
HOMEBUYER ASSISTANCE								
Housing Smarts - Housing Counseling	SF	-	185,971	185,971	147,987	30,296	7,688	302
Foreclosure Prevention Counseling	HTF	-	-	-	-		-	-
Spanish Hombuyer Counseling	HTF	-	-	-	-		-	-
<i>Subtotal, Housing Smarts</i>		-	185,971	185,971	147,987	30,296	7,688	302
Down Payment Assistance	CDBG	11,500	-	11,500	-	-	11,500	19
	HOME	550,382	397,543	947,925	28,515	18,535	900,875	
	HOME-PI	-	512,079	512,079	512,079	-	-	
<i>Subtotal, Down Payment Assistance</i>		561,882	909,622	1,471,504	540,594	18,535	912,375	19
Subtotal, Homebuyer Assistance		561,882	1,095,593	1,657,475	688,581	48,831	920,063	321
HOMEOWNER ASSISTANCE								
Architectural Barrier Removal - Owner	CDBG	491,146	1,509,653	2,000,799	873,663	150,646	976,490	53
Emergency Home Repair Program	CDBG	-	1,000,000	1,000,000	804,971	188,032	6,997	406
Homeowner Rehabilitation Loan Program	CDBG	38,835	-	38,835	-	-	38,835	3
	CDBG-RL	286,023	263,264	549,287	100,742	492	448,053	
	HOME	476,621	811,044	1,287,665	305,513	212,514	769,638	
	HOME-PI	-	51,095	51,095	51,095	-	-	
	HTF	4,135	-	4,135	4,135	-	-	
	HAF	-	-	-	-	-	-	
<i>Subtotal, HRLP</i>		805,614	1,125,403	1,931,017	461,485	213,006	1,256,526	3
LeadSmart Program	Lead	2,115,936	2,500,000	4,615,936	604,931	727,068	3,283,937	29
GO Repair! Program	GO Bonds	519,273	-	519,273	470,160	49,113	-	32
Home Repair! Program	GF-CIP	-	1,000,000	1,000,000	38,200	556,666	405,134	
Holly Good Neighbor	AE	2,052,292	-	2,052,292	301,600	45,320	1,705,372	6
Subtotal, Homeowner Assistance		5,984,261	7,135,056	13,119,317	3,555,010	1,929,851	7,634,456	529
HOUSING DEVELOPER ASSISTANCE								
Rental Housing Development Assistance	CDBG	2,088,673	454,359	2,543,032	1,306,345	30,000	1,206,687	156
	CDBG-PI	-	-	-	-		-	
	HOME	3,427,804	-	3,427,804	2,572,513	758,715	96,576	
	HOME-PI	-	3,748	3,748	3,748	-	-	
	HOME (CHDO)	1,037,129	182,188	1,219,317	1,162,553	-	56,764	
	UNO	241,482	55,265	296,747	-	-	296,747	
	GF-CIP	-	5,400,000	5,400,000	2,250,000	-	3,150,000	
	GO Bonds	3,806,790	-	3,806,790	1,796,412	132,204	1,878,174	
	HTF	494,518	424,898	919,416	320,933	20,264	578,219	
	HAF	-	-	-	-	-	-	
<i>Subtotal, RHDA</i>		11,096,396	6,520,458	17,616,854	9,412,504	941,183	7,263,167	156
Acquisition and Development	CDBG	878,552	430,559	1,309,111	946,402	-	362,709	27
	CDBG-RL	-	50,781	50,781	50,781	-	-	
	HOME	1,447,423	211,406	1,658,829	124,777	1,408,624	125,428	
	HOME-PI	-	40,529	40,529	40,529	-	-	
	HOME (CHDO)	86,341	182,189	268,530	41,682	-	226,848	
	GF-CIP	990,456	3,350,000	4,340,456	710,776	283,626	3,346,054	
	GO Bonds	1,298,578	-	1,298,578	248,380	1,050,198	-	
	HTF	675,703	-	675,703	616,331	59,372	-	
	HAF	-	276,570	276,570	22,252	-	254,318	
<i>Subtotal, Acquisition and Development</i>		5,377,053	4,542,034	9,919,087	2,801,910	2,801,820	4,315,357	27
CHDO Operating Expenses Grants	HOME (CO)	125,854	100,000	225,854	39,929	15,698	170,227	5
Developer Incentive-Based Programs								
S.M.A.R.T. Housing™	SF	-	113,728	113,728	89,835	-	23,893	953
	GF-CIP	8,806	-	8,806	8,000	806	-	
<i>Subtotal, Developer Incentive-Based Programs</i>		8,806	113,728	122,534	97,835	806	23,893	953
Subtotal, Housing Developer Assistance		16,608,109	11,276,220	27,884,329	12,352,178	3,759,507	11,772,644	1,141
COMMERCIAL REVITALIZATION								
East 11th and 12th Streets Revitalization								
Acquisition and Development	CDBG	64,203	253,848	318,051	(35,356)	-	353,407	-

City of Austin Fiscal Year 2012-13 CAPER

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FY 2012-13 CAPER BUDGET & ACCOMPLISHMENTS - ALL FUNDING SOURCES								
	Funding Source	FY 2012-13 Actual Beginning Balance	FY 2012-13 Appropriation	FY 2012-13 Total Amount Available	Expenditures	Encumbrance	FY 2013-14 Estimated Beginning Balance	FY 2012-13 Accomplishments
(Previously: Façade Improvement Prg)	EDI III	147,000	-	147,000	-	147,000	-	-
<i>Subtotal, Acquisition and Development</i>		211,203	253,848	465,051	(35,356)	147,000	353,407	-
Historic Preservation	CDBG	325,150	-	325,150	41,776	44,794	238,580	-
	SF	-	147,682	147,682	35,468	67,255	44,959	-
<i>Subtotal, Historic Preservation</i>		325,150	147,682	472,832	77,244	112,049	283,539	-
Public Facilities	CDBG	754,038	-	754,038	231,466	-	522,572	-
	SF	-	174,011	174,011	134,977	500	38,534	-
	EDI II	-	-	-	-	-	-	-
<i>Subtotal, Public Facilities</i>		754,038	174,011	928,049	366,443	500	561,106	-
Parking Facilities	CDBG	426,397	-	426,397	-	4,704	421,693	2,170
	SF	-	30,213	30,213	31,758	-	(1,545)	-
<i>Subtotal, Parking Facilities</i>		426,397	30,213	456,610	31,758	4,704	420,148	2,170
Subtotal, Commercial Revitalization		1,716,788	605,754	2,322,542	440,089	264,253	1,618,200	2,170
SMALL BUSINESS ASSISTANCE								
Community Development Bank	CDBG	-	150,000	150,000	125,000	25,000	-	6
Microenterprise Technical Assistance	CDBG	-	200,000	200,000	200,000	-	-	33
Neighborhood Commercial Mgmt	CDBG	235,308	-	235,308	-	-	235,308	-
	CDBG-RL	521,313	95,453	616,766	-	-	616,766	-
	Section 108	594,846	-	594,846	-	-	594,846	-
<i>Subtotal, NCMP</i>		1,351,467	95,453	1,446,920	-	-	1,446,920	-
Community Preservation & Revitalization	SF	-	-	-	-	-	-	-
Subtotal, Small Business Assistance		1,351,467	445,453	1,796,920	325,000	25,000	1,446,920	39
DEBT SERVICE								
ARCH Homeless Shelter, Debt Service	CDBG	67,389	-	67,389	-	-	67,389	-
	Local Funds**	-	-	-	-	-	-	-
<i>Subtotal, ARCH Homeless Shelter</i>		67,389	-	67,389	-	-	67,389	-
NCMP, Debt Service	CDBG	352,134	-	352,134	-	-	352,134	-
	Section 108	58,050	376,893	434,943	167,091	-	267,852	-
<i>Subtotal, NCMP</i>		410,184	376,893	787,077	167,091	-	619,986	-
East 11th and 12th Streets, Debt Service	CDBG	557,621	605,774	1,163,395	506,267	-	657,128	-
	CDBG-PI	-	56,000	56,000	56,000	-	-	-
	Section 108	47,954	536,263	584,217	233,507	-	350,710	-
<i>Subtotal, East 11th and 12th Streets</i>		605,575	1,198,037	1,803,612	795,774	-	1,007,838	-
Millennium Youth Center, Debt Service	CDBG	164,320	-	164,320	-	-	164,320	-
	Local Funds***	-	-	-	-	-	-	-
Subtotal, Debt Service		1,247,468	1,574,930	2,822,398	962,865	-	1,859,533	-
STIMULUS FUNDS								
<i>Homeless Prevention and Rapid-Rehousing Program</i>								
Financial Assistance	HPRP	-	-	-	-	-	-	-
Housing Relocation and Stabilization	HPRP	-	-	-	-	-	-	-
Data Collection and Evaluation	HPRP	-	-	-	-	-	-	-
Administration	HPRP	-	-	-	-	-	-	-
<i>Subtotal, HPRP</i>		-	-	-	-	-	-	-
<i>Community Development Block Grant - Recovery</i>								
East Austin Youth and Family Center	CDBG-R	-	-	-	-	-	-	-
Center for Economic Opportunity	CDBG-R	-	-	-	-	-	-	-
Afr. Amer. Cultural and Heritage Facility	CDBG-R	-	-	-	-	-	-	-
East Austin Sidewalks	CDBG-R	-	-	-	-	-	-	-
Administration	CDBG-R	-	-	-	-	-	-	-
<i>Subtotal, CDBG-R</i>		-	-	-	-	-	-	-
<i>Neighborhood Stabilization Program</i>	NSP	294,946	-	294,946	291,350	-	3,596	-
Subtotal, Stimulus		294,946	-	294,946	291,350	-	3,596	-
SUSTAINABLE COMMUNITIES INITIATIVE								
Colony Park Sustainable Community Initiative	CCG	2,923,396	-	2,923,396	157,879	563,925	2,201,592	-
Subtotal, Sustainable Communities Initiative		2,923,396	-	2,923,396	157,879	563,925	2,201,592	-
ADMINISTRATION								
	CDBG	76,001	1,338,568	1,414,569	1,339,388	-	75,181	-
	CDBG-PI	-	14,000	14,000	14,000	-	-	-
	HOME	25,757	242,918	268,675	242,918	-	25,757	-
	HOME-PI	-	57,886	57,886	57,886	-	-	-
	HESG	-	-	-	-	-	-	-
	HESG*	-	-	-	-	-	-	-
	HOPWA-3%	-	-	-	-	-	-	-
	HOPWA-7%	-	-	-	-	-	-	-
	HTF	-	177,234	177,234	149,402	-	27,832	-
	SF	92,858	2,501,306	2,594,164	2,433,773	37,950	122,441	-
Subtotal, Administration		194,616	4,331,912	4,526,528	4,237,367	37,950	251,211	-
TOTAL, Programs, Debt Service, and Admin Cost		31,590,253	29,829,222	61,419,475	26,333,117	6,904,073	28,182,285	12,281

*A Substantial Amendment to the FY2011-12 Action Plan was approved by HUD on July 27, 2012 for the Phase 2 Emergency Solutions Grant funding

** The FY 2012-13 Action Plan allocated \$526,541 in local funds for this activity, which was fulfilled through the City of Austin Debt Service Fund in FY 2012-13. The CDBG funding remaining in this activity will be reprogrammed, as indicated in the FY 2012-13 Action Plan. For more information on the funds reprogrammed in the FY 2012-13 Action Plan, see Exhibit on page 2-26.

***The FY 2012-13 Action Plan allocated \$633,738 in local funds for this activity, which was fulfilled through the City of Austin Debt Service Fund in FY 2012-13. The CDBG funding remaining in this activity will be reprogrammed, as indicated in the FY 2012-13 Action Plan. For more information on the funds reprogrammed in the FY 2012-13 Action Plan, see Exhibit on page 2.26.

CAPER Budget – Local Sources

Chapter 2: Financial Reports

FY 2012-13 CAPER BUDGET - LOCAL FUNDING SOURCES							
	Funding Source	FY 2012-13 Actual Beginning Balance	FY 2012-13 Appropriation	FY 2012-13 Total Amount Available	Expenditures	Encumbrance	FY 2012-13 Estimated Beginning Balance
HOMELESS/SPECIAL NEEDS ASSISTANCE							
Child Care Services	SF	-	110,120	110,120	102,426	7,694	-
Senior Services	SF	-	116,883	116,883	115,085	957	841
Youth Support Services	SF	-	27,023	27,023	4,500	22,523	-
Subtotal, Homeless/Special Needs Assistance		-	254,026	254,026	222,011	31,174	841
RENTER ASSISTANCE							
Tenant-Based Rental Assistance	SF	-	56,700	56,700	56,700	-	-
Architectural Barrier Removal Program	GO Bonds	283,945	-	283,945	225,582	58,363	-
Tenants' Rights Assistance	SF	-	63,699	63,699	24,290	39,409	-
Subtotal, Renter Assistance		283,945	120,399	404,344	306,572	97,772	-
HOMEBUYER ASSISTANCE							
Housing Smarts - Housing Counseling	SF	-	185,971	185,971	147,987	30,296	7,688
	HTF	-	-	-	-	-	-
Subtotal, Homebuyer Assistance		-	185,971	185,971	147,987	30,296	7,688
HOMEOWNER ASSISTANCE							
Homeowner Rehabilitation Loan Program	HTF	4,135	-	4,135	4,135	-	-
	HAF	-	-	-	-	-	-
Subtotal, HRLP		4,135	-	4,135	4,135	-	-
G.O. Repair! Program	GO Bonds	519,273	-	519,273	470,160	49,113	-
Home Repair! Program	GF-CIP	-	1,000,000	1,000,000	38,200	556,666	405,134
Holly Good Neighbor	AE	2,052,292	-	2,052,292	301,600	45,320	1,705,372
Subtotal, Homeowner Assistance		2,575,700	1,000,000	3,575,700	814,095	651,099	2,110,506
HOUSING DEVELOPER ASSISTANCE							
Rental Housing Development Assistance	UNO	241,482	55,265	296,747	-	-	296,747
	GF-CIP	-	5,400,000	5,400,000	2,250,000	-	3,150,000
	GO Bonds	3,806,790	-	3,806,790	1,796,412	132,204	1,878,174
	HTF	494,518	424,898	919,416	320,933	20,264	578,219
	HAF	-	-	-	-	-	-
Subtotal, RHDA		4,542,790	5,880,163	10,422,953	4,367,345	152,468	5,903,140
Acquisition and Development	GF-CIP	990,456	3,350,000	4,340,456	710,776	283,626	3,346,054
	GO Bonds	1,298,578	-	1,298,578	248,380	1,050,198	-
	HTF	675,703	-	675,703	616,331	59,372	-
	HAF	-	276,570	276,570	22,252	-	254,318
Subtotal, Acquisition and Development		2,964,737	3,626,570	6,591,307	1,597,739	1,393,196	3,600,372
Developer Incentive-Based Programs							
S.M.A.R.T. Housing	SF	-	113,728	113,728	89,835	-	23,893
	GF-CIP	8,806	-	8,806	8,000	806	-
Subtotal, Developer Incentive-Based Programs		8,806	113,728	122,534	97,835	806	23,893
Subtotal, Housing Developer Assistance		7,516,333	9,620,461	17,136,794	6,062,919	1,546,470	9,527,405
COMMERCIAL REVITALIZATION							
East 11th and 12th Streets Revitalization							
Historic Preservation	SF	-	147,682	147,682	35,468	67,255	44,959
Public Facilities	SF	-	174,011	174,011	134,977	500	38,534
Parking Facilities	SF	-	30,213	30,213	31,758	-	(1,545)
Subtotal, Commercial Revitalization		-	351,906	351,906	202,203	67,755	81,948
SMALL BUSINESS ASSISTANCE							
Community Preservation & Revitalization	SF	-	-	-	-	-	-
Subtotal, Small Business Assistance		-	-	-	-	-	-
ADMINISTRATION							
	HTF	-	177,234	177,234	149,402	-	27,832
	SF	92,858	2,501,306	2,594,164	2,433,773	37,950	122,441
Subtotal, Administration		92,858	2,678,540	2,771,398	2,583,175	37,950	150,273
TOTAL, Programs, Debt Service, and Admin Cost		10,468,836	14,211,303	24,680,139	10,338,962	2,462,516	11,878,661

CAPER Budget – By Funding Source

City of Austin Fiscal Year 2012-13 CAPER

Chapter 2: Financial Reports

CDBG

FY 2012-13 CAPER BUDGET - BY FUNDING SOURCES							
	Funding Source	FY 2012-13 Actual Beginning Balance	FY 2012-13 Appropriation	FY 2012-13 Total Amount Available	Expenditures*	Encumbrance	FY 2012-13 Estimated Beginning Balance
Community Development Block Grant*							
HOMELESS/SPECIAL NEEDS ASSISTANCE							
Child Care Services	CDBG	32,075	578,130	610,205	577,624	506	32,075
Senior Services		-	19,588	19,588	19,588	-	-
Youth Support Services	CDBG	-	181,177	181,177	172,920	-	8,257
Subtotal Homeless/Special Needs Assistance		32,075	778,895	810,970	770,132	506	40,332
RENTER ASSISTANCE							
Tenants' Rights Assistance	CDBG	-	225,030	225,030	224,250	780	-
Subtotal, Renters Assistance		-	225,030	225,030	224,250	780	-
HOMEBUYER ASSISTANCE							
Down Payment Assistance	CDBG	11,500	-	11,500	-	-	11,500
Subtotal, Homebuyer Assistance		11,500	-	11,500	-	-	11,500
HOMEOWNER ASSISTANCE							
Architectural Barrier Removal Program	CDBG	491,146	1,509,653	2,000,799	873,663	150,646	976,490
Emergency Home Repair Program	CDBG	-	1,000,000	1,000,000	804,971	188,032	6,997
Homeowner Rehabilitation Loan Program	CDBG	38,835	-	38,835	-	-	38,835
Subtotal, Homeowner Assistance		529,981	2,509,653	3,039,634	1,678,634	338,678	1,022,322
HOUSING DEVELOPER ASSISTANCE							
Rental Housing Development Assistance	CDBG	2,088,673	454,359	2,543,032	1,306,345	30,000	1,206,687
Acquisition and Development	CDBG	878,552	430,559	1,309,111	946,402	-	362,709
Subtotal, Housing Developer Assistance		2,967,225	884,918	3,852,143	2,252,747	30,000	1,569,396
COMMERCIAL REVITALIZATION							
East 11th and 12th Streets Revitalization							
Acquisition and Development	CDBG	64,203	253,848	318,051	(35,356)	-	353,407
Historic Preservation	CDBG	325,150	-	325,150	41,776	44,794	238,580
Public Facilities	CDBG	754,038	-	754,038	231,466	-	522,572
Parking Facilities	CDBG	426,397	-	426,397	-	4,704	421,693
Subtotal, Commercial Revitalization		1,569,788	253,848	1,823,636	237,886	49,498	1,536,252
SMALL BUSINESS ASSISTANCE							
Community Development Bank	CDBG	-	150,000	150,000	125,000	25,000	-
Microenterprise Technical Assistance	CDBG	-	200,000	200,000	200,000	-	-
Neighborhood Commercial Mgmt	CDBG	235,308	-	235,308	-	-	235,308
Subtotal, Small Business Assistance		235,308	350,000	585,308	325,000	25,000	235,308
DEBT SERVICE							
ARCH, Homeless Shelter, Debt Service	CDBG	67,389	-	67,389	-	-	67,389
NCMP, Debt Service	CDBG	352,134	-	352,134	-	-	352,134
East 11th and 12th Streets Revital., Debt Service	CDBG	557,621	605,774	1,163,395	506,267	-	657,128
Millennium Youth Center, Debt Service	CDBG	164,320	-	164,320	-	-	164,320
Subtotal, Debt Service		1,141,464	605,774	1,747,238	506,267	-	1,240,971
ADMINISTRATION							
	CDBG	76,001	1,338,568	1,414,569	1,339,388	-	75,181
TOTAL, CDBG Funding		6,563,342	6,946,686	13,510,028	7,334,304	444,462	5,731,262

*Reconciling project expenditures between federal and local systems is identified below.

The City issued the entries of the transactions to reverse the expenditures, (Repayment to cancel).	43,612.76
The City recorded entries that was unreimbursed expenses in FY2011-12. It is now reimbursed.	290.23
The City reimbursed the cost for Lead Match for rehabiliation, but it recorded in October 2013.	2,448.48
#	
#	
#	
	46,351.47

City of Austin Fiscal Year 2012-13 CAPER

Chapter 2: Financial Reports

CDBG PI and CDBG-RL

FY 2012-13 CAPER BUDGET - BY FUNDING SOURCE							
	Funding Source	FY 2012-13 Actual Beginning Balance	FY 2012-13 Appropriation	FY 2012-13 Total Amount Available	Expenditures*	Encumbrance	FY 2012-13 Estimated Beginning Balance
Community Development Block Grant - Program Income							
HOUSING DEVELOPER ASSISTANCE							
Rental Housing Development Assistance	CDBG-PI	-	-	-	-	-	-
DEBT SERVICE							
East 11th and 12th Streets, Debt Srv.	CDBG-PI	-	56,000	56,000	56,000	-	-
ADMINISTRATION							
	CDBG-PI	-	14,000	14,000	14,000	-	-
TOTAL, CDBG - Program Income		-	70,000	70,000	70,000	-	-
Funding Source: Community Development Block Grant - Revolving Loan Fund							
HOMEOWNER ASSISTANCE							
Homeowner Rehabilitation Loan Program	CDBG-RL	286,023	263,264	549,287	100,742	492	448,053
HOUSING DEVELOPER ASSISTANCE							
Acquisition and Development	CDBG-RL	-	50,781	50,781	50,781	-	-
SMALL BUSINESS ASSISTANCE							
Neighborhood Commercial Mgmt	CDBG-RL	521,313	95,453	616,766	-	-	616,766
TOTAL, CDBG - RL Funding		807,336	409,498	1,216,834	151,523	492	1,064,819

City of Austin Fiscal Year 2012-13 CAPER

Chapter 2: Financial Reports

HOME, HOME CO, and HOME CHDO

FY 2012-13 CAPER BUDGET - BY FUNDING SOURCE							
	Funding Source	FY 2012-13 Actual Beginning Balance	FY 2012-13 Appropriation	FY 2012-13 Total Amount Available	Expenditures*	Encumbrance	FY 2012-13 Estimated Beginning Balance
HOME Investment Partnership Grants							
RENTER ASSISTANCE							
Tenant-Based Rental Assistance	HOME	208,213	301,889	510,102	364,528	144,524	1,050
HOMEBUYER ASSISTANCE							
Down Payment Assistance	HOME	550,382	397,543	947,925	28,515	18,535	900,875
HOMEOWNER ASSISTANCE							
Homeowner Rehabilitation Loan Program	HOME	476,621	811,044	1,287,665	305,513	212,514	769,638
HOUSING DEVELOPER ASSISTANCE							
Rental Housing Development Assistance	HOME	3,427,804	-	3,427,804	2,572,513	758,715	96,576
	HOME (CHDO)	1,037,129	182,188	1,219,317	1,162,553	-	56,764
Subtotal, RHDA		4,464,933	182,188	4,647,121	3,735,066	758,715	153,340
Acquisition and Development	HOME	1,447,423	211,406	1,658,829	124,777	1,408,624	125,428
	HOME (CHDO)	86,341	182,189	268,530	41,682	-	226,848
Subtotal, Acquisition and Development		1,533,764	393,595	1,927,359	166,459	1,408,624	352,276
CHDO Operating Expenses Grants	HOME (CO)	125,854	100,000	225,854	39,929	15,698	170,227
Subtotal, Housing Developer Assistance		6,124,551	675,783	6,800,334	3,941,454	2,183,037	675,843
ADMII *Reconciling project expenditures between federal and							
	HOME	25,757	242,918	268,675	242,918	-	25,757
TOTAL, HOME Programs		7,385,524	2,429,177	9,814,701	4,882,928	2,558,610	2,373,163

*Reconciling project expenditures between federal and local systems is identified below.

The City has entries for closed projects. The cost will transfer out of HOME Fund.	95.06
The City has entries for cancelled. The cost will be reimbursed from sales of Astor Places.	7,969.09

Available Balance from PR27 Report on 11/1/12

8,064.15

City of Austin Fiscal Year 2012-13 CAPER

Chapter 2: Financial Reports

HOME PI

FY 2012-13 CAPER BUDGET - BY FUNDING SOURCE							
	Funding Source	FY 2012-13 Actual Beginning Balance	FY 2012-13 Appropriation	FY 2012-13 Total Amount Available	Expenditures*	Encumbrance	FY 2012-13 Estimated Beginning Balance
HOME Investment Partnership Grant - Program Income							
RENTER ASSISTANCE							
HOMEBUYER ASSISTANCE							
Down Payment Assistance	HOME-PI	-	512,079	512,079	512,079	-	-
Subtotal, Homebuyer Assistance		-	512,079	512,079	512,079	-	-
HOMEOWNER ASSISTANCE							
Homeowner Rehabilitation Loan Program	HOME-PI	-	51,095	51,095	51,095	-	-
Subtotal, Homeowner Assistance		-	51,095	51,095	51,095	-	-
HOUSING DEVELOPER ASSISTANCE							
Rental Housing Development Assistance	HOME-PI	-	3,748	3,748	3,748	-	-
Subtotal, RHDA		-	3,748	3,748	3,748	-	-
Acquisition and Development	HOME-PI	-	40,529	40,529	40,529	-	-
Subtotal, Acquisition and Development		-	40,529	40,529	40,529	-	-
Subtotal, Housing Developer Assistance		-	44,277	44,277	44,277	-	-
ADMINISTRATION							
	HOME-PI	-	57,886	57,886	57,886	-	-
Subtotal		-	57,886	57,886	57,886	-	-
TOTAL, HOME Programs - Program Income							
		-	665,337	665,337	665,337	-	-

*Reconciling project expenditures between federal and local systems is identified on page 2-13.

Available Balance from PR27 Report on 11/1/11

City of Austin Fiscal Year 2012-13 CAPER

Chapter 2: Financial Reports

ESG and HOPWA

FY 2012-13 CAPER BUDGET - BY FUNDING SOURCE							
	Funding Source	FY 2012-13 Actual Beginning Balance	FY 2012-13 Appropriation	FY 2012-13 Total Amount Available	Expenditures	Encumbrance	FY 2012-13 Estimated Beginning Balance
Emergency Solutions Grant							
HOMELESS/SPECIAL NEEDS ASSISTANCE							
Homeless Services/Shelter							
Shelter Operation and Maintenance	HESG	19,397	313,922	333,319	278,998	-	54,321
CDU Rapid Rehousing Services	HESG	-	65,912	65,912	64,488	-	1,424
Homeless Management Information System	HESG*	31,472	5,272	36,744	14,475	-	22,269
Housing Relocation & Stabilization	HESG*	132,218	231,747	298,053	130,804	-	167,249
Subtotal, HESG		183,087	616,853	734,028	488,765	-	245,263
HOMELESS/SPECIAL NEEDS ASSISTANCE							
Administration	HESG	42	44,671	44,713	44,419	-	294
Administration-Amendment	HESG*	-	-	-	-	-	-
Subtotal, Administration		42	44,671	44,713	44,419	-	294
TOTAL, ESG Funding		183,129	661,524	778,741	533,184	-	245,557
Housing Opportunities for Persons with AIDS							
HOMELESS/SPECIAL NEEDS ASSISTANCE							
Rent, Mortgage, and Utility Assistance	HOPWA**	-	78,232	78,232	74,772	-	3,460
Tenant-Based Rental Assistance	HOPWA**	-	551,169	551,169	483,636	-	67,533
Permanent Housing Placement	HOPWA**	-	23,742	23,742	21,097	-	2,645
Short Term Supportive Housing	HOPWA**	-	113,302	113,302	63,302	-	50,000
Transitional Housing Assistance	HOPWA	-	205,165	205,165	199,250	-	5,915
Supportive Services	HOPWA	-	95,602	95,602	95,602	-	-
Subtotal, Homeless Special Needs Assistance		-	1,067,212	1,067,212	937,659	-	129,553
HOMELESS/SPECIAL NEEDS ASSISTANCE							
Administration - Grantee 3%	HOPWA	-	33,007	33,007	32,761	-	246
Administration - Project Sponsor 7%	HOPWA	-	-	-	-	-	-
Subtotal, HOPWA Administration		-	33,007	33,007	32,761	-	246
TOTAL, HOPWA Funding		-	1,100,219	1,100,219	970,420	-	129,799
*A Substantial Amendment to the FY2011-12 Action Plan was approved by HUD on July 27, 2012 for the Phase 2 Emergency Solutions							
** \$776,445 in total was allocated for STRMU, PHP, STSH and TBRA programs in FY2011-12. The funds were internally transferred within those programs to adjust to community need, therefore showing differences between the expenditures and appropriations by program, however the total amount of funding allocated and spent for all Rent, Mortgage and Utility Assistance programs remains unchanged.							

City of Austin Fiscal Year 2012-13 CAPER

Chapter 2: Financial Reports

Section 108, EDI, Lead, CCG

FY 2012-13 CAPER BUDGET - BY FUNDING SOURCE							
	Funding Source	FY 2012-13 Actual Beginning Balance	FY 2012-13 Appropriation	FY 2012-13 Total Amount Available	Expenditures	Encumbrance	FY 2012-13 Estimated Beginning Balance
Section 108							
DEBT SERVICE							
NCMP, Debt Service	Section 108	652,896	376,893	1,029,789	167,091	-	862,698
East 11th and 12th Streets Revitalization	Section 108	47,954	536,263	584,217	233,507	-	350,710
TOTAL, Section 108 Funding		700,850	913,156	1,614,006	400,598	-	1,213,408
Economic Development Initiative (EDI III)							
COMMERCIAL REVITALIZATION							
East 11th and 12th Streets Revitalization (Previously: Façade Improvement Prg)	EDI III	147,000	-	147,000	-	147,000	-
Public Facilities	EDI II	-	-	-	-	-	-
TOTAL, EDI Funding		147,000	-	147,000	-	147,000	-
Lead Hazard Control Grant - Healthy Homes							
HOMEOWNER ASSISTANCE							
Lead Hazard Control Grant - Healty Homes	Lead	2,115,936	2,500,000	4,615,936	604,931	727,068	3,283,937
TOTAL, Lead Funding		2,115,936	2,500,000	4,615,936	604,931	727,068	3,283,937
Community Challenge Grant							
SUSTAINABLE COMMUNITIES INITIATIVE							
Colony Park Sustainable Community Initiative	CCG	2,923,396	-	2,923,396	157,879	563,925	2,201,592
TOTAL, Lead Funding		2,923,396	-	2,923,396	157,879	563,925	2,201,592

City of Austin Fiscal Year 2012-13 CAPER

Chapter 2: Financial Reports

SF and GO Bonds

FY 2012-13 CAPER BUDGET - BY FUNDING SOURCE							
	Funding Source	FY 2012-13 Actual Beginning Balance	FY 2012-13 Appropriation	FY 2012-13 Total Amount Available	Expenditures	Encumbrance	FY 2012-13 Estimated Beginning Balance
Sustainability Fund							
HOMELESS/SPECIAL NEEDS ASSISTANCE							
Child Care Services	SF	-	110,120	110,120	102,426	7,694	-
Senior Services	SF	-	116,883	116,883	115,085	957	841
Youth Support Services	SF	-	27,023	27,023	4,500	22,523	-
Subtotal Homeless/Special Needs Assistance		-	254,026	254,026	222,011	31,174	841
RENTER ASSISTANCE							
Tenant-Based Rental Assistance	SF	-	56,700	56,700	56,700	-	-
Tenants' Rights Assistance	SF	-	63,699	63,699	24,290	39,409	-
Subtotal, Renters Assistance		-	120,399	120,399	80,990	39,409	-
HOMEBUYER ASSISTANCE							
Housing Smarts - Housing Counseling	SF	-	185,971	185,971	147,987	30,296	7,688
HOUSING DEVELOPER ASSISTANCE							
Developer Incentive-Based Programs							
S.M.A.R.T. Housing™	SF	-	113,728	113,728	89,835	-	23,893
COMMERCIAL REVITALIZATION							
Ea *Reconciling project expenditures between federal and local systems identified on page 2-13.							
Historic Preservation	SF	-	147,682	147,682	35,468	67,255	44,959
Public Facilities	SF	-	174,011	174,011	134,977	500	38,534
Parking Facilities	SF	-	30,213	30,213	31,758	-	(1,545)
Subtotal, Commercial Revitalization		-	351,906	351,906	202,203	67,755	81,948
SMALL BUSINESS ASSISTANCE							
Community Preservation & Revitalization	SF	-	-	-	-	-	-
ADMINISTRATION							
	SF	92,858	2,501,306	2,594,164	2,433,773	37,950	122,441
TOTAL, Sustainability Fund		92,858	3,527,336	3,620,194	3,176,799	206,584	236,811
General Obligation (GO) Bonds							
RENTER ASSISTANCE							
Architectural Barrier Removal Program	GO Bonds	283,945	-	283,945	225,582	58,363	-
HOMEOWNER ASSISTANCE							
GO Repair! Program	GO Bonds	519,273	-	519,273	470,160	49,113	-
HOUSING DEVELOPER ASSISTANCE							
Rental Housing Development Assistance	GO Bonds	3,806,790	-	3,806,790	1,796,412	132,204	1,878,174
Acquisition and Development	GO Bonds	1,298,578	-	1,298,578	248,380	1,050,198	-
Subtotal, Housing Developer Assistance		5,105,368	-	5,105,368	2,044,792	1,182,402	1,878,174
TOTAL, GO Bonds		5,908,586	-	5,908,586	2,740,534	1,289,878	1,878,174

Chapter 2: Financial Reports
AE, GF-CIP, UNO, HTF, and HAF

FY 2012-13 ACTION PLAN BUDGET - BY FUNDING SOURCE							
	Funding Source	FY 2012-13 Actual Beginning Balance	FY 2012-13 Appropriation	FY 2012-13 Total Amount Available	Expenditures	Encumbrance	FY 2012-13 Estimated Beginning Balance
Austin Energy							
HOMEOWNER ASSISTANCE							
Holly Good Neighbor Program	AE	2,052,292	-	2,052,292	301,600	45,320	1,705,372
TOTAL, Austin Energy		2,052,292	-	2,052,292	301,600	45,320	1,705,372
University Neighborhood Overlay (UNO) Housing Trust Fund							
HOUSING DEVELOPER ASSISTANCE							
Rental Housing Development Assistance	UNO	241,482	55,265	296,747	-	-	296,747
TOTAL, UNO		241,482	55,265	296,747	-	-	296,747
Housing Trust Fund							
HOMEBUYER ASSISTANCE							
Housing Smarts	HTF	-	-	-	-	-	-
HOMEOWNER ASSISTANCE							
Homeowner Rehabilitation Loan Program	HTF	4,135	-	4,135	4,135	-	-
HOUSING DEVELOPER ASSISTANCE							
Rental Housing Development Assistance	HTF	494,518	424,898	919,416	320,933	20,264	578,219
Ac *Reconciling project expenditures between	HTF	675,703	-	675,703	616,331	59,372	-
ADMINISTRATION							
	HTF	-	177,234	177,234	149,402	-	27,832
TOTAL, Housing Trust Fund		1,174,356	602,132	1,776,488	1,090,801	79,636	606,051
Housing Assistance Fund							
HOUSING DEVELOPER ASSISTANCE							
Rental Housing Development Assistance	HAF	-	-	-	-	-	-
Acquisition and Development	HAF	-	276,570	276,570	22,252	-	254,318
TOTAL, Housing Assistance Fund		-	276,570	276,570	22,252	-	254,318
General Fund - Capital Improvement Program (GF-CIP)							
HOMEOWNER ASSISTANCE							
Home Repair! Program	GF-CIP	-	1,000,000	1,000,000	38,200	556,666	405,134
HOUSING DEVELOPER ASSISTANCE							
Rental Housing Development Assistance	GF-CIP	-	5,400,000	5,400,000	2,250,000	-	3,150,000
Acquisition and Development	GF-CIP	990,456	3,350,000	4,340,456	710,776	283,626	3,346,054
S.M.A.R.T. Housing™	GF-CIP	8,806	-	8,806	8,000	806	-
TOTAL, GF-CIP		999,262	9,750,000	10,749,262	3,006,976	841,098	6,901,188

Chapter 2: Financial Reports
Stimulus

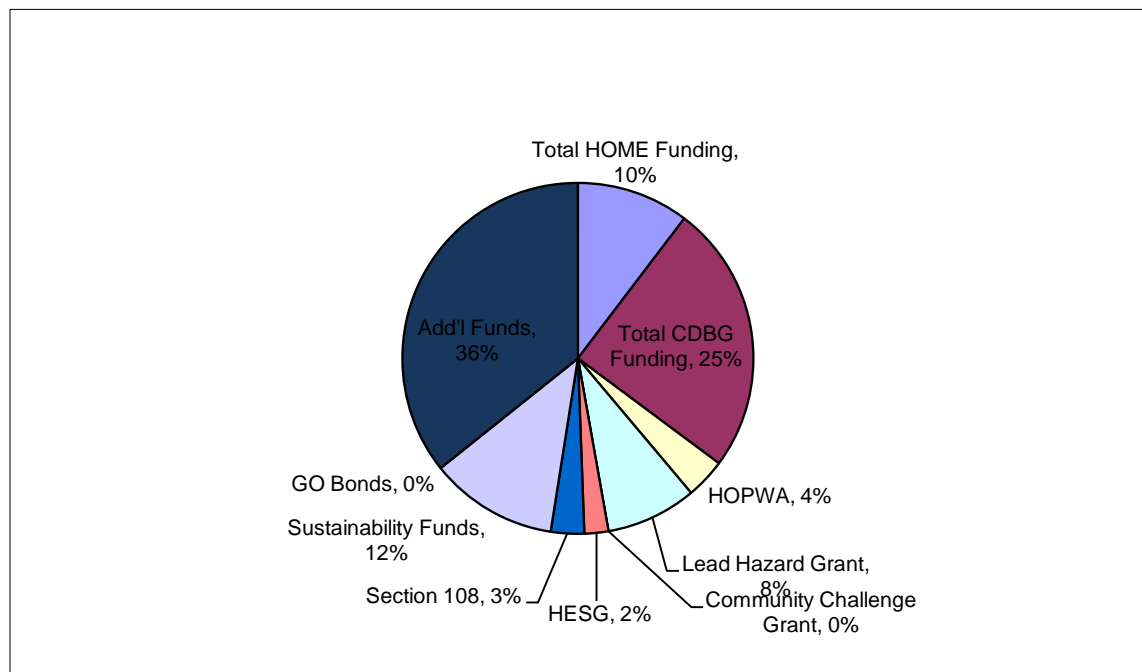
FY 2012-13 ACTION PLAN BUDGET - BY FUNDING SOURCE							
	Funding Source	FY 2012-13 Actual Beginning Balance	FY 2012-13 Appropriation	FY 2012-13 Total Amount Available	Expenditures	Encumbrance	FY 2012-13 Estimated Beginning Balance
Stimulus Funds							
Homeless Prevention and Rapid-Rehousing Program							
Financial Assistance	HPRP	-	-	-	-	-	-
Housing Relocation and Stabilization	HPRP	-	-	-	-	-	-
Data Collection and Evaluation	HPRP	-	-	-	-	-	-
Administration	HPRP	-	-	-	-	-	-
Subtotal, HPRP		-	-	-	-	-	-
Community Development Block Grant - Recovery							
East Austin Youth and Family Center	CDBG-R	-	-	-	-	-	-
Center for Economic Opportunity	CDBG-R	-	-	-	-	-	-
African-American Cultural Facility	CDBG-R	-	-	-	-	-	-
East Austin Sidewalks	CDBG-R	-	-	-	-	-	-
Administration	CDBG-R	-	-	-	-	-	-
Subtotal, CDBG-R		-	-	-	-	-	-
Neighborhood Stabilization Program	NSP	294,946	-	294,946	291,350	-	3,596
TOTAL, Stimulus		294,946	-	294,946	291,350	-	3,596

Chapter 2: Financial Reports

FY 2012-13 Total New Funds

	Funding Source	New Funds
Federal Funding	CDBG	\$6,946,686
	CDBG Line of Credit	-
	CDBG PI	\$70,000
	CDBG Rev. Loan	\$409,498
	CDBG-R	-
	HOME	\$1,964,800
	HOME PI	665,337
	HOME (CHDO)	\$364,377
	HOME (CO)	\$100,000
	HOPWA	\$1,100,219
	HESG	\$661,524
	Section 108	913,156
	EDI II	-
	EDI III	-
	Lead	2,500,000
	CCG	-
	NSP	-
	HPRP	-
Local Funding	Sustainability Funds	\$3,527,336
	GO Bonds	\$0
	Austin Energy	\$0
	UNO Housing Trust Fund	\$55,265
	GF-CIP	9,750,000
	HTF	\$602,132
	HAF	\$276,570
	Total New Funds	\$29,906,900

FY 2012-13 Total New Funds By Funding Source



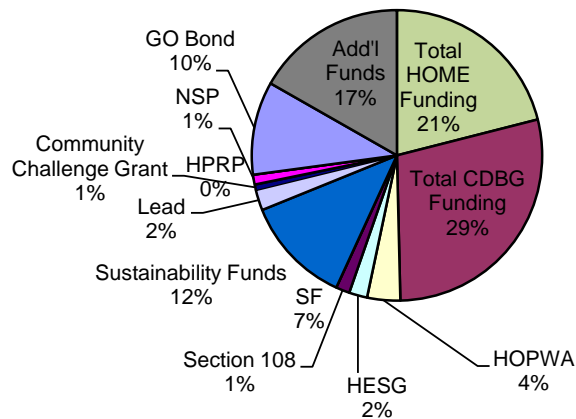
Note: The following funding sources are included in Add'l Funds: Austin Energy, UNO Housing Trust Fund, HTF, and HAF.

Chapter 2: Financial Reports

FY 2012-13 Total Expenditures

	Funding Source	Expenditures
Federal Funding	CDBG	\$7,334,304
	CDBG Line of Credit	-
	CDBG PI	\$70,000
	CDBG Rev. Loan	\$151,523
	CDBG-R	\$0
	HOME	\$3,638,764
	HOME PI	665,337
	HOME (CO)	39,929
	HOME (CHDO)	1,204,235
	HOPWA	\$970,420
	HESG	\$533,184
	Section 108	400,598
	EDI II	-
	EDI III	-
	Lead	\$604,931
	CCG	\$157,879
	NSP	\$291,350
	HRP	\$0
Local Funding	Sustainability Funds	\$3,176,799
	GO Bonds	\$2,740,534
	Austin Energy	\$301,600
	UNO Housing Trust Fund	\$0
	GF-CIP	\$3,006,976
	HTF	\$1,090,801
	HAF	\$22,252
Total Expenditures		\$26,401,416

FY 2012-13 Total Expenditures By Funding Source



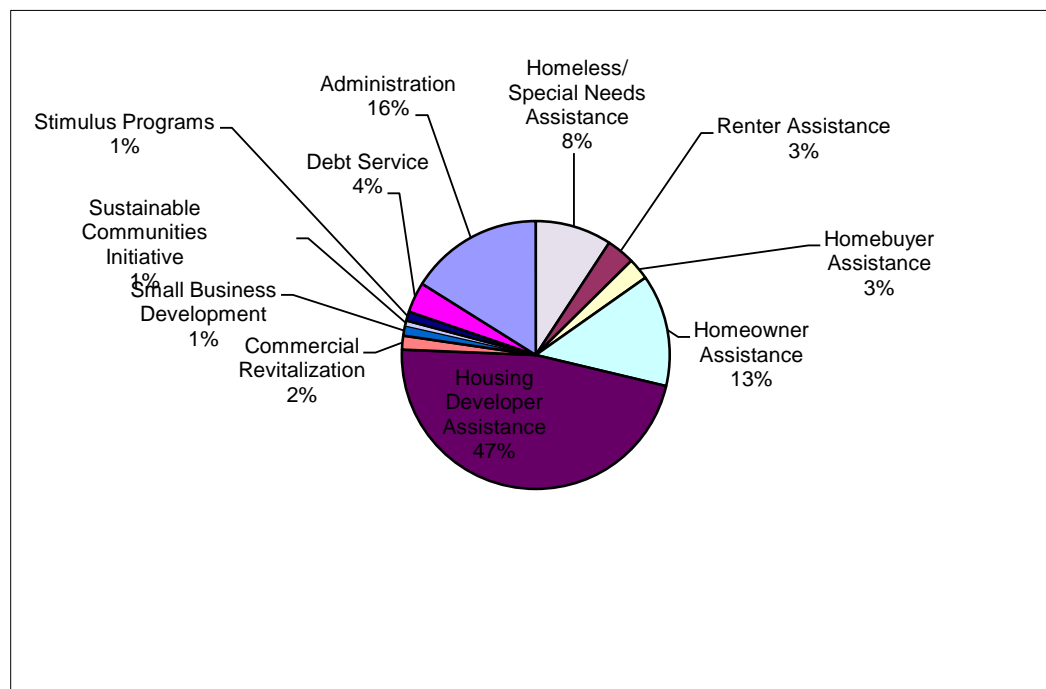
Note: The following funding sources are included in Add'l Funds: Austin Energy, UNO Housing Trust Fund, GF-CIP, HTF, and HAF.

Chapter 2: Financial Reports

FY 2012-13 Expenditures By Program

	Uses of Funds	Dollars Expended	Percent of Total
Programs	Homeless/Special Needs Assistance	\$2,427,448	80%
	Renter Assistance	\$895,350	
	Homebuyer Assistance	\$688,581	
	Homeowner Assistance	\$3,555,010	
	Housing Developer Assistance	\$12,352,178	
	Commercial Revitalization	\$440,089	
	Small Business Development	\$325,000	
	Sustainable Communities Initiative	\$157,879	
	Stimulus Programs	\$291,350	
Debt Service	Debt Service	\$962,865	4%
Administration	Administration	\$4,237,367	16%
Total Expenditures		\$26,333,117	100%

FY 2012-13 Expenditures By Program



Chapter 2: Financial Reports

Section 108

Version 2.0

				FINANCIAL DATA					
				Expenditures FY 2012-13					
Section 108 Project Number	Project Name	EDI or BEDI Grant Number (if applicable)	Have EDI or BEDI funds been drawn (Y/N)	108 Total Loan Amount	108 Amount Advanced Up To 9/30/12	108 Amount Expended FY 11-12	EDI or BEDI Grant Expended FY 11-12	CDBG Expended FY 11-12	Total Expended FY 11-12 (108+EDI+CDBG)
B-01-MC-48-0500-A	Neighborhood Commercial Management Program	N/A	N	2,000,000	1,472,657	0	0	0	0
B-01-MC-48-0500	Homeless Shelter	N/A	N	6,030,000	6,030,000	0	0	0	0
B 94-MC-48-0500	Millennium Youth Center	N/A	N	7,830,000	7,830,000	0	0	0	0
B-94-MC-48-0500-A	11th and 12th Streets Revitalization - Job Creation and Acquisition	N/A	N	9,035,000	9,035,000	0	0	0	0
B-05-MC-48-0500	Family Business Loan Program	N/A	N	3,000,000	0	70	0	0	70

ELIGIBILITY AND NATIONAL OBJECTIVE							JOBS			HOUSING	
Section 108 Project Number	Project Name	National Objective Code	IDIS Matrix Code	Is Activity Complete? (Y/N)	Has N.O. Been Met? (Y/N)	Presumed Low/ Mod Benefit (P) or Rev. Strategy Area (RSA)	FTE Jobs Est. in 108 Appl.	Total Actual FTE Jobs Created or Retained	Number of FTE Jobs Held by/ Made Avail. to Low/ Mod	Total Housing Units Assisted	Number of Units Occpd. by Low/ Mod Households
B-01-MC-48-0500-A	Neighborhood Commercial Management Program	LMJ	18A	Y	Y	P	57	53	53	0	0
B-01-MC-48-0500	Homeless Shelter	LMC	03C	Y	Y	P	N/A	0	0	0	N/A
B 94-MC-48-0500	Millennium Youth Center	LMA	03D	Y	Y	P	N/A	0	0	0	N/A
B-94-MC-48-0500-A	11th and 12th Streets Revitalization - Job Creation	LMJ	18A	Y	Y	P	0	2	2	0	0
B-94-MC-48-0500-A	11th and 12th Streets Revitalization - Acquisition	SBA	01	Y	Y	P	0	0	0	0	0
B-05-MC-48-0500	Family Business Loan Program	LMJ	18A	N	N	P	86	0	0	0	0

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Chapter 3: Federal Objectives

Federal Guideline - Identify progress in obtaining “other” public and private resources to address needs; how federal resources from HUD leveraged other public and private resources; and how matching requirements were satisfied.

Exhibit 3.1 displays the leveraging generated in FY 2012-13. Matching requirements are shown the HOME Match report and ESG Match report, found later in this Chapter.

Exhibit 3.1: FY 2012-13 Leveraging

Program	Fund Source	Units	Unit Funding	Leveraged
Units Leveraged That Received Federal Funds				
Acquisition and Development (A&D)	HOME/CDBG	21	\$1,404,726*	\$2,180,730
Down Payment Assistance (DPA)	HOME	19	\$540,594	\$2,220,704
Rental Housing Dev. Assist. (RHDA)	HOME/CDBG	14	\$1,096,817	\$1,196,840
Total-Federal Funded		51	\$3,042,137	\$5,598,274
Program	Fund Source	Units	Unit Funding	Leveraged
Units Leveraged That Received Local Funds				
Acquisition and Development (A&D)	GO Bond	6	\$244,897	\$610,000
Down Payment Assistance (DPA)	N/A	N/A	N/A	N/A
Rental Housing Dev. Assist. (RHDA)	GO Bond/HTF	143	\$2,968,975	\$7,856,483
Total-Non-Federal		149	\$3,213,872	\$8,466,483

** Amount includes \$994,729 of Neighborhood Stabilization Program (NSP) funding for 8 homes sold during FY 2012-13*

Chapter 3: Federal Objectives

Federal Guideline - Describe Other Actions in Strategic or Action Plan Taken to: Address obstacles to meet underserved needs.

City of Austin's Investment Plan

The City of Austin's Neighborhood Housing and Community Development (NHCD) Office launched the Investment Plan in FY 2009-10. This framework offers an array of housing, small business development programs and public services under seven priority categories: Homeless/Special Needs Assistance, Renter Assistance, Homebuyer Assistance, Homeowner Assistance, Housing Developer Assistance, Commercial Revitalization, Small Business Assistance, and Financial Empowerment. All of these activities in these categories propose to serve very-low, low-, and moderate-income households, meeting the definition of addressing obstacles to meet underserved needs.

The following Investment Plan activities are specifically focused on addressing obstacles to meet underserved needs:

Tenants' Rights Assistance

The City continued to support the Austin Tenants' Council (ATC) through the Tenants' Rights Assistance program in FY 2012-13. Leveraged with HUD's Fair Housing Initiatives Program (FHIP) funds, ATC is able to provide an array of services to Austin's renters. Located in Central East Austin, ATC focuses efforts on educating the public about fair housing, and participates in over 30 outreach events/efforts annually. ATC provided services to 528 households in FY 2012-13.

Tenant-Based Rental Assistance (TBRA)

The Tenant-Based Rental Assistance (TBRA) Program provides rental housing subsidies and security deposits to eligible households who might otherwise be homeless. AHFC oversees the contracts with the TBRA sub recipients: Housing Authority of the City of Austin and the Salvation Army. The Comprehensive Housing Market Study, commissioned by the City of Austin in 2009, identified very low-income renters as one of the most underserved populations. The report found that

Chapter 3: Federal Objectives

there is a tremendous need for rental housing affordable to those earning 0-30 percent of the area's MFI - just one in six renters earning less than \$20,000 can find affordable housing in Austin. In FY 2012-13, 194 individuals were assisted through TBRA, 105 through HOME funded programs.

Architectural Barrier Removal (ABR) Program

The Architectural Barrier Removal (ABR) Program modifies the homes of seniors and persons with disabilities who have limited income and need their homes to be more accessible. These accessibility modifications help persons with disabilities remain in their homes longer and live with a greater degree of independence. All services are free to eligible persons. ABR Program services include: wheel chair ramps, handrails, door widening, buzzing or flashing devices (for people with visual/hearing impairment), accessible door and faucets handles, shower grab bars and shower wands, and accessible showers, toilets and sinks. In FY 2012-13, 73 households received accessibility modifications through the ABR Program – Renter/Owner.

Permanent Supportive Housing (PSH)

The Austin City Council passed Resolution No. 20100325-053 on March 25, 2010, directing the City Manager to give priority to federal and local funding to permanent supportive housing (PSH), and to develop a comprehensive strategy for the construction and operation of 350 permanent supportive housing (PSH) units over the next four years. The City's PSH efforts will primarily focus on chronic homelessness. To date, 343 units have been identified that will be operational by the end of 2014, with 206 units currently operational and occupied. City Council Resolution No. 20110310-025 directed the City Manager to create a Leadership Committee on PSH Finance to create a funding recommendation for the remainder of the 350 unit goal. The Committee has convened monthly in FY 2012-13, establishing both a funding model and providing the platform for new collaborative partnerships that have produced PSH units and assisted in the amending of various organizations' priorities that will help ensure more Housing First PSH units are created going forward.

Chapter 3: Federal Objectives

Federal Guideline - Describe Other Actions in Strategic or Action Plan Taken to: Foster and maintain affordable housing.

Expand Alternate Funding Sources

The City of Austin continued to seek opportunities in FY 2012-13 that could potentially increase and expand funding sources in an effort to foster and maintain affordable housing. NHCD's programs and services rely on local and federal funding sources to deliver many of the activities responsive to community priorities.

Create and Retain Affordable Housing

Through the Acquisition and Development (A&D), Rental Housing Development Assistance (RHDA) programs, and Developer Incentive-Based Programs NHCD increased the supply of affordable rental and homeownership opportunities that will benefit low- and moderate-income households. These programs together created 1,648 housing opportunities in FY 2012-13. For more detailed information on program accomplishments, see the Housing Activity tables in Chapter 5.

Home Repair Activities

Home Repair activities are a high priority and are considered critical for the City of Austin. These activities make units more accessible by alleviating life-threatening living conditions and health and safety hazards for low- and moderate-income homeowners.

NHCD administers the following home repair programs: Architectural Barrier Removal (ABR) Program – Renter/Owner, Emergency Home Repair (EHR), Homeowner Rehabilitation Loan Program (HRLP), G.O. Repair! Program and Holly Good Neighbor Program.

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Federal Guideline - Describe Other Actions in Strategic or Action Plan Taken to: Eliminate barriers to affordable housing.

Tenants' Rights Assistance/Fair Housing

The City continued to support the Austin Tenants' Council (ATC) through the Tenants' Rights Assistance program. Leveraged with HUD's Fair Housing Initiatives Program (FHIP) funds, ATC is able to provide an array of services to Austin's renters. The City's Fair Housing Office also provides assistance to residents who believe they have been discriminated against as it relates to housing. Austin's Fair Housing Office seeks the elimination of racial/ethnic discrimination, including the present effects of past discrimination, and the elimination of de facto racial/ethnic residential segregation.

Housing Smarts – Housing Counseling

The Housing Smarts program offers housing counseling class to residents who earn at or below of 80 percent of MFI. The classes are offered in English and Spanish. Housing Smarts has classroom curricula followed by one-on-one counseling sessions. The first is pre-purchase one-on-one counseling which provides counseling to potential homebuyers to assist in the home buying process. Post-purchase counseling which assists current homeowners with maintaining homeownership, including necessary education regarding foreclosure prevention. The last component of Housing Smarts is the train-the-trainer scholarship offered to community non-profits that want to address housing financial literacy issues in their individual communities. Those completing all nine hours of instruction will receive a certificate of completion that satisfies the homebuyer education component for many local mortgage lenders.

Down Payment Assistance (DPA)

The Down Payment Assistance (DPA) program provides deferred payment and forgivable, zero-interest loans to low- and moderate-income first-time homebuyers to assist them with the down payment and closing costs of their home purchase. The program is offered to households earning at or below 80 percent MFI and is available in two options:

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Option 1) The amount of assistance per household will not exceed \$10,000 per household. Loans are secured by a subordinate lien on the property that is non-assumable. The loan will be recaptured by the City if the household sells or rents the home, requests an equity loan, or transfers the property title before the end of the ten-year affordability period.

Option 2) DPA funds up to \$40,000, as a deferred payment, 30-year, zero-interest loan, with a shared-equity provision and a City of Austin "right of first refusal" provision. This DPA option is not forgivable. Loans are secured by a subordinate lien on the property that is non-assumable. The loan will be recaptured by the City if the household sells or rents the home, requests an equity loan, or transfers the property title before the end of the 30-year affordability period. Eligible income for DPA is at or below 80 percent of MFI.

S.M.A.R.T. Housing™

The City Council adopted the S.M.A.R.T. Housing™ Ordinance No. 000420-77 in April 2000 to encourage the development of reasonably priced, mixed-income housing units that meet accessibility standards. The S.M.A.R.T. Housing™ Ordinance ensures that new homes are Safe, Mixed-Income, Accessible, Reasonably Priced, and Transit-Oriented and meet the City's Green Building minimum-energy efficiency rating.

The policy stipulates that all S.M.A.R.T. Housing™ certified single-family ownership units meet visitability standards reflected in the City's Visitability Ordinance. All multi-family developments must comply with federal, state and local accessibility standards, and at least 10 percent of the multi-family housing units must be accessible. Developments that meet these and other prerequisites are given expedited review under the City's development process and reductions waivers for certain development and construction fees. S.M.A.R.T. Housing™ provides a vehicle to achieve neighborhood support for housing that serves low- and moderate-income residents by requiring applicants to meet with neighborhood organizations prior to filing an application for a zoning change. In addition, downtown developments may

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receive a density bonus without a zoning change by providing a percentage of affordable housing units or pay a fee-in-lieu to fund affordable housing in or near the downtown area.

Affordability Impact Statements (AIS)

Chapter 25-1, Article 15 of the City of Austin's S.M.A.R.T. Housing™ Ordinance requires an Affordability Impact Statement (AIS) on proposed ordinances, rules or processes that could impact housing affordability. As part of the S.M.A.R.T. Housing™ Ordinance, staff prepares an Affordability Impact Statement (AIS) for all proposed city code amendments and other changes to identify any potential impacts on housing affordability. This analysis ensures that the City Council and the community are informed on an amendment's potential impact on housing affordability. To date, the City of Austin has issued more than 160 Affordability Impact Statements (AIS). For more information on Austin's Affordability Impact Statements (AIS), visit www.austintexas.gov/departments/housing-developer-assistance.

Federal Guideline - Describe Other Actions in Strategic or Action Plan Taken to: Evaluate and reduce lead-based paint hazards.

The City's Lead Smart Program serves homes built prior to 1978 where children under 6 years of age live or spend a significant amount of time and who have tested positive for lead poisoning. The grant funding targets communities with the greatest need, specifically to households with a high incidence of lead poisoning and older rental housing.

The Lead Hazard Control Grant for the City of Austin expired in February 2011 so NHCD did not propose accomplishments for the LeadSmart Program in the City's FY 2012-13 Action Plan. However, NHCD, received notification in September 2011 that the City of Austin was awarded \$2.5 million through HUD's Lead Hazard Reduction Demonstration Grant. This funding has been used to identify and evaluate 180 homes for the presence of lead based paint hazards in eligible low-income rental and owner-occupied housing during the three-year grant period. This grant funding

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for the City of Austin will expire in October 2014. With this allocation of funding, the LeadSmart Program served 40 households in FY 2012-13.

In May of 2013, NHCD received notification that the City was awarded a Fiscal Year 2013 Lead-Based Paint Hazard Control grant in the amount of \$2.3 million dollars, and Healthy Homes Supplemental funding for \$200,000 dollars for a total grant award of \$2.5 million dollars. This funding allows the City to continue to remediate lead-based hazards in low- to moderate-income homes, but also allows for funding to address other household hazards such as mold, carbon monoxide, and pest management. This more holistic approach to remediate household hazards allows citizens with young children to remain in their homes and benefit from a healthier environment.

NHCD hired a Neighborhood Liaison in FY 2012-13 who works closely with City of Austin marketing experts and NHCD staff to market its housing repair and financial empowerment programs in an effort to identify eligible clients and increase program participation. The coordinator develops and evaluates short-term and long-range market planning/strategies to increase awareness of programs and develop department identity. In addition, the coordinator works with neighborhood associations, community leaders, and other interested groups with on-going projects. The outreach plan for FY 2012-13 included: promotion of LeadSmart stories and events to media outlets, postcards to residences, yard signs, and door-to-door canvassing to reach potential program participants and events. In FY 2012-13, staff participated in 128 events related to LeadSmart which included: booths at festivals, fairs, neighborhood centers; presentations; door-to-door canvassing; newspaper, radio and television advertising.

An informational brochure was created to highlight all home repair and financial empowerment programs. To view a copy of these documents in English or Spanish, see www.austintexas.gov/empower.

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Federal Guideline - Describe Other Actions in Strategic or Action Plan Taken to:
Ensure compliance with program and comprehensive planning requirements.

NHCD's Planning, Policy and Outreach (PPO) Division is responsible for overseeing the comprehensive planning and development of federal (5-Year Consolidated Plan, annual Action Plan, and CAPER) and local plans and reports. Program Divisions ensure compliance with all program and planning requirements, which includes an ongoing review of current program guidelines with the activities undertaken through these programs.

NHCD enhanced its departmental Regulatory Office in FY 2012-13 for additional coordination of monitoring and compliance for federally and locally funded activities. The PPO Division and the Regulatory Office work closely on issues relating to federal planning and reporting. All NHCD staff are required to be trained on federal and local requirements. All NHCD divisions are dedicated to technical assistance for compliance with HUD regulations.

Resale and Recapture

Cities receiving HOME funds are required to comply with a designated affordability period. The affordability period of any project is contingent upon the amount per unit subsidy received and may be five, ten, or fifteen years long. Participating Jurisdictions are required to utilize the recapture or resale provisions to ensure continued affordability and the wise stewardship of federal funds. The following NHCD programs use HOME funds to assist homebuyers, developers, or homeowners; thus, recapture and resale provisions are incorporated in its program guidelines: Down Payment Assistance (DPA), Homeowner Rehabilitation Loan Program (HRLP), and the Acquisition and Development (A&D). For more information on how each program utilizes these methods, please see specific project descriptions in Chapter 5: Housing Activity Tables.

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Resale

In cases where HOME funding was provided to the developer but not directly to the homebuyer, the resale method is used. The affordability period is enforced through a Restrictive Covenant signed by the homebuyer at closing and is recorded in the County Clerk's Official Public Records. The Restrictive Covenant spells out the length of the affordability period and the specific resale requirements that would have to be satisfied should the owner wish to sell the property prior to the end of the affordability period.

Recapture

Under a recapture provision, the HOME financial assistance generally must be repaid. This option allows the seller to sell to any willing buyer at any price; PJs can decide what proportion of net proceeds from the sale, if any, will go to the homebuyer and what proceeds will go to the PJ. Once the HOME funds are repaid, the property is no longer subject to any HOME restrictions. The funds may then be used for any other HOME-eligible activity.

Federal Guideline - Describe Other Actions in Strategic or Action Plan Taken to: Reduce the number of persons living below the poverty level.

All programs administered by the City of Austin aim to provide housing, community development, and small business development services to benefit eligible residents so they can have access to livable neighborhoods and increase their opportunities for self-sufficiency, which includes persons in poverty. HOPWA, ESG, CDBG, and HOME activities assist households that fall under the special populations category outlined in the FY 2009-14 Consolidated Plan.

Housing Opportunities for People with AIDS (HOPWA) Activities

The Austin/Travis County Health and Human Services Department (HHSD) administers all HOPWA activities for the City of Austin. These programs provide housing assistance for income-eligible persons living with HIV/AIDS and their families. The goals of these programs are to prevent homelessness and to support

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independent, self-sufficient living among persons living with HIV/AIDS. The services ensure clients have improved access to primary medical care and other supportive services. In FY 2012-13, HOPWA-funded programs provided services to a total of 463 individuals and their families.

Emergency Solutions Grant (ESG) Activities

The Austin/Travis County Health and Human Services Department (HHSD) administers all ESG activities for the City of Austin. These programs are designed to be the first step in a continuum of assistance to help clients quickly regain stability in permanent housing after experiencing a housing crisis and/or homelessness. ESG can also assist with the operational costs of the shelter facility, and for the administration of the grant. In FY 2012-13, ESG-funded programs provided services to a total of 6,293 individuals and their families.

Public Service Activities

The Austin/Travis County Health and Human Services Department (HHSD) and NHCD administer the public services contracts funded with CDBG funds. Public services offer supportive services to households with gross incomes less than 200 percent of Federal Poverty Guidelines. Childcare Services provides child care vouchers for homeless and near-homeless families and direct child care services for teen parents who are attending school. Youth Services provides access to holistic, wraparound services and support to youth designated as at-risk and their families. The program's three components provide different levels of intervention: school-based intensive services, community-based services, and summer camps. Senior Services offers services that prevent and protect seniors in becoming victims of financial exploitation.

The Tenants' Rights Assistance program is also a public service. This program provides an array of services to Austin's renters including, telephone counseling; face-to-face and in-house counseling; mediation services; intake testing; and referral of housing discrimination complaints.

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Federal Guideline - Describe Other Actions in Strategic or Action Plan Taken to: Overcome gaps in institutional structures and enhance coordination.

Imagine Austin: Interdepartmental Collaboration

The City of Austin recently released Imagine Austin a Comprehensive Plan that will guide how the City will grow over the next 30 years. Through the Household Affordability Priority Program three interdepartmental subgroups have formed:

1. Data and Research
2. Dedicated Revenue and Affordable Housing Tools
3. Regulatory Practices and Policies

These three subgroups will be closely examining their respective areas to ensure silos are broken down, and creative, collaborative, cross-cutting strategies are developed to foster and maintain affordable housing.

City of Austin – Interdepartmental Coordination

NHCD administers housing, community and economic development, as well as public facility programs which require interdepartmental coordination. Several City of Austin departments and entities coordinate efforts to provide program services and projects.

The City of Austin contracts with the Austin Housing Finance Corporation (AHFC) to develop affordable rental and homeownership opportunities and housing rehabilitation of owner-occupied homes. HHSD provides support to Austin residents living with HIV/AIDS and their families through the use of HOPWA grant funds. HHSD also provides assistance to help clients quickly regain stability in permanent housing after experiencing a housing crisis and/or homelessness using Emergency Solutions Grant (ESG) funds. HHSD and NHCD jointly administer several public service programs. The Economic Development Department (EDD) will foster small business expansions in low- and moderate-income neighborhoods to stimulate job creation through the Family Business Loan Program (FBLP).

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Numerous non-federally funded housing programs and activities offered by NHCD rely on the coordination of other City departments including: Austin Energy, Austin Water Utility, Budget Office, City Manager's Office, Code Compliance Department, Contract and Land Management Department, Government Relations, HHSD, Law Department, Office of Sustainability Office, Parks and Recreation Department, Planning and Development Review Department, Public Works, Solid Waste Services, and Watershed Protection. Below are initiatives and programs that require interdepartmental coordination:

Development Incentive Programs

The following regulating activities provide incentives for the inclusion of affordable housing or community/economic development.

- East 11th & 12th Streets Revitalization,
- Coordination with Planning and Development Review (PDR) on neighborhood, corridor and small area plans,
- Coordination with PDR, Code, Health and Human Services (HHSD), Economic Development Department (EDD), Transportation, Sustainability, and the Capital Planning Office (CPO) on the Imagine Austin Comprehensive Plan, Household Affordability Priority Program and implementation.

Redevelopment of Publicly-Owned Land

- Colony Park Sustainable Communities Initiative,
- Coordination with EDD on the redevelopment of Rebekah Baines Johnson (RBJ) Center, and
- Municipal Utility Districts (MUDs) developer agreements among other agreements noting affordable housing goals.

Home Repair and Other Initiatives

- Coordination with Austin Energy (AE) to administer the Holly Good Neighbor Program,

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- Coordination with Austin Water Utility (AWU) to administer the private lateral grant program which assists homeowners with repairs to deficient private wastewater lateral pipelines,
- Collaboration with Code Compliance utilizing a referral system to identify and address homes needing substantial and costly repairs, and
- Coordination with Austin/Travis County Health and Human Services Department (HHSD), Ending Community Homelessness Coalition (ECHO) and other key agencies for the Permanent Supportive Housing (PSH) strategy.

Federal Guideline - Describe Other Actions in Strategic or Action Plan Taken to: Enhance coordination between public and private housing and social services agencies.

Community Advancement Network (CAN)

CAN is a public/private partnership between 15 major community organizations that work to achieve sustainable social, health, educational, and economic outcomes for Austin and Travis County. The Issue Area Groups bring together stakeholders from throughout the community to collaborate with organizations that provide social services. CAN maintains a listserv of more than 3,500 community contacts, which is a key resource for NHCD's outreach efforts to provide information to the public, community non-profits and small businesses.

Ending Community Homelessness Coalition (ECHO)

The Ending Community Homelessness Coalition (ECHO) is charged with providing dynamic proactive leadership that engages policy makers and the community in ending homelessness. ECHO serves as the lead planning entity on homeless issues in Austin/Travis County. As part of this responsibility, ECHO coordinates and completes Austin's Continuum of Care (CoC) application and Community Plan to End Homelessness. ECHO also administers Austin's homeless count and survey, which is a HUD prerequisite for CoC funding. NHCD reviews the CoC application through the Certificate of Consistency process. NHCD and the Austin/Travis County Health and Human Services Department (HHSD) serve as representatives on the Continuum of

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Care (CoC) Independent Review Team. NHCD staff was actively engaged with ECHO throughout FY 2012-13. Staff attended ECHO's monthly meetings and provided briefings on activities achieving common goals, Permanent Supportive Housing (PSH) efforts, and the FY 2013-14 Action Plan process.

Leadership Committee on Permanent Supportive Housing Finance

The Leadership Committee on Permanent Supportive Housing Finance includes designated representatives from: the City of Austin, Ending Community Homelessness Coalition (ECHO), Travis County, Seton Hospital Network, the St. David's Foundation, Central Health, Austin-Travis County Integral Care, the Housing Authority of the City of Austin (HACA), Housing Authority for Travis County (HATC), and the U.S. Department of Veterans Affairs. The Committee convened monthly in FY 2012-13, establishing both a funding model and providing the platform for new collaborative partnerships that have produced PSH units and assisted in the amending of various organizations' priorities that will help ensure more Housing First PSH units are created going forward.

Public Housing Authorities (PHA)

Regular contact and collaboration with local PHA officials ensures that the City housing programs are linked to the needs of public housing residents. NHCD contracts with the Housing Authority of the City of Austin (HACA).

Public-Private Partnerships

The City of Austin partners with for-profit and non-profit developers to preserve and create long-term affordability throughout the community.

Federal Guideline - Describe Other Actions in Strategic or Action Plan Taken to: Improve public housing and resident initiatives.

The City of Austin boundaries are served by two Public Housing Authorities (PHAs), the Housing Authority of the City of Austin (HACA) and the Housing Authority of Travis County (HATC). The agencies are not departments of the City or County,

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respectively, and work independently of the City of Austin and Travis County. The City of Austin is committed to continuing its partnership with the two local PHAs and efforts that improve and support public housing and resident initiatives. The City of Austin will continue to coordinate with both HACA and HATC on relevant projects and programs that meet the need for affordable housing for low to extremely low income families in Austin and Travis County.

Housing Authority of the City of Austin (HACA)

Created in 1937, the Housing Authority of the City of Austin (HACA) is a major provider of affordable housing for low-income families, persons with disabilities and seniors in the Austin area. HACA owns, operates and maintains 1,929 public housing units at 19 properties throughout Austin and administers more than 5,725 Housing Choice Vouchers through its regular voucher (5,253), Tenant Protection Voucher (3), Veterans Affairs Supportive Housing (270), Family Unification (85), Non-Elderly with Disabilities (36), Mainstream (59) and Hurricane Ike – Conversion (14) programs. HACA's Housing Choice Voucher department also administers three additional special voucher programs for homeless individuals and families as part of the Austin/Travis County Continuum of Care. The Tenant Based Rental Assistance (TBRA), Single Room Occupancy (SRO) and Shelter Plus Care programs serve approximately 210 homeless individuals and families with disabilities with housing and supportive services. HACA continually seeks opportunities to participate in specialized voucher programs through the U.S. Department of Housing and Urban Development.

HACA continues to be successful in moving families toward self-sufficiency with 86 families who have become homeowners through its down payment assistance program. The program provides qualified families participating in the Public Housing or Housing Choice Voucher programs with a \$10,000 forgivable loan to be applied towards the down payment on a new or existing home. If the applicant meets all

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program criteria for the first five years, then the loan is forgiven. Through its subsidiary, Austin Affordable Housing Corporation (AAHC), HACA's Six Star program provides another alternative for those who are ready to move out of public housing, but have not met all the criteria to purchase a home. The Six-Star program allows residents to reside at one of AAHC's apartment homes at a rate lower than the fair market rental rate. The rent amount increases slightly over the course of the three year program, until the fair market rent is reached. The Six Star program allows participants to continue to learn financial management and work toward goals that will support sustainable economic and housing self-sufficiency.

HACA implements two programs designed to assist residents in achieving self-sufficiency. The Public Housing and Housing Choice Voucher Family Self-Sufficiency (FSS) programs provide service coordination to residents and maintain and grow community partnerships that link individuals and families to necessary supports. Services that are coordinated include: life skills training; adult basic education and literacy services; workforce development/job training; job counseling and placement; financial literacy; housing counseling and homeownership preparation; parenting classes; health and wellness classes; youth educational success programs; childcare; transportation; and connection to public benefit programs that temporarily help families as they move toward self-sufficiency. Similar to an Individual Development Account (IDA), residents in the FSS program maintain an escrow account that accrues funds when the resident has an increase in earned income. At the end of the FSS participants' five-year contract of participation, the funds are disbursed and can be used toward education, homeownership, debt repayment or other approved items supporting self-sufficiency goals. HACA's Public Housing Resident Opportunity and Self-Sufficiency (ROSS) program is a stepping stone for the FSS program that provides connection to similar services and allows participants to complete individual goals through modules that build upon one another. Modules include: Adult Basic

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Education, GED Attainment, Employment, Post-Secondary Education, Financial Management, Parent Leadership, Homeownership and Youth Leadership. The ROSS program also coordinates Youth Educational Success services for school aged children. The FSS and ROSS programs allow participants to design individual training and service plans that meet their unique needs.

HACA continuously seeks HUD grants and other grants for supportive service programming and capital improvements and to grow the range of services it can offer to residents. In May 2012, HACA partnered with the City of Austin to submit a Choice Neighborhoods Initiative Planning Grant application to HUD for the redevelopment of HACA's Rosewood Courts property and the implementation of improvements in the surrounding neighborhood. The Austin Housing Finance Corporation (AHFC) supported the application as a co-applicant and Camiros Ltd. was selected by HACA as the planning coordinator. On October 15, 2012, HACA was one of 17 communities nationwide that was awarded a \$300,000 planning grant through this initiative. NHCD contributed \$75,000 in match funding for the project, made available through sustainability funds previously programmed for revitalization and preservation efforts.

The goals of HUD's Choice Neighborhoods Program are tri-fold: Housing – transform or replace distressed public or assisted housing with high-quality, energy-efficient mixed-income housing that is well-managed, physically and financially viable over the long-term and responsive to the needs of the surrounding neighborhood; People – improve educational, health, safety and employment outcomes and intergenerational mobility for youth and families who live in the target development(s) and the surrounding neighborhood through the delivery of and connection to supportive services; and Neighborhood – create the conditions necessary for public and private reinvestment in distressed neighborhoods to offer the kinds of amenities and assets

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that are important to families' choices about their community, including: safety, good schools, high quality early learning programs, an array of educational offerings, accessible public transportation, and improved access to training, jobs and employment options.

One of HACA's oldest properties, Rosewood Courts was built in 1939. The barrack-style, cinder block construction no longer provides a comfortable living environment for residents. The units have no air conditioning, steep and narrow stairwells, very small bedrooms and living spaces that require modern upgrades, and utilities and connections that do not meet current building, life safety, or accessibility codes. The terraced and sloping topography of the site makes it difficult for residents to maneuver, particularly those with physical disabilities and the elderly. Rosewood Courts was one of the first public housing projects built in the United States. In association with Austin's early history of segregation, Rosewood Courts been noted as the first African-American property in the nation, and plays an important role in the history of America's public housing. Nonetheless, the property's buildings are not well suited to meet the needs of today's residents. HACA's overarching goal in the development of the housing component of its Choice Neighborhoods Plan is to simultaneously honor the historical and cultural significance of Rosewood Court's history and provide a suitable living environment for modern families.

Since the beginning of the Rosewood Choice Neighborhoods planning process, four community meetings and multiple task force and resident meetings have been held to look at neighborhood assets, challenges, housing and neighborhood vision and conceptual land use approaches. The meetings have provided an opportunity for Rosewood Courts residents, neighborhood residents and a variety of stakeholders to provide input on plans for the redevelopment of Rosewood Courts and prioritization of supportive services and critical community improvements. Approved by HACA's

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Board of Commissioners in September 2013, HACA is now beginning to work with its selected development team - McCormack Baron Salazar, to assess feasible housing approaches at Rosewood Courts. HACA continues to work with its task force and work groups to develop initiatives in the areas of health and wellness, children and family, self-sufficiency and business and community that will positively impact the highest needs families in the planning area.

Due to ongoing federal budget cuts and the implications of those cuts for public housing authorities across the country, HACA will review HUD's Rental Assistance Demonstration (RAD) to determine whether this program can be of assistance in the long-term preservation of its public housing stock. Specifically, HACA will review the RAD program to determine if this program may allow HACA the ability to address needed capital improvements and additional amenities, including safety improvements, air conditioning, electrical and plumbing system upgrades, interior and exterior renovations, site enhancements, and grounds and landscaping for greater accessibility for persons with disabilities and others with special needs. HACA will continue to look at all available opportunities to preserve and maintain its Public Housing stock, and further its mission to provide safe, decent, and affordable housing

Housing Authority of Travis County (HATC)

The Housing Authority of Travis County (HATC) was created in 1975 as an administrator of two HUD housing programs, the Housing Choice Voucher Program and Public Housing. HATC administers 8 housing services programs, the largest of which is 566 units of Housing Choice Vouchers, with approximately 800 individuals and families on a waiting list to receive a voucher. HATC receives a Shelter Plus Care grant for 95 units to provide assistance for homeless individuals and families in the Austin Travis County Metropolitan area, as well as inter-local agreements with two

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other counties that allow for services in those areas. HATC owns and manages 105 units of public housing in Austin, 33 units of non-HUD affordable housing for seniors in Manor, and 16 affordable duplex units for families in Del Valle, TX. An affiliate of HATC, the Strategic Housing Finance Corporation, operates three tax credit/bond financed multifamily affordable housing developments in Austin: two elderly developments providing 278 units and a multi-family development with 192 units. HATC is a partner in the Permanent Supportive Housing (PSH) Leadership Council to assist in developing a financial model for a multi-jurisdictional solution to fund PSH in Austin.

Community Development Commission

The Community Development Commission (CDC) advises the Austin City Council in the development and implementation of programs designed to serve low-income residents and the community at large, with an emphasis on federally-funded programs. The CDC is comprised of 15 members; seven members are elected through a neighborhood-based process and are appointed by the Austin City Council. The CDC also oversees the Community Services Block Grant (CSBG) program managed by HHSD. CSBG regulations require 15 members including representatives from geographic target areas: Colony Park, Dove Springs, East Austin, Montopolis, Rosewood-Zaragosa/Blackland, St. Johns, and South Austin.

The CDC deliberates and provides recommendations to Council on the annual Action Plans and five-year Consolidated Plans. It is also required by state law to approve the Community Services Block Grant (CSBG) budget and monitor the program. These actions include public hearings where testimony gathered from the public for consideration.

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Community Development Commission Housing Committee

The CDC established a CDC Housing Committee that explores in depth policy issues related to affordable housing, community development and other issues as assigned to the committee by the CDC. In FY 2012-13 the Housing Committee continued to deliberate and make recommendations for the full CDC many of the issues were then referred to the City Council.

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Federal Requirement: The annual report will include a comparison of the proposed versus actual outcomes for each outcome measure submitted with the consolidated plan and explain, if applicable, why progress was not made toward meeting goals and objectives.

Exhibit 3.2 is a summary of the FY 2012-13 accomplishments according to each outcome performance measure. For more detailed information about these programs, including an explanation about progress towards meeting goals and objectives, see Chapter 5.

Outcome Statement: Accessibility for the purpose of creating suitable living environments.

Program	FY	FY 2012-13 Proposed Goal	FY 2012-13 Accomplishment	Percent Completed
Shelter Operation and Maintenance (ARCH)	FY 2012-13	8,500	6,097	72%
Housing Relocation and Stabilization Services	FY 2012-13	212	248	117%
Child Care Services	FY 2012-13	269	227	84%
Senior Services	FY 2012-13	208	236	113%
Youth Support Services	FY 2012-13	138	159	115%
Tenant's Rights Assistance	FY 2012-13	428	528	123%
Architectural Barrier Removal Program - Rental	FY 2012-13	0*	20	N/A
Architectural Barrier Removal Program - Owner	FY 2012-13	111	53	48%
Housing Smarts - Housing Counseling	FY 2012-13	0*	302	N/A

Outcome Statement: Accessibility for the purpose of providing decent housing.

Program	FY	FY 2012-13 Proposed Goal	FY 2012-13 Accomplishment	Percent Completed
Permanent Housing Placement Services	FY 2012-13	38	47	124%
Tenant-Based Rental Assistance	FY 2012-13	68	105	154%
Down Payment Assistance	FY 2012-13	15	19	127%
Rental Housing Development Assistance	FY 2012-13	13	156	1200%**
Acquisition and Development	FY 2012-13	4	27	675%**

Outcome Statement: Accessibility for the purpose of creating economic opportunities.

Program	FY	FY 2012-13 Proposed Goal	FY 2012-13 Accomplishment	Percent Completed
Community Development Bank	FY 2012-13	6	6	100%
Family Business Loan Program	FY 2012-13	20	4	20%
Individual Development Accounts	FY 2012-13	68	0	0%

*Goals were not established for the activity in the FY 2012-13 Action Plan because the program was funded with local funds which had not yet been appropriated at the time the FY 2012-13 Action Plan was published.

**Goal exceeded substantially as numerous developments were completed this fiscal year that were funded in previous years.

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Outcome Statement: Affordability for the purpose of providing decent housing.

Program	FY	FY 2012-13 Proposed Goal	FY 2012-13 Accomplishment	Percent Completed
Short-Term Rent, Mortgage, and Utility Assistance	FY 2012-13	199	127	64%
HOPWA - Tenant-Based Rental Assistance	FY 2012-13	100	89	89%
Short-Term Supportive Housing	FY 2012-13	45	100	222%
Transitional Housing	FY 2012-13	49	50	102%
Supportive Services	FY 2012-13	49	50	102%
CHDO Operating Expenses Grants	FY 2012-13	4	1	25%
Developer Incentive-Based Program	FY 2012-13	0*	1465	N/A

Outcome Statement: Sustainability for the purpose of creating suitable living environments.

Program	FY	FY 2012-13 Proposed Goal	FY 2012-13 Accomplishment	Percent Completed
Homeowner Rehabilitation Loan Program	FY 2012-13	10	3	30%
G.O. Repair! Program	FY 2012-13	26	32	123%
Lead Hazard Control Grant	FY 2012-13	60	29	48%
Holly Good Neighbor Program	FY 2012-13	0*	6	N/A

Outcome Statement: Sustainability for the purpose of providing decent housing.

Program	FY	FY 2012-13 Proposed Goal	FY 2012-13 Accomplishment	Percent Completed
Emergency Home Repair	FY 2012-13	475	406	85%

Outcome Statement: Sustainability for the purpose of creating economic opportunities.

Program	FY	FY 2012-13 Proposed Goal	FY 2012-13 Accomplishment	Percent Completed
Microenterprise Technical Assistance	FY 2012-13	33	33	100%
East 11th and 12th Streets Revitalization - Acquisition and Development*	FY 2012-13	0	0	N/A
East 11th and 12th Streets Revitalization - Historic Preservation*	FY 2012-13	0	1	N/A
East 11th and 12th Streets Revitalization - Public Facilities*	FY 2012-13	0	1	N/A
East 11th and 12th Streets Revitalization - Parking Facilities	FY 2012-13	2170	2170	100%

*Goals were not established for the activity in the FY 2012-13 Action Plan because the program was funded with local funds which had not yet been appropriated at the time the FY 2012-13 Action Plan was published.

**Goal exceeded substantially as numerous developments were completed this fiscal year that were funded in previous years.

Exhibit 3.3: Current Affordable Rental Housing

The affordable housing the City of Austin creates today brings years of affordable housing into the community. Each funding source, whether local or federal, has its own affordable guidelines. Each project targets different levels of affordability, serving households at or below 80 percent MFI. Affordable rental projects vary in scope and size. Community Housing Development Organizations (CHDOs) develop rental housing in neighborhoods throughout Austin. Private developers also create rental housing in Austin, with the help of city funds. Projects funded by the City often receive other financing, such as state funds, other federal funds, bond financing, and low-income housing tax credits. Exhibit 3.6 lists all of the affordable rental projects that have received city funds or S.M.A.R.T. Housing™ certifications and are currently affordable.

Note: Projects are listed in ascending order by affordability start date.

Project Number	Project Name	Address	EDI or BEDI Grant Expended FY 11-12	CDBG Expended FY 11-12	Total Expended FY 11-12 (10g+EDI+CDBG)	# Units Affordable	Affordability Start	Affordability End**
1	Guadalupe Neighborhood Development Corp. (GNDC)	1202 E. 7th St.	\$61,250	CDBG/HOME	1	1	1997	2014
2	Woodland Heights Apts	8312 N. IH-35	\$7,795,000	COA Bond	288	288	1997	2017
3	Rutland Place	1647-1711 Rutland Pl.	\$12,590,000	COA Bond	294	294	1998	2018
4	Cobblestone Court	2101 Davis Ln.	\$1,000,000	HOME/HTF	68	68	1998	2018
5	Stony Creek/Princeton	4911 Manchaca Rd.	\$9,660,000	COA Bond	222	222	1999	2019
6	GNDC	907 Spence St. (various)	\$100,000	CDBG	3	3	1999	2023
7	Village Green Apts	7224 N. East Dr.	\$6,500,000	COA Bond	200	200	2000	2020
8	Fairway Village Apts	6118 Fairway	\$3,523,000	COA Bond	128	128	2000	2020
9	Santa Maria Village Apts	8071 N. Lamar	\$3,315,000	COA Bond	176	176	2000	2020
10	South Congress Apts	2703 S. Congress Ave.	\$6,300,000	COA Bond	172	172	2000	2020
11	Springdale Apts	6415 Springdale	\$3,600,000	COA Bond	98	98	2000	2020
12	Southwest Trails	6300 Fletcher Ln.	\$7,500,000	HOME/COA Bond/HTF/LIHTC/SMART Housing	160	160	2000	2030
13	Fort Branch Landing	43201/2 Ed Bluestein	\$500,000	HOME/LIHTC	250	250	2000	2030
14	GNDC	1100 E. 10th (various)	\$100,000	HOME	4	4	2001	2021
15	VOA - Manor House	5905 Manor	\$260,960	HOME/SMART Housing	11	11	2001	2021
16	SafePlace	1515 Grove Blvd.	\$765,000	CDBG/HTF	40	40	2001	2021
17	Primrose of Shadow Creek	1022 Clayton Ln.	\$8,600,000	COA Bond/SMART Housing/LIHTC	176	176	2001	2031
18	Riverside Meadows	1601 Montopolis Dr.	\$11,700,000	COA Bond/SMART Housing/LIHTC	248	248	2001	2031
19	Blunn Creek Apts	607 Woodward St.	\$15,000,000	COA Bond/SMART Housing/LIHTC	280	280	2001	2031
20	Spring Valley Apts	2303 E. William Cannon Dr.		LIHTC/SMART Housing	230	173	2001	2031
21	Rosemont at Oak Valley	2600 Pleasant Valley	\$15,000,000	Travis County Bond/SMART Housing	248	248	2002	2022
22	Mary Lee Community - Cornerstone Apts	1322 Lamar Square Dr.	\$1,009,502	HOME/SMART Housing	30	30	2002	2022
23	Garden Terrace	1015 W. William Cannon Dr.	\$1,775,750	CDBG/HOME/SMART Housing	100	100	2002	2032
24	Villas on Cordoba	5300 Jimmy Clay		LIHTC/SMART Housing	156	93	2002	2032
25	Circle S. Apts	7201 S. Congress Ave.		LIHTC/SMART Housing	200	200	2002	2032
26	Oak Springs Villas	3001 Oak Springs Dr.	\$466,715	HTF/SMART Housing	56	56	2003	2023
27	Lyons Gardens	2720 Lyons Rd.	\$800,000	HOME/SMART Housing/HTF	54	54	2003	2025
28	Parker Springs	4601 E. St. Elmo Rd.		LIHTC/SMART Housing	35	35	2003	2033
29	Town Vista Multi-family Apts	2201 Montopolis Dr.		LIHTC/SMART Housing	280	280	2003	2033
30	Woodway Village	4600 Nuckols Crossing Rd.		LIHTC/SMART Housing	160	160	2003	2033
31	Woodway Square	1700 Teri Rd.		LIHTC/SMART Housing/Travis County Bond	240	240	2003	2033
32	Texan West Campus	2616 Salado		SMART Housing (UNO)	62	10	2004	2019
33	Grove Place Apts	1881 Grove Blvd.		LIHTC/SMART Housing	184	184	2004	2034
34	Heritage Point Senior Apts	1950 Webberville Rd.		LIHTC/Travis County Bond/SMART Housing	240	192	2004	2034
35	Rosemont at Williamson Creek	4509 E. St. Elmo Rd.		LIHTC/SMART Housing	163	130	2004	2034
36	Century Park Apts	2900 Century Park Blvd.		LIHTC/Travis County Bond/SMART Housing	240	240	2004	2034
37	Stassney Woods Apts	1800 E. Stassney	\$13,300,000	COA Bond	288	288	2004	2024
38	The Boulevard	1201 Grove Blvd.	\$181,717	CDBG/SMART Housing	120	6	2005	2015
39	Quarters at Cameron	2707 Rio Grande St.		SMART Housing (UNO)	64	6	2005	2020
40	Quarters at Montgomery	2700 Nueces		SMART Housing (UNO)	88	9	2005	2020
41	Quarters at Sterling	709 W. 22nd St.		SMART Housing (UNO)	100	10	2005	2020
42	Sterling University Student Housing	2704 Rio Grande St.		SMART Housing (UNO)	76	8	2005	2020

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Project Number	Project Name	Address	EDI or BEDI Grant Expended FY 11-12	CDBG Expended FY 11-12	Total Expended FY 11-12 (108+EDI+CDBG)	# Units Affordable	Affordability Start	Affordability End**
43	Blackland CDC	1700 MLK (various locations)	\$330,000	HOME	9	9	2005	2025
44	GNDC. (loan includes all addresses below)	809-B San Marcos St.	\$395,000	HOME CHDO	1	1	2005	2025
45		907-B Spence St.			1	1	2005	2025
46		1002 Wheelless			2	2	2005	2025
47		1009-B E. 10th St.			1	1	2005	2025
48		2320 Santa Rita St.			1	1	2005	2025
49		303 San Saba St.			1	1	2005	2025
50	Rosemont at Hidden Creek	9345 E. US Hwy 290		LIHTC/SMART Housing	250	250	2005	2035
51	Villas on Sixth	2011 E 6th		LIHTC/HTF/ SMART Housing	160	136	2005	2035
52	Riverchase Apts	2239 Cromwell Cir.	\$8,600,000	COA Bond	284	284	2005	2025
53	NMF VI	6607 & 7102 Guadalupe St.	\$180,000	CDBG	15	15	2006	2016
54	NMF VII	1507 W. 39 1/2 St.	\$250,000	CDBG	20	20	2006	2016
55	Spring Terrace SRO	7101 N. IH 35	\$2,000,000	HOME/SMART Housing/HTF	140	140	2006	2105
56	Blackland CDC (loan includes address below)	2008 Chicon St.	\$152,180	HOME CHDO/ CDBG	4	4	2007	2017
57		2201 Salina St.			1	1	2007	2017
58	Community Partnerships for the Homeless (loan includes addresses below)	2505 Village Trail Cir.	\$123,163	HOME	7	7	2007	2027
59		2407 S. 4th St.			3	3	2007	2027
60		7605 Elderberry			4	4	2007	2027
61	Community Partnerships for the Homeless (loan includes address below)	2014 Covered Wagon Pass	\$100,000	HTF	3	3	2007	2027
62		5611 Teri Rd.			4	4	2007	2027
63	CityView at the Park	2000 Woodward	\$299,000	HTF/SMART Housing/LIHTC/ HOME	70	68	2007	2047
64	GNDC- La Vista de Guadalupe Apts.	813 E. 8th St.	\$2,138,239	HOME CHDO	22	22	2007	2049
65	Skyline Terrace SRO	1212 W. Ben White Blvd.	\$3,516,850	HOME/HTF/GO Bond/SMART	100	100	2007	2106
66	Lifeworks Transitional Housing Project	3710 S. 2nd	\$300,000	HTF	6	6	2008	2028
67	Community Partnership for the Homeless	904, 908, 912, 916 Neal St.	\$500,000	HTF	6	6	2008	2049
68	United Cerebral Palsy Texas-Allandale Condos	7685 Northcross Dr.	\$500,000	HTF	10	10	2008	2049
69	AHA Carol's House	6607 & 7102 Guadalupe St.	\$140,810	GO Bond/HOME CHDO	1	1	2008	2107
70	Saint Louise House #1 (formerly Vincare Services of Austin)	address not disclosed	\$1,765,294	GO Bond	24	22	2008	2107
71	Austin Travis County MHMR Crisis Respite Center	6222 N. Lamar Blvd.	\$2,300,000	GO Bond	37	37	2009	2108
72	Crossroads Apts - Children's Home Initiative	8801 McCann Dr.	\$900,000	GO Bond	14	14	2009	2108
73	Pecan Springs Commons, Phase I	5802, 5804, 5805, 5807, 5809 and 5811 Sweeney Cir.	\$1,300,000	GO Bond/HOME	24	24	2009	2108
74	Saint Louise House #2	address not disclosed	\$1,500,000	GO Bond	24	24	2009	2108
75	Palms on Lamar (formerly Malibu Apts)	8600 N. Lamar Blvd.	\$3,000,000	LIHTC/GO Bond	476	215	2009	2108
76	Lydia Street Alley Flat	904-B Lydia St.	\$60,000	GO Bond/SMART Housing	1	1	2009	2108
77	Franklin Gardens	E.M. Franklin & MLK	\$1,000,000	GO Bond/SMART Housing	22	22	2009	2049
78	Elm Ridge Apts	1121 Harvey St.	\$2,500,000	GO Bond/LIHTC/COA Bond	130	130	2010	2109
79	East 15th Street Transitional Facility	403 E. 15th St.	\$2,013,175	CIP/GO Bond	24	24	2010	2050
80	Sierra Vista (formerly Shady Oaks Apts)	4320 S. Congress Ave.	\$3,000,000	LIHTC/GO Bond	238	143	2010	2109
81	The Ivy Condominiums	3400 Manchaca Rd.	\$494,740	GO Bond	8	8	2011	2051
82	Treaty Oaks Apts	3700 Manchaca Rd.	\$1,350,000	GO Bond/GO Bond PSH/HTF	47	47	2011	2110
83	1903 E. 20th St. Apts	1903 E. 20th St.	\$491,919	HOME CHDO	8	8	2011	2110
84	M Station	2096 E. MLK	\$2,000,000	LIHTC/GO Bond	150	89	2011	2110
85	Marshall Apts	1402 E. 12th St. & 1157 Salina St.	\$2,500,000	GO Bond/GO Bond PSH	100	100	2011	2110
86	The Willows	1330 Lamar Square Dr.	\$2,475,000	GO Bond	64	60	2011	2051
87	Retreat at North Bluff (formerly Village on Little Texas)	6204 Crow Ln.	\$2,940,000	GO Bond	240	50	2011	2110
88	Arbor Terrace (formerly Suburban Lodge)	2501 S. IH-35	\$2,000,000	CDBG/GO Bond PSH	120	120	2012	2111
89	Wildflower Terrace	RMMA Development	\$2,000,000	GO Bond/LIHTC	201	86	2012	2111
90	Blackshear Scattered Infill Rental Development	2412 Bryan; 1616 Harvard; 1705 A & B Rosewood Ave.; 1905 and 2503 E. 9th St.	\$572,500	GO Bond/HOME	6	6	2009	2108

Project Number	Project Name	Address	EDI or BEDI Grant Expended FY 11-12	CDBG Expended FY 11-12	Total Expended FY 11-12 (108+EDI+CDBG)	# Units Affordable	Affordability Start	Affordability End**
91	Super Co-Op (College Houses)	1905 Nueces St.	\$837,500	SMART Housing (UNO)	50	50	2009	2039
92	The Ivy Condominiums, Phase II	3204 Manchaca Rd.	\$624,898	HOME	10	10	2012	2111
	Totals		\$188,554,162		9,377	7,961		
Released from Monitoring Requirements								
1	Manor Circle Apts	2500-2502 Manor Cir.	\$36,900	HOME	8	8	1996	2001
2	Ebenezer Senior Village	1015 E 10th	N/A	N/A	12	12	1999	2004
3	Sandshof	6701-03 Sandsof Dr.	\$10,930	CDBG	2	2	1996	2006
4	Blackland CDC	2009 Salina (various)	\$99,000	HOME	11	11	2001	2006
5	Roosevelt Gardens	5606 Roosevelt Ave.	\$75,000	CDBG	24	24	1997	2007
6	Stonecreek Ranch Apts	333 Slaughter Ln.		SMART Housing	198	80	2002	2007
7	East 20th St. Apts	1803 E. 20th St.		SMART Housing	8	1	2002	2007
8	Villas on Guadalupe	2810 Hemphill Park		SMART Housing	150	15	2002	2007
9	Corporation for Affordable Housing	7315 Blessing Ave.	\$220,000	HOME	16	16	1997	2008
10	GNDC	914 E. 7th St.	N/A	N/A	6	6	2003	2008
11	Fountains of Austin	6300 S. Congress Ave.		SMART Housing	122	49	2003	2008
12	GNDC	1005 Lydia (various)	\$20,000	HOME	10	10	2003	2008
13	GNDC	1902 Willow St.	\$34,500	HOME	1	1	1998	2010
14	Eden Park	3129-3131 E. 12th St.	\$711,865	CDBG, RRP, HIP	10	10	1996	2011
15	Meadow Glen Apts	7418 Cameron Rd.	\$342,915	HOME, RRP, CDBG	40	40	1996	2011
16	St. Louise Transitional Housing & Supportive Services	700 Nelray	\$169,810	CIP	11	11	2003	2028*

* Funding Source: Projects may have additional funding sources.

**Affordability End: Affordability period requirements vary by funding source and/or individual project requirements.

+ Property was sold in 2009 and funds were repaid.

Chapter 3: Federal Objectives

Federal Guideline - Assessment of Relationship of CDBG Funds to Goals and Objectives. Assess use of CDBG funds in relation to the priorities, needs, goals, and specific objectives in the Consolidated Plan, particularly the highest priority activities.

See Five-Year Assessment of Progress in Chapter 1.

Federal Guideline - Evaluate progress made toward meeting goals for providing affordable housing using CDBG funds, including the number and types of households served.

See CDBG funding source table in Chapter 2 and the Housing and Community Development Activity Tables in Chapter 5.

Federal Guideline - Changes in Program Objectives. Identify nature of and reasons for any changes in program objectives and how the jurisdiction would change its program as a result of its experiences.

Program objectives remained consistent as outlined in the FY 2012-13 Action Plan. However Financial Empowerment was established as a priority in the City of Austin's Investment Plan beginning in FY 2012-13 with the Individual Development Account Program which incentivizes saving.

Federal Guideline - Assessment of Efforts in Carrying Out Planned Actions. Indicate how you pursued all resources indicated in the Consolidated Plan.

See Five-Year Assessment of Progress in Chapter 1 for the assessment of efforts to carry out outlined objectives from the FY 2009-14 Consolidated Plan. In addition to the formula grants received by HUD, NHCD utilized additional federal and local funds to assist with priorities outlined in the FY 2012-13 Action Plan. Additional funds included: Section 108 Loans and Stimulus Funds, Lead Hazard Control Grant, local Housing Trust Funds, Sustainability Funds, University Neighborhood Overlay (UNO)

Chapter 3: Federal Objectives

Funds, and General Obligation (G.O.) Bond funds for affordable housing initiatives. Additional local funds were secured in January 2013 when the Austin City Council appropriated \$10 million in funding for the construction of affordable housing through the General Fund - Capital Improvement Program (GF-CIP). Please see the Chapter 2 for detailed definitions of all funding sources.

Federal Guideline - Indicate how you provided certifications of consistency in a fair and impartial manner.

NHCD staff provided certificates of consistency to eight organizations in FY 2012-13. Each request was reviewed and assessed to determine consistency with the priorities outlined in the FY 2012-13 Action Plan and the City's overall FY 2009-14 Consolidated Plan. Recommendations are submitted to departmental leadership who make final determinations on certifications. Please see *Appendix III* for more information.

Federal Guideline - Indicate how you did not hinder Consolidated Plan implementation by action or willful inaction.

The City of Austin's FY 2012-13 CAPER identifies progress made on its affordable housing, community development, economic development and public services priorities outlined in the FY 2009-14 Consolidated Plan. This report reflects that the City did not hinder the implementation of its FY 2009-14 Consolidated Plan either by action or willful inaction.

NHCD provides the Community Development Commission (CDC) and the general public a summary of its monthly and quarterly production which showcases progress of all programs and services outlined on the NHCD Investment Plan. Detailed information related to demographics on clients served is also available quarterly.

Chapter 3: Federal Objectives

All NHCD/AHFC staff meet monthly to share production goals, accomplishments, and ideas for promoting programs. Summaries of NHCD's ongoing production results are available on NHCD's website www.austintexas.gov/department/housing.

Federal Guideline - Use of CDBG Funds for National Objectives -- If CDBG funds were not used exclusively for activities benefiting low/moderate persons, for slum/ blight activities, or to meet urgent community needs, explain why? If you did not comply with certification to expend no less than 70 percent of your CDBG funding during the specified period on activities that benefit low/moderate persons, explain why?

The use of CDBG funds must meet one of three national objectives: benefiting low- and moderate- income persons; preventing or eliminating slum or blight; and meeting urgent needs. All CDBG funds allocated by the City of Austin were used to benefit low- and moderate-income persons in FY 2012-13. No funds were used to serve a limited clientele not under the categories of presumed limited clientele low and moderate income. NHCD complied with the overall area benefit certification.

Federal Guideline - Anti-displacement and Relocation -- for activities that involve acquisition, rehabilitation or demolition of occupied real property: Steps actually taken to minimize the amount of displacement resulting from the CDBG-assisted activities.

NHCD administered programs that involved the acquisition, rehabilitation, or demolition of real property. In FY 2012-13, there were no displacements or relocations generated from its programs. All programs adhered to the certifications submitted in the FY 2012-13 Action Plan and were in accordance to applicable state and federal regulations related to displacement and relocation.

Federal Guideline - Steps taken to identify households, businesses, farms or nonprofit organizations who occupied properties subject to the Uniform Relocation Act or Section 104(d) of the Housing and Community Development

Chapter 3: Federal Objectives

Act of 1974, as amended, and whether or not they were displaced, and the nature of their needs and preferences.

There were no displacements or relocations in FY 2012-13. All programs adhered to the certifications submitted in the FY 2012-13 Action Plan and were in accordance to applicable state and federal regulations dealing with displacement and relocation.

Federal Guideline - Steps taken to ensure the timely issuance of information notices to displaced households, businesses, farms, or nonprofit organizations.

There were no displacements or relocations in FY 2012-13. All housing programs adhered to the certifications submitted in the City's in the FY 2012-13 Action Plan and are administered in accordance to applicable state and federal regulations connected to displacement and relocation.

Federal Guideline - Low/Moderate Job Activities -- for economic development activities undertaken where jobs were made available but not taken by low/moderate-income individuals: Actions taken by grantee and businesses to ensure first consideration was or will be given to low/moderate-income individuals. List by job title of all the permanent jobs created/retained and those that were made available to low/moderate individuals. If any jobs claimed as being available to low/moderate-income individuals require special skill, work experience, or education, a description of steps being taken or that will be taken to provide such skills, experience, or education.

The City of Austin utilized CDBG funds to help increase job opportunities to low- to moderate-income individuals in FY 2012-13. As a condition to receive financial assistance, businesses agree to provide a specific number of jobs to low/moderate-income individuals.

During FY 2012-13, job opportunities offered by the Community Development Bank program were available to low- to moderate-income persons. Six jobs were created

Chapter 3: Federal Objectives

during the program year, two office clerical and four operatives. These positions did not require special skills, work experience or education.

For information on the City's Section 3 Program and annual reports please see *Appendix VIII*.

Chapter 3: Federal Objectives

CDBG PROGRAM INCOME

Federal Guideline - Program income received. Amount of program income reported that was returned to each individual revolving fund, e.g., housing rehabilitation, economic development, or other type of revolving fund. Amount repaid on each float-funded activity. All other loan repayments broken down by the categories of housing rehabilitation, economic development, or other amount of income received from the sale of property by parcel.

The amount of program income from each property is determined by the source that funds the unit. Projects that received both CDBG and HOME will have program income realized by both sources.

Exhibit 3.4: CDBG Loan Repayment FY 2012-13

Neighborhood Commercial Management Program	\$95,452.65
Shared Equity A&D Loan	\$750.00
Rental Housing Development Assistance	\$1,500.00
Homeowner Rehabilitation Loan Program	\$263,263.83
Administration	\$14,000.04
Subtotal	\$374,966.52

Exhibit 3.5: CDBG Repayment FY 2012-13

A&D Loan	\$48,530.76
Former Anderson – A&D	\$253,847.73
Subtotal	\$302,378.49

Exhibit 3.6: CDBG Rental FY 2012-13

Public Facilities	\$55,999.92
Subtotal	\$55,999.92

Total	\$733,344.93
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Chapter 3: Federal Objectives

CDBG PRIOR PERIOD ADJUSTMENTS

***Federal Guideline* - Where reimbursement was made this reporting period for expenditures (made in previous reporting periods) that have been disallowed, provide the following information: Activity name and number as shown in IDIS.**

Program year(s) in which the expenditure(s) for the disallowed activity(ies) was reported. Amount returned to line-of-credit or program account. Total amount to be reimbursed and the time period over which the reimbursement is to be made, if the reimbursement is made with multi-year payments.

There are no CDBG prior period adjustments pending approval of repayment plan on the 11th & 12th Street properties.

LOANS AND OTHER RECEIVABLES

***Federal Guideline* - Principal balance for each float-funded activity outstanding as of the end of the reporting period and the date(s) by which the funds are expected to be received.**

The City of Austin has no float-funded activities.

***Federal Guideline* - Total number of other loans outstanding and the principal balance owed as of the end of the reporting period.**

As of September 30, 2012, the City of Austin, NHCD, has a total of 2,114 loans outstanding with a principal balance of \$120,555,785.02. These loans were the result of NHCD programs, including Down Payment Assistance, Home Rehabilitation Loan Program, East 11th and 12th Streets, Acquisition and Development, Neighborhood Commercial Management Program, and Rental Housing Development Assistance Program.

Chapter 3: Federal Objectives

Federal Guideline - List separately the total number of outstanding loans that are deferred or forgivable, the principal balance owed as of the end of the reporting period, and the terms of the deferral or forgiveness.

Exhibit 3.7: Loans

Type	Number of Loans	Total Loan Amount
Amortized	109	\$16,755,209.17
Deferred	1,637	\$25,311,167.97
Forgivable	368	\$78,489,407.88
<i>Total/ Principle Outstanding</i>	<i>2,114</i>	<i>\$120,555,785.02</i>

Terms of the deferral or forgiveness vary depending on the negotiated loan agreement. Typically, borrowers with deferred loans begin payment upon maturity of the loan. Forgiveness occurs upon maturity of the loan as long as all the terms of the agreement have been met. Loan terms range from five to thirty years.

Federal Guideline - Total number and amount of loans made with CDBG funds that have gone into default and for which the balance was forgiven or written off during the reporting period.

\$0.00 in CDBG funds became delinquent during FY 2012-13. No delinquent CDBG loans have been written off or forgiven this fiscal year.

Federal Guideline - A list of the parcels of property owned by the grantee or its subrecipients that have been acquired or improved using CDBG funds and that are available for sale as of the end of the reporting period.

Austin Habitat for Humanity

Austin Habitat for Humanity is building in Devonshire Village, a new single-family residential subdivision in the University Hills and Windsor Park neighborhoods in

Chapter 3: Federal Objectives

northeast Austin. This new community is situated on a 10-acre wooded parcel and will provide 43 home for low-income households. At the end of FY 2012-13 the following 14 homes sites were for sale in this development:

2414 Towbridge Circle
2508 Devonshire Drive
2506 Devonshire Drive
2502 Devonshire Drive
2412 Devonshire Drive
6313 North Hampton Drive
6313-E North Hampton Drive
6313-D North Hampton Drive
6313-C North Hampton Drive
2410-B Towbridge Circle
2410-A Towbridge Circle
2409 Towbridge Circle
6107 Devonshire Cove
2510 Devonshire Drive

Austin Housing Finance Corporation

In FY 2012-13, the Austin Housing Finance Corporation (AHFC) completed renovations on a single-family house located in the St. John's Neighborhood. The house will be sold to a low- to moderate-income buyer. The address is:

7212 Providence Avenue

Frontier at Montana

The Frontier at Montana single-family ownership subdivision is in the Montopolis neighborhood. In Frontier at Montana, the City has partnered with several non-profit housing builders to construct 81 homes that provide homeownership opportunities for households earning at or below 80 percent of MFI. Austin Habitat for Humanity completed 30 single-family homes in Frontier at Montana, and American YouthWorks has completed seven single-family homes, and will complete 2 more in 2013. AHFC completed the construction on 42 homes. Thirty homes completed by AHFC were

Chapter 3: Federal Objectives

secured using a shared equity model with a “right of first refusal” to preserve long-term affordability. AHFC completed the construction of 12 of the 42 homes using Neighborhood Stabilization Program (NSP) funds obtained from the Texas Department of Housing and Community Affairs (TDHCA). TDHCA offered 0 percent mortgages to qualified buyers with incomes of 50 percent MFI or below. The last 8 homes sold in FY 2012-13.

LUMP SUM AGREEMENTS

Federal Guideline -

- a. Name of the financial institution.**
- b. Date the funds were deposited.**
- c. Date the use of funds commenced.**
- d. Percentage of funds disbursed within 180 days of deposit in the institution.**

The City of Austin has no lump sum agreements.

NEIGHBORHOOD REVITALIZATION STRATEGIES

Federal Guideline - Neighborhood Revitalization Strategies – for grantees that have HUD-approved neighborhood revitalization strategies:

- **Progress against benchmarks, i.e. outputs and outcomes for the program year.**
- **For grantees with Federally-designated Enterprise Zones (EZ) or Enterprise Communities (EC) that received HUD approval for a neighborhood revitalization strategy, reports that are required as part of the EZ/EC process shall suffice for purposes of reporting progress.**

The City of Austin has no HUD-approved neighborhood revitalization strategies.

Chapter 3: Federal Reports

***Federal Guideline* - Assess the use of HOME funds in relation to the priorities, needs, goals, and specific objectives in the consolidated plan, particularly the highest priority needs.**

See Five-Year Assessment of Progress in Chapter 1.

***Federal Guideline* - Evaluate progress made toward meeting goals for providing affordable housing using HOME funds, including the number and types of households served.**

See Housing and Community Development Activity Tables in Chapter 5.

HOME Match Report

HOME Match Report

U.S. Department of Housing and Urban Development
Office of Community Planning and DevelopmentOMB Approval No. 2506-0171
(exp. 12/31/2012)

Part I Participant Identification			Match Contributions for Federal Fiscal Year 2013
1. Participant No. (assigned by HUD) 480264	2. Name of the Participating Jurisdiction City of Austin	3. Name of Contact (person completing this report) Nora Richardson	
5. Street Address of the Participating Jurisdiction 1000 East 11th Street, Suite 300		4. Contact's Phone Number (include area code) 512-974-3138	
6. City Austin	7. State TX	8. Zip Code 78702	

Part II Fiscal Year Summary

1. Excess match from prior Federal fiscal year	\$ 2,848,540.85	
2. Match contributed during current Federal fiscal year (see Part III.9.)	\$ 1,015,745.00	
3. Total match available for current Federal fiscal year (line 1 + line 2)		\$ 3,864,285.85
4. Match liability for current Federal fiscal year		\$ 594,090.34
5. Excess match carried over to next Federal fiscal year (line 3 minus line 4)		\$ 3,270,195.51

Part III Match Contribution for the Federal Fiscal Year

1. Project No. or Other ID	2. Date of Contribution (mm/dd/yyyy)	3. Cash (non-Federal sources)	4. Foregone Taxes, Fees, Charges	5. Appraised Land/ Real Property	6. Required Infrastructure	7. Site Preparation, Construction Materials, Donated labor	8. Bond Financing	9. Total Match
Prior Year MF Bonds	10/01/2007						\$ 4,601,273.04	\$ 148,522.59
908 W 21ST ST	09/30/2013		\$ 1,620.00					\$ 1,620.00
505 W 22ND ST L01D East	09/30/2013		\$ 3,860.00					\$ 3,860.00
505 W 22ND ST L07	09/30/2013		\$ 4,683.00					\$ 4,683.00
505 W 22ND ST L08	09/30/2013		\$ 3,045.00					\$ 3,045.00
505 W 22ND ST L09	09/30/2013		\$ 3,045.00					\$ 3,045.00
505 W 22ND ST L10	09/30/2013		\$ 4,060.00					\$ 4,060.00
505 W 22ND ST L11	09/30/2013		\$ 4,060.00					\$ 4,060.00
505 W 22ND ST L12	09/30/2013		\$ 3,045.00					\$ 3,045.00
505 W 22ND ST L13	09/30/2013		\$ 4,060.00					\$ 4,060.00
505 W 22ND ST L14	09/30/2013		\$ 3,045.00					\$ 3,045.00
505 W 22ND ST L15	09/30/2013		\$ 3,045.00					\$ 3,045.00

HOME Match Report

U.S. Department of Housing and Urban Development
Office of Community Planning and DevelopmentOMB Approval No. 2506-0171
(exp. 12/31/2012)

2. Name of the Participating Jurisdiction								Federal Fiscal Year 2013
City of Austin								
1. Project No. or Other ID	2. Date of Contribution (mm/dd/yyyy)	3. Cash (non-Federal sources)	4. Foregone Taxes, Fees, Charges	5. Appraised Land/ Real Property	6. Required Infrastructure	7. Site Preparation, Construction Materials, Donated labor	8. Bond Financing	9. Total Match
505 W 22ND ST L16	09/30/2013		\$ 3,045.00					\$ 3,045.00
505 W 22ND ST L17	09/30/2013		\$ 3,045.00					\$ 3,045.00
505 W 22ND ST Shell	09/30/2013		\$ 67,735.00					\$ 67,735.00
510 W 26TH ST L-2	09/30/2013		\$ 1,059.00					\$ 1,059.00
510 W 26TH ST L-3	09/30/2013		\$ 1,059.00					\$ 1,059.00
510 W 26TH ST L-4	09/30/2013		\$ 1,059.00					\$ 1,059.00
510 W 26TH ST L-5	09/30/2013		\$ 1,059.00					\$ 1,059.00
510 W 26TH ST Shell	09/30/2013		\$ 13,581.00					\$ 13,581.00
510 W 26TH ST UNIT 100	09/30/2013		\$ 123.00					\$ 123.00
6516 ALUM ROCK CV	09/30/2013		\$ 356.00					\$ 356.00
6517 ALUM ROCK CV	09/30/2013		\$ 381.00					\$ 381.00
11217 BARNS TRL	09/30/2013		\$ 762.00					\$ 762.00
11304 BARNS TRL	09/30/2013		\$ 1,724.00					\$ 1,724.00
11309 BARNS TRL	09/30/2013		\$ 431.00					\$ 431.00
11421 BARNS TRL	09/30/2013		\$ 381.00					\$ 381.00
6726 BAYTHORNE DR	09/30/2013		\$ 612.00					\$ 612.00
6727 BAYTHORNE DR	09/30/2013		\$ 1,143.00					\$ 1,143.00
6801 BAYTHORNE DR	09/30/2013		\$ 381.00					\$ 381.00
6805 BAYTHORNE DR	09/30/2013		\$ 381.00					\$ 381.00
6809 BAYTHORNE DR	09/30/2013		\$ 431.00					\$ 431.00
6813 BAYTHORNE DR	09/30/2013		\$ 1,143.00					\$ 1,143.00
6817 BAYTHORNE DR	09/30/2013		\$ 381.00					\$ 381.00
3924 BERKMAN DR	09/30/2013		\$ 807.00					\$ 807.00

HOME Match Report

U.S. Department of Housing and Urban Development
Office of Community Planning and DevelopmentOMB Approval No. 2506-0171
(exp. 12/31/2012)

2. Name of the Participating Jurisdiction								Federal Fiscal Year 2013
City of Austin								
1. Project No. or Other ID	2. Date of Contribution (mm/dd/yyyy)	3. Cash (non-Federal sources)	4. Foregone Taxes, Fees, Charges	5. Appraised Land/ Real Property	6. Required Infrastructure	7. Site Preparation, Construction Materials, Donated labor	8. Bond Financing	9. Total Match
4504 BERKMAN DR	09/30/2013		\$ 538.00					\$ 538.00
4508 BERKMAN DR	09/30/2013		\$ 538.00					\$ 538.00
4512 BERKMAN DR	09/30/2013		\$ 538.00					\$ 538.00
4516 BERKMAN DR	09/30/2013		\$ 538.00					\$ 538.00
4520 BERKMAN DR	09/30/2013		\$ 269.00					\$ 269.00
4524 BERKMAN DR	09/30/2013		\$ 862.00					\$ 862.00
4528 BERKMAN DR	09/30/2013		\$ 862.00					\$ 862.00
4532 BERKMAN DR	09/30/2013		\$ 762.00					\$ 762.00
4540 BERKMAN DR	09/30/2013		\$ 540.00					\$ 540.00
9012 BERRYLINE CV	09/30/2013		\$ 306.00					\$ 306.00
9021 BERRYLINE CV	09/30/2013		\$ 431.00					\$ 431.00
9000 BIRD BROOK LN	09/30/2013		\$ 381.00					\$ 381.00
9001 BIRD BROOK LN	09/30/2013		\$ 1,724.00					\$ 1,724.00
9005 BIRD BROOK LN	09/30/2013		\$ 381.00					\$ 381.00
1616 CANTERBURY ST	09/30/2013		\$ 1,824.00					\$ 1,824.00
8500 CAPITOL VIEW DR	09/30/2013		\$ 1,464.00					\$ 1,464.00
8504 CAPITOL VIEW DR	09/30/2013		\$ 1,076.00					\$ 1,076.00
8505 CAPITOL VIEW DR	09/30/2013		\$ 1,068.00					\$ 1,068.00
8508 CAPITOL VIEW DR	09/30/2013		\$ 1,143.00					\$ 1,143.00
8512 CAPITOL VIEW DR	09/30/2013		\$ 712.00					\$ 712.00
8517 CAPITOL VIEW DR	09/30/2013		\$ 1,076.00					\$ 1,076.00
8521 CAPITOL VIEW DR	09/30/2013		\$ 1,293.00					\$ 1,293.00
8601 CAPITOL VIEW DR	09/30/2013		\$ 488.00					\$ 488.00

HOME Match Report

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2. Name of the Participating Jurisdiction								Federal Fiscal Year 2013
City of Austin								
1. Project No. or Other ID	2. Date of Contribution (mm/dd/yyyy)	3. Cash (non-Federal sources)	4. Foregone Taxes, Fees, Charges	5. Appraised Land/ Real Property	6. Required Infrastructure	7. Site Preparation, Construction Materials, Donated labor	8. Bond Financing	9. Total Match
8604 CAPITOL VIEW DR	09/30/2013		\$ 1,464.00					\$ 1,464.00
8605 CAPITOL VIEW DR	09/30/2013		\$ 356.00					\$ 356.00
8609 CAPITOL VIEW DR	09/30/2013		\$ 1,293.00					\$ 1,293.00
8613 CAPITOL VIEW DR	09/30/2013		\$ 2,440.00					\$ 2,440.00
8616 CAPITOL VIEW DR	09/30/2013		\$ 488.00					\$ 488.00
8701 CAPITOL VIEW DR	09/30/2013		\$ 976.00					\$ 976.00
8705 CAPITOL VIEW DR	09/30/2013		\$ 2,535.00					\$ 2,535.00
8712 CAPITOL VIEW DR	09/30/2013		\$ 712.00					\$ 712.00
8713 CAPITOL VIEW DR	09/30/2013		\$ 762.00					\$ 762.00
8716 CAPITOL VIEW DR	09/30/2013		\$ 860.00					\$ 860.00
8721 CAPITOL VIEW DR	09/30/2013		\$ 381.00					\$ 381.00
8724 CAPITOL VIEW DR	09/30/2013		\$ 345.00					\$ 345.00
8804 CAPITOL VIEW DR	09/30/2013		\$ 538.00					\$ 538.00
8805 CAPITOL VIEW DR	09/30/2013		\$ 356.00					\$ 356.00
9024 CHINA ROSE DR	09/30/2013		\$ 390.00					\$ 390.00
9116 CHINA ROSE DR	09/30/2013		\$ 691.00					\$ 691.00
9208 CHINA ROSE DR	09/30/2013		\$ 356.00					\$ 356.00
10208 COPPER RIDGE CV	09/30/2013		\$ 331.00					\$ 331.00
10212 COPPER RIDGE CV	09/30/2013		\$ 356.00					\$ 356.00
10213 COPPER RIDGE CV	09/30/2013		\$ 356.00					\$ 356.00
10217 COPPER RIDGE CV	09/30/2013		\$ 431.00					\$ 431.00
10224 COPPER RIDGE CV	09/30/2013		\$ 431.00					\$ 431.00
4200 CREEDE DR	09/30/2013		\$ 862.00					\$ 862.00

HOME Match Report

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2. Name of the Participating Jurisdiction								Federal Fiscal Year 2013
City of Austin								
1. Project No. or Other ID	2. Date of Contribution (mm/dd/yyyy)	3. Cash (non-Federal sources)	4. Foregone Taxes, Fees, Charges	5. Appraised Land/ Real Property	6. Required Infrastructure	7. Site Preparation, Construction Materials, Donated labor	8. Bond Financing	9. Total Match
4201 CREEDE DR	09/30/2013		\$ 356.00					\$ 356.00
4205 CREEDE DR	09/30/2013		\$ 306.00					\$ 306.00
4209 CREEDE DR	09/30/2013		\$ 356.00					\$ 356.00
4212 CREEDE DR	09/30/2013		\$ 612.00					\$ 612.00
4213 CREEDE DR	09/30/2013		\$ 662.00					\$ 662.00
4217 CREEDE DR	09/30/2013		\$ 862.00					\$ 862.00
4220 CREEDE DR	09/30/2013		\$ 712.00					\$ 712.00
4221 CREEDE DR	09/30/2013		\$ 356.00					\$ 356.00
4300 CREEDE DR	09/30/2013		\$ 862.00					\$ 862.00
4301 CREEDE DR	09/30/2013		\$ 431.00					\$ 431.00
4305 CREEDE DR	09/30/2013		\$ 431.00					\$ 431.00
4309 CREEDE DR	09/30/2013		\$ 331.00					\$ 331.00
4310 CREEDE DR	09/30/2013		\$ 356.00					\$ 356.00
4313 CREEDE DR	09/30/2013		\$ 431.00					\$ 431.00
4317 CREEDE DR	09/30/2013		\$ 356.00					\$ 356.00
4320 CREEDE DR	09/30/2013		\$ 306.00					\$ 306.00
4321 CREEDE DR	09/30/2013		\$ 862.00					\$ 862.00
4400 CREEDE DR	09/30/2013		\$ 356.00					\$ 356.00
4401 CREEDE DR	09/30/2013		\$ 862.00					\$ 862.00
4404 CREEDE DR	09/30/2013		\$ 431.00					\$ 431.00
4405 CREEDE DR	09/30/2013		\$ 306.00					\$ 306.00
6800 CRESTONE RD	09/30/2013		\$ 1,293.00					\$ 1,293.00
6801 CRESTONE RD	09/30/2013		\$ 918.00					\$ 918.00

HOME Match Report

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2. Name of the Participating Jurisdiction								Federal Fiscal Year 2013
City of Austin								
1. Project No. or Other ID	2. Date of Contribution (mm/dd/yyyy)	3. Cash (non-Federal sources)	4. Foregone Taxes, Fees, Charges	5. Appraised Land/ Real Property	6. Required Infrastructure	7. Site Preparation, Construction Materials, Donated labor	8. Bond Financing	9. Total Match
6804 CRESTONE RD	09/30/2013		\$ 431.00					\$ 431.00
6808 CRESTONE RD	09/30/2013		\$ 1,068.00					\$ 1,068.00
6813 CRESTONE RD	09/30/2013		\$ 712.00					\$ 712.00
7100 CRESTONE RD	09/30/2013		\$ 862.00					\$ 862.00
7101 CRESTONE RD	09/30/2013		\$ 1,068.00					\$ 1,068.00
7104 CRESTONE RD	09/30/2013		\$ 662.00					\$ 662.00
7105 CRESTONE RD	09/30/2013		\$ 862.00					\$ 862.00
7116 CRESTONE RD	09/30/2013		\$ 1,068.00					\$ 1,068.00
7124 CRESTONE RD	09/30/2013		\$ 331.00					\$ 331.00
7200 CRESTONE RD	09/30/2013		\$ 1,350.00					\$ 1,350.00
7204 CRESTONE RD	09/30/2013		\$ 356.00					\$ 356.00
7208 CRESTONE RD	09/30/2013		\$ 862.00					\$ 862.00
7212 CRESTONE RD	09/30/2013		\$ 662.00					\$ 662.00
7213 CRESTONE RD	09/30/2013		\$ 431.00					\$ 431.00
7216 CRESTONE RD	09/30/2013		\$ 862.00					\$ 862.00
7217 CRESTONE RD	09/30/2013		\$ 331.00					\$ 331.00
7220 CRESTONE RD	09/30/2013		\$ 1,293.00					\$ 1,293.00
7221 CRESTONE RD	09/30/2013		\$ 356.00					\$ 356.00
7224 CRESTONE RD	09/30/2013		\$ 862.00					\$ 862.00
7225 CRESTONE RD	09/30/2013		\$ 1,293.00					\$ 1,293.00
7228 CRESTONE RD	09/30/2013		\$ 612.00					\$ 612.00
7229 CRESTONE RD	09/30/2013		\$ 612.00					\$ 612.00
7232 CRESTONE RD	09/30/2013		\$ 431.00					\$ 431.00

HOME Match Report

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Office of Community Planning and DevelopmentOMB Approval No. 2506-0171
(exp. 12/31/2012)

2. Name of the Participating Jurisdiction								Federal Fiscal Year 2013
City of Austin								
1. Project No. or Other ID	2. Date of Contribution (mm/dd/yyyy)	3. Cash (non-Federal sources)	4. Foregone Taxes, Fees, Charges	5. Appraised Land/ Real Property	6. Required Infrastructure	7. Site Preparation, Construction Materials, Donated labor	8. Bond Financing	9. Total Match
7233 CRESTONE RD	09/30/2013		\$ 1,293.00					\$ 1,293.00
7236 CRESTONE RD	09/30/2013		\$ 712.00					\$ 712.00
6700 CROSNE ST	09/30/2013		\$ 381.00					\$ 381.00
6704 CROSNE ST	09/30/2013		\$ 662.00					\$ 662.00
10209 DEER CHASE TRL	09/30/2013		\$ 381.00					\$ 381.00
10212 DEER CHASE TRL	09/30/2013		\$ 356.00					\$ 356.00
10216 DEER CHASE TRL	09/30/2013		\$ 1,050.00					\$ 1,050.00
10220 DEER CHASE TRL	09/30/2013		\$ 306.00					\$ 306.00
10221 DEER CHASE TRL	09/30/2013		\$ 612.00					\$ 612.00
2510 DEVONSHIRE DR	09/30/2013		\$ 562.00					\$ 562.00
10209 DOLCE VISTA DR	09/30/2013		\$ 306.00					\$ 306.00
11321 DRUMELLAN ST	09/30/2013		\$ 862.00					\$ 862.00
11325 DRUMELLAN ST	09/30/2013		\$ 431.00					\$ 431.00
7800 DUBER LN	09/30/2013		\$ 381.00					\$ 381.00
7804 DUBER LN	09/30/2013		\$ 612.00					\$ 612.00
7808 DUBER LN	09/30/2013		\$ 306.00					\$ 306.00
7809 DUBER LN	09/30/2013		\$ 612.00					\$ 612.00
7812 DUBER LN	09/30/2013		\$ 456.00					\$ 456.00
7813 DUBER LN	09/30/2013		\$ 331.00					\$ 331.00
7816 DUBER LN	09/30/2013		\$ 712.00					\$ 712.00
7817 DUBER LN	09/30/2013		\$ 662.00					\$ 662.00
7820 DUBER LN	09/30/2013		\$ 712.00					\$ 712.00
7821 DUBER LN	09/30/2013		\$ 331.00					\$ 331.00

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2. Name of the Participating Jurisdiction								Federal Fiscal Year 2013
City of Austin								
1. Project No. or Other ID	2. Date of Contribution (mm/dd/yyyy)	3. Cash (non-Federal sources)	4. Foregone Taxes, Fees, Charges	5. Appraised Land/ Real Property	6. Required Infrastructure	7. Site Preparation, Construction Materials, Donated labor	8. Bond Financing	9. Total Match
7825 DUBER LN	09/30/2013		\$ 331.00					\$ 331.00
7829 DUBER LN	09/30/2013		\$ 331.00					\$ 331.00
8820 EDMUNDSBURY DR	09/30/2013		\$ 331.00					\$ 331.00
8908 EDMUNDSBURY DR	09/30/2013		\$ 762.00					\$ 762.00
8913 EDMUNDSBURY DR	09/30/2013		\$ 862.00					\$ 862.00
8916 EDMUNDSBURY DR	09/30/2013		\$ 381.00					\$ 381.00
8921 EDMUNDSBURY DR	09/30/2013		\$ 762.00					\$ 762.00
8925 EDMUNDSBURY DR	09/30/2013		\$ 331.00					\$ 331.00
9013 EDMUNDSBURY DR	09/30/2013		\$ 1,143.00					\$ 1,143.00
9017 EDMUNDSBURY DR	09/30/2013		\$ 1,464.00					\$ 1,464.00
9100 EDMUNDSBURY DR	09/30/2013		\$ 1,143.00					\$ 1,143.00
9124 EDMUNDSBURY DR	09/30/2013		\$ 2,667.00					\$ 2,667.00
9204 EDMUNDSBURY DR	09/30/2013		\$ 1,492.00					\$ 1,492.00
9412 EDMUNDSBURY DR	09/30/2013		\$ 1,293.00					\$ 1,293.00
8305 FRYE RYE DR	09/30/2013		\$ 331.00					\$ 331.00
8308 FRYE RYE DR	09/30/2013		\$ 1,068.00					\$ 1,068.00
8309 FRYE RYE DR	09/30/2013		\$ 331.00					\$ 331.00
8313 FRYE RYE DR	09/30/2013		\$ 331.00					\$ 331.00
8316 FRYE RYE DR	09/30/2013		\$ 662.00					\$ 662.00
8317 FRYE RYE DR	09/30/2013		\$ 345.00					\$ 345.00
8321 FRYE RYE DR	09/30/2013		\$ 331.00					\$ 331.00
6500 GLEBE PATH	09/30/2013		\$ 431.00					\$ 431.00
6501 GLEBE PATH	09/30/2013		\$ 331.00					\$ 331.00

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City of Austin								
1. Project No. or Other ID	2. Date of Contribution (mm/dd/yyyy)	3. Cash (non-Federal sources)	4. Foregone Taxes, Fees, Charges	5. Appraised Land/ Real Property	6. Required Infrastructure	7. Site Preparation, Construction Materials, Donated labor	8. Bond Financing	9. Total Match
6504 GLEBE PATH	09/30/2013		\$ 381.00					\$ 381.00
6505 GLEBE PATH	09/30/2013		\$ 612.00					\$ 612.00
6508 GLEBE PATH	09/30/2013		\$ 381.00					\$ 381.00
6509 GLEBE PATH	09/30/2013		\$ 862.00					\$ 862.00
6512 GLEBE PATH	09/30/2013		\$ 862.00					\$ 862.00
6513 GLEBE PATH	09/30/2013		\$ 381.00					\$ 381.00
6516 GLEBE PATH	09/30/2013		\$ 762.00					\$ 762.00
6517 GLEBE PATH	09/30/2013		\$ 431.00					\$ 431.00
6520 GLEBE PATH	09/30/2013		\$ 3,048.00					\$ 3,048.00
6521 GLEBE PATH	09/30/2013		\$ 431.00					\$ 431.00
6600 GLEBE PATH	09/30/2013		\$ 331.00					\$ 331.00
6601 GLEBE PATH	09/30/2013		\$ 762.00					\$ 762.00
6604 GLEBE PATH	09/30/2013		\$ 1,068.00					\$ 1,068.00
6605 GLEBE PATH	09/30/2013		\$ 381.00					\$ 381.00
6608 GLEBE PATH	09/30/2013		\$ 431.00					\$ 431.00
6612 GLEBE PATH	09/30/2013		\$ 381.00					\$ 381.00
6616 GLEBE PATH	09/30/2013		\$ 976.00					\$ 976.00
6620 GLEBE PATH	09/30/2013		\$ 1,293.00					\$ 1,293.00
6700 GLEBE PATH	09/30/2013		\$ 762.00					\$ 762.00
6704 GLEBE PATH	09/30/2013		\$ 431.00					\$ 431.00
6708 GLEBE PATH	09/30/2013		\$ 381.00					\$ 381.00
6712 GLEBE PATH	09/30/2013		\$ 431.00					\$ 431.00
6724 GLEBE PATH	09/30/2013		\$ 381.00					\$ 381.00

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City of Austin								
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5716 GLOWING STAR TRL	09/30/2013		\$ 306.00					\$ 306.00
5717 GLOWING STAR TRL	09/30/2013		\$ 306.00					\$ 306.00
5725 GLOWING STAR TRL	09/30/2013		\$ 306.00					\$ 306.00
5801 GLOWING STAR TRL	09/30/2013		\$ 381.00					\$ 381.00
5813 GLOWING STAR TRL	09/30/2013		\$ 306.00					\$ 306.00
13300 GUARNERE DR	09/30/2013		\$ 431.00					\$ 431.00
13301 GUARNERE DR	09/30/2013		\$ 381.00					\$ 381.00
13305 GUARNERE DR	09/30/2013		\$ 356.00					\$ 356.00
13308 GUARNERE DR	09/30/2013		\$ 862.00					\$ 862.00
13312 GUARNERE DR	09/30/2013		\$ 381.00					\$ 381.00
13316 GUARNERE DR	09/30/2013		\$ 306.00					\$ 306.00
13401 GUARNERE DR	09/30/2013		\$ 1,143.00					\$ 1,143.00
13405 GUARNERE DR	09/30/2013		\$ 862.00					\$ 862.00
13409 GUARNERE DR	09/30/2013		\$ 862.00					\$ 862.00
10900 HELMS DEEP DR	09/30/2013		\$ 431.00					\$ 431.00
10901 HELMS DEEP DR	09/30/2013		\$ 488.00					\$ 488.00
10904 HELMS DEEP DR	09/30/2013		\$ 356.00					\$ 356.00
10909 HELMS DEEP DR	09/30/2013		\$ 562.00					\$ 562.00
10912 HELMS DEEP DR	09/30/2013		\$ 381.00					\$ 381.00
10913 HELMS DEEP DR	09/30/2013		\$ 431.00					\$ 431.00
11005 HELMS DEEP DR	09/30/2013		\$ 1,293.00					\$ 1,293.00
11008 HELMS DEEP DR	09/30/2013		\$ 762.00					\$ 762.00
11009 HELMS DEEP DR	09/30/2013		\$ 381.00					\$ 381.00

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City of Austin								
1. Project No. or Other ID	2. Date of Contribution (mm/dd/yyyy)	3. Cash (non-Federal sources)	4. Foregone Taxes, Fees, Charges	5. Appraised Land/ Real Property	6. Required Infrastructure	7. Site Preparation, Construction Materials, Donated labor	8. Bond Financing	9. Total Match
11013 HELMS DEEP DR	09/30/2013		\$ 2,464.00					\$ 2,464.00
11017 HELMS DEEP DR	09/30/2013		\$ 381.00					\$ 381.00
11020 HELMS DEEP DR	09/30/2013		\$ 1,521.00					\$ 1,521.00
11021 HELMS DEEP DR	09/30/2013		\$ 331.00					\$ 331.00
11101 HELMS DEEP DR	09/30/2013		\$ 431.00					\$ 431.00
11105 HELMS DEEP DR	09/30/2013		\$ 713.00					\$ 713.00
11108 HELMS DEEP DR	09/30/2013		\$ 306.00					\$ 306.00
11109 HELMS DEEP DR	09/30/2013		\$ 862.00					\$ 862.00
11112 HELMS DEEP DR	09/30/2013		\$ 862.00					\$ 862.00
11113 HELMS DEEP DR	09/30/2013		\$ 331.00					\$ 331.00
11117 HELMS DEEP DR	09/30/2013		\$ 431.00					\$ 431.00
11121 HELMS DEEP DR	09/30/2013		\$ 1,464.00					\$ 1,464.00
11125 HELMS DEEP DR	09/30/2013		\$ 762.00					\$ 762.00
9201 IPSWICH BAY DR	09/30/2013		\$ 381.00					\$ 381.00
4925 IRVINE LN	09/30/2013		\$ 1,952.00					\$ 1,952.00
4937 IRVINE LN	09/30/2013		\$ 762.00					\$ 762.00
4941 IRVINE LN	09/30/2013		\$ 431.00					\$ 431.00
6420 KIRKWYND DR	09/30/2013		\$ 381.00					\$ 381.00
6609 KIRKWYND DR	09/30/2013		\$ 431.00					\$ 431.00
5809 KLEBERG TRL	09/30/2013		\$ 1,724.00					\$ 1,724.00
5813 KLEBERG TRL	09/30/2013		\$ 862.00					\$ 862.00
5817 KLEBERG TRL	09/30/2013		\$ 431.00					\$ 431.00
5821 KLEBERG TRL	09/30/2013		\$ 762.00					\$ 762.00

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City of Austin								
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5829 KLEBERG TRL	09/30/2013		\$ 762.00					\$ 762.00
1342 LAMAR SQUARE DR	09/30/2013		\$ 3,375.84					\$ 3,375.84
8400 LEEDS MOUNTAIN CV	09/30/2013		\$ 488.00					\$ 488.00
8401 LEEDS MOUNTAIN CV	09/30/2013		\$ 538.00					\$ 538.00
8405 LEEDS MOUNTAIN CV	09/30/2013		\$ 538.00					\$ 538.00
8408 LEEDS MOUNTAIN CV	09/30/2013		\$ 488.00					\$ 488.00
8412 LEEDS MOUNTAIN CV	09/30/2013		\$ 488.00					\$ 488.00
8413 LEEDS MOUNTAIN CV	09/30/2013		\$ 356.00					\$ 356.00
8416 LEEDS MOUNTAIN CV	09/30/2013		\$ 712.00					\$ 712.00
8417 LEEDS MOUNTAIN CV	09/30/2013		\$ 862.00					\$ 862.00
8420 LEEDS MOUNTAIN CV	09/30/2013		\$ 431.00					\$ 431.00
8421 LEEDS MOUNTAIN CV	09/30/2013		\$ 976.00					\$ 976.00
4916 LEXINGTON MEADOW LN	09/30/2013		\$ 612.00					\$ 612.00
5020 LEXINGTON MEADOW LN	09/30/2013		\$ 712.00					\$ 712.00
5024 LEXINGTON MEADOW LN	09/30/2013		\$ 1,293.00					\$ 1,293.00
5040 LEXINGTON MEADOW LN	09/30/2013		\$ 488.00					\$ 488.00
2401 LONGVIEW ST L-1	09/30/2013		\$ 3,428.00					\$ 3,428.00
2401 LONGVIEW ST L2	09/30/2013		\$ 3,428.00					\$ 3,428.00
2401 LONGVIEW ST L3	09/30/2013		\$ 3,428.00					\$ 3,428.00
2401 LONGVIEW ST Shell	09/30/2013		\$ 2,111.00					\$ 2,111.00
6803 LUCY CV	09/30/2013		\$ 281.00					\$ 281.00
5707 MANOR RD	09/30/2013		\$ 1,688.00					\$ 1,688.00
4309 MATTIE ST	09/30/2013		\$ 1,728.00					\$ 1,728.00

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City of Austin								
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4501 MATTIE ST	09/30/2013		\$ 714.00					\$ 714.00
4505 MATTIE ST	09/30/2013		\$ 570.00					\$ 570.00
4509 MATTIE ST	09/30/2013		\$ 476.00					\$ 476.00
4513 MATTIE ST	09/30/2013		\$ 476.00					\$ 476.00
4517 MATTIE ST	09/30/2013		\$ 714.00					\$ 714.00
4521 MATTIE ST	09/30/2013		\$ 238.00					\$ 238.00
4525 MATTIE ST	09/30/2013		\$ 238.12					\$ 238.12
4529 MATTIE ST	09/30/2013		\$ 476.00					\$ 476.00
4533 MATTIE ST	09/30/2013		\$ 476.00					\$ 476.00
4537 MATTIE ST	09/30/2013		\$ 476.00					\$ 476.00
4541 MATTIE ST	09/30/2013		\$ 476.00					\$ 476.00
4545 MATTIE ST	09/30/2013		\$ 476.00					\$ 476.00
4549 MATTIE ST	09/30/2013		\$ 476.00					\$ 476.00
4553 MATTIE ST	09/30/2013		\$ 476.00					\$ 476.00
2021 MC BEE ST	09/30/2013		\$ 269.00					\$ 269.00
2024 MC BEE ST	09/30/2013		\$ 191.00					\$ 191.00
2028 MC BEE ST	09/30/2013		\$ 191.00					\$ 191.00
2029 MC BEE ST	09/30/2013		\$ 538.74					\$ 538.74
2100 MC BEE ST	09/30/2013		\$ 573.00					\$ 573.00
2104 MC BEE ST	09/30/2013		\$ 476.00					\$ 476.00
2105 MC BEE ST	09/30/2013		\$ 968.00					\$ 968.00
2108 MC BEE ST	09/30/2013		\$ 382.24					\$ 382.24
2112 MC BEE ST	09/30/2013		\$ 476.00					\$ 476.00

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2113 MC BEE ST	09/30/2013		\$ 488.00					\$ 488.00
2117 MC BEE ST	09/30/2013		\$ 1,219.44					\$ 1,219.44
7912 NATER LN	09/30/2013		\$ 356.00					\$ 356.00
7916 NATER LN	09/30/2013		\$ 356.00					\$ 356.00
7917 NATER LN	09/30/2013		\$ 356.00					\$ 356.00
7920 NATER LN	09/30/2013		\$ 331.00					\$ 331.00
7921 NATER LN	09/30/2013		\$ 1,068.00					\$ 1,068.00
7924 NATER LN	09/30/2013		\$ 712.00					\$ 712.00
7925 NATER LN	09/30/2013		\$ 331.00					\$ 331.00
7928 NATER LN	09/30/2013		\$ 331.00					\$ 331.00
7932 NATER LN	09/30/2013		\$ 331.00					\$ 331.00
7933 NATER LN	09/30/2013		\$ 371.00					\$ 371.00
5504 NELSON OAKS DR	09/30/2013		\$ 662.00					\$ 662.00
5521 NELSON OAKS DR	09/30/2013		\$ 381.00					\$ 381.00
5600 NELSON OAKS DR	09/30/2013		\$ 356.00					\$ 356.00
5604 NELSON OAKS DR	09/30/2013		\$ 381.00					\$ 381.00
5704 NELSON OAKS DR	09/30/2013		\$ 762.00					\$ 762.00
5716 NELSON OAKS DR	09/30/2013		\$ 381.00					\$ 381.00
5720 NELSON OAKS DR	09/30/2013		\$ 331.00					\$ 331.00
5812 NELSON OAKS DR	09/30/2013		\$ 691.00					\$ 691.00
5816 NELSON OAKS DR	09/30/2013		\$ 381.00					\$ 381.00
8908 NORWICH CASTLE DR	09/30/2013		\$ 431.00					\$ 431.00
9005 NORWICH CASTLE DR	09/30/2013		\$ 1,143.00					\$ 1,143.00

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1108 OLIVE ST	09/30/2013		\$ 55.00					\$ 55.00
501 E OLTORF ST BLDG 1	09/30/2013		\$ 782.00					\$ 782.00
501 E OLTORF ST BLDG 2	09/30/2013		\$ 1,280.00					\$ 1,280.00
501 E OLTORF ST BLDG 3	09/30/2013		\$ 1,880.00					\$ 1,880.00
501 E OLTORF ST BLDG 4	09/30/2013		\$ 831.00					\$ 831.00
501 E OLTORF ST BLDG 5	09/30/2013		\$ 1,171.00					\$ 1,171.00
501 E OLTORF ST BLDG GAR	09/30/2013		\$ 2,846.00					\$ 2,846.00
7233 OUTFITTER DR	09/30/2013		\$ 862.00					\$ 862.00
4501 PAGE ST	09/30/2013		\$ 269.00					\$ 269.00
4505 PAGE ST	09/30/2013		\$ 608.00					\$ 608.00
4508 PAGE ST	09/30/2013		\$ 206.00					\$ 206.00
4509 PAGE ST	09/30/2013		\$ 538.00					\$ 538.00
4512 PAGE ST	09/30/2013		\$ 621.00					\$ 621.00
4513 PAGE ST	09/30/2013		\$ 1,216.00					\$ 1,216.00
4516 PAGE ST	09/30/2013		\$ 191.00					\$ 191.00
4517 PAGE ST	09/30/2013		\$ 807.00					\$ 807.00
4520 PAGE ST	09/30/2013		\$ 191.00					\$ 191.00
4521 PAGE ST	09/30/2013		\$ 714.00					\$ 714.00
4524 PAGE ST	09/30/2013		\$ 573.00					\$ 573.00
4525 PAGE ST	09/30/2013		\$ 1,345.00					\$ 1,345.00
4528 PAGE ST	09/30/2013		\$ 207.00					\$ 207.00
4529 PAGE ST	09/30/2013		\$ 1,056.00					\$ 1,056.00
4532 PAGE ST	09/30/2013		\$ 955.00					\$ 955.00

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4536 PAGE ST	09/30/2013		\$ 855.00					\$ 855.00
4620 PAGE ST	09/30/2013		\$ 414.00					\$ 414.00
4624 PAGE ST	09/30/2013		\$ 573.00					\$ 573.00
4648 PAGE ST	09/30/2013		\$ 331.00					\$ 331.00
6400 PANADERO CV	09/30/2013		\$ 331.00					\$ 331.00
6404 PANADERO CV	09/30/2013		\$ 488.00					\$ 488.00
6408 PANADERO CV	09/30/2013		\$ 488.00					\$ 488.00
6412 PANADERO CV	09/30/2013		\$ 976.00					\$ 976.00
6420 PANADERO CV	09/30/2013		\$ 488.00					\$ 488.00
8301 PANADERO DR	09/30/2013		\$ 762.00					\$ 762.00
8305 PANADERO DR	09/30/2013		\$ 331.00					\$ 331.00
8504 PANADERO DR	09/30/2013		\$ 1,724.00					\$ 1,724.00
2105 PHILOMENA ST	09/30/2013		\$ 573.00					\$ 573.00
2109 PHILOMENA ST	09/30/2013		\$ 207.00					\$ 207.00
2117 PHILOMENA ST	09/30/2013		\$ 828.00					\$ 828.00
2121 PHILOMENA ST	09/30/2013		\$ 1,016.00					\$ 1,016.00
2125 PHILOMENA ST	09/30/2013		\$ 1,035.00					\$ 1,035.00
2129 PHILOMENA ST	09/30/2013		\$ 207.00					\$ 207.00
10016 PINNACLE CREST LOOP	09/30/2013		\$ 306.00					\$ 306.00
10020 PINNACLE CREST LOOP	09/30/2013		\$ 1,293.00					\$ 1,293.00
10028 PINNACLE CREST LOOP	09/30/2013		\$ 356.00					\$ 356.00
10032 PINNACLE CREST LOOP	09/30/2013		\$ 662.00					\$ 662.00
6907 PONDS DALE LN	09/30/2013		\$ 555.00					\$ 555.00

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2. Name of the Participating Jurisdiction								Federal Fiscal Year 2013
City of Austin								
1. Project No. or Other ID	2. Date of Contribution (mm/dd/yyyy)	3. Cash (non-Federal sources)	4. Foregone Taxes, Fees, Charges	5. Appraised Land/ Real Property	6. Required Infrastructure	7. Site Preparation, Construction Materials, Donated labor	8. Bond Financing	9. Total Match
6401 QUINTON DR	09/30/2013		\$ 488.00					\$ 488.00
6405 QUINTON DR	09/30/2013		\$ 2,136.00					\$ 2,136.00
6409 QUINTON DR	09/30/2013		\$ 331.00					\$ 331.00
6413 QUINTON DR	09/30/2013		\$ 862.00					\$ 862.00
6501 QUINTON DR	09/30/2013		\$ 381.00					\$ 381.00
6509 QUINTON DR	09/30/2013		\$ 1,068.00					\$ 1,068.00
6513 QUINTON DR	09/30/2013		\$ 331.00					\$ 331.00
6100 RANDLEMAN DR	09/30/2013		\$ 762.00					\$ 762.00
2001 ROBERT BROWNING ST	09/30/2013		\$ 382.00					\$ 382.00
2005 ROBERT BROWNING ST	09/30/2013		\$ 382.00					\$ 382.00
2009 ROBERT BROWNING ST	09/30/2013		\$ 191.00					\$ 191.00
2013 ROBERT BROWNING ST	09/30/2013		\$ 191.00					\$ 191.00
10901 RODERICK LAWSON LN	09/30/2013		\$ 976.00					\$ 976.00
10904 RODERICK LAWSON LN	09/30/2013		\$ 862.00					\$ 862.00
10908 RODERICK LAWSON LN	09/30/2013		\$ 431.00					\$ 431.00
10925 RODERICK LAWSON LN	09/30/2013		\$ 862.00					\$ 862.00
10929 RODERICK LAWSON LN	09/30/2013		\$ 381.00					\$ 381.00
11001 RODERICK LAWSON LN	09/30/2013		\$ 762.00					\$ 762.00
11005 RODERICK LAWSON LN	09/30/2013		\$ 331.00					\$ 331.00
11013 RODERICK LAWSON LN	09/30/2013		\$ 381.00					\$ 381.00
11016 RODERICK LAWSON LN	09/30/2013		\$ 488.00					\$ 488.00
11017 RODERICK LAWSON LN	09/30/2013		\$ 1,068.00					\$ 1,068.00
11100 RODERICK LAWSON LN	09/30/2013		\$ 381.00					\$ 381.00

HOME Match Report

U.S. Department of Housing and Urban Development
Office of Community Planning and DevelopmentOMB Approval No. 2506-0171
(exp. 12/31/2012)

2. Name of the Participating Jurisdiction								Federal Fiscal Year 2013
City of Austin								
1. Project No. or Other ID	2. Date of Contribution (mm/dd/yyyy)	3. Cash (non-Federal sources)	4. Foregone Taxes, Fees, Charges	5. Appraised Land/ Real Property	6. Required Infrastructure	7. Site Preparation, Construction Materials, Donated labor	8. Bond Financing	9. Total Match
11101 RODERICK LAWSON LN	09/30/2013		\$ 381.00					\$ 381.00
11109 RODERICK LAWSON LN	09/30/2013		\$ 662.00					\$ 662.00
11112 RODERICK LAWSON LN	09/30/2013		\$ 381.00					\$ 381.00
11113 RODERICK LAWSON LN	09/30/2013		\$ 1,293.00					\$ 1,293.00
4500 RUIZ ST	09/30/2013		\$ 431.00					\$ 431.00
4504 RUIZ ST	09/30/2013		\$ 431.00					\$ 431.00
4508 RUIZ ST	09/30/2013		\$ 488.00					\$ 488.00
4509 RUIZ ST	09/30/2013		\$ 38.00					\$ 38.00
4512 RUIZ ST	09/30/2013		\$ 903.00					\$ 903.00
4513 RUIZ ST	09/30/2013		\$ 414.00					\$ 414.00
4516 RUIZ ST	09/30/2013		\$ 862.00					\$ 862.00
4517 RUIZ ST	09/30/2013		\$ 573.00					\$ 573.00
4520 RUIZ ST	09/30/2013		\$ 431.00					\$ 431.00
4521 RUIZ ST	09/30/2013		\$ 382.00					\$ 382.00
4524 RUIZ ST	09/30/2013		\$ 488.00					\$ 488.00
4532 RUIZ ST	09/30/2013		\$ 862.00					\$ 862.00
12720 SAINT THOMAS DR	09/30/2013		\$ 381.00					\$ 381.00
6009 SANDSHOF DR	09/30/2013		\$ 281.00					\$ 281.00
6102 SANDSHOF DR	09/30/2013		\$ 250.00					\$ 250.00
6402 SANDSHOF DR	09/30/2013		\$ 281.00					\$ 281.00
1206 SHORT HACKBERRY	09/30/2013		\$ 55.00					\$ 55.00
8808 SOUTHWICK DR	09/30/2013		\$ 306.00					\$ 306.00
8817 SOUTHWICK DR	09/30/2013		\$ 331.00					\$ 331.00

HOME Match Report

U.S. Department of Housing and Urban Development
Office of Community Planning and DevelopmentOMB Approval No. 2506-0171
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2. Name of the Participating Jurisdiction								Federal Fiscal Year 2013
City of Austin								
1. Project No. or Other ID	2. Date of Contribution (mm/dd/yyyy)	3. Cash (non-Federal sources)	4. Foregone Taxes, Fees, Charges	5. Appraised Land/ Real Property	6. Required Infrastructure	7. Site Preparation, Construction Materials, Donated labor	8. Bond Financing	9. Total Match
8904 SOUTHWICK DR	09/30/2013		\$ 431.00					\$ 431.00
8905 SOUTHWICK DR	09/30/2013		\$ 306.00					\$ 306.00
8909 SOUTHWICK DR	09/30/2013		\$ 306.00					\$ 306.00
8913 SOUTHWICK DR	09/30/2013		\$ 975.00					\$ 975.00
8917 SOUTHWICK DR	09/30/2013		\$ 306.00					\$ 306.00
8824 STAMBOURNE ST	09/30/2013		\$ 331.00					\$ 331.00
9020 STAMBOURNE ST	09/30/2013		\$ 431.00					\$ 431.00
9021 STAMBOURNE ST	09/30/2013		\$ 381.00					\$ 381.00
5801 SWEENEY CIR	09/30/2013		\$ 798.00					\$ 798.00
2907 SWEENEY LN	09/30/2013		\$ 798.00					\$ 798.00
7809 TEE DR	09/30/2013		\$ 331.00					\$ 331.00
7824 TEE DR	09/30/2013		\$ 306.00					\$ 306.00
7828 TEE DR	09/30/2013		\$ 306.00					\$ 306.00
403 TILBURY LN	09/30/2013		\$ 360.00					\$ 360.00
2409 TOWBRIDGE CIR	09/30/2013		\$ 250.00					\$ 250.00
2410 TOWBRIDGE CIR BLDG A	09/30/2013		\$ 281.00					\$ 281.00
2410 TOWBRIDGE CIR BLDG D	09/30/2013		\$ 281.00					\$ 281.00
2410 TOWBRIDGE CIR BLDG E	09/30/2013		\$ 562.00					\$ 562.00
2410 TOWBRIDGE CIR BLDG F	09/30/2013		\$ 562.00					\$ 562.00
6004 TOYE DR	09/30/2013		\$ 381.00					\$ 381.00
6008 TOYE DR	09/30/2013		\$ 1,724.00					\$ 1,724.00
6012 TOYE DR	09/30/2013		\$ 381.00					\$ 381.00
6016 TOYE DR	09/30/2013		\$ 612.00					\$ 612.00

HOME Match Report

U.S. Department of Housing and Urban Development
Office of Community Planning and DevelopmentOMB Approval No. 2506-0171
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2. Name of the Participating Jurisdiction								Federal Fiscal Year 2013
City of Austin								
1. Project No. or Other ID	2. Date of Contribution (mm/dd/yyyy)	3. Cash (non-Federal sources)	4. Foregone Taxes, Fees, Charges	5. Appraised Land/ Real Property	6. Required Infrastructure	7. Site Preparation, Construction Materials, Donated labor	8. Bond Financing	9. Total Match
6017 TOYE DR	09/30/2013		\$ 381.00					\$ 381.00
6021 TOYE DR	09/30/2013		\$ 306.00					\$ 306.00
6025 TOYE DR	09/30/2013		\$ 381.00					\$ 381.00
6029 TOYE DR	09/30/2013		\$ 306.00					\$ 306.00
6101 TOYE DR	09/30/2013		\$ 1,293.00					\$ 1,293.00
6104 TOYE DR	09/30/2013		\$ 305.00					\$ 305.00
6109 TOYE DR	09/30/2013		\$ 762.00					\$ 762.00
6116 TOYE DR	09/30/2013		\$ 993.00					\$ 993.00
6117 TOYE DR	09/30/2013		\$ 918.00					\$ 918.00
6124 TOYE DR	09/30/2013		\$ 662.00					\$ 662.00
6125 TOYE DR	09/30/2013		\$ 431.00					\$ 431.00
6128 TOYE DR	09/30/2013		\$ 488.00					\$ 488.00
6817 TREVONE PATH	09/30/2013		\$ 488.00					\$ 488.00
8305 TRIPOD DR	09/30/2013		\$ 662.00					\$ 662.00
8317 TRIPOD DR	09/30/2013		\$ 306.00					\$ 306.00
8300 WESAL DR	09/30/2013		\$ 331.00					\$ 331.00
8328 WESAL DR	09/30/2013		\$ 993.00					\$ 993.00
2606 WILSON ST BLDG 15	09/30/2013		\$ 1,158.00					\$ 1,158.00
8908 WINTER HAVEN RD	09/30/2013		\$ 381.00					\$ 381.00
8909 WINTER HAVEN RD	09/30/2013		\$ 281.00					\$ 281.00
8913 WINTER HAVEN RD	09/30/2013		\$ 381.00					\$ 381.00
8917 WINTER HAVEN RD	09/30/2013		\$ 331.00					\$ 331.00
9001 WINTER HAVEN RD	09/30/2013		\$ 612.00					\$ 612.00

HOME Match Report

U.S. Department of Housing and Urban Development
Office of Community Planning and DevelopmentOMB Approval No. 2506-0171
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2. Name of the Participating Jurisdiction								Federal Fiscal Year 2013
City of Austin								
1. Project No. or Other ID	2. Date of Contribution (mm/dd/yyyy)	3. Cash (non-Federal sources)	4. Foregone Taxes, Fees, Charges	5. Appraised Land/ Real Property	6. Required Infrastructure	7. Site Preparation, Construction Materials, Donated labor	8. Bond Financing	9. Total Match
CONSTRUCTION INSPECTION WAIVERS								
BAKER SUBDIVISION	09/30/2013		\$ 17,000.00					\$ 17,000.00
BRADSHAW CROSSING SEC. 7	09/30/2013		\$ 37,500.00					\$ 37,500.00
BRADSHAW CROSSING SEC. 9	09/30/2013		\$ 22,500.00					\$ 22,500.00
BRADSHAW CROSSING SEC. 10	09/30/2013		\$ 25,861.52					\$ 25,861.52
COLORADO CROSSING III - SEC. 8	09/30/2013		\$ 23,500.00					\$ 23,500.00
E. 12TH ST. MULTIFAMILY HOUSING	09/30/2013		\$ 3,444.35					\$ 3,444.35
LEGACY APTS. - 1342 LAMAR SQ.	09/30/2013		\$ 3,548.79					\$ 3,548.79
MUELLER HOUSE SIX-PLEX BLK. 29 LOTS 1 - 2	09/30/2013		\$ 1,302.76					\$ 1,302.76
MUELLER HOUSE SIX-PLEX BLK. 29 LOTS 15-16	09/30/2013		\$ 1,302.76					\$ 1,302.76
MUELLER SEC. 1-C PH. 1	09/30/2013		\$ 18,749.99					\$ 18,749.99
MUELLER SEC. VII C PH. I	09/30/2013		\$ 38,173.38					\$ 38,173.38
1044 NORWOOD PARK BLVD.	09/30/2013		\$ 9,631.75					\$ 9,631.75
PEARL & MLK - 911 W 21ST ST	09/30/2013		\$ 13,913.01					\$ 13,913.01
REGENTS WEST AT 24TH ST	09/30/2013		\$ 9,672.04					\$ 9,672.04
1901 RIO GRANDE APARTMENTS	09/30/2013		\$ 5,749.38					\$ 5,749.38
5514 ROOSEVELT	09/30/2013		\$ 2,250.00					\$ 2,250.00
SHELDON 230 SEC. 1 PH. 4	09/30/2013		\$ 9,500.00					\$ 9,500.00
SHELDON 230 SEC. 2 PH. 6	09/30/2013		\$ 42,000.00					\$ 42,000.00
TEXAN 26TH	09/30/2013		\$ 6,705.92					\$ 6,705.92
THORNBURY II SEC. 2	09/30/2013		\$ 29,999.99					\$ 29,999.99
THORNBURY II SEC. 3	09/30/2013		\$ 32,500.00					\$ 32,500.00
THORNBURY II SEC. 4	09/30/2013		\$ 37,266.60					\$ 37,266.60

HOME Match Report

U.S. Department of Housing and Urban Development
Office of Community Planning and Development

OMB Approval No. 2506-0171
(exp. 12/31/2012)

[illegible]

Chapter 3: Federal Reports

HOME PROGRAM INCOME

Federal Requirement - Program Income is income received by a PJ, state recipient, or sub-recipient directly generated from the use of HOME funds. Program income includes, but is not limited to: proceeds from the sale or long-term lease of real property acquired, rehabilitated, or constructed with HOME funds; income from the use or rental of real property; payment of principal and interest on loans made with HOME; and proceeds from the sale of loans or obligations secured by loans made with HOME, interest on program income, and any other interest or return on the investment of HOME funds. Amount of program income reported that was returned to each individual revolving fund, e.g., housing rehabilitation. Amount repaid on each float-funded activity. All other loan repayments broken down by the categories of housing rehabilitation, or other amount of income received from the sale of property by parcel. The amount of program income from each property is determined by the source that funds the unit. Projects that received both CDBG and HOME will have program income realized by both sources.

Exhibit 3.8 HOME Loan Repayment FY 2012-13

Down Payment Assistance (DPA)	\$512,078.75
Home owner Rehabilitation Loan Program	\$51,094.64
Rental Housing Development Assistance (RHDA)	\$3,747.70
Administration	\$57,886.02
Subtotal	\$624,807.11

Exhibit 3.9 HOME Sales Proceed FY 2012-13

Acquisition and Development (A&D)	\$40,529.30
Subtotal	\$40,529.30
Total	\$665,336.41

Chapter 3: Federal Reports

HOME PRIOR PERIOD ADJUSTMENTS

Federal Guideline - Where reimbursement was made this reporting period for expenditures (made in previous reporting periods) that have been disallowed, provide the following information: Activity name and number as shown in IDIS.

There are no HOME prior period adjustments pending approval of repayment plan on the 11th & 12th Street properties.

Federal Guideline - Use Part III of HUD Form 40107 to report contracts and subcontracts with Minority Business Enterprises (MBEs) and Women's Business Enterprises (WBEs).

Minority Business Enterprises (MBE) and Women Business Enterprises (WBE)

HUD Form 40107 Part III

Chapter 3: Federal Reports

Exhibit 3.10: Minority Business Enterprises (MBE) and Women Business Enterprises (WBE)

	a. Total	b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non- Hispanic	e. Hispanic	f. White Non- Hispanic
A. Contracts:						
1. Number	10	0	0	1	3	6
2. Dollar Amount	\$2,270,288	0	0	\$105,625	\$1,025,374	\$1,139,288
B. Sub-Contracts						
1. Number	2	0	0	1	1	0
2. Dollar Amount	\$55,774	0	0	\$53,074	\$2,700	0

	a. Total	b. Women Business Enterprises (WBE)	c. Male
C. Contracts:			
1. Number	7	3	4
2. Dollar Amount	\$2,135,771	\$721,921	\$1,413,850
D. Sub-Contracts:			
1. Number	2	0	2
2. Dollar Amount	\$55,774	0	\$55,774

Chapter 3: Federal Reports

ASSESSMENTS

Federal Guideline - Results of on-site inspections of rental housing.

During FY 2012-13 NHCD completed a total of 278 HQS inspections completed for the Rental Housing Development Assistance (RHDA) Program and Acquisition and Development (A&D) Program. Out of 278 units inspected, 272 units passed inspection. The remaining units are undergoing re-inspection.

Federal Guideline - HOME jurisdiction's affirmative marketing actions.

As a recipient of federal funds, the City of Austin must adopt affirmative marketing procedures and requirements for rental and homebuyer projects containing five or more HOME-assisted housing units. Affirmative marketing steps consist of actions that provide information and otherwise attract eligible persons in the housing market area to the available housing without regard to race, color, national origin, sex, religion, familial status or disability.

NHCD's efforts toward affirmative marketing in its housing programs aim to ensure that eligible households have ample access and opportunity to participate in programs and services that use federal funds. In FY 2012-13, Homebuyer, Renter Assistance, and Homeowner Assistance programs and services were marketed to residents in low- to moderate-income neighborhoods and those with limited English proficiency. NHCD participated in multiple events throughout Austin promoting programs and services.

Marketing efforts in FY 2012-13 were conducted through English and Spanish media outlets. NHCD has two full-time equivalent (FTE) employees that are

Chapter 3: Federal Reports

certified bilingual and offer assistance with clients with limited English proficiency.

In addition, the City's web site continues to play a vital role in targeting information to the public and ensuring program information reaches a broad audience. For more information on NHCD's Affirmative Marketing and Minority Outreach Plan, see Chapter 5.

Federal Guideline - Outreach to minority and women owned businesses.

NHCD works with the City's Small and Minority Business Resources (SMBR) Department for administration of the minority-owned and women-owned business enterprises (MBE/WBE) Procurement Program as established by the City Council in 1987. SMBR is responsible for the implementation of the certification process for the City of Austin and for ensuring that only firms that meet the eligibility criteria are certified as MBEs or WBEs in compliance with City of Austin Code of Ordinances

The MBE/WBE program encourages minorities and women participation on City contracts by establishing special procurement goals on City contracts above the City Manager's spending authority. Goals for MBE and WBE participation differ from contract to contract, based on the type of contract, the availability of MBEs and WBEs to perform the functions of the contract, and other factors. Minority goals may be either aggregate MBE/WBE goals or race-specific goals depending on the project.

SMBR provides development opportunities and resources so that small and minority businesses enterprises can have affirmative access to city procurement opportunities and succeed in their efforts to grow. SMBR offers monthly workshops, construction plans, surety bonding counseling, and access

Chapter 3: Federal Reports

and assistance to trade association membership so that small businesses are better prepared to bid on contracting opportunities.

Community Involvement and Outreach

In FY 2012-13, NHCD and AHFC provided a significant amount of outreach to the community regarding city programs and services, participated and planned numerous events, and provided valuable services to Austin's communities. The following is a list of NHCD's community involvement and outreach during the fiscal year.

October 2012

- Allison Elementary-Marketed Lead and home repair services Seton/Goodwill 6th Annual Fall Disability and Employment Expo – Marketed Holly Good Neighbor and home repair programs and services.
- Consuelo Mendez Family Resource Center-Gave presentation for all program services
- Martin Middle School- Gave presentation for all program services
- Metz Recreation Center-Austin Energy Community Connections- held booth to promote division services

November 2012

- Dove Springs Community Fall Festival-held booth to promote division services and hand out program materials
- Southwest Key-held booth to promote division services and hand out program materials

January 2013

Chapter 3: Federal Reports

- City Hall-Austin International Orientation-presentation to new citizens about financial services and housing finance programs

February 2013

- Huston-Tillotson University- African American Community Heritage Festival- held booth to promote division services and hand out program materials

March 2013

- Both Client Services and Construction Divisions shared targeted neighborhood block walks to distribute and promote program information and services

April 2013

- Austin Convention Center-Affordable Housing Presentation and distribution of division program materials
- Both Client Services and Construction Divisions shared targeted neighborhood block walks to distribute and promote program information and services

May 2013

- Both Client Services and Construction Divisions shared targeted neighborhood block walks to distribute and promote program information and services

June 2013

- Holly Neighborhood Block Walk with Austin Fire Department-distributed Holly program information and home repair information
- Both Client Services and Construction Divisions shared targeted neighborhood block walks to distribute and promote program information and services

Chapter 3: Federal Reports

July 2013

- WIC Clinics in Austin Texas- distribution of 600 LEAD flyers to all WIC clinics within the Austin city limits
- Both Client Services and Construction Divisions shared targeted neighborhood block walks to distribute and promote program information and services

August 2013

- City Hall-Build a Back Pack-Client Services distribution of 600 Lead flyers to children and their parents in Austin Independent School District
- Aging Services Council-Presentation to 24 non-profit agencies serving Austin's aging community-Home repair and ABR programs were promoted
- Back to School Supply Giveaway Fair-Rosewood& Dove Spring Recreation Centers and City of Austin Convention Center-Distribution of over 500 Lead Program flyers
- Area Agency on Aging- Presentation on ABR Program

September 2013

- APD & Travis County Sheriff's Department- Senior Safety Fair- Parson's House of Austin
- Austin Energy Community Connection Event-Gus Garcia Recreation Center- held booth to promote division services and hand out program materials.

Federal Requirement - Describe how and the frequency with which you monitored your activities.

Desk reviews are performed on 100 percent of NHCD's activities, annually, during the term of affordability. Projects are reviewed for long-term

Chapter 3: Federal Reports

compliance through compliance documentation submitted by the subrecipient/borrower: financial audits, occupancy reports, tenant income certifications, HQS inspection reports, and insurance certificates. In addition to the documentation submitted by the subrecipient/borrower, the following resources are reviewed:

- County tax office records to determine if property taxes are current.
- City code compliance services to determine if there are any active code violations on the property.
- Central appraisal district to determine if there has been a transfer of ownership.
- County, Official Public Record to determine if there has been a transfer of ownership or foreclosure.
- City utility records to verify occupancy.
- Pay request documentation.
- Performance Reports.
- Proof of homeowner's insurance coverage.
- Demographic documentation.

By annually reviewing the above referenced documentation and other resources, staff determines if the project is compliant with monitoring requirements. Based upon risk assessment of each case, staff may conduct a site visit to confirm information provided by the subrecipient/borrower and/or determine the adequacy of on-site records. In addition, technical assistance is provided should necessary improvements be found through the City's monitoring.

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***Federal Requirement* - What is the status of your grant programs? Are any activities or strategies falling behind schedule?**

To view a status of NHCD's grant programs, see the Self Evaluation in Chapter 1.

***Federal Requirement* - Are grant disbursements timely?**

Yes, all grant disbursements are timely.

***Federal Requirement* - Do actual expenditures differ from letter of credit disbursements?**

No, actual expenditures do not differ from letter of credit disbursements.

City of Austin Fiscal Year 2012-13 CAPER

Chapter 3: Federal Objectives

Federal Requirements - Evaluation of progress in meeting the specific objective of providing affordable housing, including the number of extremely low-income, low-income, and moderate-income renters and owner households assisted in FY 2011-12 and the number of households assisted with housing that meets the Section 215 definition of affordable housing for rental and homeownership. This summary of progress includes a comparison of proposed goals and actual accomplishments in the FY 2011-12 reporting period, efforts to address “worst-case needs,” and the accessibility needs of persons with disabilities. Exhibit 3.11 displays the City's progress in meeting specific objectives of providing affordable housing.

Priority		Programs/Projects/Activities	Funding Source	FY 2012-13 Beginning Balance	FY 2012-13 Appropriation	FY 2012-13 Total Amount Available	Total Amount Expended	FY 2012-13 Proposed Goal	FY 2012-13 Accomplish-ment
Homeless/ Special Needs Assistance	1	Shelter Operation and Maintenance	HESG	19,397	\$313,922	\$333,319	\$278,998	8,500	6,097
	2	Homeless Essential Services	HESG	-	\$65,912	\$65,912	\$64,488	40	91
	3	Short-Term Rent, Mortgage, and Utility	HOPWA	-	\$78,232	\$78,232	\$74,772	199	127
	4	Tenant-Based Rental Assistance	HOPWA	-	\$551,169	\$551,169	\$483,636	100	89
	5	Permanent Housing Placement	HOPWA	-	\$23,742	\$23,742	\$21,097	38	47
	6	Short-Term Housing Assistance	HOPWA	-	\$113,302	\$113,302	\$63,302	45	100
	7	Transitional Housing	HOPWA	-	\$205,165	\$205,165	\$199,250	49	50
	8	Supportive Services	HOPWA	-	\$95,602	\$95,602	\$104,483	49	50
	9	Child Care Services	CDBG SF	\$32,075 -	\$578,130 \$110,120	\$610,205 \$110,120	\$577,624 \$102,426	269	120
	10	Senior Services	CDBG SF	- -	\$19,588 \$116,883	\$19,588 \$116,883	\$19,588 \$115,085	30	236
	11	Youth Support Services	CDBG SF	- -	\$181,177 \$27,023	\$181,177 \$27,023	\$172,920 \$4,500	138	159
Renter Assistance	1	Tenant-Based Rental Assistance	HOME SF	\$208,213 \$0	\$301,889 \$6,700	\$510,102 \$56,700	\$364,528 \$56,700	68	103
	2	Architectural Barrier Removal - Renter	GO Bonds	283,945	\$0	\$283,945	\$225,582	N/A	20
	3	Tenants' Rights Assistance	CDBG SF	- \$0	\$225,030 63,699	\$225,030 \$63,699	\$224,250 24,290	428	528
Homebuyer Assistance	1	Housing Smarts	SF HTF	\$0 \$0	\$185,971 \$0	\$185,971 \$0	\$147,987 \$0	N/A	302
	2	Down Payment Assistance	CDBG	11,500	\$0	\$11,500	-	15	19
			HOME HOME-PI	\$550,382 -	\$397,543 \$512,079	\$947,925 \$512,079	\$28,515 \$512,079		
Homeowner Assistance	1	Architectural Barrier Removal - Owner	CDBG	\$491,146	\$1,509,653	\$2,000,799	\$873,663	111	53
	2	Emergency Home Repair Program	CDBG	\$0	\$1,000,000	\$1,000,000	\$804,971	475	406
	3	Homeowner Rehabilitation Loan Program	CDBG	\$38,835	\$0	\$38,835	\$0	10	3
			CDBG-RL	\$286,023	\$263,264	\$549,287	\$100,742		
			HOME	\$476,621	\$811,044	\$1,287,665	\$305,513		
			HOME-PI	-	\$51,095	\$51,095	\$51,095		
			HTF	\$4,135	-	\$4,135	\$4,135		
			HAF	-	\$0	\$0	\$0		
	4	G.O. Repair! Program	GO Bonds	\$519,273	\$0	\$519,273	\$470,160	26	32
	4	Home Repair Program	GF-CIP	\$0	\$1,000,000	\$1,000,000	\$38,200	N/A	0
	5	LeadSmart Program	Lead	\$2,115,936	\$2,500,000	\$4,615,936	\$604,931	60	29
	6	Holly Good Neighbor	AE	\$2,052,292	\$0	\$2,052,292	\$301,600	N/A	6
Housing Developer Assistance	1	Rental Housing Development Assistance	CDBG	\$2,088,673	\$454,359	\$2,543,032	\$1,306,345	13	157
			CDBG-PI	\$0	-	\$0	\$0		
			HOME	\$3,427,804	-	\$3,427,804	\$2,572,513		
			HOME-PI	-	\$3,748	\$3,748	3,748		
			HOME (CHDO)	\$1,037,129	\$182,188	\$1,219,317	\$1,162,553		
			UNO	\$241,482	\$55,265	\$296,747	\$0		
			GF-CIP	\$0	\$5,400,000	\$5,400,000	\$2,250,000		
			GO Bonds	\$3,806,790	\$0	\$3,806,790	\$1,796,412		
			HTF	\$494,518	\$424,898	\$919,416	\$320,933		
			HAF	-	\$0	\$0	\$0		
	2	Acquisition and Development	CDBG	\$878,552	\$430,559	\$1,309,111	\$946,402	4	27
			CDBG-RL	\$0	\$50,781	\$50,781	\$50,781		
			HOME	\$1,447,423	\$211,406	\$1,658,829	\$124,777		
			HOME-PI	-	\$40,529	\$40,529	40,529		
			HOME (CHDO)	\$86,341	\$182,189	\$268,530	\$41,682		
			GF-CIP	\$990,456	\$3,350,000	\$4,340,456	\$710,776		
			GO Bonds	\$1,298,578	\$0	\$1,298,578	\$248,380		
			HTF	\$675,703	\$0	\$675,703	\$616,331		
			HAF	-	276,570	276,570	22,252		
	3	CHDO Operating Expenses Grants	HOME (CO)	\$125,854	\$100,000	\$225,854	\$39,929	4	1
	4	Developer Incentive-Based Programs	SF GF-CIP	- 8,806	\$113,728 0	\$113,728 \$8,806	\$89,835 \$8,000	N/A	953
TOTAL Households Served: All Housing Programs/ All Funding Sources*				\$23,697,882	\$22,634,154	\$46,332,036	\$19,773,288	10,671	9,805

*These financial number totals do not include Administration costs.

Chapter 3: Federal Objectives

Federal Guideline - Evaluate progress in meeting specific affordable housing objectives, including:

- a) **Comparison of proposed numeric goals (from the consolidated plan and annual action plan) with the actual number of extremely low income, low income, and moderate income renter and owner households assisted during the reporting period.**

See the demographic information and income levels served in *Appendix II*.

- b) **Report the number of households served meeting the Section 215 requirements of affordable housing (meeting the definitions in 24 CFR 92.252 and 92.254 for renters and owners, respectively).**

See Housing Activity Tables in Chapter 5.

- c) **Description of efforts to address worst case needs (defined as low-income renters with severe cost burden, in substandard housing, or involuntarily displaced).**

NHCD has several programs that serve worst case needs. The Architectural Barrier Removal (ABR) Program – Owner/Renter provides home accessibility modifications for both low-income owners and renters. The Tenant Based Rental Assistance (TBRA) Program provides rental housing subsidies and security deposits to households earning at or below 50 percent of MFI, or \$37,450 a year for a family of four. The Rental Housing Development Assistance (RHDA) Program provides funding for non-profit and for-profit developers to acquire, rehabilitate or construct affordable rental housing for low-income households. In response to the City's

Chapter 3: Federal Objectives

commitment to ending homelessness, Permanent Supportive Housing (PSH) is a priority component of the RHDA program.

d) Description of efforts to address the accessibility needs of persons with disabilities.

Architectural Barrier Removal (ABR) Program

The Architectural Barrier Removal (ABR) Program modifies the homes of seniors and persons with disabilities who have limited income and need their homes to be more accessible. These accessibility modifications help persons with disabilities remain in their homes longer and live with a greater degree of independence. All services are free to eligible persons. ABR Program services include: wheel chair ramps, handrails, door widening, buzzing or flashing devices (for people with visual/hearing impairment), accessible door and faucets handles, shower grab bars and shower wands, and accessible showers, toilets and sinks. For more information on program performance for FY 2012-13, see Chapter 5.

S.M.A.R.T. Housing™

The City Council adopted the S.M.A.R.T. Housing™ Ordinance in April 2000 to encourage the development of reasonably priced, mixed-income housing units that meet accessibility standards. The S.M.A.R.T. Housing™ Ordinance ensures that new homes are Safe, Mixed-Income, Accessible, Reasonably Priced, and Transit-Oriented and meet the City's Green Building minimum-energy efficiency rating.

The policy stipulates that all S.M.A.R.T. Housing™ certified single-family ownership units meet visitability standards reflected in the City's Visitability Ordinance. All multi-family developments must comply with federal, state

Chapter 3: Federal Objectives

and local accessibility standards, and at least 10 percent of the multi-family housing units must be accessible. Developments that meet these and other prerequisites are given expedited review under the City's development process and reductions/exemptions for certain development and construction fees. S.M.A.R.T. Housing™ provides a vehicle to achieve neighborhood support for housing that serves low- and moderate-income residents by requiring applicants to meet with neighborhood organizations prior to filing an application for a zoning change.

In 2008, recommendations from the Council-appointed Affordable Housing Incentives Task Force (AHITF), some of which applied to S.M.A.R.T. Housing™, were incorporated into the City of Austin's Land Development Code. The City Council has adopted Land Development Code amendments that allow density bonuses in certain single-family and/or multi-family S.M.A.R.T. Housing™ developments without a zoning change. In addition, downtown developments may receive a density bonus without a zoning change by providing a percentage of affordable housing units or pay a fee-in-lieu to fund affordable housing in or near the downtown area.

Program accomplishments are described in the Chapter 1 Executive Summary and the Chapter 5 Activity Table.

ESG Report

CR-60 - ESG 91.520(g) (ESG Recipients only)

ESG Supplement to the CAPER in *e-snaps*

For Paperwork Reduction Act

1. Recipient Information—All Recipients Complete

Basic Grant Information

Recipient Name	AUSTIN
Organizational DUNS Number	942230764
EIN/TIN Number	746000085
Identify the Field Office	SAN ANTONIO
Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance	Austin/Travis County CoC

ESG Contact Name

Prefix	
First Name	Natasha
Middle Name	E.
Last Name	Ponczek Shoemak
Suffix	
Title	HHS Program Coordinator

ESG Contact Address

Street Address 1	P.O. Box 1088
Street Address 2	
City	Austin
State	Texas
ZIP Code	78767-
Phone Number	(512) 972-5072
Extension	
Fax Number	
Email Address	Natasha.Ponczek@austintexas.gov

ESG Secondary Contact

Prefix	
First Name	Leslie
Last Name	Boyd
Suffix	
Title	Grant Coordinator
Phone Number	(512) 972-5036
Extension	
Email Address	Leslie.Boyd@austintexas.gov

2. Reporting Period—All Recipients Complete

CAPER

1

Program Year Start Date 10/01/2012
Program Year End Date 09/30/2013

3a. Subrecipient Form – Complete one form for each subrecipient

Subrecipient or Contractor Name: Downtown Austin Community Court (2nd Allocation 11-12 only)

City: Austin

State: TX

Zip Code: 78701

DUNS Number:

Is subrecipient a victim services provider: No

Subrecipient Organization Type: Other Non-Profit Organization this should be Unit of Government

ESG Subgrant or Contract Award Amount: 2nd Allocation: \$135,218

Subrecipient or Contractor Name: Front Steps, Inc.

City: Austin

State: TX

Zip Code: 78701

DUNS Number:

Is subrecipient a victim services provider: No

Subrecipient Organization Type: Non-Profit Organization

ESG Subgrant or Contract Award Amount 2nd Allocation: \$28,472;
2012-13: \$479,757

Subrecipient or Contractor Name: City of Austin Communicable Disease Unit

City: Austin

State: TX

Zip Code: 78701,

DUNS Number:

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Unit of Government this is fine

ESG Subgrant or Contract Award Amount: \$65,912

CR-65 - Persons Assisted

4. Persons Served

4a. Complete for Homelessness Prevention Activities

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
Total	0

Table 1 – Household Information for Homeless Prevention Activities

4b. Complete for Rapid Re-Housing Activities

Number of Persons in Households	Total
Adults	248
Children	0
Don't Know/Refused/Other	0
Missing Information	0
Total	248

Table 2 – Household Information for Rapid Re-Housing Activities

4c. Complete for Shelter

Number of Persons in Households	Total
Adults	5,909
Children	0
Don't Know/Refused/Other	11
Missing Information	177
Total	6,097

Table 3 – Shelter Information

4d. Street Outreach no street outreach activities

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
Total	0

Table 4 – Household Information for Street Outreach

4e. Totals for all Persons Served with ESG

Number of Persons in Households	Total
Adults	6,157
Children	0
Don't Know/Refused/Other	11
Missing Information	177
Total	6,345

Table 5 – Household Information for Persons Served with ESG

5. Gender—Complete for All Activities

	Total
Male	4843
Female	1,344
Transgender	8
Don't Know/Refused/Other	150
Missing Information	0
Total	6,345

Table 6 – Gender Information

6. Age—Complete for All Activities

	Total
Under 18	0
18-24	253
25 and over	5,739
Don't Know/Refused/Other	13
Missing Information	340
Total	6,345

Table 7 – Age Information

7. Special Populations Served—Complete for All Activities

Number of Persons in Households

Subpopulation	Total Persons Served – Prevention	Total Persons Served – RRH	Total Persons Served in Emergency Shelters	Total
Veterans	0	20	724	0
Victims of Domestic Violence	0	45	808	0
Elderly	0	15	320	0
HIV/AIDS	0	63	79	0
Chronically Homeless	0	38	1,064	0
Persons with Disabilities:				
Severely Mentally Ill	0	35	1,555	0
Chronic Substance Abuse	0	28	1,039	0
Other Disability	0	76	2,389	0
Total (Unduplicated if possible)	0	320	7,978	0

Table 8 – Special Population Served

CR-70 – ESG 91.520(g) - Assistance Provided and Outcomes

8. Shelter Utilization

Number of New Units - Rehabbed	0
Number of New Units - Conversion	0
Total Number of bed-nights available	78,475
Total Number of bed-nights provided	76,045
Capacity Utilization	96.90%

Table 9 – Shelter Capacity

9. Project Outcomes Data measured under the performance standards developed in consultation with the CoC(s) I don't know – what are the options?

CR-75 – Expenditures

11. Expenditures

11a. ESG Expenditures for Homelessness Prevention

	Dollar Amount of Expenditures in Program Year		
	FY 2010	FY 2011	FY 2012
Expenditures for Rental Assistance	0	0	0
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	0
Expenditures for Housing Relocation & Stabilization Services - Services	0	0	0
Expenditures for Homeless Prevention under Emergency Shelter Grants Program	0	0	0
Subtotal Homelessness Prevention	0	0	0

Table 10 – ESG Expenditures for Homelessness Prevention

11b. ESG Expenditures for Rapid Re-Housing

	Dollar Amount of Expenditures in Program Year		
	FY 2010	FY 2011	FY 2012
Expenditures for Rental Assistance	0	0	0
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	18,326
Expenditures for Housing Relocation & Stabilization Services - Services	0	89,595	87,368
Expenditures for Homeless Assistance under Emergency Shelter Grants Program	0	0	0
Subtotal Rapid Re-Housing	0	89,595	105,694

Table 11 – ESG Expenditures for Rapid Re-Housing

11c. ESG Expenditures for Emergency Shelter

	Dollar Amount of Expenditures in Program Year		
	FY 2010	FY 2011	FY 2012
Essential Services	0	0	0
Operations	0	0	278,998
Renovation	0	0	0
Major Rehab	0	0	0
Conversion	0	0	0

Subtotal	0	0	278,998
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Table 12 – ESG Expenditures for Emergency Shelter

11d. Other Grant Expenditures

	Dollar Amount of Expenditures in Program Year		
	FY 2010	FY 2011	FY 2012
HMIS	0	10,639	3,835
Administration	0	22,163	44,419
Street Outreach	0	0	0

Table 13 - Other Grant Expenditures

11e. Total ESG Grant Funds

Total ESG Funds Expended	FY 2010	FY 2011	FY 2012
555,343	0	122,397	432,946

Table 14 - Total ESG Funds Expended

11f. Match Source

	FY 2010	FY 2011	FY 2012
Other Non-ESG HUD Funds	0	0	0
Other Federal Funds	0	0	0
State Government	0	0	0
Local Government	0	125,816	477,926
Private Funds	0	0	0
Other	0	0	0
Fees	0	0	0
Program Income	0	0	0
Total Match Amount	0	125,816	477,926

Table 15 - Other Funds Expended on Eligible ESG Activities

11g. Total

Total Amount of Funds Expended on ESG Activities	FY 2010	FY 2011	FY 2012
1,159,085	0	248,213	910,872

Table 16 - Total Amount of Funds Expended on ESG Activities

HOPWA Report



Housing Opportunities for Persons with AIDS (HOPWA) Program

Consolidated Annual Performance and Evaluation Report (CAPER) Measuring Performance Outcomes

OMB Number 2506-0133 (Expiration Date: 10/31/2014)

The CAPER report for HOPWA formula grantees provides annual information on program accomplishments that supports program evaluation and the ability to measure program beneficiary outcomes as related to: maintain housing stability; prevent homelessness; and improve access to care and support. This information is also covered under the Consolidated Plan Management Process (CPMP) report and includes Narrative Responses and Performance Charts required under the Consolidated Planning regulations. The public reporting burden for the collection of information is estimated to average 42 hours per manual response, or less if an automated data collection and retrieval system is in use, along with 60 hours for record keeping, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Grantees are required to report on the activities undertaken only, thus there may be components of these reporting requirements that may not be applicable. This agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless that collection displays a valid OMB control number.

Transgender: Transgender is defined as a person who identifies with, or presents as, a gender that is different from his/her gender at birth.

**Housing Opportunities for Person with AIDS (HOPWA)
Consolidated Annual Performance and Evaluation Report (CAPER)
Measuring Performance Outputs and Outcomes**

2. Project Sponsor Information

1. Grantee Information

HUD Grant Number TXH 11 F004		Operating Year for this report From 10/01/12 To) 09/30/13		
Grantee Name City of Austin				
Business Address		7201 Levander Loop		
City, County, State, Zip		Austin	Travis	TX 78702
Employer Identification Number (EIN) or Tax Identification Number (TIN)		74-6000085		
DUN & Bradstreet Number (DUNs):		94-5607265	Central Contractor Registration (CCR): Is the grantee's CCR status currently active? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, provide CCR Number: 37ZPO	
*Congressional District of Grantee's Business Address		10th		
*Congressional District of Primary Service Area(s)		10 21 25 31		
*City(ies) <u>and</u> County(ies) of Primary Service Area(s)		Cities: Austin Bastrop Round Rock Georgetown San Marcos	Counties: Travis Bastrop Caldwell Hays Williamson	
Organization's Website Address www.austintexas.gov		Is there a waiting list(s) for HOPWA Housing Subsidy Assistance Services in the Grantee service Area? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section what services maintain a waiting list and how this list is administered.		

* Service delivery area information only needed for program activities being directly carried out by the grantee

Please complete Chart 2 for each organization designated or selected to serve as a project sponsors, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A.

Note: Please see Definition section for distinctions between project sponsor and sub recipient

Project Sponsor Agency Name AIDS Services of Austin, Inc.		Parent Company Name, if applicable NA		
Name and Title of Contact at Project Sponsor Agency	Sandra Chavez			
Email Address	ASA.Mail@asaustin.org			
Business Address	PO Box 4874			
City, County, State, Zip,	Austin	Travis	TX	78765
Phone Number (with area code)	512-458-2437		Fax Number (with area code) 512-452-3299	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	74-2440865			
DUN & Bradstreet Number (DUNs):	78-2220941			
Congressional District of Project Sponsor's Business Address	10			
Congressional District(s) of Primary Service Area(s)	10, 21, 25, 31			
City(ies) <u>and</u> County(ies) of Primary Service Area(s)	Austin, Bastrop, Round Rock, Georgetown, San Marcos, et al.		Travis, Bastrop, Caldwell, Hays, Williamson	
Total HOPWA contract amount for this Organization for the operating year	\$766,445			
Organization's Website Address http://www.asaustin.org		Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered.		
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>				

Project Sponsor Agency Name Project Transitions		Parent Company Name, if applicable N/A		
Name and Title of Contact at Project Sponsor Agency	Josh Allen, Executive Director			
Email Address	jallen@projecttransitions.org			
Business Address	PO Box 4826			
City, County, State, Zip,	Austin	Travis	TX	78765
Phone Number (with area code)	512.454.8646		Fax Number (with area code) 512.454.5039	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	74-2502171			
DUN & Bradstreet Number (DUNs):	78-8375921			
Congressional District of Project Sponsor's Business Address	10th			
Congressional District(s) of Primary Service Area(s)	10, 21, 25,31			
City(ies) <u>and</u> County(ies) of Primary Service Area(s)	Cities: Austin Bastrop Round Rock Georgetown San Marcos		Counties: Travis Bastrop Caldwell Hays Williamson	
Total HOPWA contract amount for this Organization for the operating year	\$ 303,734			
Organization's Website Address http://www.ProjectTransitions.org		Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>		If yes, explain in the narrative section how this list is administered.		

4. Grantee Narrative and Performance Assessment

a. Grantee and Community Overview

Provide a one to three page narrative summarizing major achievements and highlights that were proposed and completed during the program year. Include a brief description of the grant organization, area of service, the name(s) of the program contact(s), and an overview of the range/type of housing activities provided. This overview may be used for public information, including posting on HUD's website. *Note: Text fields are expandable are expandable.*

Brief Description of the Grant Organization

The Austin Eligible Metropolitan Statistical Area (EMSA) is located in central Texas and has received HOPWA funding since 1995. The EMSA serves Bastrop, Caldwell, Hays, Travis and Williamson counties which covers 4,281 square miles. The racial/ethnic distribution is as follows: 54.1% White; 33.1% Hispanic; 7.8% African American and 5% classified as Other. The Austin EMSA is one of the fastest growing areas in the United States. Its growth rate is double the rate for the State of Texas, and is more than three times larger than the rate for the United States. In 2012, nearly 85% of newly reported HIV and AIDS were reported in Travis County. The Austin Area Comprehensive HIV Planning Council provides input into the development of the City of Austin Consolidated Plan for housing services, which includes funding for the HOPWA program. AIDS Services of Austin and Project Transitions are the Project Sponsors for the program. They are long term partners with the City of Austin and provide HOPWA services through subcontracts.

The HIV Resources Administration Unit (HRAU) staff performs fiscal monitoring through monthly reviews of payment requests and expenditure patterns. Each subcontractor's annual independent financial audit is reviewed to obtain an overview of the agency's financial position. Project Sponsor site visits are performed annually. Staff reviews the agency's Board-approved financial policies and procedures, and ensures that Project Sponsor's maintain an accounting system in accordance with Generally Accepted Accounting Principles (GAAP).

Annual fiscal and program monitoring is required to ensure that the sponsors have systems in place to deliver high quality services in compliance with contract terms and conditions. Programmatic reviews address the following areas: general program expectations, intake, demographic information, eligibility, and income verification. Sponsors are monitored to ensure compliance with program objectives including target populations, services provided, number of clients served, and outcomes measured. During site visits, the monitoring team reviews client charts/files, interviews staff, and documents methods for collecting and reporting service outcomes. HRAU staff assesses program operations through a review of program policies and procedures and contract terms and conditions. Additionally, staff reviews monthly and quarterly program performance reports and annual client satisfaction survey results.

Name of the Program Contact: Hugh Beck, Grants Coordinator, 512-972-5079

Overview of the Range/Type of Housing Activities Provided

Case managers, located in six HIV agencies throughout the EMSA, serve as entry points for HIV/AIDS clients in need of housing assistance. Case managers assess each client's actual need for housing assistance, as well as their need for primary medical care and other HIV-related support services. Clients who are determined to be eligible for HOPWA program services participate in the development of an individualized housing service plan designed to identify and remedy obstacles to their attaining self-sufficiency. The case managers ensure that clients are informed of the availability of needed medical and supportive services, and provide referrals and assistance in accessing those services.

HOPWA program services are provided through two project sponsors, which are described below:

AIDS Services of Austin (ASA) provides direct client services and also acts as the fiscal agent for a collaboration that includes five other HIV case management service providers. ASA's HOPWA program provides rent, mortgage, and utility assistance for income-eligible persons with HIV and AIDS and their families. The goal of the program is to prevent homelessness and to support independent living for clients. Case managers assess client need and submit requests for assistance accordingly.

There are four HUD-approved activities through ASA's HOPWA Program:

1. **Emergency Assistance Program:** This program provides payments for short-term rent, mortgage, and utility assistance (STRMU) in order to prevent homelessness by enabling income-eligible individuals to remain in their current residences.
2. **Rental Assistance Program:** This program provides tenant-based rental assistance (TBRA) and assistance for shared housing arrangements.
3. **Permanent Housing Placement (PHP):** This program provides first month's rent and utility assistance to meet the urgent needs of eligible persons with HIV/AIDS and their families. PHP will assist eligible clients in establishing a new residence where on-going occupancy is expected to continue. Assistance will be provided to eligible clients and their families with payment of first month's rent, when necessary to secure permanent housing, and will complement other forms of HOPWA housing assistance.
4. **Short Term Supportive Housing (STSH):** This program provides short term emergency shelter to homeless families or individuals (households) living with HIV/AIDS. Short term facilities are intended to provide temporary shelter (up to 60 days in a six month period) to prevent homelessness and allow for the opportunity to develop an individualized housing and service plan to guide the client's linkage to permanent housing.

The second project sponsor is Project Transitions (PT). This agency's HOPWA program provides residential supportive services through apartment-style and scattered-site housing. Supportive services are also provided. Thirty apartments are located in two agency-owned facilities, and other apartments are leased throughout the community. A variety of supportive services are offered to all clients including: facility-based meals, counseling, substance abuse relapse prevention support, client advocacy, transportation, and assistance with obtaining permanent housing. These activities address the Consolidated Plan Assisted Housing Strategy goal to "Assist eligible households in securing and non-profit organization in creating limited-term housing and supportive services."

There are two HUD-approved activities through PT's HOPWA Program:

1. **Transitional Housing:** This activity provides facility-based and scattered-site transitional housing with support services to persons with HIV disease. Transitional housing is not provided to any individual for more than 24 months. Project Transitions has 30 apartments, located in two agency-owned facilities, and other apartments that are leased throughout the community. This program is designed to increased stability, to reduce homelessness, and increase access to care and support.
2. **Supportive Services:** A variety of supportive services are offered to all clients including: facility-based meals, life skills management counseling, substance abuse relapse prevention support, client advocacy, transportation, and assistance with obtaining permanent housing. Case managers ensure that clients are informed of the availability of needed medical and supportive services, and provide referrals and assistance in accessing those services.

Major Achievements and Highlights

The HOPWA Housing Coordinator and Case Manager continues to participate monthly in the ECHO (End Community Homelessness Coalition) housing work group. They have assisted with a subcommittee to validate a screening and assessment tool that may be utilized by the committee for determining client's need for permanent supportive housing. The results of the pilot were released at the housing work group meeting.. The results showed that the tool piloted is not appropriate for the Austin community needs. The housing work group has presented its findings concerning the pilot to the ECHO board who will be addressing the need for a community screening tool. They also have begun to attend PCC (Program Coordinating Committee) meetings. This is a group of community resource staff whose goal is to combine their knowledge to help mutual clients access and utilize services to be more self-sufficient. The group attempts to collaborate to identify gaps in services and ways to meet client needs. The HOPWA Consortium has centralized the TBRA program in an effort to build consistency within the EMSA's participating consortium agencies. The program for all five consortium agencies was centralized in June 2012.

Community Action, Inc. (CAI) continues to provide housing services in the rural areas of the EMSA and is now collaborating with the Wright House Wellness Center (WHWC) to provide services which are not available to those clients. These services include transportation, housing assistance, and financial assistance. The Coordinator of Case Management Services (CCMS) at CAI and WHWC staff have been working together to create and update agency forms in order to make the referral and service delivery process efficient. The CCMS created procedures describing how case managers should refer their clients for assistance and also provided forms to be used for each request needed by the client. The CCMS has been updating the forms to reflect any new issues or circumstances that may arise. The CCMS will continue to evaluate the effectiveness of services provided to clients of an outside agency who are also receiving financial assistance from CAI. CAI also works closely with ASA in order to provide clients with dental, housing and food bank services. CAI case managers routinely refer their client's to ASA's Jack Sansing Dental clinic for dental needs. CAI also participates in the Austin Area HOPWA Consortium. ASA administers HOPWA funds and CAI case managers complete and obtain all necessary paperwork to process clients HOPWA request. CAI case manager also refer clients who meet ASA eligibility requirements to the ASA food bank. Clients who qualify are able to utilize the ASA food bank twice a month.

ASA and WHWC continues its successful collaboration with 10 Central Texas community agencies to receive Best Single Source Plus funding from the City of Austin that allows for a restart of the re-entry program with additional dollars for direct assistance for housing stability for all eligible ASA clients. WHWC and ASA continued working with the collaborative of housing providers funded by the Homelessness Prevention and Rapid Re-housing Project (HHRP) through the Texas Department of Housing and Community Affairs to address the needs of the re-entry population.

Project Transitions provides a mix of housing options for PLWHAs such as apartment-style housing and supportive services. However, specific emphasis is placed on two target populations who historically have faced extraordinary challenges accessing housing: the homeless and single head of household, especially women and children. Clients work toward self-sufficiency and obtain permanent housing with the assistance of a case manager.

Another accomplishment, which initially presented itself as a challenge, has been dealing with clients improved health condition due to the use of new drug therapies. The major challenge stemming from improved health condition has been that when a client's health improves, it does not signify immediate discontinuation of the utilization of housing services. Therefore, adequate and focused supportive services are essential in order to better meet the needs of the clients, which includes housing assistance. In addition, as clients are living longer the need for housing services expands as more and more clients are requesting affordable housing. This results in long waiting lists for a limited number of available units. Wait lists are reviewed when an opening occurs and clients considered to have the greatest need with the longest wait time and who can live safely in a community environment are admitted.

b. Annual Performance under the Action Plan

Provide a narrative addressing each of the following four items:

1. Outputs Reported. Describe significant accomplishments or challenges in achieving the number of housing units supported and the number households assisted with HOPWA funds during this operating year compared to plans for this assistance, as approved in the Consolidated Plan/Action Plan. Describe how HOPWA funds were distributed during your program year among different categories of housing and geographic areas to address needs throughout the grant service area, consistent with approved plans.

- The units of service provided through TBRA are currently at 96.8%, slightly below target of 100%. However this percentage is within the variance. This could be due to retaining a client case load of 65 at the current time, which is slightly under-utilizing the amount of assistance, budgeted monthly. The number of households served is at 92.7%. This is slightly below the target of 100% however it is also within variance.
- The units of service through STSH are 94.4% which is slightly below target of 100% however it is within variance. The number of households served by STSH has exceeded the target at 181.8%. The STSH program continues to show a large increase in need possibly due to limited housing throughout the community at this time and growing influx of homeless into the community. ASA believes this increase is due to a limited amount of housing options for homeless who have criminal, bad credit, and/or eviction histories. STSH had exceeded the amount of funds originally placed in the funding category; however a transfer was implemented to move funds from lower spending programs into STSH.
- The units of service for STRMU is 100%; which is within variance. Funds were transferred from this program into the STSH program since at the time this program was performing below the variance for the first three quarters of the grant year. There has been an increase in usage during this grant year. The UDC for STRMU is at 99.2% and this is within variance.
- The units of service for PHPS are slightly below target of 100% at 96.2%, and this is within the variance. The number of households served by PHPS is well above the 100% marker at 115.8%. This is due to an increase in usage throughout this grant year.
- Year-to-date, the Transitional Housing/Supportive Housing Programs have served a total of 96 unduplicated beneficiaries representing 50 separate households. This represents 102% of the annual goal of service 49 households.

3. Outcomes Assessed. Assess your program's success in enabling HOPWA beneficiaries to establish and/or better maintain a stable living environment in housing that is safe, decent, and sanitary, and improve access to care. Compare current year results to baseline results for clients. Describe how program activities/projects contributed to meeting stated goals. If program did not achieve expected targets, please describe how your program plans to address challenges in program implementation and the steps currently being taken to achieve goals in next operating year. If your program exceeded program targets, please describe strategies the program utilized and how those contributed to program successes.

- The HOPWA Consortium report indicates that the cumulative housing stability measure for TBRA is 85.7%. This average is low as a result of having a small amount of exits (21) with 2 exiting to incarceration. The client outcomes for Access to Care and Support are 95.1%. Access/maintenance of qualifications for sources of income is at 67.2%. This income outcome is low due to clients at zero income with health conditions awaiting Social Security disability determination. The percentage has increased this quarter due to several clients obtaining gainful employment. The outcome for housing plans to maintain or establish stable on-going housing for clients served is at 100%.
- Year to Date Transitional Housings meets the objective of providing affordable housing by following the HUD guidelines of charging 30 percent of current income to all residents. If a resident has no income, there is no charge. Residents are assisted in applying to other affordable housing options and to utility, deposit and other resources that help sustain them in housing

SUCCESS STORIES: TBRA, STRMU, STSH

The TBRA program assisted a 52 year old Hispanic male who had been homeless. He was initially assisted through the STSH program where he was provided assistance for transitional housing. He then entered the TBRA program as he rented a room and began to seek employment. He obtained employment and continued to receive services from the TBRA program through the earned income disregard. He is currently employed full time and has obtained rental housing. He will be successfully exiting the TBRA program within the next quarter to sustain his housing without the need for further financial assistance.

The TBRA program assisted a 43 year old white male who had been homeless prior to assistance from the STSH program. He enrolled into the TBRA program and quickly found full time employment and then moved into an apartment. He now maintains independence. He is no longer enrolled in the TBRA program since he is now able to provide for his housing with no need for assistance.

The TBRA program assisted a 23 year old Black single mother of two small children as she attended school to obtain a certification. She struggled with high utility bills and was offered public housing. Through TBRA and another community program, this client was able to pay off her bills to be eligible to move into public housing. She now resides in her own apartment and with the assistance of her financial aid she able to support her family independently and has exited the program.

The STRMU program assisted Ethan, a 30 year old white male, who had been laid off, was in the process of filing for unemployment, and was trying to get back into medical care when he was approved for HOPWA rental assistance (STRMU) to cover his rent. With the help of the rental assistance, Ethan was able to stay in housing, continue to attend all of his medical care appointments, meet with the psychiatrist, and look for employment. Ethan is now working full time, reports that his medications are working and he is "able to focus."

The TBRA program assisted Maria, a 38 year old Hispanic female, who was approved for HOPWA rental assistance (STSH) for the month of September and was subsequently approved for TBRA assistance after reporting no monthly income, no employment, complicated health issues, a need to stabilize her mental health, and significant barriers to finding housing due to a criminal background and her family working with an open CPS case. Maria is currently looking for an apartment that will house her and her two kids, appealing a disability denial, meeting regularly with her PCP and psychiatrist, attending weekly individual counseling sessions and case management appointments, and in school full time working towards an associate's degree in business.

The STSH program assisted a 30 year old African American male who received STSH to stay at Austin Suites to prevent him from becoming homeless. He had moved to Austin from another state due to a job transfer; however he became quite ill, and was hospitalized. The gentleman ended up losing his job, and had no housing options. STSH funds provided him the ability to have temporary housing until he could get back on his feet. He was approved for SSI benefits and Medicaid. He is currently going to vocational school for networking and IT solutions, and hopes to obtain a full-time job after he has completed his schooling. The client is now able to pay for rent on his own, and STSH assistance decreased the possibility of homelessness for the client.

The STSH program assisted a 47 year old African-American female establish transitional housing through STSH while she awaits her number for the local Section 8 program to come up. Client had been staying with family where the use of illicit drugs was common. By removing her out of this living situation client's well-being and medical stability are safeguarded.

The STRMU program assisted a 39 year old African-American female secure stable housing for herself and her 15 year old son through STRMU assistance. Client has been at risk of becoming homeless because her social security benefits have been suspended, and the son's disability benefits are not enough to cover all of the household expenses. Client is actively appealing the suspension of her social security benefits, and is actively seeking a permanent job.

The STRMU program assisted a 61 year old White male with his mortgage payment through STRMU while he experienced an unexpected expense as a result of his septic tank needing to be pumped. During this period client was assisted in applying for Medicaid services, and was awarded additional financial assistance with his Medicare Part B premium, which will free up \$98 of his monthly disability benefits.

The TBRA & STRMU program assisted a 34 year old woman who immigrated to the US due to the civil war in her home country. She arrived with her 4 young children and an abusive husband. She subsequently was diagnosed with AIDS. For the first 3 years after her arrival to the US, she has been learning English (not her native language); she has learned to drive and has a Texas Driver License. She is gainfully employed. Through these years she has relied on the HOPWA program (TBRA and STRMU) to assist with rent and utilities. Last year she welcomed her 5th child. She has successfully participated in medical case management and psychosocial case management services. After 3 years of HOPWA assistance she and her 5 children successfully transitioned into public housing.

The STRMU program assisted a 27 year old white male. He is an HIV positive client who has known of his status for several years but has never maintained medical care. Over the past quarter, he has accessed HOPWA STRMU and has been able to maintain his housing. Additionally, he has accessed medical care through the David Powell Clinic and has been approved by the med assistance program and plans to start HIV meds in the next month.

The STRMU program assisted 52 year old white Male: Due to complications brought on by the Client's Hepatitis C, his health had declined to the point that he was hospitalized for several weeks. The agency was able to connect him to Respite Care upon his release from the hospital, but due to the costs of hospitalization and the fact that he would not be able to immediately return to his home, his housing became jeopardized. Using HOPWA STRMU funds, the client received one month of the rent, while he took time to get his health back. This funding gave the client the opportunity to focus on his care without worry that his rent would not be paid. The client has since been released from respite care and his health has improved beyond the expectations of his doctors.

SUCCESS STORIES: FBH

Story 1:

Doug, 55, moved from Dallas to care for his dad in 2007. He was not able to stay with him after about a few weeks, so he ended up on the streets of Austin for 4 months. He connected with the City of Austin Communicable Disease Unit for HIV case management services, which provided him with a referral to Project Transitions Housing Program. He moved into a one bedroom apartment at Highland Terrace in the Fall of 2007.

Doug moved in with only the clothes he had in his back pack. It was through collaboration and a community effort that Doug was able to obtain needed furniture and household items. According to Doug, "This allowed me to get stable and domesticated." Over the years, Doug states that "everything was great!". He liked having a bus stop in front of the apartment. He stated it was easy to make it to his appointments.

Due to this newfound stability, Doug was able to connect to medical care at the David Powell Clinic and mental health services at the CARE Program. He shares "My health improved and stabilized. I put some weight back on and I built a sense of community."

In 2009, Doug had back surgery. He moved into Doug's House Hospice for 3 weeks of post-op respite services. He shared that the staff at Doug's House gave him the best care possible and allowed him to heal in order to return to his home.

He moved out of Highland Terrace in the Fall of 2012. He moved into permanent, affordable housing through the City of Austin Public Housing. He loves his new place and the wonderful view he has. He shares that he would not have the piece of mind and comfort he has today without the help of Project Transitions housing program, the staff and all the other people in the community that helped him along his journey.

Story 2:

When Terrence got out of prison, he thought he was given a chance at a new life. He had found a roommate and an apartment he could afford on his disability. Unfortunately, his roommate backed out of their apartment and he was unable to make payments on his own. In February 2013, Terrence was accepted into our Community Housing program. When he came to us, he only had six months of rent assistance available to him. He knew he needed to move fast to find a landlord who would accept him with his criminal background. What made it harder was finding an apartment with an accepting landlord *and* one that he could afford on his disability income.

He actively partnered with his Project Transitions housing case manager and after four short months of being in our community housing program, his hard work paid off. He located an affordable apartment and a landlord who was willing to look beyond his criminal history. He soon moved into that apartment and now lives there independently. Terrence also had a wish to get a college degree. He is reaching for his new life and is currently taking classes at Austin Community College this fall.

Story 3:

Meghan moved into Roosevelt Gardens in December of 2010. She was homeless and pregnant with her first child. She had newfound recovery from a methamphetamine addiction. Once moved in, staff immediately partnered with her and her HIV case manager to create wrap around services that included medical care, mental health care, recovery support and all the other skills sets she needed to begin living independently for the first time and prepare for motherhood.

Meghan gave birth to a healthy baby boy in February 2011. He was born with special needs and with the continued support of the staff and the community, Meghan found her calling as a mother. She then began attending college full-time and became engaged to be married. This spring, she married the love of her life and they transferred, as a family, to our community housing program through a private rental. We continue to offer this young family housing case management, independent living skills and support as they transition into completely independent living!

4. Coordination. That helped to address needs for eligible persons identified in the Consolidated Plan/Strategic Plan. Report on program coordination with other mainstream housing and supportive services resources, including the use of committed leveraging from other public and private sources.

(1) Eligible clients will receive rent, mortgage and utility assistance through the Best Single Source Plus Program funded by the City of Austin through the Basic Needs Coalition. Clients must have an income at or below 200% of the federal poverty guideline and a financial need that, with sufficient assistance, is expected to be met with one or three or twelve months of case management and financial assistance. This extends housing assistance to clients who may be eligible or ineligible for any or sufficient assistance through HOPWA and who are very likely to become self-sufficient in maintaining housing stability in the future.

(2) AIDS Services of Austin and Austin Travis County Integral Care have a collaborative contract with the Austin Housing Authority called Shelter Plus whose purpose is to serve those clients homeless by the HUD definition. ASA is allowed a limited number of housing slots that allow homeless to bypass the Section 8 wait list.

(3) AIDS Services of Austin participates in the Customer Assistance Program through Austin Energy for utility payment allocations to avoid cutoff of client utilities.

(4) Ryan White, Travis County, Austin Health and Human Services Department, and Texas Department of State Health Services fund case managers of five agencies that address the needs of HOPWA eligible individuals by providing case management that focuses on housing assessments and housing service plans. Through this funding, consortium agencies also leverage supportive services such as Food Bank, medication and eye glasses assistance, health insurance premium assistance payment for identification documents and medical services such as Oral Health and Medication Nutrition Therapy.

(5) Community Action, Inc. has been able to continue to utilize Non-TGA HOPWA funds to assist clients with STRMU assistance, thus allowing agencies within the TGA to focus the resources on their clients' needs.

Because housing and case management needs far exceed supply, other funding sources have been sought. Resources have or will come from the following:

(1) Eligible ASA and WHWC clients will receive rent, mortgage and utility assistance through the Best Single Source Plus Program funded by the City of Austin through the Basic Needs Coalition. Clients must have an income at or above 200% of the federal poverty guideline and a financial need that, with sufficient assistance, is expected to be met with one or three or twelve months of case management and financial assistance. This extends housing assistance to clients who may be eligible or ineligible for any or sufficient assistance through HOPWA and who are very likely to become self-sufficient in maintaining housing stability in the future.

(2) ASA and Austin Travis County Integral Care have a collaborative contract with the Austin Housing Authority called Shelter Plus whose purpose is to serve those clients homeless by the HUD definition. ASA is allowed a limited number of housing slots that allow homeless to bypass the Section 8 wait list.

(3) ASA participates in the Customer Assistance Program through Austin Energy for utility payment allocations to avoid cutoff of client utilities.

(4) Ryan White, Travis County, City of Austin and Texas Department of State Health Services fund case managers of five agencies that address the needs of HOPWA eligible individuals by providing case management that focuses on housing assessments and housing service plans. Through this funding, consortium agencies also leverage supportive services such as Food Bank, medication and eye glasses assistance, health insurance premium assistance payment for identification documents and medical services such as Oral Health and Medication Nutrition Therapy.

4. Technical Assistance. Describe any program technical assistance needs and how they would benefit program beneficiaries.

The Housing Coordinator and her supervisor have identified the need for more technical assistance on where comprehensive documents containing HOPWA rules and regulations can be found.

c. Barriers and Trends Overview

Provide a narrative addressing items 1 through 3. Explain how barriers and trends affected your program's ability to achieve the objectives and outcomes discussed in the previous section.

1. Describe any barriers (including regulatory and non-regulatory) encountered in the administration or implementation of the HOPWA program, how they affected your program's ability to achieve the objectives and outcomes discussed, and, actions taken in response to barriers, and recommendations for program improvement. Provide an explanation for each barrier selected.

<input checked="" type="checkbox"/> HOPWA/HUD Regulations	<input type="checkbox"/> Planning	<input checked="" type="checkbox"/> Housing Availability	<input type="checkbox"/> Rent Determination and Fair Market Rents
<input type="checkbox"/> Discrimination/Confidentiality	<input checked="" type="checkbox"/> Multiple Diagnoses	<input checked="" type="checkbox"/> Eligibility	<input type="checkbox"/> Technical Assistance or Training
<input type="checkbox"/> Supportive Services	<input checked="" type="checkbox"/> Credit History	<input checked="" type="checkbox"/> Rental History	<input checked="" type="checkbox"/> Criminal Justice History
<input checked="" type="checkbox"/> Housing Affordability	<input type="checkbox"/> Geography/Rural Access	<input checked="" type="checkbox"/> Other, please explain further	

HOPWA/HUD REGULATIONS:

The Texas Department of State Health Services HOPWA Program Manual is not written clearly and often requires Case Managers, the HOPWA HCM to pore over it in an attempt to determine what the regulation means. Currently, the coordinator has to consult with three sets of training materials and the out of date

HOPWA Manual developed by Texas State Department of Health and Human Services. The increase in HOPWA reporting definitely impacts the amount of time that case managers have to work on client housing plans and access to housing-related resources. The regulation that does not allow utility assistance for clients in subsidized housing puts clients in jeopardy of losing affordable housing and becoming homeless.

Action: These barriers have been documented in the HOPWA Quarterly Reports for over two years now. HUD is providing annual training now which helps to address the concern over unclear regulations.

Recommendations: There needs to be a Federal HOPWA Manual that is updated within three months of revisions and distributed nationwide or through the HOPWA/HUD website. The Texas State manual has incorrect or outdated material in it.

HOUSING AFFORDABILITY/AVAILABILITY:

There is insufficient affordable long-term housing in the five county area served by the Austin EMSA HOPWA Consortium. Even under optimal circumstances, obtaining Public Housing or other affordable housing in Austin, TX, requires an 18-24 month waiting period. Private housing is not reasonably priced when one considers how many of our clients are forced to live on \$710 SSI checks per month. The lack of affordable housing means that clients with zero or little income stay on TBRA longer. The Housing Choice Voucher Programs in the rural areas continue not accepting new applications into their waitlist. This situation limits the number of affordable housing to clients in Community Action's service area. The housing authority was not accepting applications for one bedroom applicants for public housing until October 2013.

A trend in the Austin area is less availability of rental properties due to increased demand for these properties as the Austin area residency grows annually. ASA speculates this trend is due to the lack of affordable housing options. The result for clients/households is that landlords are more selective about whom they accept as tenants.

Many HOPWA clients are eligible for Section 8 (Housing Choice Vouchers) housing. Most housing authorities are not even accepting applications for the Housing Choice Voucher Program. Clients who are on Section 8 waiting lists in Travis County and the City of Austin can expect to wait two to three years or more for an opportunity to secure housing. In Williamson, Bastrop, Hayes, Caldwell Counties, the wait list is up to two years.

The wait lists for Housing Authority of the City of Austin public housing, Round Rock Housing Authority public housing and rural public housing take from one-half to three years; however, many clients do not want to live in this sort of subsidized housing because of the high crime rates and drug activity.

Community Action has also found that one low income housing facility in Elgin, TX, requires that all low-income tenants must maintain incomes that are 3 times greater than their rent. This appears to be a growing trend throughout the Austin area as well. For clients who receive Social Security Supplement Income Benefits this make obtaining housing difficult, because they only receive at most \$710 a month.

There are very few housing resources in the rural counties surrounding Travis County. It has been noted that cost of housing in the rural counties is almost as high as in Austin, TX. Most of the clients that CA serves are at or below 100% of the federal poverty guideline and simply cannot afford housing in the rural communities.

Clients have difficulty finding affordable housing in areas that are not near where they used drugs. Obtaining housing near their drug usage areas can increase the likelihood of them relapsing.

There is insufficient immediate transitional housing that meets client medical and confidentiality needs especially for the homeless.

Actions Taken or Planned:

In 2013, The Housing Coordinator/Case Manager (HC/CM), will request that a representative from the Housing Authority of the City of Austin (HACA) and a contact from The City of Austin Public Housing

attend one of the HOPWA Consortium meetings. The purpose of the meeting will be to increase case manager understanding of how wait lists for Section 8 and public housing services are processed.

The Housing Coordinator/Case Manager (HC/CM), participates in the housing locator specialist work group; whose goal is to combine resources in regards to locating housing and landlords that will work with HOPWA clients to house them. This work group is newly formed and become a work group of Ending Community Homelessness Coalition (ECHO). The group has outlined ongoing goals.

Case managers try to assist with client prioritization on waiting lists by assisting client in documenting disability status on Section 8 housing. Case managers assist clients in requesting and attending hearings to waive requirements regarding criminal offenses for non-violent crimes.

Clients are currently renting rooms, since locating an apartment complex who will rent them an apartment is becoming increasingly more difficult. The result is that clients are entering into lease agreements that are on a month-to-month basis instead of a 12- month contract. However it has been successful in providing housing for clients that have multiple barriers.

The Housing Coordinator/Case Manager (HC/CM), is participating in a housing specialist workgroup to collaborate with housing locators from other agencies; in an effort to obtain addition resources to enhance housing options for clients.

Recommendations:

More federal and State of Texas funding is needed to support the development of more affordable housing. The HOPWA HC/CM will attend any relevant government hearings to advocate for more funding for affordable housing.

MULTIPLE DIAGNOSES

Clients with multiple diagnoses or extensive substance abuse problems have continued issues in getting and maintaining stable housing. Mental health conditions cause a barrier to sustaining substance abuse programs and become a trigger to relapse. Our population continues to struggle with mental health and addiction issues that hinder success including difficulty in following through with housing and other support service referrals and appointments.

Of particular challenge is finding safe housing for recovering substance abusers. Clients with checkered pasts are often relegated to drug-infested, poorly policed apartment communities or so-called “sober houses,” boarding homes that promise a structured, drug-free living environment that are notorious for failing to meet that promise. These clients, whose housing plans invariably include maintaining their sobriety, have an uphill battle in these environments. Clients often have mental health and substance abuse problems as well as their HIV diagnosis. Due to this client’s having trouble finding appropriate housing that will accept criminal backgrounds and a reasonable rent that can be met by SSI.

Recommendations:

The HOPWA HC/CM and other HOPWA providers will attend any relevant government hearings to advocate for more funding for supportive housing to address the needs of those with multiple diagnoses.

CRIMINAL JUSTICE HISTORY:

Clients with a criminal history continue to report that they are experiencing difficulties in obtaining housing. Most apartment complexes that offer low-income housing will not rent to clients with past criminal histories. Some landlords are not allowing anyone with felonies to rent properties when they used to accept clients with felonies that did not involve assaults or sexual crimes. Clients are finding that when they are applying for Section 8 housing or low-income housing, they are being denied housing due to a previous criminal conviction. Clients are reporting that some offenses committed took place more than 10 years ago and clients are still being declined housing because of these offenses. Clients who have had drug convictions are finding it difficult to obtain subsidized housing as well. Community Action has had 2 clients that had been on the Housing Choice Voucher Program waitlist for 3 years. These clients were down to number 3 and 7 on the Housing Choice Voucher Program waitlist when it was discovered that both clients had past felony drug convictions. Both clients were taken off the Housing Choice Voucher Program and are no longer eligible for housing assistance through this program due to their drug convictions.

Action Taken:

Case managers are taking an active role in housing hearings and advocating when appropriate for clients to receive subsidized housing despite their particular criminal history.

Recommendations:

The HOPWA HC/CM will continue work with the Travis County Reentry Roundtable and will continue providing testimony at public hearings to decrease barriers to affordable housing or advocate for more funding to house this population.

OTHER:

The CARE Program indicates that clients struggle in finding available housing even after obtaining income due to past evictions and/or poor credit. The occupancy rate for the Austin area is around 98% which limits availability for clients and landlords can be more restrictive.

Due to insufficient HOPWA funding to meet client needs, the Austin EMSA has very restrictive TBRA income eligibility guidelines, 15% of Area Median Income. This leaves a substantial number of individuals, specifically those receiving SSI, who cannot reasonably afford housing in this area but who are not eligible to receive TBRA assistance.

Action Taken:

The Austin EMSA Collaborative evaluated carefully the income guidelines for TBRA and increased them for individuals. Further increases are not possible unless all of STRMU and PHP are diverted to TBRA. ASA and other HOPWA provider agencies always look for opportunities to stretch TBRA dollars such as using Ryan White Part A and HPRP funding to meet client short-term needs.

Recommendations:

The Austin EMSA HOPWA Consortium will continue to work with local housing authorities to expedite referrals for clients receiving TBRA with the goal of increasing TBRA income eligibility criteria by transitioning higher numbers of current TBRA recipients to subsidized housing.

Also identified is the need for additional HOPWA housing case management to allow for additional TBRA cases. At this time housing case management for TBRA is provided by one case manager with a case load of 65. A TBRA waitlist has been established with the intention of enrolling clients as soon as possible.

Action Taken:

The Austin EMSA HOPWA Consortium has decided that any overflow of client need, as evidenced by a TBRA waitlist, will be handled by the community providers until the HOPWA Housing case manager/Coordinator is able to place them on the centralized case load. HOPWA programs STSH, STRMU, and PHPS are currently performed by community case managers as well.

Recommendations:

It is believed that if an additional case manager were present to centralize STRMU and retain the TBRA overflow, then clients would receive more assistance in achieving specific housing goals and more people will become independent. With independence, they will not usually require continued HOPWA assistance which allows the program to serve more persons.

2. Describe any trends in the community that may affect the way in which the needs of persons living with HIV/AIDS are being addressed, and provide any other information important to the future provision of services to this population.

At this time the Austin occupancy rate is nearly 98% of occupancy. As a result there is a limited amount of housing options within the community and landlords are becoming increasingly more stringent on accepting applicants with barriers. Clients are being accepted into the TBRA program, only to find it increasing more difficult to obtain rental housing options. The decrease in available units for rent has forced many persons to consider shared housing options.

3. Identify any evaluations, studies, or other assessments of the HOPWA program that are available to the public.

The City of Austin has prepared a Five-year Consolidated Plan for fiscal years 2009-14. This five-year Plan includes funding recommendations for the fiscal year 2013-14. The Plan sets priorities for funding for the Neighborhood Housing and Community Development (NHCD) Office, as well as those HUD funds that are managed by the Austin/Travis County Health and Human Services Department (HHSD). The U. S. Department of Housing and Urban Development (HUD), through four grant programs in the last five years, allocated \$69 million to the City of Austin.

d. Unmet Housing Needs: An Assessment of Unmet Housing Needs

In Chart 1, provide an assessment of the number of HOPWA-eligible households that require HOPWA housing subsidy assistance but are not currently served by any HOPWA-funded housing subsidy assistance in this service area.

In Row 1, report the total unmet need of the geographical service area, as reported in *Unmet Needs for Persons with HIV/AIDS*, Chart 1B of the Consolidated or Annual Plan(s), or as reported under HOPWA worksheet in the Needs Workbook of the Consolidated Planning Management Process (CPMP) tool. **Note:** Report most current data available, through Consolidated or Annual Plan(s), and account for local housing issues, or changes in HIV/AIDS cases, by using combination of one or more of the sources in Chart 2.

If data is collected on the type of housing that is needed in Rows a. through c., enter the number of HOPWA-eligible households by type of housing subsidy assistance needed. For an approximate breakdown of overall unmet need by type of housing subsidy assistance refer to the Consolidated or Annual Plan (s), CPMP tool or local distribution of funds. Do not include clients who are already receiving HOPWA-funded housing subsidy assistance.

Refer to Chart 2, and check all sources consulted to calculate unmet need. Reference any data from neighboring states' or municipalities' Consolidated Plan or other planning efforts that informed the assessment of Unmet Need in your service area.

Note: In order to ensure that the unmet need assessment for the region is comprehensive, HOPWA formula grantees should include those unmet needs assessed by HOPWA competitive grantees operating within the service area.

1. Planning Estimate of Area's Unmet Needs for HOPWA-eligible Households

1. Total number of households that have unmet housing subsidy assistance need.	104
2. From the total reported in Row 1, identify the number of households with unmet housing needs by type of housing subsidy assistance:	
a. Tenant-Based Rental Assistance (TBRA)	8
b. Short-Term Rent, Mortgage and Utility payments (STRMU)	96
<ul style="list-style-type: none"> Assistance with rental costs Assistance with mortgage payments Assistance with utility costs. 	
c. Housing Facilities, such as community residences, SRO dwellings, other housing facilities	

2. Recommended Data Sources for Assessing Unmet Need (check all sources used)

X	= Data as reported in the area Consolidated Plan, e.g. Table 1B, CPMP charts, and related narratives
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	= Data established by area HIV/AIDS housing planning and coordination efforts, e.g. Continuum of Care
	= Data from client information provided in Homeless Management Information Systems (HMIS)
X	= Data from project sponsors or housing providers, including waiting lists for assistance or other assessments on need including those completed by HOPWA competitive grantees operating in the region.
	= Data from prisons or jails on persons being discharged with HIV/AIDS, if mandatory testing is conducted
	= Data from local Ryan White Planning Councils or reported in CARE Act Data Reports, e.g. number of clients with permanent housing
	= Data collected for HIV/AIDS surveillance reporting or other health assessments, e.g. local health department or CDC surveillance data

End of PART 1

PART 2: Sources of Leveraging and Program Income

1. Sources of Leveraging

Report the source(s) of cash or in-kind leveraged federal, state, local or private resources identified in the Consolidated or Annual Plan and used in the delivery of the HOPWA program and the amount of leveraged dollars. In Column [1], identify the type of leveraging. Some common sources of leveraged funds have been provided as a reference point. You may add Rows as necessary to report all sources of leveraged funds. Include Resident Rent payments paid by clients directly to private landlords. Do NOT include rents paid directly to a HOPWA program as this will be reported in the next section. In Column [2] report the amount of leveraged funds expended during the operating year. Use Column [3] to provide some detail about the type of leveraged contribution (e.g., case management services or clothing donations). In Column [4], check the appropriate box to indicate whether the leveraged contribution was a housing subsidy assistance or another form of support.

NOTE: Be sure to report on the number of households supported with these leveraged funds as in Part 3, Chart 1, Column d.

A. Source of Leveraging Chart

[1] Source of Leveraging	[2] Amount of Leveraged Funds	[3] Type of Contribution	[4] Housing Subsidy Assistance or Other Support
Public Funding			
Ryan White-			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Ryan White-Case Management	330,641	case mgmt.	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Housing Choice Voucher Program	14,000	housing	<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Low Income Housing Tax Credit			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
HOME			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Shelter Plus Care	25,389	case mgmt.	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Emergency Solutions Grant	22,800	case mgmt.	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public: State Grants	24,263	case mgmt.	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public:	29,451	food assistance	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public: County Grants			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public: City General Funds	21,120	case mgmt.	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public:	22,093	oral health	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Private Funding			
Grants			<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
In-kind Resources:	10,660	HIV/STD resources & Volunteer Hours	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Private: Community Action Inc. Donor Account	497	housing	<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support

Other Private:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Funding			
Grantee/Project Sponsor (Agency) Cash	57,850		<input checked="" type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Resident Rent Payments by Client to Private Landlord			
TOTAL (Sum of all Rows)	558,764		

2. Program Income and Resident Rent Payments

In Section 2, Chart A., report the total amount of program income and resident rent payments directly generated from the use of HOPWA funds, including repayments. Include resident rent payments collected or paid directly to the HOPWA program. Do NOT include payments made directly from a client household to a private landlord.

***Note:** Please see report directions section for definition of program income. (Additional information on program income is available in the HOPWA Grantee Oversight Resource Guide).*

A. Total Amount Program Income and Resident Rent Payment Collected During the Operating Year

Program Income and Resident Rent Payments Collected		Total Amount of Program Income (for this operating year)
1.	Program income(e.g. repayments)	
2.	Resident Rent Payments made directly to HOPWA Program	39,715
3.	Total Program Income and Resident Rent Payments (Sum of Rows 1 and 2)	39,715

B. Program Income and Resident Rent Payments Expended To Assist HOPWA Households

In Chart B, report on the total program income and resident rent payments (as reported above in Chart A) expended during the operating year. Use Row 1 to report Program Income and Resident Rent Payments expended on Housing Subsidy Assistance Programs (i.e., TBRA, STRMU, PHP, Master Leased Units, and Facility-Based Housing). Use Row 2 to report on the Program Income and Resident Rent Payment expended on Supportive Services and other non-direct Housing Costs.

Program Income and Resident Rent Payment Expended on HOPWA programs	Total Amount of Program Income Expended (for this operating year)

1.	Program Income and Resident Rent Payment Expended on Housing Subsidy Assistance costs	29,786
2.	Program Income and Resident Rent Payment Expended on Supportive Services and other non-direct housing costs	9,929
3.	Total Program Income Expended (Sum of Rows 1 and 2)	39,715

End of PART 2

PART 3: Accomplishment Data Planned Goal and Actual Outputs

In Chart 1, enter performance information (goals and actual outputs) for all activities undertaken during the operating year supported with HOPWA funds. Performance is measured by the number of households and units of housing that were supported with HOPWA or other federal, state, local, or private funds for the purposes of providing housing assistance and support to persons living with HIV/AIDS and their families.

Note: The total households assisted with HOPWA funds and reported in PART 3 of the CAPER should be the same as reported in the annual year-end IDIS data, and goals reported should be consistent with the Annual Plan information. Any discrepancies or deviations should be explained in the narrative section of PART 1.

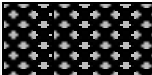

1. HOPWA Performance Planned Goal and Actual Outputs

	HOPWA Performance Planned Goal and Actual	[1] Output: Households		[2] Output: Funding	
		HOPWA Assistance	Leveraged Households	HOPWA Funds	
		a.	b.	c.	d.
		Goal	Actual	Goal	Actual
		e.	f.		
		HOPW A Budget	HOPW A Actual		
HOPWA Housing Subsidy Assistance		[1] Output: Households		[2] Output: Funding	
1.	Tenant-Based Rental Assistance	96	89	89	511,677
2a.	Permanent Housing Facilities: Received Operating Subsidies/Leased units (Households Served)				495,537.30
2b.	Transitional/Short-term Facilities: Short Term Supportive Housing (STSH) Received Operating Subsidies/Leased units (Households Served) (STSH)	104	150	150	320,726
3a.	Permanent Housing Facilities: Capital Development Projects placed in service during the operating year (Households Served)				314,675.27
3b.	Transitional/Short-term Facilities: Capital Development Projects placed in service during the operating year (Households Served)				
4.	Short-Term Rent, Mortgage and Utility Assistance	125	127	127	70,586
5.	Permanent Housing Placement Services	38	47	47	70,586.00
6.	Adjustments for duplication (subtract)		151	151	21,660
7.	Total HOPWA Housing Subsidy Assistance (Columns a. – d. equal the sum of Rows 1-5 minus Row 6; Columns e. and f. equal the sum of Rows 1-5)		262	262	20,832.16
Housing Development (Construction and Stewardship of facility based housing)		[1] Output: Housing Units		[2] Output: Funding	
8.	Facility-based units; Capital Development Projects not yet opened (Housing Units)				
9.	Stewardship Units subject to 3 or 10 year use agreements				
10.	Total Housing Developed (Sum of Rows 78 & 9)				
Supportive Services		[1] Output Households		[2] Output: Funding	
11a.	Supportive Services provided by project sponsors/sub recipient that also delivered HOPWA housing subsidy assistance	49	50		42,371
11b.	Supportive Services provided by project sponsors/sub recipient that only provided supportive services.				42,371
12.	Adjustment for duplication (subtract)				

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13.	Total Supportive Services (Columns a. – d. equal the sum of Rows 11 a. & b. minus Row 12; Columns e. and f. equal the sum of Rows 11a. & 11b.)		50		42,371	42,371
	Housing Information Services					
14.	Housing Information Services	49	50		28,247	28,247
15.	Total Housing Information Services		50		28,247	28,247

Grant Administration and Other Activities		[1] Output Households		[2] Output: Funding	
16.	Resource Identification to establish, coordinate and develop housing assistance resources				
17.	Technical Assistance (if approved in grant agreement)				
18.	Grantee Administration (maximum 3% of total HOPWA grant)			33,008	33,008.00
19.	Project Sponsor Administration (maximum 7% of portion of HOPWA grant awarded)			74,912	73,179.60
20.	Total Grant Administration and Other Activities (Sum of Rows 17 – 20)			107,920	106,187.60
Total Expended				[2] Outputs: HOPWA Funds Expended	
				Budget	Actual
21.	Total Expenditures for program year (Sum of Rows 7, 10, 13, 15, and 20)			1,103,187	1,078,436.33

2. Listing of Supportive Services

Report on the households served and use of HOPWA funds for all supportive services. Do NOT report on supportive services leveraged with non-HOPWA funds.

Data check: Total unduplicated households and expenditures reported in Row 17 equal totals reported in Part 3, Chart 1, Row 13.

Supportive Services		[1] Output: Number of Households	[2] Output: Amount of HOPWA Funds Expended
1.	Adult day care and personal assistance		
2.	Alcohol and drug abuse services		
3.	Case management	50	29,660
4.	Child care and other child services		
5.	Education		
6.	Employment assistance and training		
7.	Health/medical/intensive care services, if approved Note: Client records must conform with 24 CFR §574.310		
8.	Legal services		
9.	Life skills management (outside of case management)	50	8,474
10.	Meals/nutritional services	50	4,237
11.	Mental health services		
12.	Outreach		
13.	Transportation		
14.	Other Activity (if approved in grant agreement). Specify:		
15.	Sub-Total Households receiving Supportive Services (Sum of Rows 1-14)	150	
16.	Adjustment for Duplication (subtract)	100	
17.	TOTAL Unduplicated Households receiving Supportive Services (Column [1] equals Row 15 minus Row 16; Column [2] equals sum of Rows 1-14)	50	42,371

3. Short-Term Rent, Mortgage and Utility Assistance (STRMU) Summary

In Row a., enter the total number of households served and the amount of HOPWA funds expended on Short-Term Rent, Mortgage and Utility Assistance. In Row b., enter the total number of STRMU-assisted households that received assistance with mortgage costs only (no utility costs) and the amount expended assisting these households. In Row c., enter the total number of STRMU-assisted households that received assistance with both mortgage and utility costs and the amount expended assisting these households. In Row d., enter the total number of STRMU-assisted households that received assistance with rental costs only (no utility costs) and the amount expended assisting these households. In Row e., enter the total number of STRMU-assisted households that received assistance with both rental and utility costs and the amount expended assisting these households. In Row f., enter the total number of STRMU-assisted households that received assistance with utility costs only (not including rent or mortgage costs) and the amount expended assisting these households. The total number of households reported in Column [1], Rows b., c., d., e., and f. equal the total number of STRMU households reported in Column [1], Row a. The total amount reported as expended in Column [2], Rows b., c., d., e., and f. equals the total amount of STRMU expenditures reported in Column [2], Row a.

Housing Subsidy Assistance Categories (STRMU)		[1] Output: Number of <u>Households</u>	[2] Output: Total HOPWA Funds Expended on STRMU during Operating Year
a.	Short-term mortgage, rent and/or utility (STRMU) assistance	127	70,586.00
b.	<u>Of the total STRMU reported on Row a.</u> , total who received assistance with mortgage costs ONLY.	2	900.00
c.	<u>Of the total STRMU reported on Row a.</u> , total who received assistance with mortgage and utility costs.	0	0.00
d.	<u>Of the total STRMU reported on Row a.</u> , total who received assistance with rental costs ONLY	91	48,071.10
e.	<u>Of the total STRMU reported on Row a.</u> , total who received assistance with rental and utility costs.	15	11,881.75
f.	<u>Of the total STRMU reported on Row a.</u> , total who received assistance with utility costs ONLY.	19	9,733.15

End of PART 3

Part 4: Summary of Performance Outcomes

Section 1. Housing Stability: Assessment of Client Outcomes on Maintaining Housing Stability (Permanent Housing and Related Facilities)

A. Permanent Housing Subsidy Assistance

	[1] Output: Total Number of Households	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
Tenant-Based Rental Assistance	89	67	1 Emergency Shelter/Streets	1	Unstable Arrangements
			2 Temporary Housing		Temporarily Stable, with Reduced Risk of Homelessness
			3 Private Housing	13	Stable/Permanent Housing (PH)
			4 Other HOPWA		
			5 Other Subsidy	4	
			6 Institution	1	
			7 Jail/Prison	2	Unstable Arrangements
			8 Disconnected/Unknown		
			9 Death	1	Life Event
Permanent Supportive Housing Facilities/ Units	47	0	1 Emergency Shelter/Streets		Unstable Arrangements
			2 Temporary Housing	3	Temporarily Stable, with Reduced Risk of Homelessness
			3 Private Housing	17	Stable/Permanent Housing (PH)
			4 Other HOPWA	26	
			5 Other Subsidy		
			6 Institution		
			7 Jail/Prison	1	Unstable Arrangements
			8 Disconnected/Unknown		
			9 Death		Life Event

B. Transitional Housing Assistance

	[1] Output: Total Number of Households	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
Transitional/ Short-Term Facilities/ Units	150	35	1 Emergency Shelter/Streets	2	Unstable Arrangements
			2 Temporary Housing	21	Temporarily Stable with Reduced Risk of Homelessness
			3 Private Housing	35	Stable/Permanent Housing (PH)
			4 Other HOPWA	38	
			5 Other Subsidy	4	
			6 Institution	3	
			7 Jail/Prison	5	Unstable Arrangements
			8 Disconnected/unknown	7	
			9 Death		Life Event

B1: Total number of households receiving transitional/short-term housing assistance whose tenure exceeded 24 months	9
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Section 2. Prevention of Homelessness: Assessment of Client Outcomes on Reduced Risks of Homelessness

(Short-Term Housing Subsidy Assistance)

Report the total number of households that received STRMU assistance in Column 1.

In Column 2, identify the outcomes of the households reported in Column 1 either at the time that they were known to have left the STRMU program or through the project sponsor's best assessment for stability at the end of the operating year.

In Column 3 provides a description of housing outcomes; therefore, data is not required.

At the bottom of the chart:

- In Row 1a. report those households that received STRMU assistance during the operating year of this report, and the prior operating year.
- In Row 1b. report those households that received STRMU assistance during the operating year of this report, and the two prior operating years.

Data Check: The sum of Column 2 should equal the number of households reported in Column 1.

Assessment of Households that received STRMU Assistance

[1] Output: Total number of households	[2] Assessment of Housing Status		[3] HOPWA Client Outcomes
127	Maintain Private Housing without subsidy (e.g. Assistance provided/completed and client is stable, not likely to seek additional support)	81	
	Other Private Housing without subsidy (e.g. client switched housing units and is now stable, not likely to seek additional support)	11	
	Other HOPWA Housing Subsidy Assistance	9	
	Other Housing Subsidy (PH)	4	
	Institution (e.g. residential and long-term care)		
	Likely that additional STRMU is needed to maintain current housing arrangements	11	
	Transitional Facilities/Short-term (e.g. temporary or transitional arrangement)		
	Temporary/Non-Permanent Housing arrangement (e.g. gave up lease, and moved in with family or friends but expects to live there less than 90 days)	4	
	Emergency Shelter/street	3	
	Jail/Prison	2	
	Disconnected	2	
	Death		
1a. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the prior operating year. (e.g. households that received STRMU assistance in two consecutive operating years)			31
1b. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the two prior operating years (e.g. households that received STRMU assistance in three consecutive operating years)			13

Section 3. HOPWA Outcomes on Access to Care and Support

1a. Total Number of Households

Line [1]: For project sponsors/sub recipients that provided HOPWA housing subsidy assistance during the operating year identify in the appropriate row the number of households that received HOPWA housing subsidy assistance (TBRA, STRMU, Facility-Based, PHP and Master Leasing) and HOPWA funded case management services. Use Row c. to adjust for duplication among the service categories and Row d. to provide an unduplicated household total.

Line [2]: For project sponsors/sub recipients that did NOT provide HOPWA housing subsidy assistance identify in the appropriate row the number of households that received HOPWA funded case management services.

Note: These numbers will help you to determine which clients to report Access to Care and Support Outcomes for and will be used by HUD as a basis for analyzing the percentage of households who demonstrated or maintained connections to care and support as identified in Chart 1b. below.

Total Number of Households		
1. For Project Sponsors/Sub recipients that provided HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following HOPWA-funded services:		
a. Housing Subsidy Assistance (duplicated)-TBRA, STRMU, PHP, Facility-Based Housing, and Master Leasing		413
b. Case Management		50
c. Adjustment for duplication (subtraction)		201
d. Total Households Served by Project Sponsors/Sub recipients with Housing Subsidy Assistance (Sum of Rows a.b. minus Row c.)		262
2. For Project Sponsors/Sub recipients did NOT provide HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following HOPWA-funded service:		
a. HOPWA Case Management		
b. Total Households Served by Project Sponsors/Sub recipients without Housing Subsidy Assistance		

1b. Status of Households Accessing Care and Support

Column [1]: Of the households identified as receiving services from project sponsors/sub recipients that provided HOPWA housing subsidy assistance as identified in Chart 1a. Row 1d. above, report the number of households that demonstrated access or maintained connections to care and support within the program year.

Column [2]: Of the households identified as receiving services from project sponsors/sub recipients that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2b., report the number of households that demonstrated improved access or maintained connections to care and support within the program year.

Note: For information on types and sources of income and medical insurance/assistance, refer to Charts below.

Categories of Services Accessed	[1] For project sponsors that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:	Outcome Indicator
1. Has a housing plan for maintaining or establishing stable on-going housing	262 (100%)	NA	Support for Stable Housing
2. Had contact with case manager/benefits counselor consistent with the schedule specified in client's individual service plan.	252 (96.2%)	NA	Access to Support
3. Had contact with a primary health care provider consistent with the schedule specified in client's individual service plan.	252 (96.2%)	NA	Access to Health Care
4. Accessed and maintained medical insurance/assistance.	244 (93.1%)	NA	Access to Health Care
5. Successfully accessed or maintained qualification for sources of income.	205 (78.2%)	NA	Sources of Income

Chart 1b., Line 4: Sources of Medical Insurance and Assistance include, but are not limited to the following (Reference only)

<ul style="list-style-type: none"> • MEDICAID Health Insurance Program, or use local program name • MEDICARE Health Insurance Program, or use local program name 	<ul style="list-style-type: none"> • Veterans Affairs Medical Services • AIDS Drug Assistance Program (ADAP) • State Children's Health Insurance Program (SCHIP), or use local program name 	<ul style="list-style-type: none"> • Ryan White-funded Medical or Dental Assistance
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Chart 1b., Row 5: Sources of Income include, but are not limited to the following (Reference only)

<ul style="list-style-type: none"> • Earned Income • Veteran's Pension • Unemployment Insurance • Pension from Former Job • Supplemental Security Income (SSI) 	<ul style="list-style-type: none"> • Child Support • Social Security Disability Income (SSDI) • Alimony or other Spousal Support • Veteran's Disability Payment • Retirement Income from Social Security • Worker's Compensation 	<ul style="list-style-type: none"> • General Assistance (GA), or use local program name • Private Disability Insurance • Temporary Assistance for Needy Families (TANF) • Other Income Sources
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1c. Households that Obtained Employment

Column [1]: Of the households identified as receiving services from project sponsors that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1e. above, report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA Job training, employment assistance, education or related case management/counseling services.

Column [2]: Of the households identified as receiving services from project sponsors that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2d., report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA Job training, employment assistance, education or related case management/counseling services.

Note: This includes jobs created by this project sponsor or obtained outside this agency.

Categories of Services Accessed	[1 For project sponsors that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:
Total number of households that obtained an income-producing job	78	

End of PART 4

PART 5: Worksheet - Determining Housing Stability Outcomes (optional)

1. This chart is designed to assess program results based on the information reported in Part 4 and to help Grantees determine overall program performance. Completion of this worksheet is optional.

Permanent Housing Subsidy Assistance	Stable Housing (# of households rEMSAining in program plus 3+4+5+6=#)	Temporary Housing (2)	Unstable Arrangements (1+7+8=#)	Life Event (9)
Tenant-Based Rental Assistance (TBRA)				
Permanent Facility-based Housing Assistance/Units				
Transitional/Short-Term Facility-based Housing Assistance/Units				
Total Permanent HOPWA Housing Subsidy Assistance				
Reduced Risk of Homelessness: Short-Term Assistance	Stable/Permanent Housing	Temporarily Stable, with Reduced Risk of Homelessness	Unstable Arrangements	Life Events
Short-Term Rent, Mortgage, and Utility Assistance (STRMU)				

Total HOPWA Housing Subsidy Assistance				
--	--	--	--	--

Background on HOPWA Housing Stability Codes

Stable Permanent Housing/Ongoing Participation

3 = Private Housing in the private rental or home ownership market (without known subsidy, including permanent placement with families or other self-sufficient arrangements) with reasonable expectation that additional support is not needed.

4 = Other HOPWA-funded housing subsidy assistance (not STRMU), e.g. TBRA or Facility-Based Assistance.

5 = Other subsidized house or apartment (non-HOPWA sources, e.g., Section 8, HOME, public housing).

6 = Institutional setting with greater support and continued residence expected (e.g., residential or long-term care facility).

Temporary Housing

2 = Temporary housing - moved in with family/friends or other short-term arrangement, such as Ryan White subsidy, transitional housing for homeless, or temporary placement in institution (e.g., hospital, psychiatric hospital or other psychiatric facility, substance abuse treatment facility or detox center).

Unstable Arrangements

1 = Emergency shelter or no housing destination such as places not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station, or anywhere outside).

7 = Jail /prison.

8 = Disconnected or disappeared from project support, unknown destination or no assessments of housing needs were undertaken.

Life Event

9 = Death, i.e., rEMSAined in housing until death. This characteristic is not factored into the housing stability equation.

Tenant-based Rental Assistance: Stable Housing is the sum of the number of households that (i) rEMSAin in the housing and (ii) those that left the assistance as reported under: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item: 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Permanent Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) rEMSAin in the housing and (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Transitional/Short-Term Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) continue in the residences (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Other Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Tenure Assessment. A baseline of households in transitional/short-term facilities for assessment purposes, indicate the number of households whose tenure exceeded 24 months.

STRMU Assistance: Stable Housing is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period and there is reasonable expectation that additional support is not needed in order to maintain permanent housing living situation (as this is a time-limited form of housing support) as reported under housing status: Maintain Private Housing with subsidy; Other Private with Subsidy; Other HOPWA support; Other Housing Subsidy; and Institution. Temporarily Stable, with Reduced Risk of Homelessness is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period or left their current housing arrangement for a transitional facility or other temporary/non-permanent housing arrangement and there is reasonable expectation additional support will be needed to maintain housing arrangements in the next year, as reported under housing status: Likely to maintain current housing arrangements, with additional STRMU assistance; Transitional Facilities/Short-term; and Temporary/Non-Permanent Housing arrangements. Unstable Situation is the sum of number of households reported under housing status: Emergency Shelter; Jail/Prison; and Disconnected.

End of PART 5

PART 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY)

NOT APPLICABLE

Part 7: Summary Overview of Grant Activities

A. Information on Individuals, Beneficiaries, and Households Receiving HOPWA Housing Subsidy Assistance (TBRA, STRMU, Facility-Based Units, Master Leased Units ONLY)

Note: Do not include in this section any individuals, beneficiaries, or households who received Supportive Services.

Section 1. HOPWA-Eligible Individuals who Received HOPWA Housing Subsidy Assistance

a. Total HOPWA Eligible Individuals Living with HIV/AIDS

In Chart a., provide the total number of eligible (and unduplicated) low income individuals living with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance during the operating year. This total should include only the individual who qualified the household for HOPWA assistance NOT all HIV positive individuals in the household.

Individuals Served with Housing Subsidy Assistance	Total
Number of individuals with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance.	262

Chart b. Prior Living Situation

In Chart b., report the prior living situations for all Eligible Individuals reported in Chart a. In Row 1, report the total number of individuals who continued to receive HOPWA housing subsidy assistance from the prior operating year into this operating year. In Rows 2 through 17, indicate the prior living arrangements for all new HOPWA housing subsidy assistance recipients during the operating year.

Note: The total number of eligible individuals served in Row 18 equals the total number of individuals served through housing assistance reported in Chart a. above.

Category		Total HOPWA Eligible Individuals Receiving Housing Subsidy Assistance
1.	<u>Continuing</u> to receive HOPWA support from the prior operating year	57
New Individuals who received HOPWA Housing Subsidy Assistance support during Operating Year		
2.	Place not meant for human habitation (such as a vehicle, abandoned building, bus/train/subway station/airport, or outside)	59
3.	Emergency shelter (including hotel, motel, or campground paid for with emergency shelter voucher)	29
4.	Transitional housing for homeless persons	3
5.	Total number of new Eligible Individuals who received HOPWA Housing Subsidy Assistance with a Prior Living Situation that meets HUD definition of homelessness (Sum of Rows 2 – 4)	91
6.	Permanent housing for formerly homeless persons (such as Shelter Plus Care, SHP, or SRO Mod Rehab)	0
7.	Psychiatric hospital or other psychiatric facility	1
8.	Substance abuse treatment facility or detox center	2
9.	Hospital (non-psychiatric facility)	1
10.	Foster care home or foster care group home	0
11.	Jail, prison or juvenile detention facility	4
12.	Rented room, apartment, or house	82
13.	House you own	3
14.	Staying or living in someone else's (family and friends) room, apartment, or house	19
15.	Hotel or motel paid for without emergency shelter voucher	1

16.	Other	1
17.	Don't Know or Refused	0
18.	TOTAL Number of HOPWA Eligible Individuals (sum of Rows 1 and 5-17)	262

c. Homeless Individual Summary

In Chart c., indicate the number of eligible individuals reported in Chart b., Row 5 as homeless who also are homeless Veterans and/or meet the definition for Chronically Homeless (See Definition section of CAPER). The totals in Chart c. do not need to equal the total in Chart b., Row 5.

Category	Number of Homeless Veteran(s)	Number of Chronically Homeless
HOPWA eligible individuals served with HOPWA Housing Subsidy Assistance	1	37

Section 2. Beneficiaries

In Chart a., report the total number of HOPWA eligible individuals living with HIV/AIDS who received HOPWA housing subsidy assistance (*as reported in Part 7A, Section 1, Chart a.*), and all associated members of their household who benefitted from receiving HOPWA housing subsidy assistance (resided with HOPWA eligible individuals). **Note:** See definition of "HOPWA Eligible Person".

Note: See definition of Transgender **Note:** See definition of Beneficiaries.

Note: The sum of each of the Charts b. & c. equal the total number of beneficiaries served with HOPWA housing subsidy assistance, in Chart a., Row 3.

a. Total Number of Beneficiaries Served with HOPWA Housing Subsidy Assistance

Individuals and Families Served with Housing Subsidy Assistance	Total Number
1. Number of individuals with HIV/AIDS who qualified the household to receive HOPWA housing subsidy assistance (should equal the number of HOPWA Eligible Individuals reported in Part 7A, Section 1, Chart a.)	262
2. Number of ALL other persons diagnosed as HIV positive who reside with the HOPWA eligible individuals identified in Row 1 and who benefitted from the HOPWA housing subsidy assistance.	9
3. Number of ALL other persons NOT diagnosed as HIV positive who reside with the HOPWA eligible individual identified in Row 1 and who benefitted from the HOPWA housing subsidy	110
4. TOTAL number of ALL beneficiaries served with Housing Subsidy Assistance (Sum of Rows 1,2, & 3)	381

b. Age and Gender

In Chart b., indicate the Age and Gender of all beneficiaries as reported in Chart a. directly above. Report the Age and Gender of all HOPWA Eligible Individuals (those reported in Chart a., Row 1) using Rows 1-5 below and the Age and Gender of all other beneficiaries (those reported in Chart a., Rows 2 and 3) using Rows 6-10 below. The number of individuals reported in Row 11 equals the total number of beneficiaries reported in Chart a., Row 4.

HOPWA Eligible Individuals						
340		A.	B.	C.	D.	E.
		Male	Female	Transgender M to F	Transgender F to M	TOTAL* (Sum of Columns A-D)
1.	Under 18	0	0	0	0	0
2.	18 to 30 years	18	6	0	0	24
3.	31 to 50 years	181	34	2	0	217
4.	51 years and Older	16	5	0	0	21
5.	Subtotal (Sum of Rows 1-4)	215	45	2	0	262
All Other Beneficiaries (Chart a, Rows 2 and 3)						
		A.	B.	C.	D.	E.
		Male	Female	Transgender M to F	Transgender F to M	TOTAL* (Sum of Columns A-D)
6.	Under 18	36	43	0	0	79
7.	18 to 30 years	13	9	0	0	22
8.	31 to 50 years	11	4	0	0	15
9.	51 years and Older	1	2	0	0	3
10.	Subtotal (Sum of Rows 6-9)	61	58	0	0	119
Total Beneficiaries						
11.	TOTAL* (Sum of Row 5 & 10)	276	103	2	0	381

c. Race and Ethnicity*

In Chart c, indicate the Race and Ethnicity of all beneficiaries receiving HOPWA Housing Subsidy Assistance as reported in Section 2, Chart a., Row 4. Report the race of all HOPWA eligible individuals in Column [A]. Report the ethnicity of all HOPWA eligible individuals in column [B]. Report the race of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [C]. Report the ethnicity of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [D]. The summed total of columns [A] and [C] equals the total number of beneficiaries reported above in Section 2, Chart a., Row 4.

Category		HOPWA Eligible Individuals		All Other Beneficiaries	
		[A] Race [all individuals reported in Section 2, Chart a., Row 1]	[B] Also identified as Hispanic or Latino	[C] Race [total of individuals reported in Section 2, Chart a., Rows 2 & 3]	[D] Also identified as Hispanic or Latino
1.	American Indian/Alaskan Native				
2.	Asian	1			
3.	Black/African American	89	1	53	1
4.	Native Hawaiian/Other Pacific Islander				
5.	White	171	76	63	49
6.	American Indian/Alaskan Native & White				
7.	Asian & White				
8.	Black/African American & White			3	1
9.	American Indian/Alaskan Native & Black/African American				
10.	Other Multi-Racial	1			
11.	Column Totals (Sum of Rows 1-10)	262	77	119	51
Data Check: Sum of Row 11 Column A and Row 11 Column C equals the total number HOPWA eligible individuals reported in Part 3A, Section 2, Chart a, Row 4.					

*Reference (data requested consistent with Form HUD-27061 Race and Ethnic Data Reporting Form)

Section 3. Households**Household Area Median Income.**

Report the area median income(s) for all households served with HOPWA housing subsidy assistance.

Data Check: The total number of households served with HOPWA housing subsidy assistance should equal Part 3C, Row 6 and Part 7A, Section 1, Chart a. (Total HOPWA Eligible Individuals Served with HOPWA Housing Subsidy Assistance).

Note: Refer to http://www.huduser.org/portal/datasets/il/il2010/select_Geography_mfi.odn for information on area median income in your community.

Percentage of Area Median Income		Households Served with HOPWA Housing Subsidy Assistance
1.	0-30% of area median income (extremely low)	241
2.	31-50% of area median income (very low)	21
3.	51-80% of area median income (low)	
4.	Total (Sum of Rows 1-3)	262

Part 7: Summary Overview of Grant Activities**B. Facility-Based Housing Assistance**

NOT APPLICABLE

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AFFIRMATIVELY FURTHERING FAIR HOUSING REPORT

Federal Guideline - Affirmatively Furthering Fair Housing: Outline actions taken to affirmatively further fair housing, summary of impediments to fair housing choice in the Analysis of Impediments (AI), and identify actions taken to overcome effects of impediments identified in the AI.

The U.S. Department of Housing and Urban Development (HUD) recommends each Participating Jurisdiction (PJ) receiving federal entitlement grants conduct an Analysis of Impediments to Fair Housing (AI) during each 5-Year Consolidated Plan process. Impediments to fair housing choice are defined as any actions, omissions, or decisions that restrict, or have the effect of restricting, the availability of housing choices, based on race, color, religion, sex, disability, familial status, or national origin.

An Analysis of Impediments is an assessment of the City's laws, regulations, administrative policies, procedures, and practices as they affect the location, availability and accessibility of fair housing choice. The AI identifies recommended actions for the City to reduce barriers to fair housing. Each year, the City is required to report on the progress regarding these recommended actions in both the annual Action Plan and the Consolidated Annual Performance and Evaluation Report (CAPER).

The City of Austin conducted an AI as a component of FY 2009-14 Consolidated Plan. The analysis identified and reviewed fair housing and fair lending issues in Austin. The City of Austin hired BBC Research & Consulting to conduct the City's Analysis of Impediments to Fair Housing, which was included in the FY 2009-14 Consolidated Plan. Austin's AI released in July 2009 included an analysis of:

- Home Mortgage Disclosure Act (HMDA) data highlighting fair lending concerns,
- Legal cases and actions within Austin and other Texas communities related to fair housing,
- Fair housing complaint process,
- Citizen input about fair housing issues,

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- Rental and housing affordability, and
- City policy and procedure review, which primarily includes input from affordable housing developers.

To view the City's Analysis of Impediments to Fair Housing report, see *Appendix VI*.

The following are recommended actions from the report's findings along with steps the NHCD had taken to address these items.

Recommended Action #1: Raise the visibility and resolution process of fair housing.

The report states there was a discrepancy between the number of complaints received by the City's Fair Housing Office and Austin Tenants' Council (ATC) and the number of survey respondents citing housing discrimination. This finding suggests that some residents may not be aware or fully understand their fair housing rights or know whom to contact when faced with discrimination. Many of the survey respondents cited their race as the reason for housing discrimination.

The report determined the majority of residents facing housing discrimination contacted the Austin Tenants' Council (ATC) regarding questions and complaints. ATC is a key partner in the City's goals to provide fair housing counseling and offers enforcement services benefiting renters in Austin. ATC is a recipient of HUD Fair Housing Initiatives program funds as well as receives funding through the City of Austin. The agency's Fair Housing Program helps any person who has been discriminated against in the rental, sale, financing, or appraisal of housing. ATC offers an array of services including telephone counseling; face-to-face and in-house counseling; mediation services; intake testing; and referral of housing discrimination complaints.

Located in Central East Austin, ATC focuses efforts on educating the public about fair housing, and participates in a wide variety of outreach events/efforts annually. The ATC partnered with other community organizations to hold a Housing Fair on April 14, 2012 which showcased housing rights educational booths with information about fair housing

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protections, tenant-landlord laws, affordable housing resources, and homeowner guides. NHCD staff promoted its programs at the fair and solicited feedback from participants as part of the FY 2012-13 Action Plan community needs assessment process. ATC seeks media opportunities to highlight the agency's resources and services, partnering with the City to offer annual tenant/landlord training, and are the most prominent source for City of Austin residents when residents experience housing discrimination.

The City of Austin's Fair Housing Office seeks the elimination of racial/ethnic discrimination, including the present effects of past discrimination, and the elimination of de facto racial/ethnic residential segregation. In FY 2011, the Fair Housing Office elevated the visibility of its office, fair housing resources, and customer service for residents, by relocating its office to East 11th Street. The relocation of its offices to a more centralized area has brought the agency in closer proximity to NHCD and is more easily accessible to low-income residents. In July 2012 the Fair Housing Office also began offering employment discrimination assistance at the Texas Workforce Solutions Capitol Area Center. Employment discrimination counselors are available to discuss employment complaints of discrimination due to discharge/termination, suspensions, demotions, harassment, sexual harassment in hiring and selection based on race, sex, color, national origin, religion, age, and/or disability.

In order to raise the City of Austin's online visibility and improve the City's resolution process to fair housing complaints, the Fair Housing Office maintains an informational web site that highlights federal statutes, informational resources on housing discrimination and employment discrimination, and pertinent City Ordinances that outline local regulations enacted by the Austin City Council to further the civil rights of Austin residents. To view web site, visit

<http://www.austintexas.gov/department/equal-employment-and-fair-housing-office>.

The Fair Housing Office and NHCD require staff to attend annual trainings, seminars, and conferences to receive education about federal and local fair housing regulations and initiatives. NHCD is committed to ensuring its staff is educated about federal and local fair housing regulation and the department's obligations to adhere to nondiscrimination laws.

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The City of Austin Fair Housing Office staff attends annual trainings, seminars, and conferences offered by various agencies throughout the United States. The following are trainings City staff participated/administered in FY 2012-13:

NHCD staff participated in the following community-based collaborations in FY 2012-13:

- Austin Gay Pride Festival, promoting fair housing education - September 2012,
- National Fair Housing Alliance: Fair Housing Rights of Persons with Disabilities - August 2012,
- Travis County Analysis of Impediment to Fair Housing Choice stakeholder meeting – June 2012,
- Civil Rights Fest, promoting fair housing education – May 2012,
- City of Austin Fair Housing Conference – April 2012,
- American Heroes Event – April 2012,
- Austin's Lesbian, Gay, Bisexual and Transgender (LGBT) Forum – April 2012,
- Austin Tenants' Council Fair Housing Event, promoting fair housing education – April 2012,
- City of Austin "Affordable Housing Across Austin" Community Conversation promoting geographic dispersion – April 2012,
- Fair Housing Proclamation – April 2012,
- National Fair Housing Alliance: Basic Principles of Fair Housing Laws – March 2012,
- Community Service Expo, promoting fair housing education – March 2012,
- Fair Housing for Low-Income Minorities – March 2012,
- City of Austin Community and Job Resource Expo, promoting fair housing education – January 2012,
- City of Austin Fair Housing Basics Training – December 2011, and
- HUD's Regional Fair Housing Training – September 2011.

The City of Austin Fair Housing Office staff attends annual trainings, seminars and conferences offered by various agencies throughout the United States. The following are trainings City staff participated/administered in FY 2012-13:

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- National Fair Housing Alliance: Promoting Diverse Communities and Communicating Fair Housing – September 2012,
- Cornerstone Partnership: Local Preference & Fair Housing – August 2012,
- National Fair Housing Alliance: Fair Housing Rights of Persons with Disabilities – August 2012,
- PolicyLink: Maximizing Your Fair Housing and Equity Assessment for Regional Prosperity – July 2012,
- City of Austin “Affordable Housing Across Austin” Community Conversation promoting geographic dispersion – April 2012, and
- National Fair Housing Alliance: What is Affirmatively Furthering Fair Housing (AFFH) and Where is it going? – June 2012.

Recommended Action #2: Conduct targeted education and programming in minority neighborhoods.

The Home Mortgage Disclosure Act (HMDA) data is the best source of information on mortgage lending discrimination. HMDA data includes: mortgage loan applications for financial institutions, savings banks, credit unions, and some mortgage companies; location of home; dollar amount of loan; types of loans; racial/ethnic information about applicant; income of applicant; and credit characteristics of all loan applicants.

BBC Research & Consulting issued the following findings from the Home Mortgage Disclosure Act (HMDA) analysis:

- African American and Hispanic residents were more likely to be denied their loan than white residents in Austin; however, loan denials were not as disproportionately high for African American and Hispanic applicants by banks based in Austin.
- Higher loan denial rates were concentrated in East Austin neighborhoods.
- African American and Hispanic residents are more likely to receive subprime mortgages.

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- The reasons for loan denials are more diverse for Austin residents, as compared to the denials given by Austin-based banks.

In response to the HMDA data, the report recommended the following next steps:

1. The City should prioritize and promote a model loan application program and establish an outreach process for applicants to be informed about existing resources. The model loan program would outline quality loan products that would ensure applicants are aware of and applying for appropriate loan products that they are more likely to qualify for.
2. The City should conduct targeted campaigns or “road shows” to educate residents, landlords, housing providers and real estate professionals about fair housing and discriminatory issues that are most prevalent by area. The campaigns could take the form of public meetings, forums, and information meetings with local officials and target East Austin residents. The City, through its Fair Housing Office, has dedicated resources to hire of a Fair Housing Neighborhood Liaison
3. The City could partner with local Austin-based banks, which have had a more reliable past record of nondiscriminatory lending practices. Their rationale for loan denials was generally more uniform and consistent across all groups of applicants. Furthermore, local lenders may have a greater interest in local residents and may be more likely to ensure that applications are complete and that residents are applying for the correct types of loans products.

In FY 2012-13, NHCD continued to support and expand targeted education and programming through the following initiatives.

- **Housing Smarts – Housing Counseling**

The City of Austin through the Austin Housing Finance Corporation (AHFC) currently offers Housing Smarts, an AHFC housing counseling program that provides financial literacy skills; lending education; homebuyer education, which includes pre- and post-purchase counseling; and foreclosure prevention. Under the Housing Smarts program, the City contracts with the

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following non-profits to further financial literacy related to foreclosure prevention and offer the Housing Smarts program in Spanish:

- ***Business and Community Lenders (BCL) of Texas.***
BCL provides foreclosure prevention assistance provided through counseling sessions. Clients receive financial literacy services, including credit and budget counseling and money management courses.
- ***Frameworks Community Development Corporation.*** Frameworks provides the Housing Smarts program in Spanish and offers one-on-one sessions.

NHCD has enhanced the curricula to include additional topics: credit for consumers, everyday budgeting, and how to get money when you need it. The course is also offered in all geographic areas across Austin.

In addition, the City of Austin discourages predatory lending and requires that all households participating in the Down Payment Assistance Program and Mortgage Credit Certificate Program secure a fixed-rate mortgage at prevailing interest rates. The City will continue seeking partnerships with local agencies to ensure the residents are informed on quality lending opportunities that lead to positive results.

Financial Empowerment

In FY 2012-13, NHCD offered the Individual Development Accounts (IDA) to eligible clients. IDAs are special savings accounts designed to assist low- income individuals and families in moving toward asset ownership through matched savings and financial education. An IDA can be used to buy a first home, pay for college or other training, and start or expand a small business. Participants receive financial training and coaching on family budgeting, consumer issues, debt and credit counseling, using mainstream financial products, accessing refundable tax credits, and accessing other benefits and services.

Recommended Action #3: Continue leading affordable housing development efforts.

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During the AI process, stakeholders and affordable housing developers identified affordability as the primary fair housing issue in Austin. The group indicated that the affordable housing stock in Austin is highly limited; thus resulting in many low-income residents living in substandard housing or tolerating discriminatory situations for fear of not finding another affordable unit.

Through the City's General Obligation (G.O.) Bonds, Rental Housing and Development Assistance (RHDA) and Acquisition and Development (A&D) programs, the City created affordable housing in FY 2012-13 that addresses the following core values:

- *Deeper Affordability Targets*: Reach deeper levels of affordability to serve lower-income residents.
- *Long-term Affordability*: The City values preserving affordability for the long-term and;
- *Geographic Dispersion*: Affordable housing geographically dispersed throughout the City of Austin.

Affordable Housing Programs

Through the Acquisition and Development (A&D) Program and Rental Housing and Development Assistance (RHDA) Program, the City offers gap financing to public and private partners so that the Austin affordable housing stock is retained. Key partners include Community Housing and Development Organizations (CHDOs) who assist in the creation and retention of affordable housing for low- and moderate-income households. NHCD highlights the core value of geographic dispersion in several ways. Geographic dispersion is a key scoring criteria for the RHDA and A&D programs - geographic dispersion counts as over 10 percent of the total scoring for RHDA, and over 15 percent for A&D. NHCD staff regularly analyzes the geographic dispersion of affordable housing to ensure that the City of Austin's investment through the allocation of local and federal funds are not concentrated to specific geographic areas. By implementing this ongoing analysis, it further increases its assurances to address the impact of face discrimination on housing opportunities and

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choice in its jurisdiction. To view the City's Geographic Distribution Map of Rental Housing by racial category, see *Appendix IV*.

Geographic Dispersion of Affordable Housing

General Obligation Bonds

The City supports providing affordable housing in areas outside of low-income neighborhoods; reducing racial and ethnic segregation, de-concentrating poverty, and providing for more economic opportunities for low-income households. The City of Austin has achieved significant geographic dispersion in the units funded through the City's General Obligation (G.O.) Bond funding. At the time of this report, 2,593 total units have been created or are in progress throughout the City.

Opportunity Mapping

NHCD participated in the creation of Opportunity Maps in conjunction with Ohio State University's Kirwan Institute, Travis County, and local and regional planning agencies. Opportunity mapping is a research tool used to understand the dynamics of "opportunity" within geographic areas. The purpose of opportunity mapping is to illustrate where opportunity-rich communities exist (and assess who has access to these communities) and to focus on areas of need in underserved or opportunity-poor communities. Key indicators include: areas with sustainable employment, high performing schools, access to high-quality healthcare, adequate transportation, quality child care, safe neighborhoods, and institutions that facilitate civic and political engagement.

As NHCD moves forward in implementing a geographic dispersion/siting policy ensuring affordable housing in all parts of Austin, staff anticipates utilizing the Kirwan Opportunity Map to further the City's housing and community development goals.

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Recommended Action #4: Continue educating the public about fair housing involving neighborhood groups.

The City of Austin is committed in engaging the community, neighborhood groups and its stakeholders in promoting all aspects of fair housing choice.

Education, Community Engagement and Outreach

Prompting and supporting all aspects of fair housing is one of NHCD's highest priorities in FY 2012-13. NHCD integrate fair housing education with all affordable housing materials, educational curriculum, and its programs and services increasing opportunities to affirmatively further fair housing.

Below are highlights of NHCD initiatives underway in FY 2012-13 where education, community engagement and outreach where fair housing was an integral component in the process:

- City's FY 2012-13 Action Plan Process,
- Colony Park Sustainable Communities Initiative.

Marketing and Outreach

In FY 2012-13 NHCD Housing Program staff regularly participated in workshops, fairs and seminars to promote housing services and with clients or interested residents and professionals. NHCD/AHFC Client Services staff hosted or participated in approximately 23 events to market its programs and services to low-income households.

In addition, NHCD hired a full -time Neighborhood Liaison to work closely with City of Austin marketing experts and NHCD staff to market housing repair and financial empowerment programs in an effort to identify eligible clients and increase program participation. The coordinator develops short-term and long-range market planning/strategies to increase awareness of programs and department identity. As a result, an informative, client-focused Program Guide on NHCD's programs was developed. In addition, the coordinator has worked with neighborhood associations, community leaders,

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and other interested groups with on-going projects. To view a copy in English or Spanish, visit

www.austintexas.gov/department/all-home-repair-and-financial-empowerment-programs.

NHCD continued to utilize its web site as a key educational tool, and received more than 50,000 unique page views annually to the NHCD/AHFC site. NHCD Housing Information Referral services offers customers and Austin residents responsive information to direct inquires made by phone and the web site. This service is essential in providing ongoing outreach and information about housing and community development programs. NHCD also maintained a listserv used to disseminate updates on programs, affordable housing news, as well as notices about public meetings and events.

There are currently 1,149 people signed up on NHCD's listserv to receive information distributed regularly by the City.

Partnerships and Community Involvement

NHCD coordinated and maintained relationships with neighborhood groups, policy groups, non-profit and for-profit developers, businesses, and service providers in low-to moderate-income communities in order to reach its affordable housing and economic development goals. NHCD partnered with over 20 Boards and Commission, Working Groups, Committees, and community organizations in FY 2012-13. A majority of these agencies represent the general public at large, low-moderate income persons – particularly those living in slum and blighted areas, minorities and non-English speaking persons, special populations (i.e. person with disabilities, persons living with HIV/AIDS, persons experiencing homelessness).

Affordable Housing in All Parts of Austin

The City Council passed Resolution No. 20111215-058 on December 15, 2011, directing NHCD to work with the Community Development Commission (CDC) and other stakeholders to research and recommend strategies of achieving geographic dispersion of affordable housing. In the months following, NHCD staff researched a number of national examples of

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siting policies and worked closely with the CDC and the Affordable Housing Siting Policy working group created by the CDC to assess the feasibility of various approaches in Austin.

Business Regulation and Permit Requirements

The City Council approved Ordinance No. 20110818-075 on August 18, 2010, amending Title 4 (Business Regulation and Permit Requirements) of the City Code to add a new chapter related to the registration of credit access businesses.

The purpose of this chapter is to protect the welfare of the citizens of the City by monitoring credit access businesses in an effort to reduce abusive and predatory lending practices. The amendment provides a penalty up to \$500 for each offense performed by businesses.

Payday Lending

The City of Austin continues to find ways to improve its economic vitality for its citizens. The Austin City Council is deeply concerned about the harmful effects of alternative financial service business practices, including payday lenders and auto title lenders in Austin as well as the state of Texas.

On August 18, 2010, the Council approved Resolution No. 20110818-079, initiating code amendments to City Code Title 25 (Land Development Code) and directing the City Manager to process code amendments to regulate the location and use of buildings and land for alternative financial services businesses, a proposed new use to include check cashing, payday loan, and car title loan businesses.

Bank On Central Texas Program

The Federal Deposit Insurance Corporation (FDIC) estimated in December 2009 that more than 20 percent of households in Austin are considered “underbanked,” meaning, they rely on alternative financial services including: payday lending, pawn shop lending, non-bank check cashing, and non-bank money orders. The FDIC also found that lower-income households, as well as racial and ethnic minorities were significantly more likely to be underbanked.

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The Austin City Council passed Resolution No. 20100930-025 on September 30, 2010, directing the City of Austin to participate in a Bank on Central Texas Employer Campaign (BOCT). BOCT was launched by the United Way Capital and PeopleFund to provide the following resources to the underbanked or unbanked: access to mainstream financial products and services, financial empowerment programs, and resources to build capacity.

On average 90 percent of City of Austin workforce is paid through direct deposit. Through this resolution, Austin's City Manager was charged with making all necessary efforts consistent with legal requirements to achieve a 98 percent electronic wage payment rate for City of Austin employees by September 30, 2011. Efforts included working with employees to identify individual barriers to receiving an electronic wage payment, and where appropriate, offered financial coaching and identification of financial products through the BOCT program that could benefit the employee. As of September 2012, 95 percent of employees use electronic wage payment.

Proposition 6 – Domestic Partnership

The City Council enacted an initiative in 1993, Proposition 22, which allowed insurance benefits to be extended to unmarried partners of City employees and to other adults living in their homes. Proposition 22 was voted on and passed by Austin residents in 1994, thereby repealing all of the City's past Charter language defining eligibility for the employees' City insurance benefits. Proposition 6 was later proposed by the City Council and was established to repeal all the City's Charter language defining eligibility for the City's insurance benefits allowing City employees to provide insurance not only to domestic partners, but also to other adults or dependents in the same household. City of Austin residents voted on and passed Proposition 6 on May 13, 2006.

Non-Discrimination Policy

The City Council passed an ordinance in 1992 creating Chapter 7-4, now codified as Chapter 5-4 Discrimination in Employment by City Contractors of the City Code to prohibit discrimination in employment by City Contractors, including discrimination against an individual based on sexual orientation or gender identity. The City encourages and wants to

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attract companies that provide non-discrimination policies that include both sexual orientation and gender identity, as well as provide domestic partner benefits to their LGBT employees and their families. Austin City Council approved Resolution No. 20090806-037 on August 6, 2009, directing the City Manager to amend the City's Standard Terms and Conditions used in competitive procurements required by state law: 1) to require a copy of the contractor's employment non-discrimination policy when applying for City contracting opportunities, and 2) to specify that noncompliance with Chapter 5-4 will terminate a contract and may hinder a contractor's eligibility for future contracts until deemed compliant with Chapter 5-4.

COBRA-Like Benefits for Domestic Partners

The Consolidated Omnibus Budget Reconciliation Act (COBRA) is a federal legislation requiring employers to extend healthcare coverage to employees and qualified dependents for certain qualifying events such as termination, divorce, or death. In 2009, City Council Members requested a review on COBRA benefits for domestic partnerships. The City's Human Resources Department (HRD) had been contacted by a domestic partner of a recently deceased employee who had been informed benefits would cease and he was not eligible to receive the COBRA benefit. Based on this circumstance, and City Council interest, HRD reviewed the current COBRA policy, met with the City Council Members and determined in November 2009 to extend "COBRA-Like" benefits to domestic partners.

AFFIRMATIVE MARKETING AND MINORITY OUTREACH

As a recipient of federal funds, the City of Austin must adopt affirmative marketing procedures and requirements for rental and homebuyer projects containing five or more HOME-assisted housing units. Affirmative marketing steps consist of actions that provide information and otherwise attract eligible persons in the housing market area to the available housing without regard to race, color, national origin, sex, religion, familial status or disability.

I. Affirmative Marketing Plan

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When a rental housing or homeowner project containing five or more units is planned to be constructed, the City of Austin and/or its subrecipients will provide information to the community that attract eligible persons who are least likely to access affordable housing opportunities. This may include low- to moderate-income individuals, minority residents, the Limited English Population (LEP) population, and residents of manufactured housing.

With changing demographics in Austin, there are challenges when marketing to the eligible populations that are Limited English Proficient (LEP). In areas where there is a significant LEP population, NHCD and the AHFC strive to meet this need by:

- Translating key marketing materials;
- Working with minority-owned print media, radio and television stations;
- Partnering with faith-based and community organizations that serve newly arrived immigrants;
- Promoting and offering marketing activities and educational sessions in Spanish at community outreach events, such as Homebuyer Fairs; and
- Providing a stipend to bi-lingual staff members who work directly with and provide assistance to the LEP population.

NHCD and AHFC program guidelines and requirements for business owners and builders are outlined below. Each owner is required to agree to carry out the following affirmative marketing procedures and requirements:

1. The business/builder/non-profit shall not refuse to sell or rent the subject homes to an individual because of race, color, religion or national origin.
2. The business/builder/non-profit shall not refuse to sell or rent the subject homes to an individual because that individual has children who will be residing in that dwelling.
3. The business/builder/non-profit shall not refuse to sell or rent the subject homes to an individual because that individual is eligible for public housing assistance.

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4. The business/builder/non-profit shall conduct special outreach to target groups of persons least likely to apply through advertisement in newspapers whose circulation is primarily among the target group, as well as through notification of appropriate community groups and agencies.

5. The business/builder/non-profit shall advertise all homes for sale and apartments for rent in the appropriate local media.

6. The business/builder/non-profit shall include in all advertising HUD's Equal Housing Opportunity logo, slogan or statement, as defined in 24 CFR 200.600.

7. The business/builder/non-profit shall instruct all employees and agents both orally and in writing about the City's affirmative marketing requirements.

8. The business/builder/non-profit shall prominently display in its office HUD's Fair Housing Poster or Equal Housing Opportunity logo.

9. The business/builder/non-profit must keep on file any and all sales advertisements and applicant information. Copies of this information must be forwarded upon request to staff so that staff may properly assess the affirmative marketing practices.

10. Nondiscrimination: In the performance of its obligations under this agreement, The business/builder/non-profit will comply with the provisions of any federal, state or local law prohibiting discrimination in housing on the grounds of race, color, sex, creed or national origin, including Title IV of the Civil Rights Act of 1964 (Public Law 88-352, 78 Stat. 241), all requirements imposed or pursuant to the Regulations of the Secretary (24 CFR, Subtitle A, Part I) or pursuant to that Title; regulations issued pursuant to Executive Order 11063, and Title VIII of the 1968 Civil Rights Act.

NHCD and AHFC maintain copies of their respective affirmative marketing efforts. Austin will continue reporting on its annual accomplishments in the annual CAPER. The City will include a comprehensive assessment of its affirmative marketing actions as required in 24 CF

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92.351(a)(2)i-v in the annual CAPER. The City of Austin will work with any contractor who is not meeting the requirements of the affirmative marketing plan to provide necessary technical assistance and guidance. NHCD's Compliance division utilizes audit checklists developed by HUD. These checklists provide for review of project requirements, property standards, rent occupancy, and ongoing monitoring requirements including affirmative fair housing marketing.

II. Minority Outreach Plan

The Austin City Council passed an ordinance establishing the Minority- and Women-Owned Business Enterprise (MBE/WBE) Procurement Program on February 19, 1987. The City Council approved major amendments to that ordinance on July 13, 1995. The program, which is administered by the City Department of Small and Minority Business Resources (SMBR), established procurement goals for City departments that target Minority- or Women-Owned Business Enterprises (MBE/WBE). To qualify as a MBE/WBE, the business must be certified by the Department of Small and Minority Business Resources as a sole proprietorship, partnership, corporation, joint venture or any other business entity that is owned, managed and operated by a minority or woman, and which performs a commercially useful function. Once certified, MBE/WBE vendors are included in a citywide database that details the products and services they provide by commodity code. This database is also available to prime contractors who are seeking to subcontract with City-certified MBE/WBE vendors. The City of Austin produces the Contractor/Subcontractor Activity Report after the close of every contract which is used to determine the amount of MBE/WBE contracts.

III. Plan for Increasing Homeownership for Special Populations

In addition to minority populations, special outreach efforts will be incorporated in the City of Austin comprehensive marketing and outreach plan to target more specialized segments of the community, including those areas with historically low participation levels in homeownership due to racial/ethnic discrimination or segregation. These targeted populations may include but are not limited to tenants of manufactured housing and public housing.

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Through increased coordination with the Housing Authority of the City of Austin (HACA) and other agencies, the City of Austin will promote ownership opportunities developed by the AHFC and through local Community Development Housing Organizations (CHDO) to HACA residents as well as tenants of manufactured housing. Additional marketing and training opportunities can increase knowledge on a number of City programs including the City's HousingSmarts program and Individual Development Account Program. Both programs offer low income residents who are seeking homeownership opportunities increased access to financial literacy resources. The City of Austin is expanding its financial literacy programs to assist low-income household tenants unable to qualify for homeownership because of issues such as debt, credit, and income may be referred to accredited housing counseling providers.

IV. Plan for Increasing Housing Options for Special Populations

The City Council passed Resolution No. 20100325-053 on March 25, 2010, directing the City Manager to give priority to federal and local funding to permanent supportive housing (PSH), and to develop a comprehensive strategy for the construction and operation of 350 permanent supportive housing (PSH) units over the next four years. Since the City Council's action, the City of Austin, ECHO and key stakeholders have made great strides on PSH efforts to support the implementation of the PSH strategy and as of April 2012, 228 PSH units are in the pipeline.

City of Austin-funded PSH units are designated for individuals or families in the following categories:

- Headed by individuals that are chronically homeless as established in the HEARTH Act;
- Households that would otherwise meet the HUD definition above, but have been in an institution for over 90 days, including a jail, prison, substance abuse facility, mental health treatment facility, hospital or other similar facility;
- Unaccompanied youth or families with children defined as homeless under other federal statutes that demonstrate housing instability and have other barriers that will likely lead to continued instability, as detailed in the plan; and

Chapter 4: Fair Housing

- o Youth aging-out of state systems, whether homeless or at-risk of homelessness.

Among those served, priority will be given to at least 225 households identified as frequent users of public systems, and at least 75 households identified using a method linked to 'vulnerability,' as described in the plan that can be viewed online at <http://www.austintexas.gov/departments/housing>.

Emergency Solutions Grant (ESG) Activities

The Austin/Travis County Health and Human Services Department (HHSD) administers all ESG activities for the City of Austin. These programs are designed to be the first step in a continuum of assistance to help clients quickly regain stability in permanent housing after experiencing a housing crisis and/or homelessness. On March 5, 2012, HUD further clarified the definition of "family," by releasing a final rule on equal access to housing for HUD programs regardless of sexual orientation or gender identity. The rule applies to all McKinney-Vento-funded housing programs, as well as to other housing assisted or insured by HUD. The rule creates a new regulatory provision that generally prohibits considering a person's marital status, sexual orientation, or gender identity (a person's internal sense of being male or female) in making homeless housing assistance available.

HUD's goal is to ensure that programs are carried out free from discrimination and are models for equal housing opportunity. This new provision ensures that lesbian, gay, bisexual, and transgender (LGBT) people are guaranteed equal access to housing and shelters. The City of Austin ensures that its programs adhere to the new regulations outlined by HUD. The City prohibits inquiries regarding the sexual orientation or gender identity of applicants and prohibits sexual orientation and gender identity as grounds for decision making for its programs.

Chapter 4: Fair Housing

AFFIRMATIVE MARKETING AND MINORITY OUTREACH MONITORING

In an attempt to evaluate the Affirmative Fair Housing Marketing (AFHM) efforts by organizations that receive funding through the City's 57 contracts with rental housing developers, along with the routine desk audits that NHCD conducted, staff also performed 19 site-monitoring visits for 31 contracts in FY 2012-13.

NHCD staff utilized audit checklists, Exhibit 4.1, developed by HUD. These checklists provide for review of project requirements, property standards, rent occupancy, and ongoing monitoring requirements including affirmative fair housing marketing.

Outreach Being Conducted to Ensure the Policy is Received and Administered by Subrecipients

While no formal outreach is done to individual properties, developers and owners who choose to receive assistance through the Rental Housing Development Assistance (RHDA) Program are informed of the requirements needed to support the federal fair housing choice guidelines. All contracts have a section dedicated to the Affirmative Fair Housing Marketing (AFHM) requirements.

Currently, the process involves contacting each property via postal mail that includes a comprehensive list of items to submit to NHCD staff to conduct a formal desk audit. This action is followed up with a telephone call to ensure that the property manager, owner or management company is notified of the letter. The annual audit notification requests that subrecipients maintain and administer an Affirmative Fair Housing Marketing Plan (AFHMP); additionally, the notification includes a Property Information Update Form that documents organizational changes in the property management team as well as any changes in owners and/or management companies. The form is required as part of NHCD's annual desk audit

Exhibit 4.1: Audit Checklist

Project Name: _____

Project ID: _____

Owner Name: _____

Reporting Period: from _____ to _____

[illegible]

Reviewer: _____ **Date:** _____

Project Name: _____ Project ID: _____

Reviewer: _____ Date: _____

I. BACKGROUND INFORMATION

1. Amount of HOME Allocation:

2. Number HOME-assisted Units:

3. Period of Affordability:

Owner should also complete a Project Compliance Report (Checklist 6-D) on a yearly basis during the period of affordability and submit it to the PJ.

II. PROJECT COMPLIANCE

QUESTIONS	ANSWER		COMMENTS/ ACTIONS REQUIRED
	Y	N	
A. PROJECT REQUIREMENTS			
1. Does the owner have tenant selection procedures that are non-discriminatory?			
2. Does the owner provide adequate information to program applicants about program rules and expectations?			
3. When the floating designation is used, does the owner ensure that the rental units are comparable?			
B. PROPERTY STANDARDS AND ELIGIBLE COSTS			
4. Does the property still meet all local codes and property standards?			

1
of
2

II. PROJECT COMPLIANCE

QUESTIONS	ANSWER		COMMENTS/ ACTIONS REQUIRED
	Y	N	
C. RENT, OCCUPANCY, AND ONGOING REQUIREMENTS			
5. Does the owner complete a Project Compliance Report (Checklist 6-D) and submit it to the PJ on time every year?			
6. Does the owner monitor rents in HOME-assisted units and enforce HOME rent limits in all projects?			
7. Does the owner monitor and enforce HOME low-income occupancy requirements?			
8. Are tenant incomes properly documented during occupancy?			
9. Is there a copy of a lease in every tenant file?			
10. Are all leases signed in HOME-assisted units free of prohibited provisions?			
11. Does the owner conduct regular property inspections?			
12. Does the owner affirmatively market units?			
13. Does the owner follow his/her tenant selection policy?			

PART I. General On-Site Observations

A. Billboards and Signs

1. Are there signs or billboards which advertise the development?
How many? () Yes () No
2. Do any of them use the Equal Housing Opportunity logo, statement or slogan? Circle appropriate one.

Is it readily seen? () Yes () No
3. Are human models used in drawings, photographs, or other graphic techniques? If yes, circle which. () Yes () No
4. If models are used, do they reasonably represent both minorities and non-minorities and (where appropriate) handicapped persons. () Yes () No
5. If there is a site sign indicating Federal construction, did it display the HUD-approved Equal Opportunity logo, slogan, or statement? () Yes () No

B. Required HUD Approved Fair Housing Poster

1. Was the Poster displayed in the sales or rental office? () Yes () No
2. Was it in a conspicuous location? () Yes () No
3. Was the Fair Housing Poster displayed conspicuously in all required locations? () Yes () No

C. Advertisements and Other Promotional Materials
in Sales/Rental Office.

1. Are there any pictures, signs, posters (other than HUD poster with logo) in the sales/rental office or model homes which advertise the development? () Yes () No

If so, how many?

2. Do any of them use the Equal Housing Opportunity logo, statement or slogan? () Yes () No

3. Are human models used in these advertisements? (photographs, or other graphic techniques)? If yes, circle which is used. () Yes () No

4. If models are used, do they reasonably represent minorities, non-minorities and (where appropriate) handicapped persons? () Yes () No

5. Do the brochures and other promotional materials contain the Equal Housing Opportunity logo, slogan or statement? () Yes () No

If yes, circle which is used.

(Obtain copies of brochures and other promotional material and attach to this form.)

D. Affirmative Marketing Plan.

1. Was the Affirmative Marketing Plan made available to you? () Yes () No
2. Was it the same as the plan approved? () Yes () No

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APPENDIX 24

PART II. PERSONS INTERVIEWED

A. Identity of Persons Interviewed.

Name

Name

Race Position

Race Position

Name of Company

Name of Company

Address

Address

How long employed

How long employed

Duties/Responsibilities

Duties/Responsibilities

Attach list of other persons interviewed.

B. Content of Interviews. Interview sales, rental and other employees to determine if they have received the following:

1. Written instructions on the fair housing laws. If yes, attach to this form. () Yes () No
2. Training sessions for all employees on:
 - a. Implementation of the fair housing laws. If yes, describe: () Yes () No
 - b. Implementation of the affirmative fair housing marketing plan. () Yes () No

C. Contents of Advertising.

1. Does the developer's plan include newspaper advertising? () Yes () No

If yes, obtain copy and list frequency of placement.

 - a. Does the advertising use the HUD logo? () Yes () No
 - b. Is the slogan used without the logo? () Yes () No

- c. Are other logos used? () Yes () No
- d. Is the HUD logo the only

- logo used? () Yes () No
- e. Is the HUD logo conspicuous? () Yes () No
- f. Are human models used (drawings, photographs, or other graphic techniques)? () Yes () No
- g. If models are used, do they reasonably represent both minorities, non-minorities and (where appropriate) handicapped persons. () Yes () No
2. Did the sponsor advertise in newspapers designated in the Plan? () Yes () No
3. Did the sponsor advertise as frequently as the plan requires? () Yes () No
4. Did the sponsor fail to comply in some other manner? If yes, describe. () Yes () No
- D. Community Contacts. Developers are directed to contact community group representative of that segment of the population for which the plan requires special outreach efforts. Obtain copies of letters if any, sent to these groups.
1. List community organizations and individuals named in the plan. Contact them and note after each if, in fact, it has been contacted, the nature of the contact, the actions taken and results, if any.
2. Verification of contacts with community groups:
- a. Date:
- b. Persons Interviewed.

E. Results of Marketing Efforts.

1. Number of present occupants?

White Black Hispanic Asian Amer. Indian

If no occupants, how many applications (or buyers) have been accepted/approved for occupancy? State by race/ethnicity.

2. Date Marketing began?

3. Date of initial occupancy?

4. Describe in detail and/or attach the written criteria for tenant selection or owner eligibility.

5. Describe in detail or attach the application processing procedure together with timetable for same.

6. Are applications maintained and reviewed when a vacancy occurs? How long?

7. Total number of applicants on waiting list?
(By race, ethnicity, handicap and familial status)

8. Total number of applications rejected?
(By race, ethnicity, handicap and familial status)

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APPENDIX 24

F. Reporting. Indicate whether the applicant has failed to submit a Form HUD-935.5.

G. Other HUD-Insured or Subsidized Activity.

1. List other HUD-insured or subsidized developments the developer has currently under construction, being rented or sold, or in development stages:

2. To your knowledge does the developer do HUD-FHA work in other States? If yes, identify project(s) and location(s).

H. If the plan has thus far been ineffective or unworkable, did the developer notify the Director, FHEO Program Operations Division/Field Office FHEO Division?

() Yes () No

If no, state applicant's(s') justification.

PART III: Summary.

- A. Is the developer complying with the approved plan? () Yes () No
- B. If the developer is not, describe what has been omitted.
- C. Describe proposed action for bringing the developer into compliance.
- D. Is the developer doing more than is required? If yes, describe:

Reviewer(s):

Date:

Property Information Update Form

Date: _____

Please complete the following information for your property. Items marked with a ★ are required. If not applicable, please enter N/A in the blank.

★ Property Name		
★	Property Address	
	Web Address	
★	Primary Contact	
★	Title	
	Secondary Contact	
	Title	
★ Organization Name		
★	Address	
	Web Address	
★	Primary Contact	
★	Title	
	Secondary Contact	
	Title	
★ Management Company		
★	Address	
	Web Address	
★	Primary Contact	
★	Title	
	Secondary Contact	
	Title	
★ Owner Name		
★	Address	
	Web Address	
★	Primary Contact	
★	Title	
	Secondary Contact	
	Title	

Date

PROJECT NAME
123 ANY AVE
AUSTIN, TX XXXXX

RE: Project Name

To Whom It May Concern:

This is a courtesy letter to remind you that documentation is required and due for the above-referenced property(s). Please provide copies of the following documents to our office no later than _____:

- ☐ Occupancy Report (s), including tenants who either moved in or out of a unit during the past year;
- ☐ Annual Tenant Income Recertification completed by each tenant household;
- ☐ Current property insurance certificate;
- ☐ Most recent Housing Quality Standards inspection report for each housing unit (if available); and
- ☐ Either your 2009 financial audit or a completed Audit Certification Form
- ☐ Copy of Affirmative Fair Housing Marketing Plan

You are welcome and encouraged to e-mail reports, when possible to @ci.austin.tx.us. Reports may also be mailed to:

City of Austin
Neighborhood Housing and Community Development Department
Attention:
P. O. Box 1088
Austin, Texas 78767-1088

Enclosed with this letter you will find the U.S. Department of Housing and Urban Development (HUD) Income Limits by Household Size and Rent Limits for _____; a blank Tenant Occupancy Report form and a blank Annual Tenant Income Recertification Form. These forms are also available electronically per request.

If you have any questions, comments, or need additional information, do not hesitate to contact me at (512) 974-xxxx or by e-mail at @ci.austin.tx.us.

Thank you for your prompt attention to this matter; we appreciate your cooperation.

Sincerely,

Chapter 5: Activity Tables

City of Austin Fiscal Year 2012-13 CAPER

Chapter 5: Activity Tables

HOMELESS/ SPECIAL NEEDS ASSISTANCE						
The homeless and special needs populations are Austin's most vulnerable populations and will be a high priority for the Consolidated Plan. This decision was made based on feedback from the public process, including stakeholder meetings that included homeless and special needs providers, public hearings, and the Consolidated Plan survey.						
NHCD OVERALL GOAL: Assist 15,276 eligible households with services that lead to opportunities for self-sufficiency in FY2009-14 as measured by:						
CONSOLIDATED PLAN FY 2009-14 HOMELESS/SPECIAL NEEDS OBJECTIVES: Assist persons experiencing homelessness or who are at-risk of becoming homeless. Assist persons living with HIV/AIDS achieve stable housing and increase access to medical care and supportive services. Assist low-income and special needs populations with supportive services to increase self-sufficiency.						
Type of Housing	Priority for Federal Funds	Type(s) of Households Served	Funding Source(s)	FY 2012-13 Goal	Total Expended	FY 2012-13 Accomplish-ments
Emergency Shelter/Homeless Shelter	High	Persons experiencing homelessness, elderly, persons with disabilities, at-risk youth, low-income families, and persons living with HIV/AIDS	ESG, HOPWA, CDBG, SF	9,807	\$2,196,556	7,430

Chapter 5: Activity Tables

Austin Resource Center for the Homeless (ARCH) - ESG12: Shelter Operation and Maintenance							
IDIS #: 5903							
PROJECT DESCRIPTION							
<p>The City of Austin/Travis County Health and Human Services Department (HHSD) contracts with a private nonprofit organization to operate the Austin Resource Center for the Homeless (ARCH). All clients served in the ARCH have low- to moderate-incomes and are at or below 50 percent of MFI. Emergency Solutions Grant (ESG) funds are used to provide maintenance and operations for this program. The ARCH provides emergency shelter to adult males experiencing homelessness through its Overnight Shelter program, and provides Day Sleeping for adult males and females experiencing homelessness. The ARCH provides basic services such as showers, laundry facilities, mailing addresses, telephone use, and lockers through its Day Resource Program. The Day Resource Program also includes a number of services such as mental health care, legal assistance, and employment assistance provided by co-located agencies. In addition, ARCH houses the Healthcare for the Homeless clinic.</p>							
ACCOMPLISHMENT DESCRIPTION							
<p>Front Steps and the City of Austin have invested funding to de-duplicate client files in the Homeless Management Information System. Front Steps has been utilizing HMIS for performance numbers, and therefore with the de-duplication efforts in HMIS, Front Steps performance numbers are lower than anticipated. They have not served less clients as is evident by their utilization rates which are over 90%, but there are less duplicate records and more efficiency in the HMIS system.</p>							
PERFORMANCE MEASURE							
Accessibility for the purpose of creating suitable living environments.							
Project	Activity	Con Plan Priority	Fund Source(s)	FY 2012-13 Total Funding	FY 2012-13 Proposed Goal	Expended	FY 2012-13 Accomplishments
Shelter	Shelter	High	HESG	\$333,319	8,500	\$278,998	6,097
Sub recipient: Front Steps							

City of Austin Fiscal Year 2012-13 CAPER

Chapter 5: Activity Tables

Front Steps - Homeless Management Information System (ESG 11 and ESG 12)							
IDIS #: 5907 and 5902							
PROJECT DESCRIPTION							
The Austin Resource Center for the Homeless, managed by Front Steps, Inc. will utilize Emergency Solutions Grant funds for the implementation of a scan card system, increased service and HMIS coordination with downtown service providers, and to comply with new ESG regulations from HMIS.							
ACCOMPLISHMENT DESCRIPTION							
Front Steps has completed the de-duplication effort but continues to implement the new Scan Card project. All funds will be expended in the next year.							
PERFORMANCE MEASURE							
Accessibility for the purpose of creating suitable living environments.							
Project	Activity	Con Plan Priority	Fund Source(s)	FY 2012-13 Total Funding	FY 2012-13 Proposed Goal	Expended	FY 2012-13 Accomplish-ments
HMIS	HMIS	High	HESG 11	\$31,472	N/A	\$10,640	N/A
HMIS	HMIS	High	HESG 12	\$5,272	N/A	\$3,835	N/A
			Total	\$36,744	N/A	\$14,475	N/A
Sub recipients: Front Steps, Downtown Austin Community Court							

Chapter 5: Activity Tables

Housing Relocation and Stabilization (ESG 11 and ESG 12)							
IDIS #: 5904 and 5908							
PROJECT DESCRIPTION							
<p>The Housing Relocation and Stabilization Program includes:</p> <p>The Downtown Austin Community Court (DACC) Rapid Rehousing staff will provide housing relocation and stabilization services to homeless individuals in the downtown area. Two new staff members will provide housing relocation and stabilization services to persons experiencing homelessness who are frequent users of public systems including the Downtown Austin Community Court and Austin Resource Center for the Homeless (ARCH).</p> <p>The Communicable Disease Unit (CDU) of the Austin/Travis County Health and Human Services Department will provide Rapid Rehousing - Housing Relocation and Stabilization Services to homeless persons with HIV/AIDS who are not utilizing shelter services. This service connects clients with safe and stable housing. This project uses Emergency Solutions Grants to provide Rapid Rehousing Services. The Rapid Rehousing program will coordinate to receive direct financial assistance from the Rapid Rehousing program.</p> <p>The City of Austin Health and Human Services competed the funding for Rapid Rehousing - Housing Relocation and Stabilization Services and awarded it to Front Steps. The awarded subrecipient will be responsible for administering direct financial assistance for a two-year period. There will be one Rapid Rehousing Coordinator who will be responsible for the administration of all Rapid Rehousing direct assistance, as well as coordination between the downtown shelters and service providers and implementation of the HMIS scan card system.</p>							
ACCOMPLISHMENT DESCRIPTION							
<p>The DACC Rapid Rehousing program served more than anticipated clients this year due to high demand from a partner in the Rapid Rehousing collaboration - Front Steps. The Housing locators at DACC were referred these clients and will continue to work with them to find housing in the next fiscal year. The program did not begin until March 2013.</p> <p>The CDU program served more clients than expected this year. There was higher than expected demand for services from not only people newly diagnosed with HIV who are also experiencing homelessness, but HIV positive individuals who move to the area for homeless services.</p> <p>The Front Steps Rapid Rehousing and Stabilization program will provide direct financial assistance to rapidly rehouse homeless persons who are receiving services from ESG-funded programs at the Communicable Disease Unit, Austin Resource Center for the Homeless and Downtown Community Court.</p>							
PERFORMANCE MEASURE							
Accessibility for the purpose of providing decent housing.							
Project	Activity	Con Plan Priority	Fund Source(s)	FY 2012-13 Total Funding	FY 2012-13 Proposed Goal	Expended	FY 2012-13 Accomplishments
Housing Relocation and Stabilization	Housing Relocation and Stabilization	High	HESG 11	\$132,218	72	\$130,804	105
Housing Relocation and Stabilization	Housing Relocation and Stabilization	High	HESG 12	\$231,747	140	\$64,488	143
			Total	\$363,965	212	\$195,292	248
Sub recipients: Downtown Austin Community Court							

City of Austin Fiscal Year 2012-13 CAPER

Chapter 5: Activity Tables

Short-Term Rent, Mortgage, and Utility Assistance (STRMU)							
IDIS #: 5910							
PROJECT DESCRIPTION							
<p>The Austin/Travis County Health and Human Services Department contracts with AIDS Services of Austin (ASA) to manage the Short-Term Rent, Mortgage, and Utility Assistance program (STRMU). Both agencies work with four community-based organizations to provide essential financial help to persons living with HIV/AIDS and their families. Case managers assess client needs and submit requests for assistance. STRMU provides monthly payments to eligible clients who are at risk of becoming homeless. The service allows clients to remain in their current residences and limits support to three months, with a cap of \$600 per month. The time limit may be waived for clients based on medical needs. Eligibility is restricted to those with notices to vacate, evictions, and utility termination notices.</p>							
ACCOMPLISHMENT DESCRIPTION							
<p>This program provided short-term housing assistance (STRMU) to prevent homelessness of the tenant or homeowner. It helped maintain a stable living environment for households who experience financial crisis and possible loss of their housing arrangement. The units of service and number of households exceeded goal. Due to more use of 21-weeks of STRMU, fewer clients were served with more assistance. Additionally, case managers leveraged assistance through the Best Single Source Plus funding that was just made available in April of 2012.</p>							
PERFORMANCE MEASURE							
Affordability for the purpose of providing decent housing.							
Project	Activity	Con Plan Priority	Fund Source(s)	FY 2012-13 Total Funding	FY 2012-13 Proposed Goal	Expended	FY 2012-13 Accomplishments
Rent, Mortgage, Utility Assistance	Rent, Mortgage, Utility Assistance	High	HOPWA	\$78,232	199	\$74,772	127
Sub recipients: AIDS Services of Austin (ASA)							

City of Austin Fiscal Year 2012-13 CAPER

Chapter 5: Activity Tables

Tenant Based Rental Assistance (TBRA)							
IDIS #: 5900							
PROJECT DESCRIPTION							
The City of Austin/Travis County Health and Human Services Department (HHSD) contracts with AIDS Services of Austin (ASA) to manage the Tenant-Based Rental Assistance (TBRA) program. The program provides rent, mortgage, and utility assistance for income-eligible persons living with HIV/AIDS and their families. Income eligibility is restricted to families earning an income at or below 10 percent of MFI and for a one person household with zero income. The program goal is to prevent homelessness and support independent living of persons living with HIV/AIDS.							
ACCOMPLISHMENT DESCRIPTION							
Tenant-Based Rental Assistance (TBRA) provided rent, mortgage and utility assistance to meet urgent needs of eligible persons with HIV/AIDS and their families. The goal is to prevent homelessness and to support independent living of persons with HIV/AIDS who access the program through HIV case management. TBRA did not make goal because the demand for services was lower than projected. As a result of this situation, TBRA funds were transferred into STHS and PHP.							
PERFORMANCE MEASURE							
Affordability for the purpose of providing decent housing.							
Project	Activity	Con Plan Priority	Fund Source(s)	FY 2012-13 Total Funding	FY 2012-13 Proposed	Expended	FY 2012-13 Accomplish-
Tenant Based Rental Assistance	Tenant Based Rental Assistance	High	HOPWA	\$551,169	100	\$483,636	89
Sub recipients: AIDS Services of Austin (ASA)							

City of Austin Fiscal Year 2012-13 CAPER

Chapter 5: Activity Tables

Permanent Housing Placement (PHP)							
IDIS #: 5915							
PROJECT DESCRIPTION							
The City of Austin Health and Human Services Department contracts with AIDS Services of Austin, to manage the Permanent Housing Placement (PHP) program. PHP is designed to increase stability to reduce homelessness and increase access to care and support. The program may provide clients with first month's rent, security deposit, and utility connection fees to meet urgent needs of eligible persons living with HIV/AIDS and their families with a cap of "Fair Market Rent". The goal is to prevent homelessness and to support independent living for persons with HIV/AIDS who can access the program through HIV case management.							
ACCOMPLISHMENT DESCRIPTION							
Permanent Housing Placement (PHP) prevented homelessness and supported independent living of persons with HIV/AIDS who can access the program through HIV case management. PHP assisted eligible clients to establish a new residence where on-going occupancy is expected to continue. Assistance was provided to eligible clients and their families with payment of first month's rent, when necessary to secure permanent housing and other forms of HOPWA housing assistance. The units of service and number of households were higher possibly due to not having excess to HPRP and units of service and number of households continue to show an increase possibly due to limited housing placement resources throughout the community at this time.							
PERFORMANCE MEASURE							
Affordability for the purpose of providing decent housing.							
Project	Activity	Con Plan Priority	Fund Source(s)	FY 2012-13 Total Funding	FY 2012-13 Proposed	Expended	FY 2012-13 Accomplish-
Permanent Housing	Permanent Housing	High	HOPWA	\$23,742	38	\$21,097	47
Subrecipients: AIDS Services of Austin (ASA)							

City of Austin Fiscal Year 2012-13 CAPER

Chapter 5: Activity Tables

Short-Term Supportive Housing (STSH)							
IDIS #: 5911							
PROJECT DESCRIPTION							
The City of Austin Health and Human Services Department contracts with AIDS Services of Austin, to manage the Short-Term Supportive Housing (STSH) program. The STSH program provides short-term emergency shelter needs to persons living with HIV/AIDS. Short-term facilities provide temporary shelter (up to 60 days in a six month period) to prevent homelessness and allow an opportunity to develop an individualized housing and service plan to guide the client's linkage to permanent housing.							
ACCOMPLISHMENT DESCRIPTION							
Short Term Supportive Housing provided up to 60 days during any six month period of temporary shelter. Under this program clients received case management services giving them access to other types of HOPWA assistance, (HOPWA-TBRA and PHP). The units of service and number of households continue to show an increase possibly due to limited housing placement resources throughout the community at this time and an growing influx of homeless into the community.							
PERFORMANCE MEASURE							
Affordability for the purpose of providing decent housing.							
Project	Activity	Con Plan Priority	Fund Source(s)	FY 2012-13 Total Funding	FY 2012-13 Proposed	Expended	Actual Accomplish-
Short-Term Supportive Housing	Short-Term Supportive Housing	High	HOPWA	\$113,302	45	\$63,302	100
Sub recipients: AIDS Services of Austin (ASA)							

City of Austin Fiscal Year 2012-13 CAPER

Chapter 5: Activity Tables

Transitional Housing							
IDIS #: 5913							
PROJECT DESCRIPTION							
The City of Austin/Travis County Health and Human Services Department (HHSD) contracts with Project Transitions for transitional housing services designed to increased housing stability, reduce homelessness and increase access to care and support. Transitional Housing provides facility-based and scattered-site housing with support services to persons living with HIV/AIDS. A variety of supportive services are offered to all clients including: facility-based meals, life skills, counseling, substance abuse relapse prevention support, client advocacy, transportation, and assistance with obtaining permanent housing. Case managers ensure that clients are informed of available medical and supportive services, and provide referrals and assistance in accessing those services. Project Transitions has 30 apartments located in two agency-owned facilities and other apartments that are leased throughout the community.							
ACCOMPLISHMENT DESCRIPTION							
Transitional Housing provided housing and supportive services to increase stability and reduce homelessness. The goal for this service was exceeded.							
PERFORMANCE MEASURE							
Affordability for the purpose of providing decent housing.							
Project	Activity	Con Plan Priority	Fund Source(s)	FY 2012-13 Total Funding	FY 2012-13 Proposed Goal	Expended	FY 2012-13 Accomplishments
Transitional Housing	Transitional Housing	High	HOPWA	\$205,165	49	\$199,250	50
Sub recipients: Project Transitions							

City of Austin Fiscal Year 2012-13 CAPER

Chapter 5: Activity Tables

Supportive Services							
IDIS #: 5912							
PROJECT DESCRIPTION							
Project Transitions administers the Supportive Services program and provides residential supportive services assisting persons living with HIV/AIDS to stabilize their living situation and address care needs. The program is designed to increase housing stability, reduce homelessness and increase access to care and support. A variety of supportive services are offered to all clients including: facility-based meals, life skills, counseling, substance abuse relapse prevention support, client advocacy, transportation, and assistance with obtaining permanent housing. Case managers ensure that clients are informed of availability medical and supportive services, and provide referrals and assistance in accessing those services. Project Transitions has 30 apartments located in two agency-owned facilities and other apartments that are leased throughout the community.							
ACCOMPLISHMENT DESCRIPTION							
Supportive Services provided supportive services to increase stability and reduce homelessness. The goal for this service was exceeded.							
PERFORMANCE MEASURE							
Affordability for the purpose of providing decent housing.							
Project	Activity	Con Plan Priority	Fund Source(s)	FY 2012-13 Total Funding	FY 2012-13 Proposed Goal	Expended	FY 2012-13 Accomplish-ments
Residential Support Services	Residential Support Services	High	HOPWA	\$95,602	49	\$95,602	50
Sub recipients: Project Transitions							

Chapter 5: Activity Tables

Child Care Services							
IDIS #: 5894							
PROJECT DESCRIPTION							
The Austin/Travis County Health and Human Services Department contracts with child care providers for services that increase the supply of quality child care, and with a social service agency that provides a child care voucher service for families in crisis such as homelessness. The programs provide services to children from low-income families with gross incomes less than 200 percent of Federal Poverty Guidelines who reside within the Austin city limits.							
ACCOMPLISHMENT DESCRIPTION							
Child Care Services provided child care for low-income families through social service contracts through HHSD. The services included: 1) child care vouchers for homeless and near-homeless families, families in crisis, and parents enrolled in self-sufficiency programs; 2) direct child care services for teen parents who are attending school; and 3) direct child care services through the Early Head Start child development program. The number of children served was less than anticipated due to children remaining in care for longer periods (lower turnover), and the increasing cost of care - especially in infant and toddler care.							
PERFORMANCE MEASURE							
Accessibility for the purpose of creating suitable living environments.							
Project	Activity	Con Plan Priority	Fund Source(s)	FY 2012-13 Total Funding	FY 2012-13 Proposed Goal	Expended	FY 2012-13 Accomplishments
Child Care Services	Child Care Services	High	CDBG	\$610,205	269	\$577,624	227
Child Care Services	Child Care Services	High	CDBG-PI	\$0		\$0	
Child Care Services	Child Care Services	High	SF	\$110,120		\$102,426	
			Total	\$720,325	269	\$680,050	227
Sub recipients: Austin Independent School District (AISD), Child, Inc., Generations Child Development Centers, and LifeWorks							

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Chapter 5: Activity Tables

Senior Services							
IDIS #: 5895							
PROJECT DESCRIPTION							
The Austin/Travis County Health and Human Services Department (HHSD) contracts with a subrecipient to provide guardianship and bill payer services that help prevent and protect seniors from becoming victims of abuse, neglect, or financial exploitation. Persons must meet income, age, and residential eligibility requirements.							
ACCOMPLISHMENT DESCRIPTION							
Family Elder Care (FEC) exceeded their fiscal year 2012-13 goal. FEC provided assistance to seniors in, or at risk of, abusive, neglectful, or exploitative situations. FEC also provided assistance so that seniors could maintain independence through home care services; money management services, and temporary shelter. Additional staff and volunteers supported the increase in demand and the expanded services for clients in senior housing projects.							
PERFORMANCE MEASURE							
Accessibility for the purpose of creating suitable living environments.							
Project	Activity	Con Plan Priority	Fund Source(s)	FY 2012-13 Total Funding	FY 2012-13 Proposed Goal	Expended	FY 2012-13 Accomplishments
Senior Services	Senior Services	High	CDBG	\$19,588	208	\$19,588	236
Senior Services	Senior Services	High	SF	\$116,883		\$115,085	
			Total	\$136,471	208	\$134,673	236
Sub recipient: Family Eldercare, Inc.							

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Chapter 5: Activity Tables

Youth Support Services							
IDIS #: 5896							
PROJECT DESCRIPTION							
The Youth Support Services program provides access to holistic, wraparound services and support to youth designated as at-risk and their families. The program's three components provide different levels of intervention: school-based intensive wraparound services, community-based wraparound services, and summer camps. The program, in partnership with the youth and their family, addresses the needs and challenges of the youth's situation to improve his or her functioning in school, the community, and home.							
ACCOMPLISHMENT DESCRIPTION							
The Youth and Family Assessment Center (YFAC) exceeded their fiscal year 2012-13 goal. YFAC served youth designated at-risk, as well as and their families. The services and supports were individualized to the youth and family, and were delivered utilizing the wraparound model. The interventions focused on the areas of basic needs, mental health services, educational support and social enrichment. YFAC services continue to be accessed through designated schools and community centers.							
PERFORMANCE MEASURE							
Accessibility for the purpose of creating suitable living environments.							
Project	Activity	Con Plan Priority	Fund Source(s)	FY 2012-13 Total Funding	FY 2012-13 Proposed Goal	Expended	FY 2012-13 Accomplish-ments
Youth Support Services	Youth Support Services	High	CDBG	\$181,177	138	\$172,920	159
Youth Support Services	Youth Support Services	High	SF	\$27,023		\$4,500	
			Total	\$208,200	138	\$177,420	159
Sub recipient: Austin/Travis County Integral Care (ATCIC)							

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Chapter 5: Activity Tables

RENTER ASSISTANCE						
The City's Housing Market Study identifies the high needs for affordable rental housing in Austin. This analysis was echoed in every aspect of the public process from service providers, government partners, policy makers, and community members. Assistance to renters will be a high priority in the Consolidated Plan.						
NHCD OVERALL GOAL:						
Assist 15,276 eligible households with services that lead to opportunities for self-sufficiency in FY2009-14 as measured by:						
CONSOLIDATED PLAN FY 2009-14 RENTER ASSISTANCE OBJECTIVES:						
Provide tenant-based rental assistance, utility and security deposits to persons experiencing homelessness and low-income households who earn less than 50 percent of MFI.						
Retrofit or modify the rental units of low-income households and severely disabled renters to make their homes more accessible.						
Provide support services that educate renters and promote housing rights.						
Type of Housing	Priority for Federal Funds	Type(s) of	Funding	FY 2012-13	Total Expended	FY 2012-13
Rental	High	Persons experiencing homelessness, persons with disabilities, vulnerable populations, and low-income households	CDBG, HOME, GO Bonds, SF	496	\$588,778	653

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Chapter 5: Activity Tables

Tenant-Based Rental Assistance (TBRA)							
IDIS #: 5900							
PROJECT DESCRIPTION							
The Tenant-Based Rental Assistance (TBRA) program provides rental housing subsidies and security deposits to eligible families who may otherwise be homeless. The City funds the TBRA program due to the lack of affordable rental housing identified in the housing market analysis.							
ACCOMPLISHMENT DESCRIPTION							
The Tenant Based Rental Assistance Program exceeded its goals this year. The program subrecipients, the Housing Authority of the City of Austin and the Salvation Army continue to serve families who may otherwise be homeless.							
PERFORMANCE MEASURE							
Affordability for the purpose of providing decent housing.							
Project	Activity	Con Plan Priority	Fund Source(s)	FY 2012-13 Total Funding	FY 2012-13 Proposed Goal	Expended	FY 2012-13 Accomplish-ments
Tenant Based Rental Assistance	Tenant Based Rental Assistance	High	HOME	\$510,102	68	\$364,528	105
Tenant Based Rental Assistance	Tenant Based Rental Assistance	High	SF	\$56,700		\$56,700	
			Total	\$566,802	68	\$421,228	105
Sub recipients: Austin Housing Finance Corporation (AHFC), Housing Authority of the City of Austin (HACA) and Salvation Army							

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Chapter 5: Activity Tables

Architectural Barrier Removal (ABR) - Rental							
IDIS #: N/A							
PROJECT DESCRIPTION							
The Architectural Barrier Removal (ABR) Rental Program modifies or retrofits the living quarters of eligible, low-income elderly and severely disabled renters to make their housing more accessible. Households with incomes earning at or below 80 percent MFI are eligible. Eligible households can receive up to \$15,000 per year through the ABR Rental Program.							
ACCOMPLISHMENT DESCRIPTION							
The City affirms there is an ongoing need to provide ABR assistance to renters in the Austin. Austin continues to have a renter-majority, with 52 percent of Austin's households being renters. NHCD will continue to support this need with its local funding recognizing the ongoing need to leverage federal dollars in various home repair services. NHCD staff has implemented several rigorous outreach efforts in Fiscal Year 2013, to include targeted block walking with other City of Austin departments in order to maximize resources to provide education and outreach regarding a number of City of Austin services. In addition, the department has hired temporary employees to assist in these more intense outreach efforts. The City through its Fiscal Year 2014 Budget process requested and successfully acquired additional funding so that a full-time neighborhood outreach coordinator can be hired. This will allow a full-time, focused approach to more proactively increase participation in this program area. The ABR Rental program did not have a goal in the FY 2012-13 Action Plan because it was funded with a local funding source.							
PERFORMANCE MEASURE							
Accessibility for the purpose of creating suitable living environments.							
Project	Activity	Con Plan Priority	Fund Source(s)	FY 2012-13 Total Funding	FY 2012-13 Proposed Goal	Expended	FY 2012-13 Accomplishments
Architectural Barrier Removal - Rental	Architectural Barrier Removal - Rental	High	GO Bonds	\$283,945	N/A	\$225,582	20
			Total	\$283,945	N/A	\$225,582	20
Sub recipient: Austin Housing Finance Corporation (AHFC)							

Chapter 5: Activity Tables

Tenants' Rights Assistance							
IDIS #: 5897							
PROJECT DESCRIPTION							
<p>Neighborhood Housing and Community Development (NHCD) contracts with the Austin Tenants' Council to administer the Tenant's Rights Assistance program. The objectives of this program are: 1) facilitate mediation services between landlords and low- to moderate-income tenants to complete health and safety related repairs in rental units, which will help maintain reasonable habitability standards; 2) provide direct counseling and technical assistance to low-income renters regarding tenant/landlord issues; 3) provide public education and information through workshops and public forums on landlord/tenant relationships and educate renters on their rights as well as their responsibilities under the law; and 4) identify fair housing complaints that can be investigated and may assist in resolving, reducing or minimizing discriminatory housing practices.</p>							
ACCOMPLISHMENT DESCRIPTION							
<p>This program vastly exceeded its goal in FY 2012-13 due to high demand from renters in the current tight Austin rental market. The program is designed to provide services to low income individuals who were at or below 80 percent of MFI. The program provided a range of services from mediations, direct counseling, public education, and identifying fair housing complaints. The increased demand for housing by renters has significantly impacted the demand on tenants' rights assistance. Austin's housing market has created a shortage in affordable rates, which has adversely impacted residents who are cost burdened --such as the inability to renew leases due to rising rent rates. According to American Community Survey 2011, the number of rental households that were cost burdened was 92,339, representing 48.6 percent of all occupied rental households. This challenge is combined with high occupancy rates, which have hovered at more than 95% for the last couple of years. With market pressure demands and the increase in the overall poverty rate in Austin, the need for tenants' rights services have peaked in the community. In addition, the partnership by the Austin Tenants' Council with the City of Austin Code Compliance has increased the visibility of the nonprofit as tenants' rights assistance has also been provided during significant events in 2013 that led to emergency vacate orders due to substandard conditions.</p>							
PERFORMANCE MEASURE							
Accessibility for the purpose of creating suitable living environments.							
Project	Activity	Con Plan Priority	Fund Source(s)	FY 2012-13 Total Funding	FY 2012-13 Proposed Goal	Expended	FY 2012-13 Accomplishments
Tenants' Rights Assistance	Tenants' Rights Assistance	High	CDBG	\$225,030	428	\$224,250	528
Tenants' Rights Assistance	Tenants' Rights Assistance	High	SF	\$63,699		\$24,290	
			Total	\$288,729	428	\$248,540	528
Sub recipient: Austin Tenants' Council							

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Chapter 5: Activity Tables

HOMEBUYER ASSISTANCE						
The City places assistance to homebuyers as a high priority for the Consolidated Plan. The housing market analysis illustrates the difficulty for low-to-moderate income households to transition from renting to buying a home with the rising real estate market in Austin. The public input process also illustrated the need for financial literacy for new and current homebuyers to have the necessary tools to stay in their homes.						
NHCD OVERALL GOAL:						
Assist 15,276 eligible households with services that lead to opportunities for self-sufficiency in FY2009-14 as measured by:						
CONSOLIDATED PLAN FY 2009-14 HOMEBUYER ASSISTANCE OBJECTIVES:						
Expand the resources available for low- to moderate-income renters wanting to become homeowners through down payment assistance.						
Provide homeownership, financial literacy, and foreclosure prevention counseling to low- and moderate-income households.						
Type of Housing	Priority for Federal Funds	Type(s) of Households Served	Funding Source(s)	FY 2012-13 Proposed Goal	Expended	FY 2012-13 Accomplish-ments
Homebuyer Assistance	High	Low- and moderate-income households and persons with disabilities	CDBG, HOME, HOME-PI, SF	15	\$540,594	321

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Chapter 5: Activity Tables

Housing Smarts - Housing Counseling							
IDIS #: N/A							
PROJECT DESCRIPTION							
Housing Smarts, an AHFC housing counseling program, offers housing counseling to City of Austin residents at 80 percent or below of MFI. Class participants learn financial literacy skills, homebuyer education, and foreclosure prevention. The program offers individual housing counseling sessions as needed and upon request. The program uses the Neighborworks America's housing counseling curriculum. Frameworks provides housing counseling in Spanish and Cen-Tex BCL provides foreclosure prevention for the citizens of Austin.							
ACCOMPLISHMENT DESCRIPTION							
Housing Smarts exceeded its production goal in FY12-13, demonstrating that homebuyer counseling in English and Spanish as well as foreclosure prevention education are critical services in demand for Austin residents seeking to purchase a home or maintain homeownership. NHCD will consider the expansion of current housing counseling and education programs in the FY2014-19 Consolidated Plan.							
PERFORMANCE MEASURE							
Accessibility for the purpose of creating suitable living environments.							
Project	Activity	Con Plan Priority	Fund Source(s)	FY 2012-13 Total Funding	FY 2012-13 Proposed Goal	Expended	FY 2012-13 Accomplish-ments
Housing Smarts	Housing Smarts	High	SF	\$185,971	N/A	\$147,987	302
Housing Smarts	Housing Smarts	High	HTF	\$0		\$0	
			Total	\$185,971	0	\$147,987	302
Sub recipients: Austin Housing Finance Corporation (AHFC), Business and Community Lenders of Texas (foreclosure prevention) and Frameworks Community Development Corporation, Inc. (Spanish housing counseling)							

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Chapter 5: Activity Tables

Down Payment Assistance (DPA)							
IDIS #: 5893; 5953; 5909; 5917; 5918; 5919; 5920; 5921; 5924; 5925; 5926; 5928; 5932; 5935; 5940; 5941; 5942; 5943; 5944							
PROJECT DESCRIPTION							
<p>The Down Payment Assistance (DPA) program provides deferred and forgivable, zero-interest loans to low- and moderate-income first-time homebuyers to assist them with the down payment and closing costs of their home purchase.</p> <p>Option 1) The amount of assistance per household will not exceed \$10,000 per household. Loans are secured by a subordinate lien on the property that is non-assumable. The loan will be recaptured by the City if the household sells or rents the home, requests an equity loan, or transfers the property title before the end of the affordability period. Eligible income for DPA is at or below 80 percent of MFI.</p> <p>Option 2) DPA funds up to \$40,000, as a deferred, 30-year, zero percent interest loan, with a shared-equity provision and a City of Austin “right of first refusal” provision. This DPA option is not forgivable. Loans are secured by a subordinate lien on the property that is non-assumable. The loan will be recaptured by the City if the household sells or rents the home, requests an equity loan, or transfers the property title before the end of the affordability period or at the loan maturity date. Eligible income for DPA is at or below 80 percent of MFI.</p>							
ACCOMPLISHMENT DESCRIPTION							
The Down Payment Assistance program served 19 households in FY12-13, exceeding the goal of 15 households served. Low mortgage interest rates and new construction of homeownership opportunities in the City of Austin supported the success of the DPA program this fiscal year.							
PERFORMANCE MEASURE							
Accessibility for the purpose of providing affordable housing.							
Project	Activity	Con Plan Priority	Fund Source(s)	FY 2012-13 Total Funding	FY 2012-13 Proposed	Expended	FY 2012-13 Accomplish-
DPA	DPA	High	CDBG	\$11,500	15	\$0	19
DPA	DPA	High	HOME	\$947,925		\$28,515	
DPA	DPA	High	HOME-PI	\$512,079		\$512,079	
			Total	\$1,471,504	15	\$540,594	19
Sub recipients: Austin Housing Finance Corporation (AHFC)							

City of Austin Fiscal Year 2012-13 CAPER

Chapter 5: Activity Tables

HOMEOWNER ASSISTANCE						
Preservation of the safety and livability of the housing of existing low-income homeowners that allows owners to stay in their homes, improve the City's aging housing stock, and improve the lives of existing homeowners was highlighted as a high need by stakeholders and community members. Homeowner assistance will be a high priority in the Consolidated Plan.						
NHCD OVERALL GOAL: Assist 15,276 eligible households with services that lead to opportunities for self-sufficiency in FY2009-14 as measured by:						
CONSOLIDATED PLAN FY 2009-14 HOMEOWNER ASSISTANCE OBJECTIVES: Assist low- and moderate-income homeowners with necessary home repairs to make their homes safe, habitable, and accessible. Provide lead abatement services to eligible low-income rental and ownership households.						
Type of Housing	Priority for Federal Funds	Type(s) of Households Served	Funding Source(s)	FY 2012-13 Proposed Goal	Expended	FY 2012-13 Accomplish-ments
Owner-occupied	High	Low- and moderate-income homeowners	CDBG, CDBG-RL, HOME, HOME-PI, Lead, GO Bonds, HTF, HAF, AE	682	\$2,740,915	529

Chapter 5: Activity Tables

Architectural Barrier Removal (ABR) - Owner							
IDIS #: 5881							
PROJECT DESCRIPTION							
The Architectural Barrier Removal (ABR) Owner program modifies or retrofits the living quarters of eligible, low-income elderly and severely disabled homeowners to make their housing more accessible. Households with incomes earning at or below 80 percent of MFI are eligible. Eligible households can receive up to \$15,000 per year through the ABR Homeowner Program.							
ACCOMPLISHMENT DESCRIPTION							
Although the program fell short in meeting its goals for Fiscal Year 2013, the department recognizes the crucial need for programs that assist seniors and persons with disabilities and continues to remain committed to allocating funding to support the program. In fact, data from the department's Needs Assessment completed in 2013 indicates there were 66,585 persons living with disabilities within the City of Austin in 2011. The population of those under 18 years old with disabilities has increased by 20.5 percent over the same period. The program continues to require increased resources for outreach efforts. The ABR-owner program is one of several that have been included in targeted block walking that NHCD staff has implemented with other City of Austin departments in order to maximize resources to provide education and outreach regarding a number of City of Austin services. The department expects to address the production deficiencies with the recently approved funding that will allow the department to hire a full-time outreach coordinator in Fiscal Year 2014. In the past, temporary, part-time employees have been recruited to assist in outreach effort. However, NHCD through its Fiscal Year 2014 local budgeting process successfully acquired additional funding so that a full-time neighborhood outreach coordinator will be hired to more comprehensively address outreach needs and increase program participation. In addition, the department will continue to broaden community partnerships to assist in its outreach efforts, to include the Mayor's Committee for People with Disabilities, the Commission on Seniors as well as other community agencies.							
PERFORMANCE MEASURE							
Accessibility for the purpose of creating suitable living environments.							
Project	Activity	Con Plan Priority	Fund Source(s)	FY 2012-13 Total Funding	FY 2012-13 Proposed Goal	Expended	FY 2012-13 Accomplishments
Architectural Barrier Removal - Owner	Architectural Barrier Removal - Owner	High	CDBG	\$2,000,799	111	\$873,663	53
			Total	\$2,000,799	111	\$873,663	53
Sub recipients: Austin Housing Finance Corporation (AHFC)							

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Chapter 5: Activity Tables

Emergency Home Repair (EHR) Program							
IDIS #: 5892							
PROJECT DESCRIPTION							
The Emergency Home Repair (EHR) Program makes repairs to alleviate life-threatening living conditions and health and safety hazards for low- and moderate-income homeowners. Households earning incomes at or below 80 percent of MFI are eligible. Eligible households can receive up to \$5,000 per year.							
ACCOMPLISHMENT DESCRIPTION							
The Emergency Home Repair Program met 85% of its goal this year. The subrecipient of the contract, the Austin Area Urban League (AAUL), experienced a transition in leadership during this fiscal year. Conversations are underway between Austin Housing Finance Corporation staff and AAUL leadership about mechanisms to continue the success of this longstanding contract and to explore new program delivery systems and program design to meet the community need.							
PERFORMANCE MEASURE							
Sustainability for the purpose of providing decent housing.							
Project	Activity	Con Plan Priority	Fund Source(s)	FY 2012-13 Total Funding	FY 2012-13 Proposed Goal	Expended	FY 2012-13 Accomplishments
Emergency Home Repair Program	Emergency Home Repair Program	High	CDBG	\$1,000,000	475	\$804,971	406
			Total	\$1,000,000	475	\$804,971	406
Sub recipients: Austin Housing Finance Corporation (AHFC) and Austin Area Urban League (AAUL)							

Chapter 5: Activity Tables

Homeowner Rehabilitation Loan Program (HRLP)							
IDIS #: 5867; 5905; 5922							
PROJECT DESCRIPTION							
<p>The Homeowner Rehabilitation Loan Program (HRLP) assists income-eligible homeowners with substantial repairs such as foundation repair, roofing, plumbing, and electrical work. This program provides deferred interest loans of up to \$50,000 per home for rehabilitation and up to \$29,999 may be forgivable after ten years. This rehabilitation amount does not include funds that may be granted to assist with the appropriate treatment of lead-based paint. In cases where it is not economically feasible to rehabilitate a unit, the reconstruction of a replacement home is considered for financing. Deferred interest loans for housing reconstruction does not exceed \$110,000 per home. For reconstruction projects, the loan documents contain provisions which include a shared-equity formula and gives the City a "right of first refusal" at resale. Consequently, program clients are required to share 25 percent of any equity that they may gain at resale with the City of Austin and they are required to offer the home for sale to the City before any other buyers. Eligible income is 80 percent or below of MFI. All HRLP funded activities use the recapture method.</p>							
ACCOMPLISHMENT DESCRIPTION							
<p>During fiscal year 2012-2013, the Homeowner Rehabilitation Loan Program (HRLP) met approximately 30% of its annual goal. The Austin Housing Finance Corporation continued to evaluate this program during the fiscal year with a focus on aligning the program to meet the community need for home rehabilitation. Guideline changes were approved at the end of September 2013 to align City of Austin policies with the recent HOME rule change. These changes should result in more HRLP loans being approved and closed in FY13-14; indeed, 50 HRLP applications received in FY12-13 rolled over to FY13-14. In addition, NHCD has hired an outreach coordinator to develop short-term strategies and coordinate long-range outreach plans to increase awareness of home repair programs.</p>							
PERFORMANCE MEASURE							
Sustainability for the purpose of creating suitable living environments.							
Project	Activity	Con Plan Priority	Fund Source(s)	FY 2012-13 Total Funding	FY 2012-13 Proposed Goal	Expended	FY 2012-13 Accomplishments
Homeowner Rehabilitation Loan Program	Homeowner Rehabilitation Loan Program	High	CDBG	\$38,835	10	\$0	3
Homeowner Rehabilitation Loan Program	Homeowner Rehabilitation Loan Program	High	CDBG-RL	\$549,287		\$100,742	
Homeowner Rehabilitation Loan Program	Homeowner Rehabilitation Loan Program	High	HOME	\$1,287,665		\$305,513	
Homeowner Rehabilitation Loan Program	Homeowner Rehabilitation Loan Program	High	HOME-PI	\$51,095		\$51,095	
Homeowner Rehabilitation Loan Program	Homeowner Rehabilitation Loan Program	High	HTF	\$4,135		\$4,135	
			Total	\$1,931,017	10	\$461,485	3
Sub recipients: Austin Housing Finance Corporation (AHFC)							

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Chapter 5: Activity Tables

LeadSmart Program							
IDIS #:	N/A						
PROJECT DESCRIPTION							
HUD's office of Healthy Homes, awarded a \$2.5M Lead Hazard Reduction Demonstration Grant to the City of Austin in the October 2011. The lead hazard demostration grant provides services for 150 eligible households for the three-year grant period targeting homeowners and renters earning 80 percent or below of MFI with children under the age of six and living in homes built prior to 1978.							
ACCOMPLISHMENT DESCRIPTION							
Although the program did not meet production goals in Fiscal Year 2013, the department remains in compliance related to its production goals with the Office of Healthy Homes and Lead Hazard Control. The City of Austin will be exploring expanding the program to incorporate Travis County, which will offer additional potential clientele. The City of Austin expects that a dedicated full-time outreach coordinator will increase participation in this program area.							
PERFORMANCE MEASURE							
Sustainability for the purpose of creating suitable living environments.							
Project	Activity	Con Plan Priority	Fund Source(s)	FY 2012-13 Total Funding	FY 2012-13 Proposed Goal	Expended	FY 2012-13 Accomplish-ments
Lead Hazard Control Grant	Lead Hazard Control Grant	High	SF	\$4,615,936	60	\$604,931	29

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Chapter 5: Activity Tables

G.O. Repair! Program							
IDIS #:		N/A					
PROJECT DESCRIPTION							
The City of Austin has created the G.O. Repair! Program for implementation in fiscal year 2009-10, funded with General Obligation Bonds approved by voters in 2006. The G.O. Repair! Program assists low- and moderate-income homeowners (less than 80 percent of MFI) by using subrecipients to make eligible repairs that will eliminate health and safety hazards and/or provide improved accessibility. Subrecipients include: Austin Area Interreligious Ministries, Austin Habitat for Humanity, Austin Area Urban League, and Meals On Wheels and More. This program increases the capacity for non-profits to provide home repair services to the community.							
ACCOMPLISHMENT DESCRIPTION							
This program exceeded its goals and continues to increase the capacity of nonprofits in Austin to address the needs for home repair for low-income residents, seniors and persons with disabilities. The GO Repair Program was funded with approximately five million dollars of General Obligation Bonds for home repairs. Nonprofits and members of the Home Repair Coalition in Austin have channeled GO Bond funds into more than 300 homes. As noted in the Austin Housing Repair Coalition 2012 Report on GO Repair Program Impacts, GO Repair funding provides a strong foundation for these organizations that enables the agencies to bring in additional resources to support and expand services.							
PERFORMANCE MEASURE							
Sustainability for the purpose of creating suitable living environments.							
Project	Activity	Con Plan Priority	Fund Source(s)	FY 2012-13 Total Funding	FY 2012-13 Proposed Goal	Expended	FY 2012-13 Accomplish-ments
G.O. Repair! Program	G.O. Repair! Program	High	GO Bonds	\$519,273	26	\$470,160	32
Sub recipients: Austin Housing Finance Corporation (AHFC), American YouthWorks, Austin Neighborhood Alliance for Habitat, Austin Area Urban League (AAUL), Interfaith Action of Central Texas (iACT), and Meals on Wheels & More							

Chapter 5: Activity Tables

Holly Good Neighbor Program							
IDIS #:		N/A					
PROJECT DESCRIPTION							
The Holly Good Neighbor program provides repairs and rehabilitation to owner-occupied homes closest to the Holly Power Plant. Austin Energy funds the program, administered by the Neighborhood Housing and Community Development Office (NHCD), and facilitated by the Austin Housing Finance Corporation (AHFC). Eligible home repairs include: exterior paint, roofing, electrical system work, plumbing, foundation work, HVAC system and solar panels. Eligible income is 100 percent or below of MFI, and \$10,000 of the \$30,000 per house allocated is provided as a grant.							
ACCOMPLISHMENT DESCRIPTION							
The Holly Good Neighbor Program funded with local dollars continues to receive strong support from the Community Development Commission, which has been instrumental in supporting the department's outreach efforts to date. The Commission in 2013 recommended expanding the boundaries of the program to broaden the potential clientele base. With this program guideline change, the department estimates that an estimated 200 additional homesteads could be eligible for the program, which will assist in addressing future goals.							
PERFORMANCE MEASURE							
Sustainability for the purpose of creating suitable living environments.							
Project	Activity	Con Plan Priority	Fund Source(s)	FY 2012-13 Total Funding	FY 2012-13 Proposed Goal	Expended	FY 2012-13 Accomplish-ments
Holly Good Neighbor	Holly Good Neighbor	High	AE	\$2,052,292	N/A	\$301,600	6
Sub recipients: Austin Housing Finance Corporation (AHFC)							

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Chapter 5: Activity Tables

HOUSING DEVELOPER ASSISTANCE						
<p>The need for affordable housing for low- and moderate-income renters, including special needs populations, and homebuyers was reflected in the housing market analysis and the public input received from the community during the Consolidated Plan needs assessment. The City's main tool to create affordable housing is through gap financing to for- and non-profit developers. In addition, the City encourages the development of affordable housing through developer incentives. The City identified Housing Developer Assistance a high priority for the Consolidated Plan.</p>						
<p>NHCD OVERALL GOAL:</p> <p>Assist 15,276 eligible households with services that lead to opportunities for self-sufficiency in FY2009-14 as measured by:</p>						
<p>CONSOLIDATED PLAN FY 2009-14 HOUSING DEVELOPER ASSISTANCE OBJECTIVES:</p> <p>Create and retain affordable rental units for low-income households, including households with special needs. Create new homeownership opportunities for low- and moderate-income households earning at or below 80 percent of MFI. Encourage development of affordable rental and homebuyer housing through developer incentives. Continue to assist and support Community Housing Development Organizations (CHDOs).</p>						
Type of Housing	Priority for Federal Funds	Type(s) of Households Served	Funding Source(s)	FY 2012-13 Goal	Total Expended	FY 2012-13 Accomplishments
Rental and Ownership	High	Low- and moderate-income households, persons with disabilities, and Community Housing Development Organizations (CHDOS)	CDBG, CDBG-RL, HOME, HOME-PI, HOME (CHDO), HOME (CO), UNO, GF-CIP, SF, GO Bonds, HTF, HAF	21	\$6,289,259	1,649

Chapter 5: Activity Tables

Rental Housing Development Assistance (RHDA)							
IDIS #: 4504; 5878; 5761							
PROJECT DESCRIPTION							
The Rental Housing Development Assistance Program (RHDA) provides opportunities to create and retain affordable rental units for low- and moderate-income households and low-income persons with special needs. RHDA provides below-market-rate gap financing to for-profit and non-profit developers for the acquisition, new construction, or rehabilitation of affordable rental projects that would otherwise be economically infeasible. RHDA serves households at 50 percent or below of MFI with a target of serving households 30 percent or below of MFI. The General Obligation Bonds (GO Bonds) is one of RHDA's funding sources. The GO Bond funding and production is highlighted as a subset of this program.							
ACCOMPLISHMENT DESCRIPTION							
The Rental Housing Developer Assistance (RHDA) program exceeded its goal due to a number of projects in the pipeline which were funded in a previous fiscal year became occupied this fiscal year. Seventy-seven percent of households receiving housing through the RHDA program had incomes at or below 30% MFI. The remainder were households with incomes between 31-50% MFI. Sixty-six percent of households self-identified as being disabled. Eighty percent of households consisted of 1 person. Only 4% of households was headed by a single-parent. The distribution of Black households and White households served were equal at 42% each. Fourteen percent of households identified as Hispanic; the remaining 2 percent were Asian or Native American.							
PERFORMANCE MEASURE							
Affordability for the purpose of providing decent housing.							
Project	Activity	Con Plan Priority	Fund Source(s)	FY 2012-13 Total Funding	FY 2012-13 Proposed Goal	Expended	FY 2012-13 Accomplishments
RHDA	RHDA	High	CDBG	\$2,543,032	13	\$1,306,345	156
RHDA	RHDA	High	HOME	\$3,427,804		\$2,572,513	
RHDA	RHDA	High	HOME-PI	\$3,748		\$3,748	
RHDA	RHDA	High	HOME (CHDO)	\$1,219,317		\$1,162,553	
RHDA	RHDA	High	UNO	\$296,747		\$0	
RHDA	RHDA	High	GF-CIP	\$5,400,000		\$2,250,000	
RHDA	RHDA	High	GO Bonds	\$3,806,790		\$1,796,412	
RHDA	RHDA	High	HTF	\$919,416		\$320,933	
RHDA	RHDA	High	HAF	\$0		\$0	
			Total	\$17,616,854	13	\$9,412,504	156
Sub recipients: Austin Housing Finance Corporation (AHFC)							

Chapter 5: Activity Tables

Acquisition and Development (A&D)							
IDIS #: 5059; 5583; 5584; 5585; 5586; 5589; 5594; 5643; 5750; 5754; 5776; 5788; 5789; 5790; 5791; 5946							
PROJECT DESCRIPTION							
The Acquisition and Development (A&D) program works with lenders and non-profit and for-profit developers to leverage City and federal funds for: 1) the acquisition and development of lots, 2) the acquisition and rehabilitation of structures, 3) the acquisition of new housing units, and 4) the construction of new housing all for sale to income-eligible homebuyers at 80 percent or below of MFI. AHFC provides financing (loans and grants) for affordable housing development. The General Obligation Bonds (GO Bonds) is one of A&D's funding sources. The GO Bond funding and production is highlighted as a subset of this program.							
ACCOMPLISHMENT DESCRIPTION							
For the fiscal year, the Acquisition and Development Program exceeded its goal because of homes that were already in the pipeline from expenditures in a previous fiscal year. Austin Habitat for Humanity closed 16 homes; the Austin Housing Finance Corporation closed on 8 homes that were acquired or constructed using Neighborhood Stabilization Program funding; American Youthworks and the Guadalupe Neighborhood Development Corporation each closed 1 home. The average Median Family Income of homebuyers was 43%, or approximately \$31,500 for a four-person household. The average sales price was \$103,000. Seventy-seven percent of homebuyers were of Hispanic origin; 19% were Black; 4% were White. Homes were sold most frequently to three-person households. Sixty-three percent of buyers were female single-parent households. Six homes sold were funded using Affordable Housing G.O. Bond dollars; the remainder were funded with Neighborhood Stabilization Program or other federal funds.							
PERFORMANCE MEASURE							
Affordability for the purpose of providing decent housing.							
Project	Activity	Con Plan Priority	Fund Source(s)	FY 2012-13 Total Funding	FY 2012-13 Proposed	Expended	FY 2012-13 Accomplish-
A&D	A&D	High	CDBG	\$1,309,111	4	\$946,402	27
A&D	A&D	High	CDBG-RL	\$50,781		\$50,781	
A&D	A&D	High	HOME	\$1,658,829		\$124,777	
A&D	A&D	High	HOME-PI	\$40,529		\$40,529	
A&D	A&D	High	HOME (CHDO)	\$268,530		\$41,682	
A&D	A&D	High	GF-CIP	\$4,340,456		\$710,776	
A&D	A&D	High	GO Bonds	\$1,298,578		\$248,380	
A&D	A&D	High	HTF	\$675,703		\$616,331	
A&D	A&D	High	HAF	\$276,570		\$22,252	
			Total	\$9,919,087	4	\$2,801,910	27
Sub recipients: Austin Housing Finance Corporation (AHFC)							

City of Austin Fiscal Year 2012-13 CAPER

Chapter 5: Activity Tables

CHDO Operating Expenses Grants							
IDIS #: 5856							
PROJECT DESCRIPTION							
The CHDO Operating Expense Grants Program provides financial support to eligible, City-certified Community Housing Development Organizations (CHDOs) actively involved in housing production or expected to begin production within 24 months. Under the terms of the grant, CHDOs must access CHDO set-aside funds to produce affordable housing for the community.							
ACCOMPLISHMENT DESCRIPTION							
The Community Housing Development Organization (CHDO) Operating Expenses Grant Program did not meet its goal of providing operating grants to all CHDOs because there are fewer CHDOs eligible for this needs-based program. This is significant because, as intended, these CHDOs have developed sufficient capacity to generate their own operating income. There are, however, smaller CHDOs with significant operational needs for which this program can provide support. During FY 2012-13, these smaller organizations were gaining experience in housing development in order to develop staff and organizational capacity as required by HUD under new rules that took effect August 23, 2013. NHCD anticipates that at least three of these CHDOs may qualify for operating expenses grants in the next fiscal year, conditioned upon meeting program requirements.							
PERFORMANCE MEASURE							
Affordability for the purpose of providing decent housing.							
Project	Activity	Con Plan Priority	Fund Source(s)	FY 2012-13 Total Funding	FY 2012-13 Proposed Goal	Expended	FY 2012-13 Accomplish-ments
CHDO Operation Loans	CHDO Operation Loans	High	HOME (CO)	\$225,854	4	\$39,929	1
Subrecipients: Austin Housing Finance Corporation (AHFC)							

City of Austin Fiscal Year 2012-13 CAPER

Chapter 5: Activity Tables

Developer Incentive-Based Programs							
IDIS #:		N/A					
PROJECT DESCRIPTION							
<p>The Developer Incentive-Based Program provides incentives for housing developers to develop affordable rental and homebuyer housing in market rate developments. The current program format includes six developer incentive programs that offer development incentives for affordable housing to households at or below 80 percent of MFI, or at or below 120 percent of MFI in the Central Business District:</p> <p>1) S.M.A.R.T. Housing™ - S.M.A.R.T. Housing™ assists non-profit and for-profit builders to create housing that is Safe, located in Mixed-income neighborhoods, Accessible, Reasonably-priced, Transit-oriented, and meets Austin Energy's Green Building standards. The program also provides fee waivers, fast-track reviews, problemsolving assistance, and regulatory reviews that facilitate the construction of rental and homeownership.</p> <p>2) Vertical Mixed Use Ordinance (VMU) - The Austin City Council adopted changes to the “Commercial Design Standards” regarding VMU in 2007. The developments that want exemptions from certain dimensional and parking standards, must meet provide 10 percent of development as affordable housing for 40 years for rental units and 99 years for homeownership units.</p> <p>3) Downtown Density Bonus - The City is in the process of designing a density bonus program to encourage affordable housing downtown.</p> <p>4) North Burnet/Gateway - This low-density neighborhood will be transformed into a high-density neighborhood with an incentive, whereby developers can receive a density bonus and must provide 10 percent affordable housing or pay a fee-in-lieu of six dollars a square foot. A few specific properties may be eligible to construct new collector streets in exchange for the density bonus, instead of meeting the affordable housing requirements.</p> <p>5) University Neighborhood Overlay (UNO) - The City Council adopted Ordinance No. 040902-58 on September 2, 2004, establishing the University Neighborhood Overlay (UNO) zoning district, which includes a density bonus based on the provision of affordable housing. The ordinance allows for the adoption of an "opt-in" zoning overlay in the West campus area to allow for greater density and development entitlements in exchange for a set-aside of affordable housing units.</p> <p>UNO requires two tiers of affordability, 10 percent of units for households earning at or below 80 percent of MFI and another 10 percent at or below 65 percent of MFI. The developer has the option to pay a fee-in-lieu of providing the units at or below 65 percent of MFI. In addition, units at or below 80 percent of MFI must be on-site. Should a developer offer 10 percent of the units to households earning at or below 50 percent of MFI, the developer has an option to receive an addition height bonus. This funding source is one of several identified in this annual Action Plan that allows for the creation and retention of affordable housing, specifically in the UNO zoning district.</p> <p>Since inception, \$1.49 million has been collected as fees-in-lieu for the UNO program. These funds are available to develop affordable units within UNO boundaries. To date, a total of 117 units have been constructed at 50 percent MFI, 10 units at 65 percent MFI, and 357 units at 80 percent MFI.</p> <p>6) Transit Oriented Development (TODs) - The City Council adopted three station area plans for MLK, Saltillo, and Lamar/Justin that include a density and height bonus. All TODs require developers to: reach the goal of 25 percent of affordable housing on-site or pay a fee-in-lieu for part of the affordable housing in exchange for density and height bonuses.</p>							
ACCOMPLISHMENT DESCRIPTION							
<p>The goal of the S.M.A.R.T. Housing™ Program for FY 2012-13 was to complete 650 new single-family and multi-family units. At the end of September 2012, 953 total units had been completed, which exceeded the goal by 47 percent. At the end of the fiscal year, 31 percent of the multi-family units completed will serve households at or below 80 percent MFI. The total single-family unit production was 561 units, with 241 of those units serving households at 80% MFI or below (43%). The multifamily production of 392 total units yielded 57 affordable units (14.5%). These units were privately funded projects that had a minimum 10% affordability requirement.</p>							
PERFORMANCE MEASURE							
Affordability for the purpose of providing decent housing.							
Project	Activity	Con Plan Priority	Fund Source(s)	FY 2012-13 Total Funding	FY 2012-13 Proposed Goal	Expended	FY 2012-13 Accomplishments
Developer Incentive-Based Programs	Developer Incentive-Based Programs	High	SF	\$113,728	N/A	\$89,835	1,465
Developer Incentive-Based Programs	Developer Incentive-Based Programs	High	GF-CIP	\$8,806		\$8,000	
			Total	\$122,534	N/A	\$97,835	1,465
Sub recipients: Austin Housing Finance Corporation (AHFC)							

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Chapter 5: Activity Tables

COMMERCIAL REVITALIZATION						
The City continues to prioritize commercial revitalization as a high priority based on the feedback received during public hearings and the Consolidated Plan survey.						
NHCD OVERALL GOAL:						
Assist 15,276 eligible households with services that lead to opportunities for self-sufficiency in FY2009-14 as measured by:						
CONSOLIDATED PLAN FY 2009-14 COMMERCIAL REVITALIZATION OBJECTIVE:						
Provide funding and technical assistance to eligible organizations in order to improve the economic viability of neighborhoods and promote the creation of jobs.						
Type of Community Development	Priority for Federal Funds	Type(s) of Households Served	Funding Source(s)	FY 2012-13 Goal	Total Expended	FY 2012-13 Accomplishments
Community Revitalization	High	Low- and moderate-income households and small businesses	CDBG, EDI II, EDI III, SF	2,170	\$237,886	2,172

Chapter 5: Activity Tables

East 11th and 12th Streets Revitalization: Acquisition and Development							
IDIS #:		N/A					
PROJECT DESCRIPTION							
The Acquisition and Development portion of the East 11th and 12th Street Revitalization project continues to maintain and dispose of property acquired in previous years. Upon transfer of property for development, job creation or retention for low- to moderate-income individuals are required under the performance goals of the project.							
ACCOMPLISHMENT DESCRIPTION							
NHCD's goal for 2012-2013 was to complete current projects as described in the East 11th and 12th Streets Development Strategy. This Development Strategy was completed in March 2012 and provides a framework for the development of a mixture of commercial, retail and residential component in central east Austin. The Development Strategy reviewed the multiple planning documents and development regulations for the area and identified areas where the regulations need to be clarified and/or modestly amended to further enhance the viability of development in the Study Area without compromising the integrity of the community's vision. Staff in partnership with the community, Urban Renewal Agency (URA) and the City's Planning Commission has continued the initial work on this recommendation.							
In addition, the Development Strategy evaluated vacant and/or underutilized parcels within the study area and made recommendations for each of six development sites held by the public sector in the Study Area. The City, in partnership with the Urban Renewal Agency, has made steps in implementing some of these recommendations by selling 3 of these development sites of which 2 are along East 12th Street and are currently under construction and 1 site is along East 11th Street where the project is currently going through the City's development review process. In September 2013, solicitations were released for 2 more of these development sites which a successful proposer will be awarded in early 2014. Solicitations for the disposal of the remaining development sites are planned for the upcoming fiscal year.							
The negative expenditure indicated below is as a result of cancelled IDIS Activity #5611 "Block 16 Redevelopment." Other activities that further acquisition and development goals along East 11th and 12th Street have encumbered all of the FY 2012-13 allocation to this project, all funds are in the process of being fully expended.							
PERFORMANCE MEASURE							
Sustainability for the purpose of creating economic opportunities.							
Project	Activity	Con Plan Priority	Fund Source(s)	FY 2012-13 Total Funding	FY 2012-13 Proposed Goal	Expended	FY 2012-13 Accomplishments
East 11 and 12th Streets Revitalization	East 11 and 12th Streets Revitalization	High	CDBG	\$318,051	0	(\$35,356)	0
East 11 and 12th Streets Revitalization	East 11 and 12th Streets Revitalization	High	EDI III	\$147,000		\$0	
			Total	\$465,051	0	(\$35,356)	0

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Chapter 5: Activity Tables

East 11th and 12th Streets Revitalization: Historic Preservation							
IDIS #:	N/A						
PROJECT DESCRIPTION							
This project provides financial assistance to owners of eligible historic commercial or civic buildings for renovation activities to prevent and/or eliminate the "slum and blight" influences in the area.							
ACCOMPLISHMENT DESCRIPTION							
The preservation activities for this year included the completion of one project in the East 11 and 12th Street area. This activity was not met for this year. The project was to complete the preservation of the Austin Revitalization Authority owned Travis County Negro Extension Service Office, a.k.a. Eastroom, located at 1154 Lydia Street. Delays securing the needed additional private financing prevented this project from beginning within a timely manner. Private financing was secured this summer, contract with the City for the federal funding assistance has been executed and project completion is now anticipated at the end of 2013.							
PERFORMANCE MEASURE							
Sustainability for the purpose of creating economic opportunities.							
Project	Activity	Con Plan Priority	Fund Source(s)	FY 2012-13 Total Funding	FY 2012-13 Proposed Goal	Expended	FY 2012-13 Accomplish-ments
East 11 and 12th Streets Revitalization	East 11 and 12th Streets Revitalization	High	CDBG	\$325,150	N/A	\$41,776	1
East 11 and 12th Streets Revitalization	East 11 and 12th Streets Revitalization	High	SF	\$147,682		\$35,468	
			Total	\$472,832	0	\$77,244	1

Chapter 5: Activity Tables

East 11th and 12th Streets Revitalization: Public Facilities							
IDIS #:		N/A					
PROJECT DESCRIPTION							
This project provides funding for the development of the City-owned African American Cultural and Heritage Facility. Completed facility will house a visitor's bureau, practice and office space for Pro Arts Collective, Inc and office space for the Capital City African American Chamber of Commerce							
ACCOMPLISHMENT DESCRIPTION							
NHCD's goal for 2012 – 2013 was to complete the construction and move tenants into the City of Austin's African American Cultural and Heritage Facility. This goal was met in March 2013. This project included the historic renovation of the Dedrick-Hamilton house and new construction for an estimated total square footage of 8,300 for the African American Cultural Heritage District. Since the ribbon cutting in March, the City of Austin's, Austin Convention Center has opened the Office of Arts & Cultural Resources and Capital City African American Chamber of Commerce (Chamber) has relocated their offices to the facility. The Chamber is also operating the City of Austin's African American Visitor's Center out of the historic Dedrick-Hamilton house. This mixed-use public facility is serving the City of Austin and the community within the newly created African American Cultural Heritage District.							
PERFORMANCE MEASURE							
Sustainability for the purpose of creating economic opportunities.							
Project	Activity	Con Plan Priority	Fund Source(s)	FY 2012-13 Total Funding	FY 2012-13 Proposed Goal	Expended	FY 2012-13 Accomplish-ments
East 11 and 12th Streets Revitalization	East 11 and 12th Streets Revitalization	Medium	CDBG	\$754,038	N/A	\$231,466	1
East 11 and 12th Streets Revitalization	East 11 and 12th Streets Revitalization	Medium	SF	\$174,011		\$134,977	
East 11 and 12th Streets Revitalization	East 11 and 12th Streets Revitalization	Medium	EDI II	\$0		\$0	
			Total	\$928,049	0	\$366,443	1

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Chapter 5: Activity Tables

East 11th and 12th Streets Revitalization: Parking Facilities							
IDIS #: N/A							
PROJECT DESCRIPTION							
This project funds the development of community parking in order to support the economic growth of the Urban Renewal corridors.							
ACCOMPLISHMENT DESCRIPTION							
During this reporting period, resources were committed and expended on leasing a community facility in the revitalization area. The community facility provided parking to the area neighborhood – providing additional access for area businesses.							
PERFORMANCE MEASURE							
Sustainability for the purpose of creating economic opportunities.							
Project	Activity	Con Plan Priority	Fund Source(s)	FY 2012-13 Total Funding	FY 2012-13 Proposed Goal	Expended	FY 2012-13 Accomplish-ments
East 11 and 12th Streets Revitalization	East 11 and 12th Streets Revitalization	High	CDBG	\$426,397	2,170	\$0	2,170
East 11 and 12th Streets Revitalization	East 11 and 12th Streets Revitalization	High	SF	\$30,213		\$31,758	
			Total	\$456,610	2,170	\$31,758	2,170

City of Austin Fiscal Year 2012-13 CAPER

Chapter 5: Activity Tables

SMALL BUSINESS ASSISTANCE						
In the current economic recession, the City prioritized assistance to small business as high. Feedback from the Consolidated Plan survey found job creation as the highest community development need in the Austin community.						
NHCD OVERALL GOAL: Assist 15,276 eligible households with services that lead to opportunities for self-sufficiency in FY2009-14 as measured by:						
CONSOLIDATED PLAN FY 2009-14 SMALL BUSINESS ASSISTANCE OBJECTIVE: Help small businesses grow and prosper through financing and technical assistance in order to improve the economic viability of neighborhoods and promote the creation and/or retention of jobs.						
Type of Community Development	Priority for Federal Funds	Type(s) of Households Served	Funding Source(s)	FY 2012-13 Goal	Expended	FY 2012-13 Accomplishments
Small Business Development	High	Small businesses and job creation for low-income households	CDBG, CDBG-RL, Section 108, SF	59	\$325,000	43

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Chapter 5: Activity Tables

Community Development Bank (CDB)							
IDIS #: 5898							
PROJECT DESCRIPTION							
The Community Development Bank (CDB) provides funds to a Community Development Financial Institution (CDFI) to administer loan programs offering flexible capital and technical assistance to small and minority businesses that are expanding or relocating to low-income areas.							
ACCOMPLISHMENT DESCRIPTION							
The Community Development Bank met its program goal in FY 2012-13. NHCD has continued to contract with PeopleFund for assistance in job creation and loan related services that allows for a more flexible lending criteria and financial structure than permitted by conventional banking.							
PERFORMANCE MEASURE							
Accessibility for the purpose of creating economic opportunities.							
Project	Activity	Con Plan Priority	Fund Source(s)	FY 2012-13 Total Funding	FY 2012-13 Proposed Goal	Expended	FY 2012-13 Accomplishments
Community Development Bank	Community Development Bank	High	CDBG	\$150,000	6	\$125,000	6
			Total	\$150,000	6	\$125,000	6
Sub recipients: PeopleFund							

City of Austin Fiscal Year 2012-13 CAPER

Chapter 5: Activity Tables

Microenterprise Technical Assistance (MTA)							
IDIS #: 5899							
PROJECT DESCRIPTION							
The Microenterprise Technical Assistance program provides operational funds for the administration of training and technical assistance for qualified microenterprises in the City of Austin. HUD defines a Microenterprise as either: 1) a business with five or fewer employees, one being the owner, or 2) an individual who is actively working towards developing a business that is expected to be a Microenterprise.							
ACCOMPLISHMENT DESCRIPTION							
NHCD has continued to contract with BiG Austin to provide services in assisting microenterprises or businesses that are expected to be microenterprises in FY 2012-13. The Microenterprise Technical Assistance (MTA) program met its goal in FY2012-13. BiG provides assistance to low-income individuals to start or expand their businesses, as well as small business education that includes topics such as finance, industry standards, legal requirements and developing a business plan.							
PERFORMANCE MEASURE							
Sustainability for the purpose of creating economic opportunities.							
Project	Activity	Con Plan Priority	Fund Source(s)	FY 2012-13 Total Funding	FY 2012-13 Proposed Goal	Expended	FY 2012-13 Accomplish-ments
Microenterprise Technical Assistance	Microenterprise Technical Assistance	High	CDBG	\$200,000	33	\$200,000	33
Sub recipients: Business Investment Growth (BiG)							

City of Austin Fiscal Year 2012-13 CAPER

Chapter 5: Activity Tables

Family Business Loan Program							
IDIS #: N/A							
PROJECT DESCRIPTION							
The Family Business Loan Program (FBLP), a public-private partnership loan program provides fixed-asset and working capital loans to qualified small-business borrowers in Austin. The lending partners are a private bank member of the Federal Home Loan Bank, an SBA Certified CDC Section 504 community lender, and the City of Austin's Economic Growth and Redevelopment Services Office (EGRSO). The FBLP's goals are to: foster business expansion in low- and moderate-income neighborhoods, stimulate low- to moderate-income job creation, and increase Austin's tax base. The FBLP is targeted to Austin business owners that are ready to expand their business and create jobs.							
ACCOMPLISHMENT DESCRIPTION							
FBLP can report 4 households provided approval between September 30th 2012 to October 1s 2013. All 4 households are expected to close before the end of the year 2013. This means a combined commitment of 38 jobs created. In dollar investment in Austin in means \$739,000 of Section 108 funds were leveraged to obtain \$835,161 in private bank financing or 47%/53% ratio.							
PERFORMANCE MEASURE							
Accessibility for the purpose of creating economic opporntnities.							
Project	Activity	Con Plan Priority	Fund Source(s)	FY 2012-13 Total Funding	FY 2012-13 Proposed Goal	Expended	FY 2012-13 Accomplish-ments
Family Business Loan Program	Family Business Loan Program	High	Section 108	N/A	20	N/A	4
Sub recipients: None							

City of Austin Fiscal Year 2012-13 CAPER

Chapter 5: Activity Tables

Financial Empowerment						
This Program was not established in the Consolidated Plan						
NHCD OVERALL GOAL:						
Not Established in ConPlan						
CONSOLIDATED PLAN FY 2009-14 SMALL BUSINESS ASSISTANCE OBJECTIVE:						
Type of Community Development	Priority for Federal Funds	Type(s) of Households Served	Funding Source(s)	FY 2012-13 Goal	Expended	FY 2012-13 Accomplishments
Financial Empowerment	High	Unbanked Households, Households with limited access to credit	Grant,CDBG, CDBG-RL, Section 108	68	N/A	0

City of Austin Fiscal Year 2012-13 CAPER

Chapter 5: Activity Tables

Individual Development Account (IDA) Program							
IDIS #:	N/A						
PROJECT DESCRIPTION							
NHCD will use these funds to administer a matched savings account program and related financial educational services to low-income individuals and families. IDAs are special savings accounts designed to assist low-income individuals and families move toward asset ownership through matched savings and financial education. Because the participants' deposits are matched the account can grow substantially in proportion to the amount deposited on a regular basis. IDAs can be used to buy a first home, pay for college or other training, and start or expand a small business. Participants receive financial training and coaching on family budgeting, consumer issues, debt and credit counseling, using mainstream financial products, accessing refundable tax credits, and accessing other benefits and services.							
ACCOMPLISHMENT DESCRIPTION							
The City of Austin Individual Development Account Program (IDA) was launched in FY 2012-13. Fifteen graduating students of the Housing Smarts Housing Counseling program responded to information received during their Housing Smarts class about the impending program, and submitted applications, in anticipation of the launch of the new savings program. Several of the students asked to be the test subjects in designing and implementing the operational aspects of the IDA Program. This program is available for all City of Austin residents over the age of 18, who are employed full or part time and whose income is less that 60% MFI for the Austin area. Though most participants coming through the Housing Smarts program will use their asset purchase in conjunction to their home purchase and down payment assistance, others will be unrestricted and use their asset purchase for post-secondary education or for the capitulation of a small business. This program is funded through a \$600,000 five year grant from the Office of Community Services of the U.S. Department of Health and Human Services.							
PERFORMANCE MEASURE							
Sustainability for the purpose of creating economic opportunities.							
Project	Activity	Con Plan Priority	Fund Source(s)	FY 2012-13 Total Funding	FY 2012-13 Proposed Goal	Expended	FY 2012-13 Accomplish-ments
Individual Development Account (IDA) Program	Individual Development Account (IDA) Program	High	IDA Grant	N/A	68	N/A	0
			Total	N/A	68	N/A	0

City of Austin Fiscal Year 2012-13 CAPER

Chapter 5: Activity Tables

Neighborhood Commercial Management Debt Service							
IDIS #: N/A							
PROJECT DESCRIPTION							
After initially funding Neighborhood Commercial Management Program (NCMP) with CDBG funds, the City of Austin secured a \$2,000,000 HUD Section 108 Loan Guarantee to support NCMP. The primary source of repayment for FY 2012-13 will be from expired Section 108 loan proceeds. Future loan repayments will be made with future CDBG funds for a 20-year period ending in 2026.							
ACCOMPLISHMENT DESCRIPTION							
PERFORMANCE MEASURE							
N/A							
Project	Activity	Con Plan Priority	Fund Source(s)	FY 2012-13 Total Funding	FY 2012-13 Proposed	Expended	FY 2012-13 Accomplish-
NCMP Debt Service	NCMP Debt Service	High	CDBG, Section 108	\$167,091	N/A	\$167,091	N/A
			Total	\$167,091	N/A	\$167,091	N/A

Chapter 5: Activity Tables

East 11th and 12th Streets Revitalization Debt Service							
IDIS #: 5882							
PROJECT DESCRIPTION							
The City secured a \$9,350,000 HUD Section 108 Loan Guarantee to implement the East 11th and 12th Streets Revitalization Project. The source for repayment of the Section 108 Loan will be from small business loan repayments and current and future CDBG funds for a 20-year period ending in 2017.							
ACCOMPLISHMENT DESCRIPTION							
PERFORMANCE MEASURE							
N/A							
Project	Activity	Con Plan Priority	Fund Source(s)	FY 2012-13 Total Funding	FY 2012-13 Proposed	Expended	FY 2012-13 Accomplish-
E. 11th & 12th St. Debt	E. 11th & 12th St. Debt	High	CDBG, Section 108-PI, CDBG-PI	\$1,803,612	N/A	\$795,774	N/A
			Total	\$1,803,612	N/A	\$795,774	N/A

City of Austin Fiscal Year 2012-13 CAPER

Appendix I: Public Notices



City of Austin
Neighborhood Housing and Community Development (NHCD) Office
Notice of 15-Day Public Comment Period

The City of Austin Neighborhood Housing and Community Development (NHCD) Office announces a 15-day public comment period to receive public comments on its Draft FY 2012-13 Consolidated Performance and Evaluation Report (CAPER). NHCD receives the following grant entitlement funds annually from the U.S. Department of Housing and Urban Development (HUD) for affordable housing, community development, economic development and public services: Community Development Block Grant (CDBG), HOME Investment Partnership Program, Emergency Solutions Grant (ESG), and Housing Opportunities for Persons with AIDS (HOPWA).

Cities are required to develop a Consolidated Annual Performance and Evaluation Report (CAPER). The CAPER assesses the City's progress and the effectiveness of its performance during FY 2012-13, in the achievement of its overall strategies, objectives, and priorities outlined in the FY 2009-14 Consolidated Plan. The Draft FY 2012-13 CAPER will be available for review during the public comment period. The City will submit this report to HUD prior to December 27, 2013.

Public Comment Period

The public is invited to review the Draft FY 2012-13 CAPER during the public comment period, November 19 through December 4, 2013. The document will be available on NHCD's web site, www.austintexas.gov/housing and at the following community locations:

- Austin Central Public Library, 800 Guadalupe Street (Central)
- Austin Resource Center for the Homeless, 500 East 7th Street (Central)
- East Austin Neighborhood Center, 211 Comal Street (East)
- Neighborhood Housing and Community Development Department, 1000 East 11th Street, Suite 200 (East)
- Rosewood-Zaragosa Neighborhood Center, 2800 Webberville Road (East)
- St. John's Neighborhood Center, 7500 Blessing Avenue (North East)
- AIDS Services of Austin, 7215 Cameron Road (North)
- Housing Authority of the City of Austin, 1124 S IH 35 (South)
- South Austin Neighborhood Center, 2508 Durwood Street (South)
- Pleasant Hill Library Branch, 211 East William Cannon Drive (South)

Written Comments

Written comments may be submitted until 5 PM on December 4, 2013. Please include a name, address, and phone number.

Mail to:
Neighborhood Housing and Community Development Office
Attn: FY 2012-13 CAPER
P.O. Box 1088
Austin, Texas 78767
Email: NHCD@austintexas.gov

For additional information, call the NHCD Office at 512-974-3100 (voice) or 512-974-3102 (TDD).





Ciudad de Austin

AVISO DE UN PERÍODO DE 15 DÍAS PARA COMENTARIOS PÚBLICOS

La Oficina de Vivienda y Desarrollo Comunitario de la Ciudad de Austin (NHCD) anuncia la apertura de un período de 15 días para recibir comentarios públicos sobre el borrador de su Reporte Anual Consolidado sobre la Ejecución y Evaluación (CAPER) en relación al año fiscal 2012-13.

El Departamento de Vivienda y Desarrollo Comunitario de la Ciudad de Austin (NHCD) recibe los siguientes fondos anualmente del Departamento de Vivienda y Desarrollo Urbano de los E.U. (HUD) para proveer viviendas económicas, desarrollo comunitario, desarrollo económico y servicios públicos: Subsidio Definido de Desarrollo Comunitario (CDBG), Programa de Inversión Asociada (HOME), Fondos para Soluciones de Emergencia (ESG), y Programa de Vivienda para Personas con Sida (HOPWA)

HUD requiere que las ciudades que reciben fondos preparen un Reporte Anual Consolidado sobre la Ejecución y Evaluación (CAPER) donde evalúen el progreso de la Ciudad y la efectividad de sus operaciones durante el año fiscal 2012-13 en la realización de sus estrategias, objetivos y prioridades establecidas en el Plan Consolidado. La Ciudad deberá de someter el reporte a HUD para el 27 de diciembre del 2013.

Para Ver el Reporte

Se invita al público a revisar a los dos reportes desde el 19 de noviembre al 4 de diciembre del 2013 en los siguientes lugares: en el sitio Web de la Ciudad, www.austintexas.gov/housing o en los siguientes lugares:

- Austin Central Public Library, 800 Guadalupe Street (Central)
- Austin Resource Center for the Homeless, 500 East 7th Street (Central)
- East Austin Neighborhood Center, 211 Comal Street (Este)
- Neighborhood Housing and Community Development Department, 1000 East 11th Street, Suite 200 (Este)
- Rosewood-Zaragosa Neighborhood Center, 2800 Webberville Road (Este)
- St. John's Neighborhood Center, 7500 Blessing Avenue (Noreste)
- AIDS Services of Austin, 7215 Cameron Road (Norte)
- Housing Authority of the City of Austin, 1124 S IH 35 (Sur)
- South Austin Neighborhood Center, 2508 Durwood Street (Sur)
- Pleasant Hill Library Branch, 211 East William Cannon Drive (Sur)

Neighborhood Housing and Community Development
Office Attn: FY 2012-13 CAPER
PO Box 1088
Austin, Texas 78767
Email: NHCD@austintexas.gov

Para mayor información, llame a la Oficina NHCD al 512-974-3100 (voz) o al 512-974-3102 (TDD).

La Ciudad de Austin se compromete con el cumplimiento de la Ley de Americanos con Incapacidades. Se proveerán a solicitud modificaciones razonables y acceso igual a comunicaciones. Para asistencia, llame al 512-974-2210 o 512-974-2445 TDD.

Appendix II: Demographic Reports

Beneficiary Demographics for Housing Programs
FY 2012-13

	0-30%	31-50%	51-60%	61-80%	More than 80%	White	Black / African American	Asian	Amer. Indian / Alaskan Native	Native Hawaiian / Other Pacific islander	Amer. Indian / Alaskan Native & White	Asian & White	Black / African American & White	Am. Indian / Alaskan Native & Black / African American	Other Multi-Racial	White-Hispanic	Black-Hispanic	Female Head of Household	Elderly	Person w / disability in Household	
Program	Median Family Income					Race										Ethnicity		Characteristics			Totals
Homeless/Special Needs Assistance																					
Child Care Services	202	23	2	-	-	29	97	2	-	-	-	-	-	-	5	94	-	154	-	18	227
Senior Services	181	52	3	-	-	118	72	2	-	-	-	-	-	-	1	43	-	103	115	124	236
Youth Support Services	113	18	9	-	-	24	45	-	-	-	-	-	-	-	1	70	-	104	3	23	140
Subtotal	496	93	14	-	-	171	214	4	-	-	-	-	-	-	7	207	-	361	118	165	603
Renter Assistance																					
Tenant-Based Rental Assistance	105	-	-	-	-	22	53	2	-	-	-	-	-	-	-	28	-	83	-	3	105
Architectural Barrier Program (Rental)	13	7	-	-	-	7	9	-	-	-	-	-	-	-	-	4	-	4	4	16	20
Tenants' Rights Assistance	393	111	24	-	-	214	159	5	6	-	-	-	-	-	2	142	-	-	49	17	528
Subtotal	511	118	24	-	-	243	221	7	6	-	-	-	-	-	2	174	-	87	53	36	653
Homebuyer Assistance																					
Housing Smarts - Housing Counseling	27	61	60	94	60	130	55	5	2	-	-	-	-	-	2	108	-	54	3	15	302
Down Payment Assistance	-	3	4	12	-	6	4	1	-	-	-	-	-	-	-	8	-	5	-	-	19
Subtotal	27	64	64	106	60	136	59	6	2	-	-	-	-	-	2	116	-	59	3	15	321
Homeowner Assistance																					
Architectural Barrier Program - Owner	18	20	8	11	-	8	21	-	-	-	-	-	-	-	-	28	-	6	45	16	57
Emergency Home Repair Program	211	146	43	6	-	48	220	-	-	-	-	-	-	-	-	138	-	103	233	1	406
Homeowner Rehab Loan Program	-	1	-	2	-	2	-	-	-	-	-	-	-	-	-	1	-	-	1	-	3
GO Repair! Program	9	11	11	1	-	15	7	-	-	*	*	*	*	*	*	10	-	3	20	-	32
LeadSmart Program	13	9	5	4	-	4	8	1	-	-	-	-	-	-	-	18	-	4	16	4	31
Subtotal	251	187	67	24	-	77	256	1	-	-	-	-	-	-	-	195	-	116	315	21	529
Housing Developer Assistance																					
Rental Housing Development Assistance	10	4	-	-	-	4	8	-	-	-	-	-	-	-	-	2	-	1	1	11	14
Acquisition and Development	3	12	-	3	-	1	2	-	-	-	-	-	-	-	-	15	-	9	-	-	18
Subtotal	13	16	-	3	-	5	10	-	-	-	-	-	-	-	-	17	-	10	1	11	32
Commercial Revitalization																					
Subtotal																					
Small Business Assistance																					
Community Development Bank	4	2	-	-	-	5	1	-	-	-	-	-	-	-	-	-	-	-	-	-	6
Microenterprise Tech. Assistance	18	12	3	-	-	20	13	1	-	-	-	-	-	-	-	8	-	15	1	1	33
Community Preservation and Revitalization	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Subtotal	22	14	3	-	-	25	14	1	-	-	-	-	-	-	-	8	-	15	1	1	39
TOTAL, ALL PROGRAMS	1,320	492	172	133	60	657	774	19	8	-	-	-	-	-	11	717	-	648	491	249	2,177
Percent of Total	60.6%	22.6%	7.9%	6.1%	2.8%	30.2%	35.6%	0.9%	0.4%	0.0%	0.0%	0.0%	0.0%	0.0%	0.5%	32.9%	0.0%	29.8%	22.6%	11.4%	

* Only programs requiring demographic data collection in FY2012-13 have been included in the table.
Note: Descrepancies may exist from what is found in IDIS due to variances in the funding sources utilized

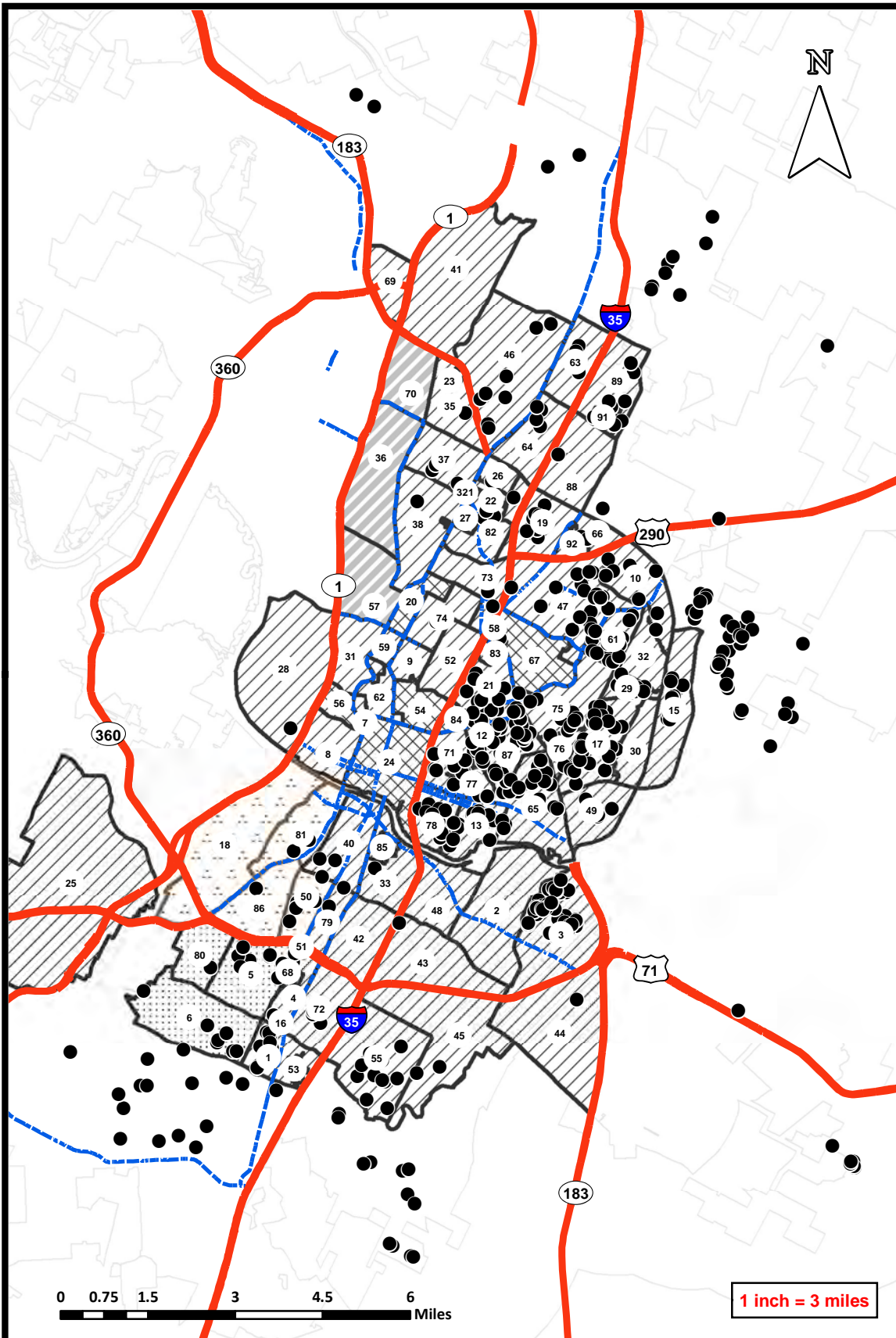
Appendix III: Request for Letters of Consistency

Requests for Letters of Consistency FY 2012-13

Developer	Name	Project	Location	Letter/Certificate of Consistency	S.M.A.R.T. Housing™ Certified
	The Salvation Army	Salvation Army Passages Program	501 East Eight Street, Austin, Texas 78701	10/01/2012	No
	Housing Authority of the City of Austin	Annual PHA Plan	1124 S. IH-35, Austin, TX 78704	8/06/2012	No
	Housing Authority of Travis County	HEARTH FY 2012 COC Consolidated Application	Various	1/13/2013	No
	Austin Housing Finance Corp./NHCD	City of Austin Lead Smart Program grant	1000 East 11 th Street, Austin, Tx 78702	1/31/2013	No
	Housing Authority of the City of Austin	2013 Resident Opportunity and Self-Sufficiency (ROSS) Service Coordinators Program	1124 S. IH-35, Austin, TX 78704	2/14/2013	No
	Consumer Credit Counseling Services of Austin	Comprehensive Housing Counseling Program	1016 La Posada Dr, Suite 290 Austin, TX 78752	3/12/2013	No
	Housing Authority of Travis County	Five-Year and Annual PHA Plan (1)	502 East Highland Mall Blvd., Suite 106-B Austin, Texas 78752	5/15/2013	No
	Housing Authority of Travis County	Five-Year and Annual PHA Plan (2)	502 East Highland Mall Blvd., Suite 106-B Austin, Texas 78752	5/15/2013	No

Appendix IV: Maps

ID	Neighborhood Plan
1	ALLANDALE
2	BARTON HILLS
3	BOULDIN CREEK
4	BRENTWOOD
5	CENTRAL EAST AUSTIN
6	CHESTNUT
7	CORONADO HILLS
8	CRESTVIEW
9	DAWSON
10	DOWNTOWN
11	EAST CESAR CHAVEZ
12	EAST CONGRESS
13	FRANKLIN PARK
14	GALINDO
15	GATEWAY
16	GEORGIAN ACRES
17	GOVALLE
18	HANCOCK
19	HERITAGE HILLS
20	HIGHLAND
21	HOLLY
22	HYDE PARK
23	JOHNSTON TERRACE
24	MLK
25	MLK-183
26	SOUTH LAMAR
27	MCKINNEY
28	MONTOPOLIS
29	NORTH AUSTIN CIVIC ASSOCIATION
30	NORTH BURNET
31	NORTH LAMAR
32	NORTH LOOP
33	NORTH SHOAL CREEK
34	NORTH UNIVERSITY
35	OLD WEST AUSTIN
36	PARKER LANE
37	PECAN SPRINGS-SPRINGDALE
38	PLEASANT VALLEY
39	RMMA
40	RIVERSIDE
41	ROSEDALE
42	ROSEWOOD
43	SOUTH MANCHACA
44	SOUTH RIVER CITY
45	SOUTHEAST
46	ST. EDWARDS
47	ST. JOHNS
48	TRIANGLE STATE
49	UT
50	UNIVERSITY HILLS
51	UPPER BOGGY CREEK
52	WEST CONGRESS
53	WEST UNIVERSITY
54	WESTGATE
55	WINDSOR HILLS
56	WINDSOR PARK
57	WINDSOR ROAD
58	WOOTEN
59	ZILKER
60	GARRISON PARK
61	SWEETBRIAR
62	EAST OAK HILL
63	WEST OAK HILL
64	OLD ENFIELD
65	WEST AUSTIN NEIGHBORHOOD GROUP



● CDBG-HOME LOCATIONS FY12-13

--- Core Transit Corridors

Neighborhood Plan Status

▨ FUTURE PLANNING AREA

▨ NON-NEIGH. PLANNING AREA

▨ PLAN APPROVED

▨ PLANNING UNDERWAY/APPR. BEGIN

▨ SUSPENDED

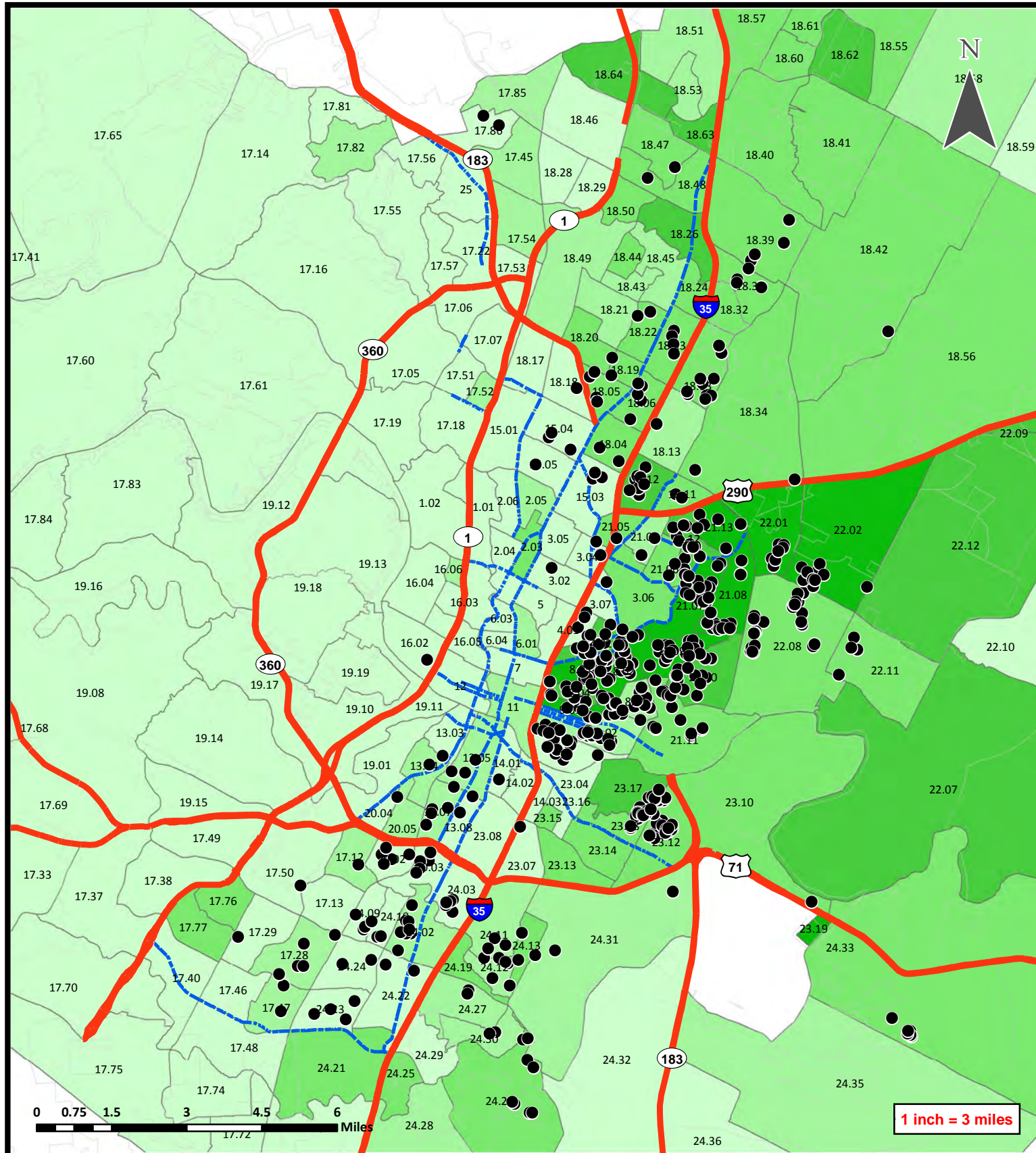
LOCATION OF CDBG & HOME EXPENDITURES: FY 2012-13

MEDIAN FAMILY INCOME BY CENSUS TRACT



This map has been produced by the Neighborhood Housing and Community Development Office for the sole purpose of geographic reference. No warranty is made by the City of Austin regarding specific accuracy or completeness. Created November 2013

Source(s): City of Austin
U.S. Department of Housing and Urban Development
FY 2012-13 Expenditure Data and
U.S. Census Bureau; 2010 Decennial Census



● CDBG-HOME LOCATIONS FY12-13

--- Core Transit Corridors

% African-American

0% - 5%

6% - 10%

11% - 20%

21% - 30%

31% - 59%

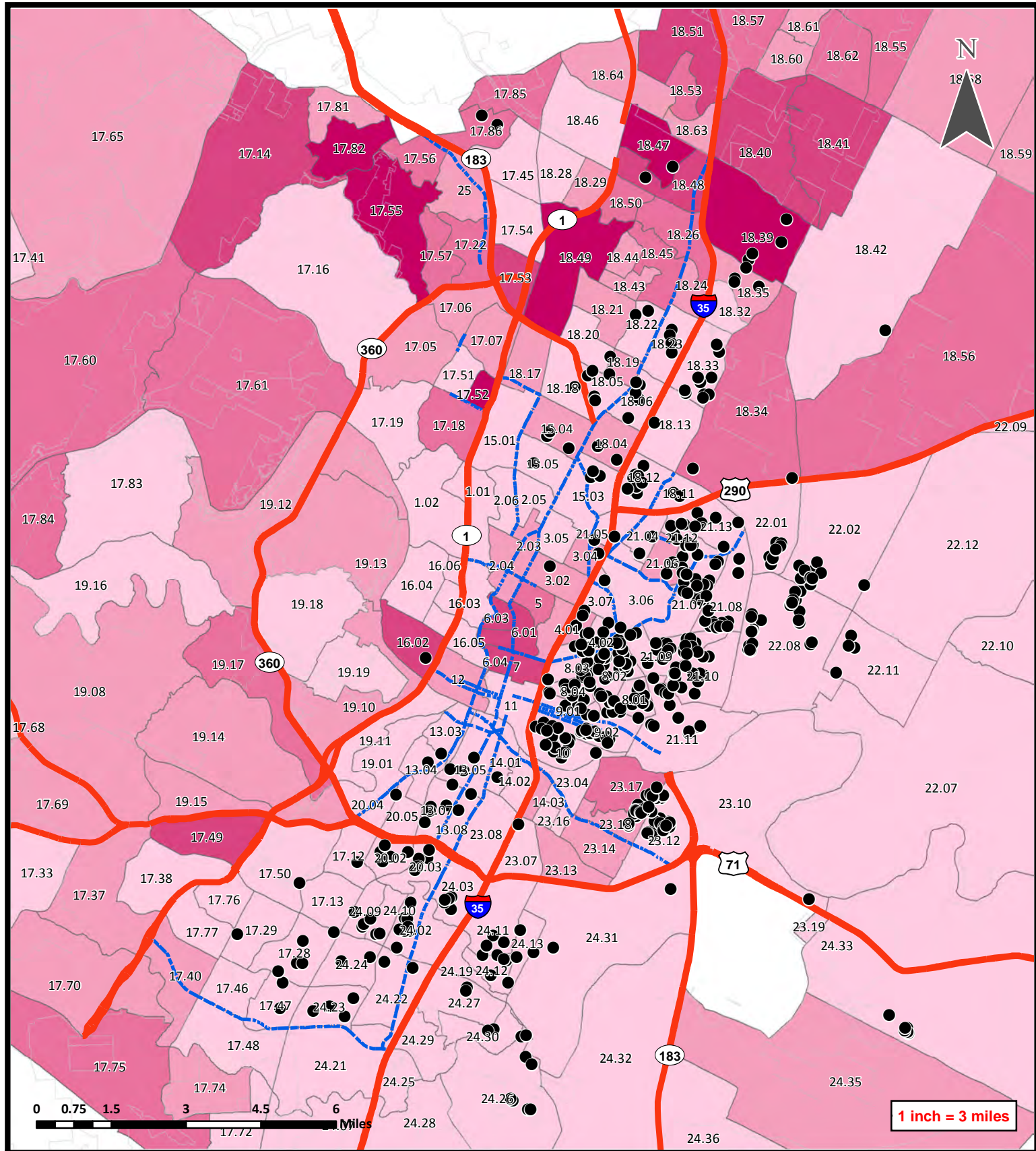
LOCATION OF CDBG & HOME EXPENDITURES: FY 2012-13

% OF AFRICAN AMERICANS BY CENSUS TRACT



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Source(s): City of Austin
U.S. Department of Housing and Urban Development
FY 2012-13 Expenditure Data and
U.S. Census Bureau; 2010 Decennial Census



● CDBG-HOME LOCATIONS FY12-13

--- Core Transit Corridors

% Asian

- 0% - 5%
- 6% - 10%
- 11% - 15%
- 16% - 20%
- 21% - 27%

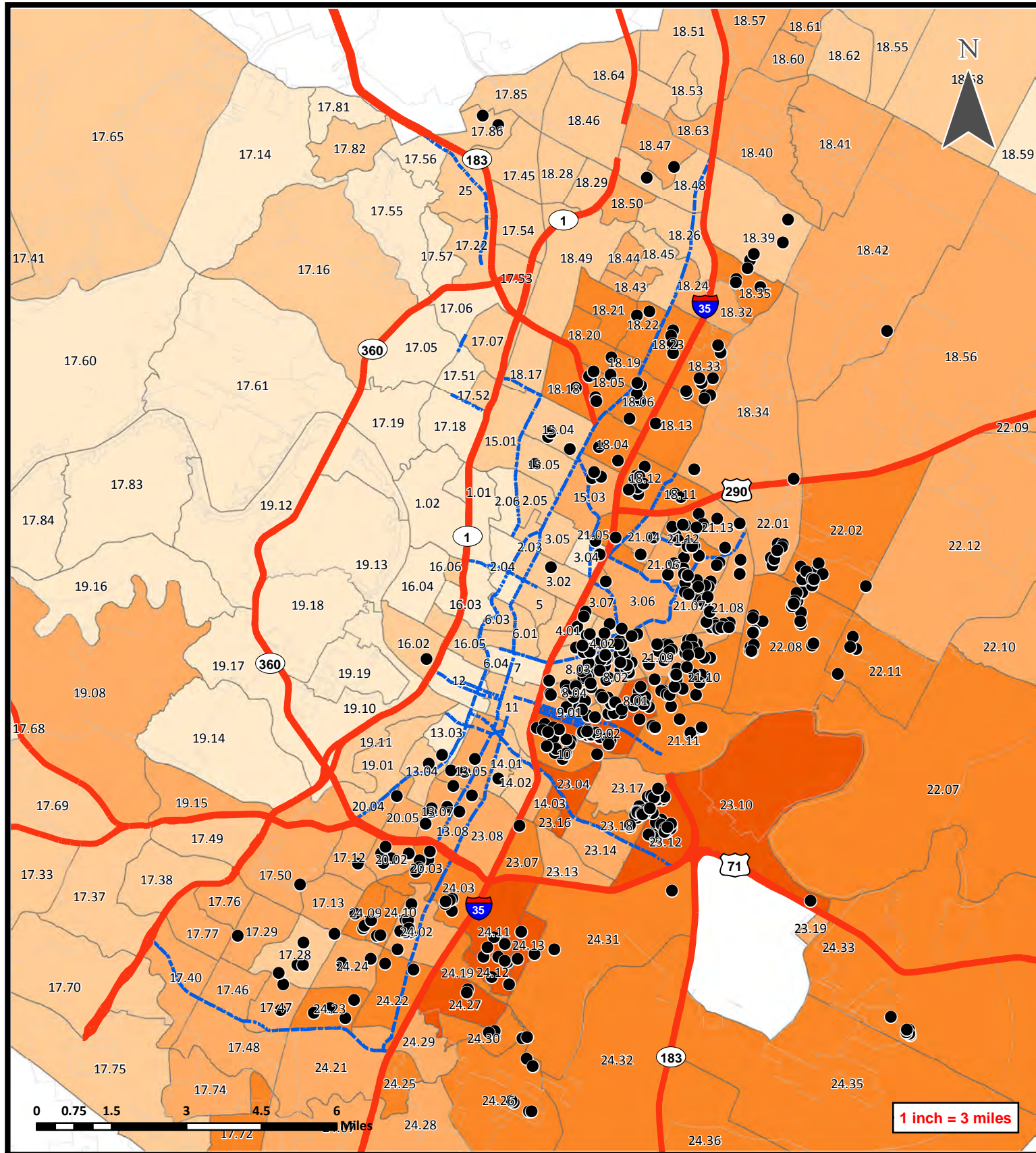
LOCATION OF CDBG & HOME EXPENDITURES: FY 2012-13

% OF ASIAN AMERICANS BY CENSUS TRACT



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Source(s): City of Austin
U.S. Department of Housing and Urban Development
FY 2012-13 Expenditure Data and
U.S. Census Bureau; 2010 Decennial Census



● CDBG-HOME LOCATIONS FY12-13

--- Core Transit Corridors

% Hispanic

- 2% - 10%
- 11% - 25%
- 26% - 50%
- 51% - 75%
- 76% - 85%

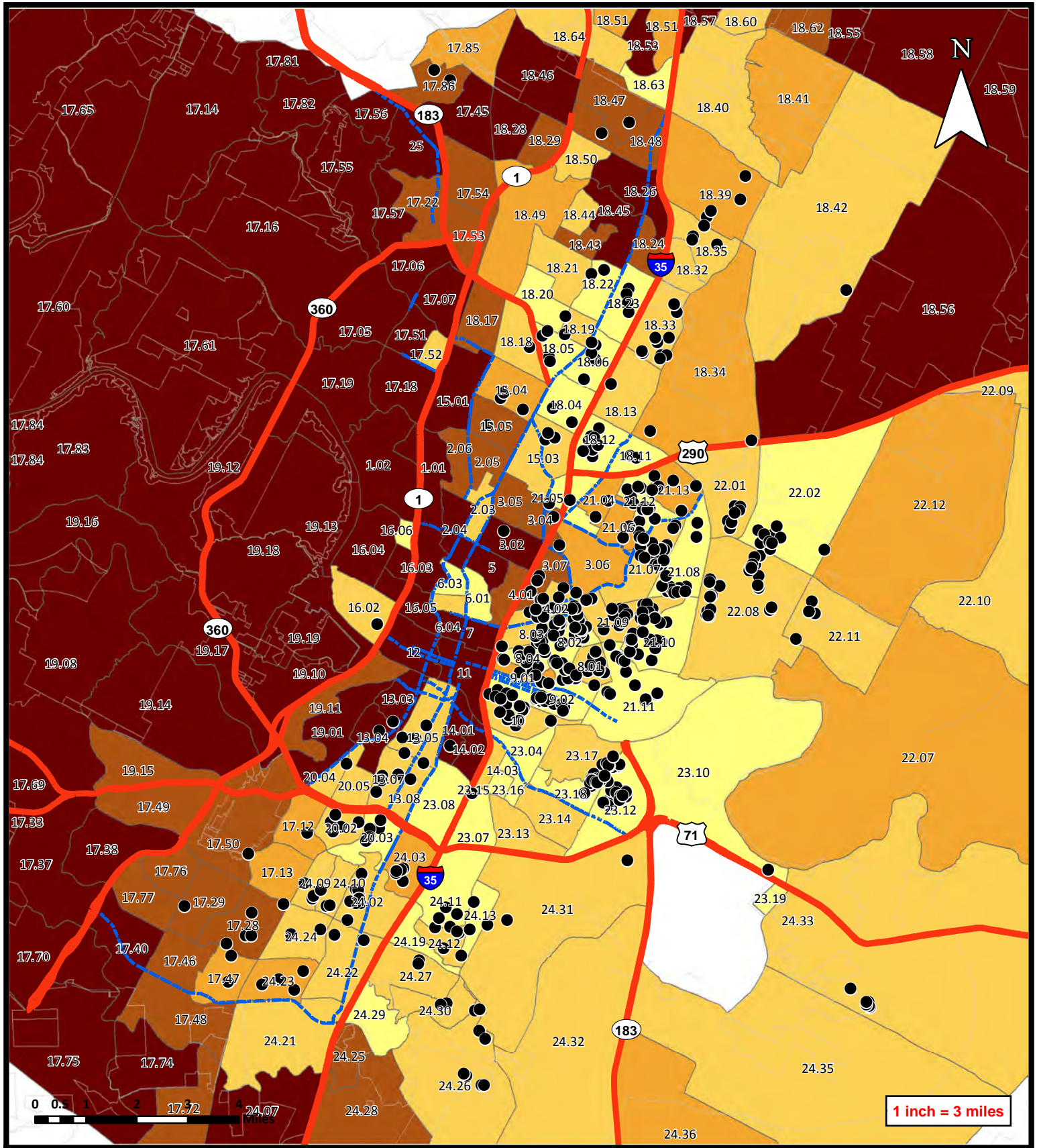
LOCATION OF CDBG & HOME EXPENDITURES: FY 2012-13

% OF HISPANIC BY CENSUS TRACT



This map has been produced by the Neighborhood Housing and Community Development Office for the sole purpose of geographic reference. No warranty is made by the City of Austin regarding specific accuracy or completeness. Created November 2013

Source(s): City of Austin
U.S. Department of Housing and Urban Development-
FY 2012-13 Expenditure Data and
U.S. Census Bureau; 2010 Decennial Census



● CDBG-Home LOCATIONS FY12-13

--- Core Transit Corridors

% MFI

- 0% - 50%
- 51% - 80%
- 81% - 100%
- 101% - 120%
- 121% - 344%

LOCATION OF CDBG & HOME EXPENDITURES: FY 2012-13

MEDIAN FAMILY INCOME BY CENSUS TRACT



This map has been produced by the Neighborhood Housing and Community Development Office for the sole purpose of geographic reference. No warranty is made by the City of Austin regarding specific accuracy or completeness. Created November 2013

Source(s): City of Austin
U.S. Department of Housing and Urban Development-
FY 2012-13 Expenditure Data and
U.S. Census Bureau; 2010 Decennial Census

Appendix V: Mueller Affordability Report

SEMI-ANNUAL REPORT
AFFORDABLE HOUSING UPDATE THRU MAY 31ST, 2012
AFFORDABLE HOUSING RESOLUTION IMPLEMENTATION EFFORTS

Catellus has implemented the necessary steps described below to meet or exceed the affordability requirements at Mueller as outlined in the MDA:

1. Catellus implemented the general strategy established to meet the affordable housing MDA requirement of 25% affordability of all for-sale and for-rent homes. Builders/developers of the affordable units are required to sell or lease to households with incomes at the defined ceiling of affordability (80% MFI in for-sale; 60% MFI in rental) and to be constructed in accordance with the City's SMART Housing Program. These units are priced to ensure marketability to these target markets within the parameters outlined in the MDA.
2. Each market rate multifamily property at Mueller is planned to include at least 10% affordable rental units. Catellus implemented the strategy, calling for the affordable housing requirement in the MDA to be fulfilled through the development of a senior apartment property and a family apartment property in which most or all units will be affordable at the 60% MFI threshold or below. Both the senior and family apartment projects will and have taken advantage of existing financing mechanisms for affordable rental housing, notably tax credit programs and the City of Austin's bond program, to provide opportunities for expanding the level and degree of affordability at Mueller as called for in the MDA's Affordable Housing Resolution.

Activities to implement the multifamily strategy in the current reporting period include:

- Mosaic at Mueller, the first market-rate rental housing at Mueller developed by Simmons Vedder and managed by Greystar, has been completed. Forty-four (44) units or 10% of the 441-unit property are provided to households at 60% MFI for 50 years, forty-five (45) additional years over the SMART Housing's 5-year affordability requirement. To date, all forty-four rental homes have been constructed and have been leased to income-qualified families earning 60% MFI or less.
- DMA Development Company, LLC is the senior apartment property developer. The senior apartment project has 201 units planned. 171 units (85.1%) are provided to households at or below 60% MFI for ninety-nine (99) years; ninety four (94) additional years over SMART Housing's 5-year affordability requirement and forty five (45) additional years over tax credit project's 40-year affordability requirement. Of the 171 affordable units, 85 units or 50% are affordable for households below 60% MFI, 60 units or 35.1% for households below 50% MFI and 26 units or 15.2% for households below 30% MFI. DMA successfully secured tax credits from the Texas Department of Housing and

Community Affairs (TDHCA) and General Obligation Bond funds from the City of Austin as part of the local government financial support required by the tax credit project. DMA also successfully closed with RBC, the tax credit investor and Enterprise, the lender for permanent financing on this project. The project officially broke ground on November 16, 2010 and has obtained certificate of occupancy in February 2012. It is now in the initial lease-up phase with 97 homes or 56.7% leased to income qualified families earning income at 60% MFI or less and 81 homes occupied.

3. A primary affordability strategy for Mueller's for-sale housing is that market mechanisms will allow builders to provide a smaller house for a lower price. Affordable units are or will be included among row homes, yard homes, Mueller House units and / or other condominium product types. Due to the dispersion of these unit types between Mueller's planned residential development phases, affordable units will represent more than 25% of total units in some phases and less than 25% in others. Secondary strategies for ensuring sustained affordability include establishment of the Mueller Foundation, reviewing and participating in existing City programs, developing new programs, and partnering with third parties with expertise in funding and producing affordable for-sale homes, as described in the MDA's Affordable Housing Resolution.

Catellus has continued to successfully implement the following for-sale affordable homes strategy activities:

- The first two phases of for-sale residential subdivisions have been fully developed. David Weekley and Meritage were the affordable yard and row homebuilders for these two phases, along with their market rate homes at Mueller. To date, all 133 affordable homes have been successfully sold to affordable homebuyers and closed. In addition, the Mueller Foundation executed its purchase option and right of first refusal on nine affordable resale homes. The Mueller Foundation successfully resold the homes to households earning between 57% and 100% MFI. In addition, the Mueller Foundation has processed the refinancing of 48 affordable homes, saving the homeowners an average of \$155 per month.
- Construction of homes for the third phase began November 2010. David Weekley Homes and Standard Pacific Homes are the homebuilders for this phase. Plans for the development included 24 affordable garden homes by David Weekley and 26 affordable Mueller House homes by Standard Pacific Homes. All 50 homes are affordable to households earning 80% MFI or less. Marketing and outreach of the homes began September 2010 which included orientation sessions scheduled in Q4 2010 and Q1 2011. David Weekley has closed on all 24 of the affordable homes Standard Pacific Homes has closed 12 of the affordable homes with 12 currently under contract.

- The fourth phase of for-sale residential subdivision will have 97 affordable homes including 21 yard homes, 52 row homes, and 24 Mueller House homes. Catellus has executed contracts with Weekley Homes, Standard Pacific Homes and Streetman Homes for both the market rate and affordable homes. Infrastructure construction for this phase is under way and construction of homes is expected to start third quarter of 2012.
- PeopleTrust continues as the Mueller Compliance Agent, performing the income compliance process for the affordable purchase and rental homes.
- Frameworks continues to be Mueller's preferred partner to help affordable home buyers with general issues related to home purchase, education, credit counseling, and affiliated credit repair services through Numbers by the Book.
- The Mueller Shared Appreciation Program, created based on the Austin Housing Finance Corporation's shared-equity program, has provided soft second liens to 169 for-sale affordable homes at Mueller and was implemented through the Mueller Foundation, the non-profit organization created by Catellus to support the social and community goals including affordability at Mueller. To date, the Mueller Foundation holds a total of \$10,287,564 in shared equity liens. The Shared Appreciation Program will continually be implemented for the third and fourth phases of the development.
- Catellus and the Mueller Foundation have continued to work with PeopleTrust, the non-profit housing organization, to manage the Mueller Affordable Homes Program as well as the Shared Appreciation Program.
- The Travis Central Appraisal District agreed to assess the value of the affordable homes at the initial affordable sales price for the first year of ownership.

Tracking of Affordable Housing

Type	Reporting Period	# of Residences Contractually Committed by Builders / Developers	# of Residences Under Construction	# of Residences Occupied
For-Sale	Current Reporting Period	0	12	7
	Cumulative	280	12	169
For Rent	Current Reporting Period	0	0	81
	Cumulative	245	0	125
Total	Current Reporting Period	0	12	88
	Cumulative	525	12	294

Type	Total # of Homes Offered	Total # of Affordable Homes Contracted	% of Affordable Homes
For-Sale	1002	280	27.9%
For Rent	943	245	26.0%
Overall	1945	525	27.0%

Distribution of Existing Affordable Buyers by Median Family Income (MFI) Percentage

Affordable Level	Below 50% MFI	50%-59% MFI	60%-69% MFI	70%-80% MFI
Percent of Buyers	5%	8%	22%	65%

Distribution of Existing Renters by Median Family Income (MFI) Percentage

Affordable Level	Below 30% MFI	30%-49% MFI	50%-59% MFI	60%-80% MFI
Percent of Leased Units	18%	29%	52%	1%



N.T.S.



MUELLER

Affordable Housing Distribution Map, Sections 4 and 5

Prepared for Catellus Austin, LLC by RVi and ROMA Design Group

MAY 31, 2011

This land plan is conceptual in nature and does not represent any regulatory approval. No warranty or representation is made by the Developer as to the complete accuracy thereof, and same is submitted subject to land plan changes, or other conditions. The Developer has reserved the right, without notice, to make changes to this plan and other aspects of the development to comply with governmental requirements and to fulfill its marketing objective.

Appendix VI: City of Austin's Analysis of Impediments to Fair Housing (2009)

Fair Housing Analysis

July 2009

Submitted to the City of Austin

BBC Research & Consulting

Fair Housing Analysis

Introduction

This section contains an update to the analysis of impediments to fair housing choice in Austin. This includes analysis of the following:

- Home Mortgage Disclosure Act (HMDA) data that highlight fair lending concerns;
- Legal cases and actions within Austin and other Texas communities related to fair housing;
- Fair housing complaint process;
- Citizen input about fair housing issues;
- Rental and housing affordability; and,
- A City policy and procedure review, which primarily includes input from affordable housing developers.

Analysis of Impediments Background

This section is the Analysis of Impediments to Fair Housing Choice (AI) for the City of Austin. The AI is a U.S. Department of Housing and Urban Development (HUD) mandated review of impediments to fair housing choice in the public and private sector. The AI is required for the City of Austin to receive federal housing and community development block grant funding.¹

The AI involves:

- A review of a City's laws, regulations, and administrative policies, procedures and practices;
- An assessment of how those laws, policies and practices affect the location, availability and accessibility of housing; and
- An assessment of public and private sector conditions affecting fair housing choice.

According to HUD, impediments to fair housing choice are:

- Any actions, omissions, or decisions *taken because of* race, color, religion, sex, disability, familial status or national origin that restrict housing choices or the availability of housing choices.
- Any actions, omissions or decisions that have the effect of restricting housing choices or the availability of housing choices *on the basis of* race, color, religion, sex, disability, familial status or national origin.

¹ The City is also required to submit a Consolidated Plan for Housing and Community Development and an annual performance report to receive funding each year.

Although the AI itself is not directly approved or denied by HUD, its submission is a required component of a City's or state's Consolidated Plan for Housing and Community Development (Consolidated Plan) performance reporting. HUD desires that AIs:

- Serve as the substantive, logical basis for fair housing planning;
- Provide essential and detailed information to policy makers, administrative staff, housing providers, lenders, and fair housing advocates; and
- Assist in building public support for fair housing efforts both within a City's boundaries and beyond.

Fair Housing Act

The Federal Fair Housing Act, passed in 1968 and amended in 1988, prohibits discrimination in housing on the basis of race, color, national origin, religion, gender/sex, familial status and disability. The Fair Housing Act covers most types of housing including rental housing, home sales, mortgage and home improvement lending, and land use and zoning. Excluded from the Act are owner-occupied buildings with no more than four units, single family housing units sold or rented without the use of a real estate agent or broker, housing operated by organizations and private clubs that limit occupancy to members, and housing for older persons².

HUD has the primary authority for enforcing the Federal Fair Housing Act. HUD investigates the complaints it receives and determines if there is a "reasonable cause" to believe that discrimination occurred. If reasonable cause is established, HUD brings the complaint before an Administrative Law Judge. Parties to the action can also elect to have the trial held in a federal court (in which case the Department of Justice brings the claim on behalf of the plaintiff)³.

Local fair housing ordinance. The City of Austin has adopted a local fair housing ordinance with protections that essentially mirror the Federal Fair Housing Act. In addition, Austin also protects residents against housing discrimination based on their sexual orientation, gender identity and student status.

Community profile. The socioeconomic analysis for the AI was completed in conjunction with that required for the Consolidated Plan. The community profile includes maps showing geographic distributions of households by race and ethnicity and income. In general, minorities and low income residents live in east and southeast Austin. Please refer to the Community profile for information on Austin's community profile in Section 2.

Fair Lending Analysis

Community Reinvestment Act (CRA) ratings and Home Mortgage Disclosure Act (HMDA) data are commonly used in AIs to examine fair lending practices within a jurisdiction. Fair housing complaint data are important to pinpoint the types of discrimination that are most

² "How Much Do We Know? Public Awareness of the Nation's Fair Housing Laws", The U.S. Department of Housing and Urban Development, Office of Policy and Research, April 2002.

³ Ibid.

prevalent and detect improvements or deterioration in fair housing conditions. Used in conjunction, these data sets can identify and then diagnose the reason for potential or existing housing discrimination. Each data set is reviewed in the following text.

CRA review. The Federal CRA requires that financial institutions progressively seek to enhance community development within the area they serve. On a regular basis, financial institutions submit information about mortgage loan applications as well as materials documenting their community development activity. The records are reviewed as part of CRA examinations to determine if the institution satisfied CRA requirements. The assessment includes a review of records as related to the following:

- Commitment to evaluating and servicing community credit needs;
- Offering and marketing various credit programs;
- Record of opening and closing of offices;
- Discrimination and other illegal credit practices; and
- Community development initiatives.

The data are evaluated and a rating for each institution is determined. Ratings for institutions range from substantial noncompliance in meeting credit needs to an outstanding record of meeting a community's credit needs.

Of the 6 Austin banks where CRA examinations were conducted since 2004, all had ratings of "satisfactory."⁴

HMDA data analysis. The best source of analysis of mortgage lending discrimination is Home Mortgage Disclosure Act, or HMDA, data. HMDA data consist of information about mortgage loan applications for financial institutions, savings banks, credit unions, and some mortgage companies.⁵ The data contain information about the location, dollar amount, and types of loans made, as well as racial and ethnic information, income, and credit characteristics of all loan applicants. The data are available for home purchases, loan refinances, and home improvement loans.

HMDA data provides how banks handle the mortgage lending process, as well as how applicants fare within the process. These data can be used to identify areas of potential concern that may warrant further investigations. For example, by comparing loan approval rates of minority applicants with non-minorities who have similar income and credit characteristics, areas of potential discrimination may be detected.

4 Community Reinvestment Act (CRA) Performance Ratings: <http://www2.fdic.gov/crapes/>.

5 Financial institutions are required to report HMDA data if they have assets of more than \$32 million, have a branch office in a metropolitan area, and originated at least one home purchase or refinance loan in the reporting calendar year. Mortgage companies are required to report HMDA if they are for-profit institutions, had home purchase loan originations exceeding 10 percent of all loan obligations in the past year, are located in an MSA (or originated five or more home purchase loans in an MSA) and either had more than \$10 million in assets or made at least 100 home purchase or refinance loans in the calendar year.

The Federal Reserve is the primary regulator of compliance with fair lending regulations. When federal regulators examine financial institutions, they use HMDA data to determine if applicants of a certain gender, race, or ethnicity are rejected at statistically significant higher rates than applicants with other characteristics. The Federal Reserve uses a combination of sophisticated statistical modeling and loan file sampling and review to detect lending discrimination. Recently, the Federal Reserve began requiring banks to provide the rate spread above a certain annual percentage rate (APR) data for subprime loans. As such, HMDA data can now be used to examine differences in subprime pricing among borrowers of various races and ethnicities.

This analysis is twofold. It analyzes two types of 2007 loan data:

- Loans applications submitted to banks with their home office in Austin from residents of Austin and from residents not from Austin. This analysis is an examination of the performance of Austin-based banks; and
- Loans applied for by residents of Travis County, which serves as a geographic proxy for the City of Austin.⁶ This helps determine how Austin-area residents fared when applying for loans, both with banks in Austin and elsewhere in the U.S.

This analysis approach helps determine whether local banks are more or less likely to favor local and/or non-local applicants. Additionally, it helps determine whether Austin-area residents have difficulty obtaining mortgages from both local and non-local banks.

Types of loans

- *Austin Banks.* Of the over 65,000 loan applications submitted to Austin-based banks, 78 percent of them were for conventional loan products. An additional 17 percent of loans were for FHA-insured products and the remaining portion of the loans were for VA-guaranteed loans. Eighty-four percent of loans applied for with Austin banks were for home purchases. Thirteen percent of loans were refinances and the remaining loans were for home improvement projects.
- *Residents.* Sixty-six percent of loans applied for by Travis County residents were for home purchases, and an additional 27 percent of loans were refinances of existing loans. The remaining loans applications were for home improvements.

Conventional loan products comprised a vast majority of the loans applied for by Travis County residents (93 percent).

Race/ethnicity of loan applicants

- *Austin Banks.* Loan applicants of Austin-based banks were primarily white (74 percent), followed by African American (9 percent) and Asian (6 percent). Ten percent of applicants did not provide racial information.

⁶ Raw data for the Loan Application Register (LAR) is only available on a country-level. Institutional data is available on a City level.

Seventy-five percent of applicants ethnically identified themselves as non-Hispanic and 16 percent identified themselves Hispanic. The remaining applicants did not provide ethnic information.

- *Residents.* Sixty-five percent of Travis County residents applying for loans were white, followed by Asian (5 percent) and African American (4 percent). Racial data was either not reported or deemed not applicable for 25 percent of Travis County applicants.

Sixty-one percent of residents applying for mortgages considered themselves non-Hispanic, while 15 percent were Hispanic. The remaining applicants did not report information on ethnicity.

Loan amounts

- *Austin Banks.* In 2007, the average loan amount applied for with an Austin-based bank was \$204,000. Loan amounts varied by race. Asian applicants had the highest average loan amount of \$232,000. White applicants had an average loan amount of \$185,000, and African American had an average loan amount of \$163,000.

The difference in the average loan amount for non-Hispanic and Hispanic applicants was small; the average loan amount for non-Hispanic applicants was \$188,000 and \$171,000 for Hispanic applicants.

- *Residents.* The overall average loan amount for Travis County applicants was \$179,000. Loan amounts varied little by race for residents of Travis County. The average loan amount for white applicants was \$175,000, as compared to \$167,000 for Asian applicants and \$133,000 for African American applicants. The highest loan average was \$242,000, which was for applicants whose race was considered “not applicable”. Race data is deemed “not applicable” when the reporting institution purchased a loan and the racial data was unavailable.

The loan amount did vary by ethnicity for Travis county loan seekers. Loans for non-Hispanic residents averaged \$182,000 in 2007, as compared to \$123,000 for Hispanic residents.

Disposition of loans

- *Austin Banks.* Forty-eight percent of loans applied for at Austin-based banks originated. One of every 3 loans applied for at an Austin bank was purchased from another institution. Additionally, seven percent of loans were withdrawn by the applicant after submission, and 7 percent of applications were denied. Five percent of applications were approved by the bank, but were not accepted by the applicant.

The outcome of loan applications varied by race and ethnicity. Exhibit II-1 on the following page displays the action taken on the loan by race and ethnicity by Austin lending institutions in 2007. Although white applicants had a relatively low denial rate, they also had one of the lowest loan origination rates. Overall, thirty-five percent of loans purchased from another institution were from white applicants. The highest denial

rates were given to American Indians, which contain a very small proportion of overall applications, and African Americans.

Exhibit II-1
Action Taken on Loan by Race/Ethnicity, Austin Lending Institutions, 2007

	Approved/Not Accepted	Denied	Application Withdrawn by Applicant	Incomplete	Originated	Loan Purchased
Race						
American Indian or Alaska Native	5%	13%	10%	0%	59%	14%
Asian	6%	7%	10%	1%	55%	21%
Black or African American	5%	11%	5%	1%	53%	25%
Information not provided	5%	11%	9%	1%	38%	37%
Native Hawaiian or Other	5%	7%	9%	1%	59%	19%
Not applicable	0%	2%	0%	0%	96%	2%
White	5%	6%	7%	1%	48%	35%
Ethnicity						
Hispanic or Latino	6%	12%	8%	1%	51%	22%
Information not provided	4%	11%	9%	0%	34%	41%
Not applicable	0%	3%	2%	0%	93%	2%
Not Hispanic or Latino	4%	5%	7%	1%	49%	34%

Source: FFIEC HMDA Raw Data 2007 and BBC Research & Consulting.

- *Residents.* Overall, residents of Travis County applying for a mortgages found the following results:
 - 42 percent of loan applications of Travis County residents were approved;
 - 1 in 4 applications were purchased by the bank receiving the application; and
 - 16 percent of applications were denied.

African Americans experienced higher levels of denial than the overall Travis County population, as nearly 1 in every 3 African American applicant was denied. An additional 33 percent of African American applications originated.

Exhibit II-2.
Action Taken on Loan by Race/Ethnicity, Travis County Resident Loan Applications, 2007

	Approved/Not Accepted	Denied	Application Withdrawn by Applicant	Incomplete	Originated	Loan Purchased
Race						
American Indian or Alaska Native	6%	37%	10%	4%	32%	12%
Asian	8%	15%	6%	2%	48%	21%
Black or African American	5%	34%	13%	3%	33%	12%
Information not provided	7%	22%	15%	4%	39%	13%
Native Hawaiian or Other	9%	26%	9%	3%	39%	15%
Not applicable	0%	0%	0%	0%	3%	97%
White	7%	15%	8%	2%	50%	18%
Ethnicity						
Hispanic or Latino	6%	26%	10%	3%	40%	14%
Information not provided	7%	20%	14%	4%	38%	16%
Not applicable	0%	0%	0%	0%	3%	97%
Not Hispanic or Latino	7%	15%	8%	2%	50%	18%

Source: FFIEC HMDA Raw Data 2007 and BBC Research & Consulting.

Application denial – Why are loan applications denied?

- *Austin Banks.* Austin-based banks primarily denied loan applications due to poor applicant credit history and a bad debt-to-income ratio.
- *Residents.* The rationale for denying loan applications of Travis County residents was more diverse. Thirty percent of denials were because the applicant had a poor credit history. A poor debt-to-income ratio, little collateral, an incomplete application, and reasons categorized as “other” equally comprised the remaining reasons for loan denial.

Exhibit II-3 provides the overall denial for both Austin-based banks and residents of Travis County.

Exhibit II-3.
Overall Application Denial Rates by Reasons for Denial

Banks	Number	Percent	Travis County Residents	Number	Percent
Collateral	121	3%	Collateral	1,467	16%
Credit application incomplete	95	3%	Credit application incomplete	1,114	12%
Credit history	1,354	38%	Credit history	2,924	31%
Debt-to-income ratio	1,109	31%	Debt-to-income ratio	1,456	15%
Employment history	170	5%	Employment history	170	2%
Insufficient cash	151	4%	Insufficient cash	170	2%
Mortgage insurance denied	5	0%	Mortgage insurance denied	12	0%
Other	352	10%	Other	1,559	16%
Unverifiable information	224	6%	Unverifiable information	585	6%
Total	3,581	100%	Total	9,457	100%

Source: FFIEC HMDA Raw Data 2007 and BBC Research & Consulting.

Application denial – Who is getting denied?

Potential racial or ethnic lending discrimination becomes more apparent as applicants earn higher incomes. It is assumed that the greater the income, the less likely an applicant is to have a poor credit score or a poor debt-to-income ratio, which are both prevalent reasons for loan denial. Thus, this analysis considers the distribution of loan denials for applicants earning greater than \$103,000, or 150 percent of HUD's Median Family Income (MFI) of \$69,100 to determine whether a certain racial or ethnic group has experienced higher denial rates.

- *Austin Banks.* Loan denials of Austin-based banks disproportionately favored non-white applicants. For example, Asians applicants attributed to 8 percent of all applicants earning greater than \$103,000. However, Asian applicants represented 14 percent of all loan denials of applicants earning \$103,000 or more. White applicants accounted for 74 percent of applicants earning 150 percent of the MFI or more, yet accounted for 60 percent of the denials.

The disparity of denials by Austin-based banks was more pronounced when examined by ethnicity. Hispanic applicants accounted for 9 percent of high-earning applicants. However, 19 percent of denials of high-income applicants were for Hispanic applicants.

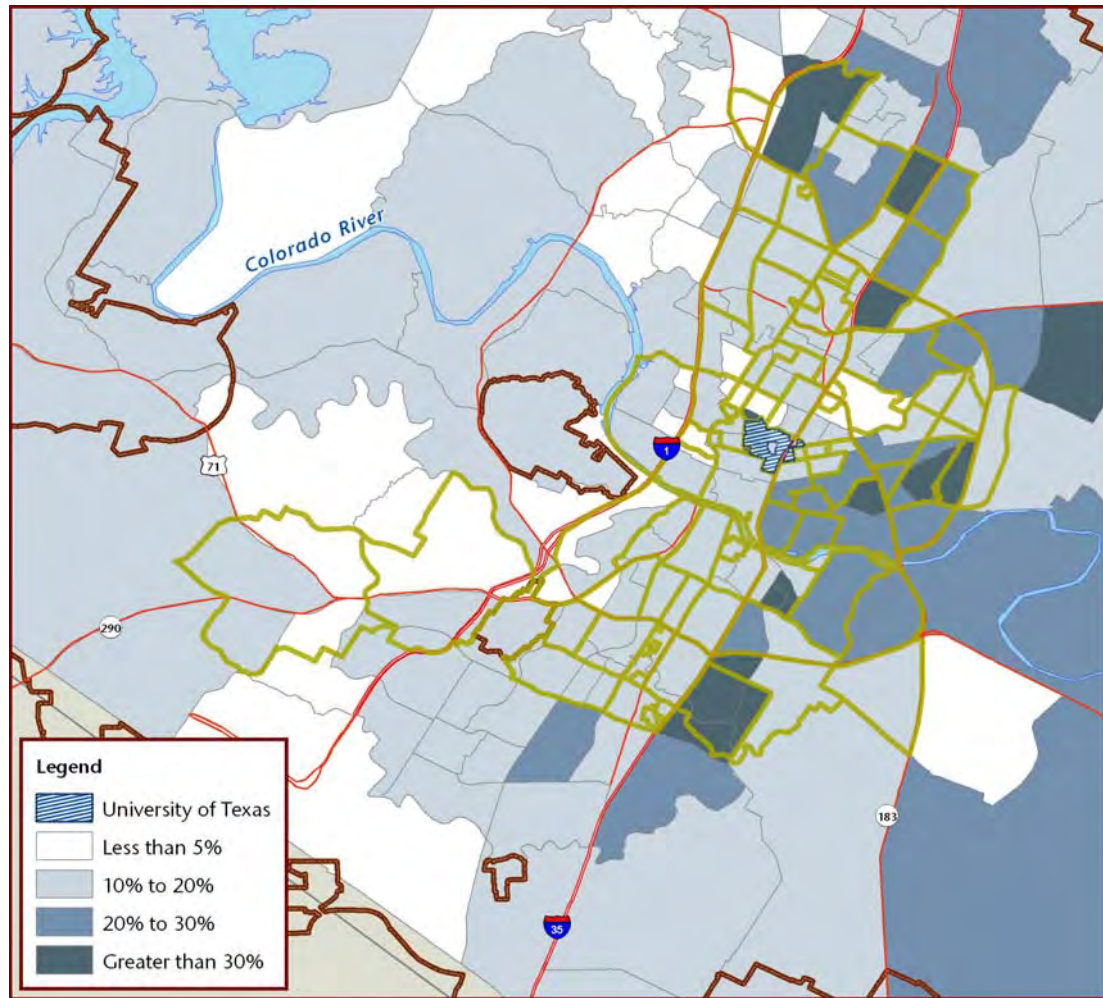
- *Residents.* For Travis County applicants, the disparities in loan denial by race and ethnicity became slightly more common once the applicant incomes rose to 150 percent of the AMI, or, greater than \$103,000. Although African Americans contributed to 2 percent of total applications, they contributed to 4 percent of loan denials by race. White applicants contributed to 72 percent of Travis County applicants earning \$103,000 or more, but accounted for only 69 percent of denials.

Similarly, Hispanic applicants accounted for 7 percent of total applicants earning 150 percent or more of the AMI. However, they accounted for 11 percent of the overall number of applicants.

Application denial – Is loan denial geographically concentrated?

- *Residents.* Application denials for residents of Travis County were much higher in the eastern and southeastern neighborhoods. Census Tracts falling within Franklin Park, McKinney, Riverside, Govalle and MLK-183 saw denial rates of 30 percent or more. Exhibit II-4 displays loan denials by Census Tract. These portions of Austin have higher concentrations of African American and Hispanic residents.

Exhibit II-4.
Loan Denials by Census Tract, Travis County, 2007



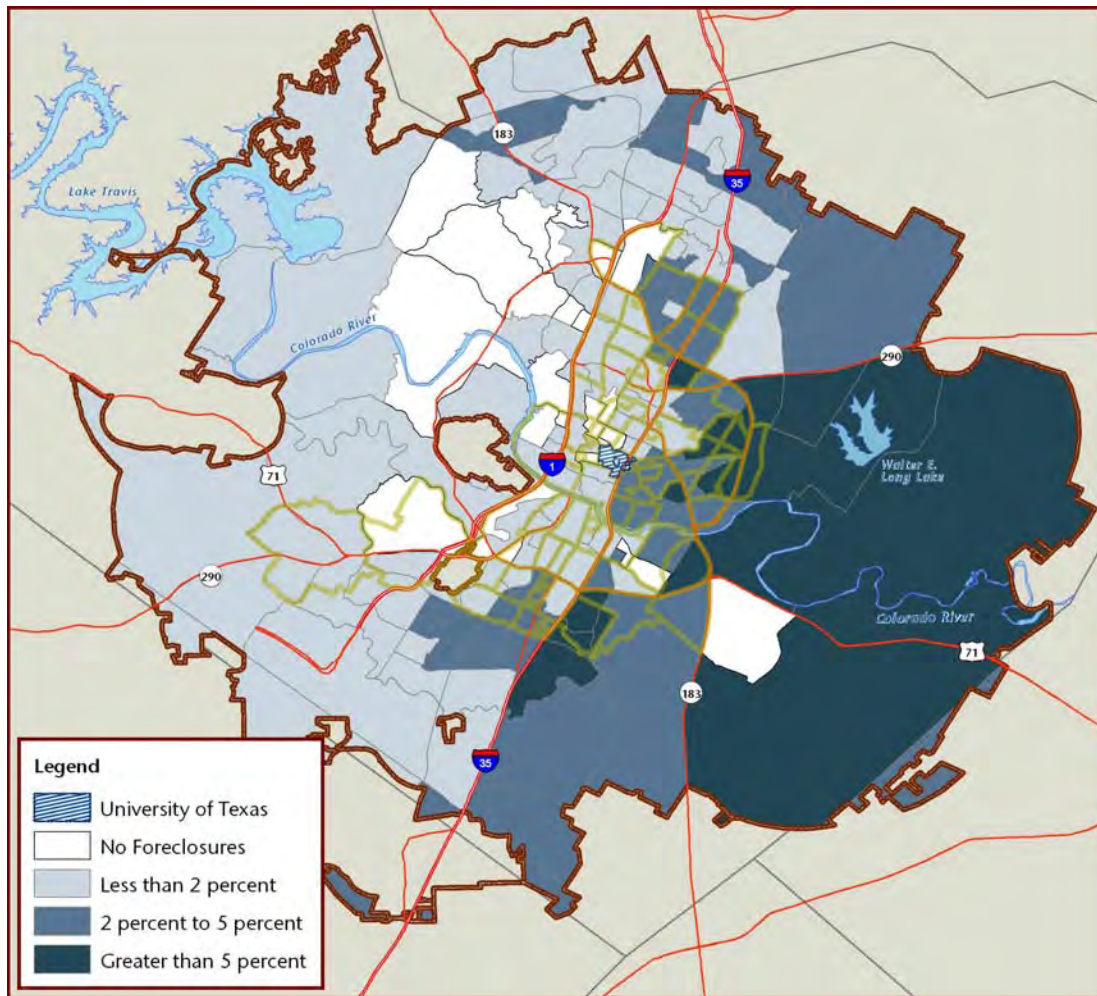
Source: FFIEC HMDA Raw Data 2007 and BBC Research & Consulting.

Foreclosures, Subprime Lending and Predatory Lending

Related to the rise in foreclosures is a growing concern about predatory and subprime lending. This section explores these issues in Austin.

Foreclosures. Overall, Austin has not been plagued with the volume of foreclosures that cities like Denver, Las Vegas and Phoenix have experienced. Rather, foreclosures in Austin have been very geographically specific. Far east and south Austin neighborhoods contain the highest levels of foreclosures within the City, indicating the correlation in Austin between low income households and foreclosures. Exhibit II-5 displays the percentage of foreclosures by Census Tract.

Exhibit II-5.
Percentage of Foreclosures by Census Tract, Austin, 2008



Note: Number of foreclosures divided by the total number of mortgages.
Source: Department of Housing & Urban Development HUD User website.

Subprime Lending and Predatory Lending. One of the fastest growing segments of the home mortgage industry is subprime lending. From 1994 through 2003, subprime mortgage activity grew an average of 25 percent per year and accounted for \$330 billion of U.S. mortgages in 2003, up from \$35 billion a decade earlier. Subprime loans are marketed and sold to customers with blemished or limited credit histories who would typically not qualify for prime loans. Consequently, the rate of interest charged for each subprime loan is generally higher than that of a comparable prime loan, due to increased credit risk. When lenders analyze potential borrowers solely by their credit score, those with scores below 620 are viewed as higher-risk and are typically denied prime loans. However, almost half of subprime mortgage borrowers have credit scores above this threshold, indicating that even a good credit score does not ensure prime loan status.

The rapid growth in popularity of subprime lending has brought increased scrutiny to the industry, resulting in two disparate views of the practice. On the one hand, subprime loans give individuals and families an opportunity for homeownership that they might not have had in the past. Some primarily credit the nearly 9-million-household increase in

homeownership during the past decade to the rise of subprime loans. On the other hand, the higher rates of interest charged on subprime loans lead to higher rates of foreclosure and serious delinquency. For example, for mortgage loans outstanding at the end of 2003, 1 percent of prime loans were seriously delinquent, compared with 7 percent of subprime loans. In addition, opponents of subprime lending emphasize that subprime borrowers are disproportionately of minority status, of lower income, and are less well educated than prime borrowers, indicating possible targeting of those less likely to fully understand the risks associated with a subprime loan. Fannie Mae CEO Franklin Raines captured this dilemma quite accurately in a recent speech: “Done right, subprime lending provides an important source of mortgage financing for families with imperfect financial or credit histories. Done wrong, subprime lending is a huge rip-off that siphons wealth—and hope—from people who have very little to begin with.”

Of the approximately 35,750 loans that originated for Travis County residents, 12 percent of those loans were considered subprime loans.

There is no one definition that sums up the various activities that comprise predatory lending. In general, predatory loans are those in which borrowers are faced with payment structures and/or penalties that are excessive and which set up the borrowers to fail in making their required payments. Subprime loans could be considered as predatory if they do not accurately reflect a risk inherent in a particular borrower.

It is difficult to identify and measure the amount of predatory lending activity in a market, largely because the industry is unregulated. In addition, predatory activity is difficult to uncover until a borrower seeks help and/or recognizes a problem in their loan. Because it is difficult to determine who is targeted for predatory lending, analysis of those receiving subprime loans provides more insight into demographics potentially more susceptible to predatory lending.

Travis County African American residents are more likely to receive subprime loans than other racial groups. African Americans applications comprised 3 percent of all loan originations, but represented 8 percent of applicants holding subprime loans.

Hispanics were also far more likely to receive a subprime loan than non-Hispanic applicants. Hispanic applicants comprised 60 percent of all approved loans; however, Hispanic applicants held 73 percent of subprime loans.

Exhibit II-6.

Race and Ethnicity of Subprime loan applicants, Travis County, 2007

	Count of Subprime	Percent of Subprime	Count of All Applications	Percent of All Applications
Race				
American Indian or Alaska Native	39	1%	160	0%
Asian American	144	3%	1,941	5%
Black or African American	326	8%	1,245	3%
Information not provided	592	14%	4,667	13%
Native Hawaiian or Other	14	0%	108	0%
Not applicable	7	0%	240	1%
White	3,086	73%	27,389	77%
Total	4,208	100%	35,750	100%
Ethnicity				
Hispanic or Latino	1,161	28%	5,048	14%
Information not provided	518	12%	4,259	12%
Not applicable	7	0%	251	1%
Not Hispanic or Latino	2,522	60%	26,192	73%
Total	4,208	100%	35,750	100%

Source: FFIEC HMDA Raw Data 2007 and BBC Research & Consulting.

Examining the demographic composition of subprime mortgage recipients does not consider credit worthiness, such as credit score or existing debt levels. Therefore, one can not automatically assume that certain racial or ethnic groups have been unjustifiably offered subprime mortgages.

Differences in average household income do explain some of the prevalence in subprime loans among African American and Hispanic applicants. However, as noted earlier, even when income is normalized across racial and ethnic groups, loan denial is still higher for non-white applicants.

African American subprime recipients in Travis County have an average income of \$87,000, as compared with an average household income of \$115,000 white subprime mortgage holders. Non-Hispanic applicants with subprime loans had an average annual income of \$128,000 and Hispanic subprime loan recipients had an average \$86,000.

Legal Cases

As part of the fair housing analysis, legal cases involving fair housing issues were reviewed to determine significant fair housing issues and trends in Austin (MSA). Case searches were completed using the National Fair Housing Advocate's case database and the U.S. Department of Justice's fair housing database.

The legal cases presented in the databases include those that involved a court decision and have been reported to legal reporting services. (Open or ongoing cases would not be

represented unless a prior court decision on the case has been made.) Additionally, disputes that are settled through mediation are not included in the reported cases.

The cases summarized below highlight recent fair housing issues that have been brought to court. Not all cases occurred within the City of Austin, but all did occur within Texas. Summary information on all cases is included to highlight recent trends and primary issues in fair housing litigation in the metro area. Cases are divided into the following categories: land use, lending, reasonable accommodations and disabilities and race-based discrimination.

Land use

Lund v. Leibl (1999). The Lunds and the Leibels owned two of three lots within the Bishop's Bend Subdivision. Usage of the lots within the subdivision is limited by a number of deed restrictions, such as using the property for single family residential usage and complying with a number of other building requirements, such as dwelling size and other exterior facade standards. The Lunds own and operate Westlake Assisted Living (WAL), L.L.C. on their property within Bishop's Bend.

A lawsuit was brought upon the Lunds and WAL by the Leibels, seeking an injunction to cease construction of the WAL, as well as on using the property as an assisted-living facility. A reverse lawsuit was filed by the Lunds, citing that the injunction discriminated against the elderly, thereby violating the Fair Housing Act.

The despite expert witnesses testifying that the assisted living facility was both in defiance of the single family deed restriction and would negatively affect home values within the subdivision, the Fair Housing Act "trumped" the single family deed restriction in place at the subdivision, therefore making the injunction improper. However, when asked to prove that the facility would specifically serve residents with handicaps, the Lunds could only prove the facility would be for elderly residents and would specifically address the care associated with older age. Thus, as a result, construction on the facility was not completed, nor was the assisted living facility opened. However, this was not because abidance of the Fair Housing Act was deemed less important than land use codes, but rather, the inability of the Lunds to prove that the facility would directly serve residents with disabilities.

Lending

United States v. Security State Bank (1995). The complaint alleged that Hispanic loan applicants were receiving higher interest rates on loans than non-Hispanic applicants. As a result of this lawsuit, the bank created a \$500,000 fund to compensate victims.

Reasonable accommodations/disabilities cases

United States v. JPI Apartment Construction, L.P., et al. (2009). On March 4, 2009, the United States filed a pattern or practice complaint in *United States v. JPI Apartment Construction, L.P., et al.* (N.D. Tex.). The complaint alleges that JPI failed to comply with the design and construction requirements of the Fair Housing Act (FHA) and ADA in the design and

construction of two multi-family housing complexes in Texas and some of JPI's other 205 nationwide multi-family properties.⁷

United States v. Air Park (2008). The complaint alleges that the members of the zoning committee and property owners of Air Park Estates, in Collin County, Texas, violated the Fair Housing Act by refusing to allow the complainant to keep a footbridge in front of her house. The complainant, who has a mobility disability, needs to use the bridge to reach the street without risk of injury.⁸

United States v. SDC Legend Communities, Inc., et al. (2006). This case was brought against architects, engineers, developers, builders and owners of two multi-family residential complexes in Austin financed through Low Income Housing Tax Credits (LIHTC), citing disability discrimination.

The order provides for retrofits of routes, entrances, and public and common-use areas, as well as interior retrofits in certain units and installation of enhanced accessibility features in others. The order also requires the defendants to establish a \$50,000 fund which will be used to compensate individuals harmed by the inaccessible housing and to pay \$10,000 in civil penalties to the government. The order also provides for injunctive relief, training, reporting and record keeping. The consent order will remain in effect for three years.⁹

United States v. Hous. Auth. of the City of San Antonio, et al. (2006). The complaint arose after the Mr. Maldanados, a double leg amputee who uses a wheelchair, and his wife, also disabled, asked to be moved from a third floor unit to a first floor unit and were denied, despite having first floor units available. The defendants were eventually moved to a first floor unit and were paid \$125,000 in damages and attorney fees. The \$125,000 also assisted a fair housing organization that aided the Maldonados to implement a reasonable accommodation policy and to attend fair housing training.

Race-based discrimination

United States v. Silva (2005). The complaint was made against the owners of 9 single family homes in Austin and San Antonio. It is believed that the defendants led Hispanics to believe that they were purchasing for sale properties. Instead, the tenants were entering into lease agreements for the properties. The 15 victims were awarded \$103,651 in damages, \$110,000 in civil penalties, and injunctive relief.

Fair Housing Complaint Process and Data

Two entities, the City of Austin Equal Employment and Fair Housing Office and the Austin Tenants Council (ATC) are responsible for receiving and investigating fair housing complaints within Austin.

⁷ Taken directly from Department of Justice legal summary.

⁸ Taken directly from Department of Justice legal summary.

⁹ Taken directly from Department of Justice legal summary

In 2008, over half of all fair housing complaints in Austin were regarding disability status. Familial and racial discrimination were the next more prevalent types of fair housing concern experienced in Austin.

City of Austin Equal Employment and Fair Housing Office. The Equal Employment and Fair Housing Office is “empowered to investigate complaints of discrimination, which includes the collection of evidence to either prove or disprove discrimination that occurred.”¹⁰ This office is responsible for enforcing the City’s fair housing ordinance. When a complaint has been received, the office investigates the complaint to determine whether a formal complaint should be filed to HUD.

During the 2008 fiscal year, the City’s fair housing office received and investigated 100 complaints. Fifteen complaints resulted in filing complaints with HUD, 30 were conciliated and the remaining cases were determined to be no cause.

The complaints were distributed in the following way:

- 51 complaints were from residents because of a disability
- 30 complaints were because of racial discrimination
- 20 complaints were from residents because of discrimination of their familial status
- 8 complaints were because of national origin
- 8 complaints were because of gender discrimination

Austin Tenants Council (ATC). The ATC was founded in 1973 and became a recognized Fair Housing Initiatives Program (FHIP) in 1992. According to HUD, “fair housing organizations and other non-profits that receive funding through the Fair Housing Initiatives Program (FHIP) assist people who believe they have been victims of housing discrimination.” The ATC operates a number of programs, including a fair housing program (FHP) that “helps any person who has been discriminated against in the rental, sale, financing, or appraisal of housing.” More specifically, the FHP “documents and investigates complaints; provides advice about remedies under fair housing laws; and coordinates legal services to assist victims of housing discrimination.” The ATC is also very active in providing the community with fair housing information through seminars and presentations.¹¹

In addition to fair housing, the ATC also provides telephone and in-person counseling, as well as mediation services to assist low-income renters with threatening repairs or other emergencies.

For the 2008 project year, extending from January 15, 2008, through January 14, 2009, the ATC had a complaint goal of 300. They received 365 actual complaints. The complaints were distributed by the following types of complaints received:

¹⁰ <http://www.ci.austin.tx.us/hrights/>

¹¹ <http://www.housing-rights.org/fairhousing.html>

- 54 percent (198) were complaints from individuals with disabilities;
- 30 percent (109) were complaints about discrimination for familial status;
- 7 percent (26) of complaints were discrimination against race; and
- the remaining complaints were with regards to national origin (14), gender (12), violation of City ordinances (5), and color (1).

Public Input

A stakeholder focus group was conducted with fair housing represented in Austin. Their input is summarized below. Additionally, in conjunction with the Austin Housing Market Study, a resident survey was completed, which asked residents about their experience with fair housing issues in Austin. Survey results also appear in this section.

Focus Group. A focus group of fair housing stakeholders was conducted in January of 2009 to discuss fair housing needs in Austin. Organizations represented at the focus group included the following (in alphabetical order):

- | | |
|--|--|
| ■ ADAPT | ■ Family Eldercare |
| ■ Austin Apartment Association | ■ Housing Authority of Travis County |
| ■ Austin Centers for Independent Living | ■ Human Rights Commission |
| ■ Austin Tenants Council | ■ Mary Lee Foundation |
| ■ Capstone Management | ■ Mayor's Committee for Disabled Persons |
| ■ Community Development Commission (CDC) | ■ Re-Entry Roundtable |
| ■ Cypress International | ■ United Cerebral Palsy of Texas |

The following were the primary concerns raised by attendees of the fair housing focus group: affordability; the prevalence of unlicensed service providers; and, the need for transitional housing for renters unable to meet renter qualifications. Overall, fair housing stakeholders felt that problems associated with fair housing were compounded by the overall lack of housing affordable to Austin's extremely poor, which often consists of residents on fixed incomes. Thus, although some stakeholder concerns were not directly linked to fair housing, many problems faced by residents were their inability to find ample affordable housing opportunities.

Affordability. The overall lack of extremely affordable rental properties, particularly those that are accessible to people with disabilities and senior citizens, was the primary concern voiced by many focus group attendees. Affordability was often defined as units affordable to residents earning 30 percent or less than the MFI, which often includes people living on fixed income, such as Social Security.

The overall sentiment of the group is that the overall lack of affordability causes residents needing such units to settle for unfair treatments from property owners and accept potentially substandard living conditions to ensure that they are able to stay in the unit that have secured.

Unlicensed service providers. The group voiced concern over the prevalence of unlicensed group and boarding homes that provide housing and services for elderly individuals or disabled residents requiring help and additional services. Many homes accept social security payments directly, thereby stripping the resident of any sort of power from withholding rent and payments if service is substandard.

Although focus group participants did say that some homes, whether licensed or not, provide quality care and help fill a market niche, other facilities are very poor, which makes residents susceptible to abuse and exploitation.

Transitional housing. Although not directly linked to fair housing, stakeholders identified a need for assistance or additional housing opportunities for individuals with poor rental or credit history and criminal backgrounds. Stakeholders felt that renters in Austin should be given a second chance. Until credit is restored or criminal histories are cleared for residents, the City should help residents find housing. When the City doesn't intervene for these subsets of the population, fair housing violations become more rampant as residents are forced into difficult housing situations.

In sum, the focus groups primary concerns centered on affordability. When residents struggle with finding affordable units that meet their needs, particularly with regards to accessibility, fair housing issues arise as residents tolerate unnecessary conditions to retain their current living situation.

Citizen Survey. In conjunction with a survey for the March 2009 Austin Comprehensive Housing Study, BBC, with the assistance of Davis Research, conducted two citizen survey efforts to understand more about the housing needs of Austinites and their experience with fair housing and housing discrimination issues within Austin:

- *Telephone survey.* Between mid-November and early December, Davis Research interviewed 484 residents in Austin. The interviews were conducted to obtain two samples of Austin residents: 1) Those earning less than \$55,000 per year; and 2) All Austin residents. About 7 percent of the surveys were completed in Spanish; the rest were completed in English.
- *Online survey.* Between mid-November and mid-December, an online survey was available on the City of Austin's Neighborhood Housing & Community Development website, which linked to a separate URL (www.cityofaustin.org/housing) that contained the survey. Respondents were able to complete and submit the 10 minute survey completely online. The survey was restricted to residents living within City boundaries and making less than \$100,000 per year. 318 people completed the survey; 177 attempted to take the survey but were not able to complete it because they made more than \$100,000 (104 attempts) or lived outside of Austin (73 attempts). All of the surveys were completed in English.

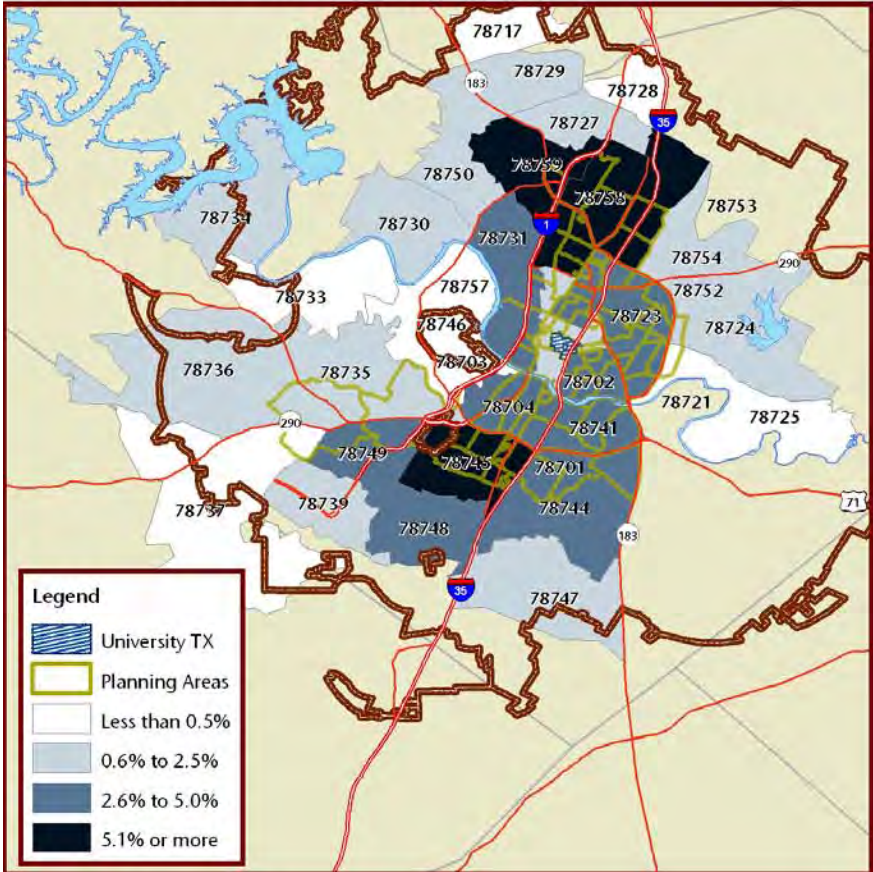
Compared to demographics for the City overall, the telephone survey captured more seniors and fewer younger households. The online survey captured more households between the ages of 25 and 44 and fewer seniors than live in the City overall.

Except for the low income subsample, both surveys captured more homeowners than renters. Sixty-six percent of the telephone survey respondents were owners. Fifty-nine percent of the online respondents were owners. This compares to a homeownership rate of 46 percent in the City. As such, the survey data were weighted to more accurately reflect tenure in the City.

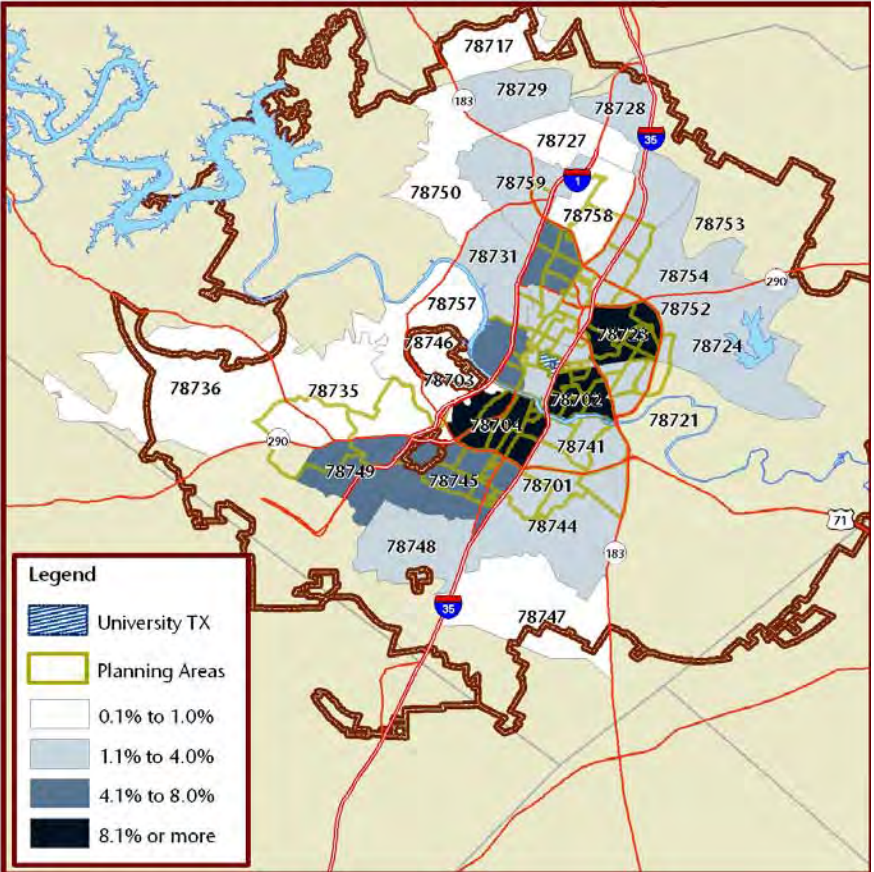
The following exhibit provides a geographic distribution of survey respondents.

Exhibit II-7.

Where Telephone Survey Respondents Live



Where Online Survey Respondents Live



Source: Austin Resident Surveys, 2008.

Thirteen percent of respondents to the telephone survey and 17 percent of online survey respondents said they had experienced discrimination in trying to find housing. Exhibit II-8 shows the main reasons respondents felt they had been discriminated against. It should be noted that not all of the reasons include protected classes under the Fair Housing Act—e.g., people cannot bring a case of discrimination based on income level or credit issues in most areas.

Exhibit II-8.

What was/were the reason(s) you feel you were discriminated against?

Source:
Austin Resident Surveys, 2008

	Telephone Survey	Online Survey
Age	4%	0%
I have a low income	6%	20%
I have bad credit/bankruptcy/debts	26%	3%
I have children	2%	7%
I'm gay/lesbian/bisexual/transgendered	2%	3%
I'm not a United States citizen	2%	0%
I'm physically disabled	5%	12%
My gender/sex	1%	8%
My religion	2%	3%
Not married (to partner)	0%	7%
Other	18%	7%
Race	33%	24%
Student	0%	8%

The majority of respondents who felt they had been discriminated against did nothing about it. Six to 10 percent filed a complaint.

Respondents were also asked what they would do if they wanted to know more about their fair housing rights. Most would look for information on the internet, as shown in Exhibit II-9. This was less true of low income respondents, who preferred to call a lawyer/consult legal aid or find information through local government sources.

Exhibit II-9.

If you wanted to know more about your fair housing rights, how would you get information?

Source:
Austin Resident Surveys, 2008

	Telephone Survey	Online Survey
Call a lawyer/ ACLU/ Legal Aid/ Attorney General's office	7%	14%
HUD website		12%
Internet search	37%	32%
Library	10%	4%
Local government information source/officials	13%	18%
Other	23%	10%
Public housing authority	7%	9%
TV	3%	1%

Affordability

A more in-depth discussion of affordability is included in the Housing Market chapter of the Consolidated Plan. However, the Austin Housing Market Study released in March of 2008 found the following with regards to affordability in Austin:

- About 35 percent of renters in Austin could afford the average priced rental unit of \$843, which requires an annual household income of \$34,000.
- In 2008, 21,700 renter households—13 percent of all renter households in Austin—earned less than \$10,000. Austin has approximately 2,400 units and rental assistance vouchers for these households, which can afford a rent of approximately \$175 per month—leaving a gap of 19,300 underserved households.
- Another 24,500 renter households earn between \$10,000 and \$20,000—14 percent of all renters. They need apartments with rents of between \$175 and \$425 to avoid being cost burdened. In 2008, these renters had approximately 4,750 affordable units and vouchers available to them, leaving a gap of 19,800 underserved households.
- About 13 percent of current renters in Austin and 53 percent of homeowners could afford the average price for sale unit could afford the average priced for sale unit.

Exhibit II-10.
Affordability of Median Priced
Units to Renter
and Owner Households, Austin,
2008

Source:
MLS and BBC Research & Consulting.

	All Units	Single Family Detached	Single Family Attached	Multifamily
Median Price	\$240,000	\$260,000	\$199,000	\$214,900
Renters	21,463	18,631	36,620	30,742
Percent	13%	11%	22%	19%
Owners	74,405	69,029	87,772	82,588
Percent	53%	49%	62%	58%

In sum, Austin's primary affordability problem exists for the lowest earning households seeking rental properties.

City Policy Review

This section examines barriers to affordable housing development in Austin. It contains the results of interviews that were conducted with stakeholders, focus groups with nonprofit and private developers. It also contains the results of interviews and a review of the policies and procedures of the City of Austin Housing Authority (HACA).

Stakeholder Input. A series of stakeholder meetings were held in conjunction with the Austin Housing Market study, released in March of 2008. The stakeholder meetings were conducted by BBC Research & Consulting and focused on affordable housing opportunities and barriers within the City. Approximately 100 affordable housing developers, policymakers and advocates participated in the stakeholder meetings. The following summarizes their opinions with regards to City policies and procedures and community barriers with regards to developing affordable and special needs housing in Austin.

Regulatory barriers to developing affordable housing

- There is too little zoning for multifamily development.
- Site development costs are prohibitive because of the City's sewer requirements. There needs to be a less costly way to tie into the City's sewer system.
- Stricter building requirements aimed at environmental preservation have increased building costs substantially, directly impacting housing affordability.
- The 23 separate ordinances related to development in the past 18 months demonstrate the regulatory burden that raises development costs.
- The development process requires working with multiple departments and individuals. It is tough to find anyone in the City who is willing to make a decision. The common response is "this isn't my area of expertise."
- Neighborhood planning is inconsistent.
- Overall, developers feel that the SMART TM Housing program is not as streamlined as it should be, given that one of the incentives is staff assistance. Developers feel that no one City department took ownership of the program.
- Many affordable housing developers would like to see a streamlined City approval process, which would, in turn, lessen their carrying costs on projects.

Community barriers

- Powerful neighborhood associations make affordable projects very difficult.
- City neighborhoods don't have the resources as private sector developers. The City should give the neighborhoods full-time advocates to negotiate development specifications (Portland has such a program).
- The lack of an overall planning vision constrains the amount of development that occurs.
- The City has a lack of altruistic developers and community commitment.
- Condominium conversions remove low income rental properties from the market through conversion processes.

Explicit change in City zoning

- No more cumulative zoning
 - Stop neighborhood backlash against multi-use zoning
 - Need a more "big picture" land use code/Overall Zoning
 - A streamlined development process. It can't continue to take years to get a development approved.
-

Summary Findings and Impediments

The following provides a summary of analysis, the identified impediments and fair housing recommendations.

Findings

Home Mortgage Disclosure Act (HMDA) Analysis. The HMDA analysis was two-fold, analyzing mortgage activity of Austin-based banks, as well as analyzing loan applications submitted by Travis County residents.

Austin banks appear to handle a relatively homogenous product of loan applications, primarily receiving applications for conventional loans for home purchases. Travis County residents also primarily applied for conventional loans, but had a higher percentage of refinances and home improvement loans than was seen by Austin banks.

Racial composition of loans was difficult to determine, as nearly 25 percent of Travis County residents did not report their race. Loan application amounts received by Austin banks were typically higher than loans applications submitted by local residents. A smaller loan amount differential existed by race and ethnicity for Austin banks, than existed for Travis County residents, meaning that Travis County residents applying for loans were more diverse financially.

Austin banks had a very low denial rate (7 percent), as most applications received by Austin banks were either approved or purchased from another institution, which does not require approval. Denial rates were much higher for Travis County residents at 16 percent. More specifically, denial rates for African American and Hispanic applicants from Travis County were substantially higher than those seen at local banks.

Austin banks primarily denied applications due to poor credit history and a bad debt-to-income ratio. Reasons for denial varied more for Travis County applicants; 16 percent of denials were due to a lack of collateral and an additional 12 percent of applications were denied due to an incomplete application. Loan denials of Travis County applicants were more concentrated in the eastern and southeastern portions of the City.

Of the nearly 36,000 loan applications submitted by Travis County residents in 2007, 12 percent were considered subprime. African American and Hispanic applicants were more likely to receive a subprime loan product.

Legal Case Summaries. Most reported cases of fair housing violations in Austin and Texas were related to reasonable accommodations and disability status. These cases involved lawsuits against builders and architects to ensure buildings were properly retrofitted with amenities necessary for individuals with disabilities, as well as against public housing authorities by individuals wanting first floor apartments for increased accessibility.

Fair Housing Complaint Process. Two entities within Austin are responsible for receiving fair housing complaints from residents. The City of Austin Equal Employment and Fair Housing Office are responsible for enforcing the City's fair housing ordinance and filing complaints with HUD. In 2008, 100 complaints were investigated and 15 turned into formal complaints with HUD. The Austin Tenants' Council (ATC) is a Fair Housing Initiatives Program (FHIP). ATC received 365 fair housing complaints in 2008. Most complaints received by both entities were for discrimination against disability status.

Citizen Input. Stakeholder meetings identified a strong need for a greater level of affordability in Austin's rental market. Many stakeholders felt that housing discrimination goes unreported and is tolerated by residents because of their hesitancy to leave their current situation due to a lack of affordable and accessible rental units in Austin.

The citizen survey revealed that 13 percent of respondents to the telephone survey and 17 percent of online survey respondents said they had experienced discrimination in trying to find housing. When asked why they were discriminated against, 33 percent of telephone respondents and 24 percent of online respondents felt that it was because of their race. Approximately one-third of both telephone and online respondents said they were rely on the internet when seeking help on fair housing issues.

Housing Affordability. Austin is seen as a relatively expensive housing market, particularly when compared to other Texas communities. Approximately 35 percent of current renters could afford the average priced unit in Austin (\$843). As such, a very large rental gap exists for Austin's households earning less than \$20,000 per year. Although not all of these renters are homeless, they are most likely paying more than 30 percent of their monthly income to rent.

City Policies. A series of stakeholder meetings conducted during the completing of the Housing Market Study identified a number of concerns local affordable housing developers, advocates and policymakers had with developing housing in Austin. Overall, stakeholders felt the development process was difficult and lengthy due to a process that was not streamlined, lofty site development costs, inconsistent citywide zoning, and a large number of ordinances passed within the last 2 years. Additionally, many felt that the lack of a city-wide vision for growth allowed inconsistent neighborhood plans to take precedent in development decisions.

Impediments

The following impediments to fair housing choice were identified through this research:

Lack of action by residents experiencing discrimination

A discrepancy exists between the number of surveyed residents citing housing discrimination and the number of complaints received by the City of Austin Equal Employment and Fair Housing Office and the Austin Tenant's Council and the number of survey respondents citing discrimination in Austin. Although some respondents cited discrimination for reasons not protected under fair housing ordinances (ex: bad credit), many respondents cited race as the reason for their housing discrimination. Race was not the most common complaint received by either complaint investigation organizations.

NIMBYism

There is no strong, comprehensive guiding document for development in Austin. This has allowed neighborhood groups to play a very strong role in guiding the development process in Austin. Although neighborhood groups contribute to the unique fabric of Austin and help encourage a participatory and engaging public process, it also provides an opportunity for neighborhood groups to discourage the development of certain types of housing that are necessary to ensure housing for all of Austin's residents. This is often referred to as Not in my Backyard, or, NIMBYism. NIMBYism was mentioned as a problem in Austin by a number of stakeholders familiar with the development process in Austin.

Geographic concentration of loan denials

HMDA data suggests that residents in east Austin receive a higher proportion of loan denials than in other portions of the city. These neighborhoods also have traditionally contained higher proportions of African American and Hispanic residents. A lack of capital in these neighborhoods could result in a disinvestment in certain parts of Austin.

Policy barriers to affordable housing development

Stakeholders identified a number of policy and procedural barriers to fair housing, and, developing affordable housing in particular. The development process is made difficult in Austin by a number of city ordinances passed within the last two years, site development costs, inconsistent neighborhood zoning, a city approval process that is not streamlined and a lack of multifamily zoning.

Affordability

Stakeholders and affordable housing developers alike identified affordability as an impediment to fair housing. Affordable housing developers and others responsible for providing affordable housing stock in Austin said high land costs often make affordable housing projects economically infeasible. Additionally, many said the approval process with the city can be lengthy, which adds carrying costs to projects. As a result, stakeholders said affordable housing stock is limited for those who need it, which means that many low income individuals may be living in substandard housing or tolerating discriminatory situations, such as apartments with little to no accessibility, for fear of not finding another affordable unit.

City of Austin Fiscal Year 2012-13 CAPER

Appendix VII: PR26 – CDBG Financial Summary Report

PY: 2012

LOCCS RECONCILIATION

Unexpended balance of CDBG funds	\$ 7,678,593.70
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(Line 16 of the IDIS Financial Summary)

LOCCS Balance (as of the end of the program year)	\$ 7,578,809.27
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**Unexpended CDBG program income and /or
Unexpended CDBG grant funds:**

a) <i>Grantee Program Account</i>	\$ 5,833.33
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b) <i>Subrecipients Program Account</i>	\$ -
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c) <i>Revolving Fund Cash Balances</i>	\$ 1,068,735.31
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d) <i>Section 108 Cash Balances</i>	\$ -
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Total CASH ON-HAND	\$ 1,074,568.64
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Grantee Program Liabilities	304,800.71
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(include any reimbursements due from program funds)

Subrecipient Program Liabilities	669,983.50
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(include any reimbursement due from program funds)

Total LIABILITIES	\$ 974,784.21
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RECONCILING BALANCE	\$ 7,678,593.70
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Liabilities Total)

Total Unexpended Balance of CDBG Funds minus Reconciling Balance =	\$ -
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Explanation for discrepancy



Office of Community Planning and Development
U.S. Department of Housing and Urban Development
Integrated Disbursement and Information System
PR26 - CDBG Financial Summary Report
Program Year 2012
AUSTIN , TX

DATE: 11-18-13
TIME: 16:13
PAGE: 1

PART I: SUMMARY OF CDBG RESOURCES

01 UNEXPENDED CDBG FUNDS AT END OF PREVIOUS PROGRAM YEAR	7,765,022.47
02 ENTITLEMENT GRANT	6,692,838.00
03 SURPLUS URBAN RENEWAL	0.00
04 SECTION 108 GUARANTEED LOAN FUNDS	0.00
05 CURRENT YEAR PROGRAM INCOME	733,344.93
05a CURRENT YEAR SECTION 108 PROGRAM INCOME (FOR SI TYPE)	0.00
06 RETURNS	0.00
07 ADJUSTMENT TO COMPUTE TOTAL AVAILABLE	0.00
08 TOTAL AVAILABLE (SUM, LINES 01-07)	15,191,205.40

PART II: SUMMARY OF CDBG EXPENDITURES

09 DISBURSEMENTS OTHER THAN SECTION 108 REPAYMENTS AND PLANNING/ADMINISTRATION	5,596,956.56
10 ADJUSTMENT TO COMPUTE TOTAL AMOUNT SUBJECT TO LOW/MOD BENEFIT	0.00
11 AMOUNT SUBJECT TO LOW/MOD BENEFIT (LINE 09 + LINE 10)	5,596,956.56
12 DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION	1,353,388.07
13 DISBURSED IN IDIS FOR SECTION 108 REPAYMENTS	562,267.07
14 ADJUSTMENT TO COMPUTE TOTAL EXPENDITURES	0.00
15 TOTAL EXPENDITURES (SUM, LINES 11-14)	7,512,611.70
16 UNEXPENDED BALANCE (LINE 08 - LINE 15)	7,678,593.70

PART III: LOWMOD BENEFIT THIS REPORTING PERIOD

17 EXPENDED FOR LOW/MOD HOUSING IN SPECIAL AREAS	0.00
18 EXPENDED FOR LOW/MOD MULTI-UNIT HOUSING	0.00
19 DISBURSED FOR OTHER LOW/MOD ACTIVITIES	5,166,999.95
20 ADJUSTMENT TO COMPUTE TOTAL LOW/MOD CREDIT	0.00
21 TOTAL LOW/MOD CREDIT (SUM, LINES 17-20)	5,166,999.95
22 PERCENT LOW/MOD CREDIT (LINE 21/LINE 11)	92.32%

LOW/MOD BENEFIT FOR MULTI-YEAR CERTIFICATIONS

23 PROGRAM YEARS(PY) COVERED IN CERTIFICATION	PY: PY: PY:
24 CUMULATIVE NET EXPENDITURES SUBJECT TO LOW/MOD BENEFIT CALCULATION	0.00
25 CUMULATIVE EXPENDITURES BENEFITING LOW/MOD PERSONS	0.00
26 PERCENT BENEFIT TO LOW/MOD PERSONS (LINE 25/LINE 24)	0.00%

PART IV: PUBLIC SERVICE (PS) CAP CALCULATIONS

27 DISBURSED IN IDIS FOR PUBLIC SERVICES	944,131.70
28 PS UNLIQUIDATED OBLIGATIONS AT END OF CURRENT PROGRAM YEAR	0.00
29 PS UNLIQUIDATED OBLIGATIONS AT END OF PREVIOUS PROGRAM YEAR	0.00
30 ADJUSTMENT TO COMPUTE TOTAL PS OBLIGATIONS	0.00
31 TOTAL PS OBLIGATIONS (LINE 27 + LINE 28 - LINE 29 + LINE 30)	944,131.70
32 ENTITLEMENT GRANT	6,692,838.00
33 PRIOR YEAR PROGRAM INCOME	332,350.90
34 ADJUSTMENT TO COMPUTE TOTAL SUBJECT TO PS CAP	0.00
35 TOTAL SUBJECT TO PS CAP (SUM, LINES 32-34)	7,025,188.90
36 PERCENT FUNDS OBLIGATED FOR PS ACTIVITIES (LINE 31/LINE 35)	13.44%

PART V: PLANNING AND ADMINISTRATION (PA) CAP

37 DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION	1,353,388.07
38 PA UNLIQUIDATED OBLIGATIONS AT END OF CURRENT PROGRAM YEAR	0.00
39 PA UNLIQUIDATED OBLIGATIONS AT END OF PREVIOUS PROGRAM YEAR	0.00
40 ADJUSTMENT TO COMPUTE TOTAL PA OBLIGATIONS	0.00
41 TOTAL PA OBLIGATIONS (LINE 37 + LINE 38 - LINE 39 + LINE 40)	1,353,388.07
42 ENTITLEMENT GRANT	6,692,838.00
43 CURRENT YEAR PROGRAM INCOME	733,344.93
44 ADJUSTMENT TO COMPUTE TOTAL SUBJECT TO PA CAP	0.00
45 TOTAL SUBJECT TO PA CAP (SUM, LINES 42-44)	7,426,182.93
46 PERCENT FUNDS OBLIGATED FOR PA ACTIVITIES (LINE 41/LINE 45)	18.22%

U.S. Department of Housing and Urban Development
Office of Community Planning and Development
Integrated Disbursement and Information System
Program Income Details by Fiscal Year and Program
AUSTIN, TX

Date: 11-18-13
Time: 18:41
Page: 1

Report for Program:10/1/2009
Voucher Dates:11-18-2013 to

Fiscal Year	Program	Associated Grant Number	Fund Type	Estimated Income for Year	Transaction	Voucher #	Voucher Created	Voucher Type	IDIS Proj. ID	IDIS Actv. ID	Matrix Code	Received/Drawn Amount
2009	CDBG	B09MC480500	RL	0.00	RECEIPTS	5003720	10-29-09	-				244.32
						5004780	11-17-09	-				244.32
						5005258	11-25-09	-				200.00
						5005259	11-25-09	-				300.00
						5005260	11-25-09	-				166.68
						5005262	11-25-09	-				308.94
						5005263	11-25-09	-				200.00
						5005264	11-25-09	-				150.00
						5005265	11-25-09	-				472.21
						5005266	11-25-09	-				472.21
						5005362	11-30-09	-				110.00
						5005364	11-30-09	-				110.00
						5005365	11-30-09	-				100.00
						5005366	11-30-09	-				200.00
						5005368	11-30-09	-				166.68
						5005373	11-30-09	-				3,000.00
						5005374	11-30-09	-				3,000.00
						5005375	11-30-09	-				1,671.74
						5005376	11-30-09	-				556.65
						5005378	11-30-09	-				556.65
						5005380	11-30-09	-				1,012.66
						5005382	11-30-09	-				81,277.48
						5005660	12-03-09	-				7,929.76
						5005667	12-03-09	-				12,723.35
						5005673	12-03-09	-				8,123.16
						5005830	12-07-09	-				556.65
						5005833	12-07-09	-				67,145.05
						5005837	12-07-09	-				3,000.00

Fiscal Year	Program	Associated Grant Number	Fund Type	Estimated Income for Year	Transaction	Voucher #	Voucher Created	Voucher Type	IDIS Proj. ID	IDIS Actv. ID	Matrix Code	Received/Drawn Amount
						5005838	-	12-07-09				350.00
						5005872	-	12-08-09				200.00
						5005873	-	12-08-09				100.00
						5006760	-	12-17-09				244.32
						5006971	-	12-22-09				472.21
						5006972	-	12-22-09				166.68
						5006974	-	12-22-09				110.00
						5007769	-	01-07-10				200.00
						5008347	-	01-15-10				244.32
						5008577	-	01-19-10				110.00
						5008578	-	01-19-10				300.00
						5009576	-	01-29-10				472.21
						5009577	-	01-29-10				166.68
						5009578	-	01-29-10				3,000.00
						5009580	-	01-29-10				556.65
						5009582	-	01-29-10				250.00
						5009583	-	01-29-10				200.00
						5009586	-	01-29-10				100.00
						5009626	-	01-29-10				200.00
						5009627	-	01-29-10				200.00
						5010272	-	02-08-10				556.65
						5010284	-	02-08-10				310.00
						5010286	-	02-08-10				3,000.00
						5010289	-	02-08-10				3,343.48
						5010293	-	02-08-10				100.00
						5010295	-	02-08-10				200.00
						5010296	-	02-08-10				166.68
						5010557	-	02-11-10				9,402.73
						5010559	-	02-11-10				472.21
						5010845	-	02-17-10				110.00
						5010908	-	02-18-10				244.32
						5011402	-	02-25-10				200.00
						5011403	-	02-25-10				200.00
						5011615	-	03-01-10				150.00
						5012122	-	03-08-10				348.85
						5012123	-	03-08-10				307.78
						5012124	-	03-08-10				200.00
						5012125	-	03-08-10				100.00

Fiscal Year	Program	Associated Grant Number	Fund Type	Estimated Income for Year	Transaction	Voucher #	Voucher Created	Voucher Type	IDIS Proj. ID	IDIS Actv. ID	Matrix Code	Received/Drawn Amount
						5012126	-	03-08-10				6,645.10
						5012612	-	03-16-10				556.65
						5012613	-	03-16-10				166.68
						5012614	-	03-16-10				3,000.00
						5012615	-	03-16-10				200.00
						5012616	-	03-16-10				472.21
						5013401	-	03-23-10				110.00
						5013421	-	03-23-10				51,518.73
						5013580	-	03-23-10				835.87
						5013842	-	03-24-10				244.32
						5014938	-	04-08-10	6	833	14A	200.00
						5014939	-	04-08-10	6	833	14A	100.00
						5015052	-	04-09-10	6	833	14A	110.00
						5015053	-	04-09-10	6	833	14A	300.00
						5015061	-	04-09-10	6	833	14A	2,000.00
						5015063	-	04-09-10	2	676	18A	3,000.00
						5015511	-	04-16-10	6	833	14A	200.00
						5015607	-	04-19-10	2	676	18A	472.21
						5016006	-	04-23-10	2	676	18A	556.65
						5016007	-	04-23-10	6	833	14A	166.68
						5016011	-	04-23-10	2	676	18A	244.32
						5016573	-	05-03-10	6	833	14A	600.00
						5016734	-	05-05-10	2	676	18A	1,671.74
						5016736	-	05-05-10	6	833	14A	212.62
						5016737	-	05-05-10	6	833	14A	87.00
						5017115	-	05-11-10	6	833	14A	300.00
						5017116	-	05-11-10	2	676	18A	472.21
						5017548	-	05-17-10	2	676	18A	3,000.00
						5017693	-	05-18-10	2	676	18A	556.65
						5017694	-	05-18-10	6	833	14A	110.00
						5018496	-	05-21-10	6	833	14A	166.68
						5018497	-	05-21-10	6	833	14A	100.00
						5018498	-	05-21-10	6	833	14A	100.00
						5018639	-	05-25-10	6	833	14A	244.32
						5020069	-	06-14-10	2	676	18A	556.65
						5020070	-	06-14-10	6	833	14A	150.00
						5020096	-	06-14-10	2	676	18A	3,000.00
						5020097	-	06-14-10	6	833	14A	300.00

Fiscal Year	Program	Associated Grant Number	Fund Type	Estimated Income for Year	Transaction	Voucher #	Voucher Created	Voucher Type	IDIS Proj. ID	IDIS Actv. ID	Matrix Code	Received/Drawn Amount
						5020102	06-14-10		6	833	14A	166.68
						5020104	06-14-10		6	833	14A	200.00
						5020107	06-14-10		6	833	14A	300.00
						5020177	06-14-10		2	676	18A	244.32
						5021017	06-22-10		6	833	14A	300.00
						5021021	06-22-10		6	833	14A	110.00
						5021027	06-22-10		6	833	14A	10,275.07
						5021032	06-22-10		2	676	18A	472.21
						5022229	07-08-10		2	676	18A	244.32
						5022730	07-13-10		6	833	14A	100.00
						5022732	07-13-10		6	833	14A	350.00
						5022735	07-13-10		6	833	14A	110.00
						5022768	07-14-10		2	676	18A	556.65
						5022769	07-14-10		2	676	18A	3,000.00
						5022770	07-14-10		2	676	18A	472.21
						5022771	07-14-10		6	833	14A	166.68
						5024440	08-11-10		5	3998	12	6,000.94
						5024629	08-16-10		6	833	14A	200.00
						5024630	08-16-10		6	833	14A	150.00
						5024631	08-16-10		2	676	18A	835.87
						5024643	08-16-10		2	676	18A	3,000.00
						5024647	08-16-10		2	676	18A	472.21
						5024649	08-16-10		6	833	14A	300.00
						5024650	08-16-10		2	676	18A	556.65
						5024654	08-16-10		6	833	14A	166.68
						5024656	08-16-10		6	833	14A	350.00
						5024657	08-16-10		6	833	14A	300.00
						5024905	08-18-10		2	676	18A	244.32
						5025236	08-24-10		6	833	14A	110.00
						5025239	08-24-10		6	833	14A	200.00
						5026373	09-09-10		6	833	14A	325.00
						5026374	09-09-10		6	833	14A	166.68
						5026376	09-09-10		6	833	14A	300.00
						5027171	09-21-10		2	676	18A	3,000.00
						5027173	09-21-10		2	676	18A	556.65
						5027174	09-21-10		2	676	18A	472.21
						5027222	09-21-10		2	676	18A	224.32
						5027471	09-27-10		6	833	14A	110.00

Fiscal Year	Program	Associated Grant Number	Fund Type	Estimated Income for Year	Transaction	Voucher #	Voucher Created	Voucher Type	IDIS Proj. ID	IDIS Actv. ID	Matrix Code	Received/Drawn Amount
2010	CDBG	B10MC480500	RL	0.00	DRAWS	5027472	-	09-27-10	6	833	14A	200.00
						5027474	-	09-27-10	6	833	14A	200.00
						5027511	-	09-27-10	6	833	14A	400.00
						5027541	-	09-27-10	6	833	14A	100.00
						5027542	-	09-27-10	6	833	14A	100.00
						5027543	-	09-27-10	6	833	14A	150.00
						5027557	-	09-28-10	6	833	14A	150.00
						5027564	-	09-28-10	6	833	14A	150.00
						5027568	-	09-28-10	6	833	14A	150.00
						5027769	-	09-29-10	6	833	14A	300.00
						5027776	-	09-29-10	2	676	18A	835.87
						5027828	-	09-30-10	6	833	14A	200.00
						5575827	-	06-19-13	1	5933	01	24,516.45
					5575827	-	06-19-13	4	5849	14I	1,407.04	
					5585634	-	07-17-13	4	5849	14I	33,580.88	
					5595895	-	08-15-13	4	5849	14I	9,342.17	
					5605837	-	09-13-13	1	5750	03K	400.00	
					5605837	-	09-13-13	4	5849	14I	6,324.87	
					RECEIPTS	5031689	-	12-02-10	2	676	18A	244.32
						5031690	-	12-02-10	2	676	18A	244.32
						5031735	-	12-02-10	2	676	18A	3,000.00
						5031736	-	12-02-10	2	676	18A	472.21
						5031740	-	12-02-10	6	833	14A	613.60
						5031741	-	12-02-10	2	676	18A	2,600.00
						5031744	-	12-02-10	2	676	18A	556.65
						5031746	-	12-02-10	6	833	14A	166.68
5031782	-	12-03-10	6	833		14A	150.00					
5031786	-	12-03-10	6	833		14A	200.00					
5031803	-	12-03-10	2	676		18A	2,600.00					
5031809	-	12-03-10	6	833		14A	200.00					
5031820	-	12-03-10	2	676		18A	3,000.00					
5031831	-	12-03-10	6	833	14A	166.68						
					Receipts	341,827.11						
					Draws	75,571.41						
					Balance	266,255.70						

Fiscal Year	Program	Associated Grant Number	Fund Type	Estimated Income for Year	Transaction	Voucher #	Voucher Created	Voucher Type	IDIS Proj. ID	IDIS Actv. ID	Matrix Code	Received/Drawn Amount
						5031834	-	12-03-10	2	676	18A	556.65
						5031836	-	12-03-10	2	676	18A	472.21
						5031841	-	12-03-10	6	833	14A	216.00
						5031922	-	12-06-10	6	833	14A	200.00
						5032011	-	12-07-10	2	676	18A	244.32
						5032148	-	12-08-10	6	833	14A	150.00
						5032361	-	12-09-10	6	833	14A	300.00
						5033072	-	12-17-10	6	833	14A	100.00
						5033073	-	12-17-10	2	676	18A	2,600.00
						5033074	-	12-17-10	2	676	18A	556.65
						5033075	-	12-17-10	2	676	18A	3,000.00
						5033076	-	12-17-10	6	833	14A	166.68
						5033720	-	12-30-10	6	833	14A	110.00
						5033724	-	12-30-10	2	676	18A	472.21
						5033725	-	12-30-10	3	3465	14A	200.00
						5033727	-	12-30-10	2	676	18A	4,179.35
						5033728	-	12-30-10	4	3161	14A	150.00
						5033729	-	12-30-10	49	1270	12	17,916.72
						5034521	-	01-12-11	2	676	18A	2,600.00
						5034793	-	01-14-11	2	676	18A	244.32
						5035093	-	01-19-11	6	833	14A	300.00
						5035094	-	01-19-11	2	676	18A	3,000.00
						5035096	-	01-19-11	2	676	18A	835.87
						5035098	-	01-19-11	6	833	14A	166.68
						5035100	-	01-19-11	2	676	18A	472.21
						5035101	-	01-19-11	2	676	18A	556.65
						5035103	-	01-19-11	6	833	14A	107.38
						5035694	-	01-27-11	6	833	14A	200.00
						5036520	-	02-08-11	6	833	14A	200.00
						5036526	-	02-08-11	6	833	14A	150.97
						5036923	-	02-10-11	2	676	18A	3,000.00
						5036933	-	02-10-11	2	676	18A	835.87
						5036934	-	02-10-11	2	676	18A	2,600.00
						5036935	-	02-10-11	2	676	18A	244.32
						5038374	-	03-01-11	6	833	14A	200.00
						5038377	-	03-01-11	2	676	18A	472.21
						5038379	-	03-01-11	6	833	14A	220.00
						5038396	-	03-01-11	6	833	14A	200.00

Fiscal Year	Program	Associated Grant Number	Fund Type	Estimated Income for Year	Transaction	Voucher #	Voucher Created	Voucher Type	IDIS Proj. ID	IDIS Actv. ID	Matrix Code	Received/Drawn Amount
						5038397	03-01-11		2	676	18A	556.65
						5038589	03-03-11		5	3998	12	627.00
						5038807	03-08-11		2	676	18A	244.32
						5038874	03-08-11		6	833	14A	300.00
						5038876	03-08-11		2	676	18A	3,000.00
						5039927	03-21-11		2	676	18A	556.65
						5039930	03-21-11		6	833	14A	110.00
						5039932	03-21-11		6	833	14A	212.91
						5039956	03-22-11		2	676	18A	835.87
						5039957	03-22-11		2	676	18A	472.21
						5039958	03-22-11		2	676	18A	2,600.00
						5040325	03-25-11		6	833	14A	200.00
						5040694	03-30-11		6	833	14A	200.00
						5040777	03-31-11		5	3998	12	150.00
						5040778	03-31-11		5	3998	12	150.00
						5040780	03-31-11		6	833	14A	150.00
						5040781	03-31-11		6	833	14A	150.00
						5042291	04-18-11		5	3998	12	150.00
						5042292	04-18-11		2	676	18A	835.87
						5042314	04-19-11		2	676	18A	472.21
						5042315	04-19-11		2	676	18A	556.65
						5042317	04-19-11		2	676	18A	3,000.00
						5042319	04-19-11		2	676	18A	2,600.00
						5042320	04-19-11		2	676	18A	244.32
						5043453	05-04-11		6	833	14A	200.00
						5043503	05-05-11		6	833	14A	300.00
						5043504	05-05-11		6	833	14A	200.00
						5043506	05-05-11		6	833	14A	110.00
						5044098	05-10-11		2	676	18A	2,600.00
						5044099	05-10-11		2	676	18A	835.87
						5044100	05-10-11		2	676	18A	472.21
						5044103	05-10-11		2	676	18A	244.32
						5044405	05-13-11		2	676	18A	3,000.00
						5045221	05-23-11		6	833	14A	110.00
						5046162	06-07-11		2	676	18A	556.65
						5046163	06-07-11		2	676	18A	244.32
						5046652	06-14-11		6	833	14A	200.00
						5046653	06-14-11		6	833	14A	300.00

Fiscal Year	Program	Associated Grant Number	Fund Type	Estimated Income for Year	Transaction	Voucher #	Voucher Created	Voucher Type	IDIS Proj. ID	IDIS Actv. ID	Matrix Code	Received/Drawn Amount
						5046655	06-14-11		2	676	18A	3,000.00
						5046659	06-14-11		2	676	18A	835.87
						5046660	06-14-11		2	676	18A	472.21
						5046661	06-14-11		6	833	14A	110.00
						5046662	06-14-11		2	676	18A	2,600.00
						5046670	06-14-11		5	3998	12	150.00
						5046672	06-14-11		5	3998	12	150.00
						5047410	06-24-11		6	833	14A	200.00
						5047411	06-24-11		2	676	18A	556.65
						5048523	07-07-11		2	676	18A	244.32
						5048904	07-13-11		2	676	18A	556.65
						5048905	07-13-11		2	676	18A	472.21
						5048906	07-13-11		2	676	18A	3,000.00
						5048908	07-13-11		2	676	18A	2,600.00
						5048955	07-14-11		6	833	14A	250.00
						5048956	07-14-11		2	676	18A	835.87
						5049252	07-19-11		6	833	14A	300.00
						5050348	08-03-11		5	3998	12	150.00
						5050349	08-03-11		2	676	18A	244.32
						5051591	08-17-11		2	676	18A	835.87
						5051605	08-17-11		2	676	18A	3,000.00
						5051606	08-17-11		6	833	14A	220.00
						5051607	08-17-11		6	833	14A	760.00
						5052444	08-29-11		6	833	14A	200.00
						5052446	08-29-11		2	676	18A	2,600.00
						5052516	08-30-11		2	676	18A	556.65
						5052677	08-31-11		2	676	18A	472.21
						5053294	09-09-11		2	676	18A	244.32
						5053872	09-19-11		6	833	14A	500.00
						5053873	09-19-11		2	676	18A	556.65
						5053874	09-19-11		2	676	18A	1,153.65
						5053875	09-19-11		5	3998	12	150.00
						5053879	09-19-11		2	676	18A	2,600.00
						5053939	09-20-11		6	833	14A	200.00
						5054063	09-21-11		6	833	14A	112.00
						5054308	09-26-11		2	676	18A	3,000.00
						5054346	09-26-11		6	833	14A	166.68
						5054376	09-26-11		5	3998	12	150.00

Fiscal Year	Program	Associated Grant Number	Fund Type	Estimated Income for Year	Transaction	Voucher #	Voucher Created	Voucher Type	IDIS Proj. ID	IDIS Actv. ID	Matrix Code	Received/Drawn Amount
						5054378	-	09-26-11	2	676	18A	472.21
											Receipts	124,838.10
											Draws	
											Balance	124,838.10
2011	CDBG	B11MC480500	RL	0.00	RECEIPTS							
						5056005	-	10-18-11	6	833	14A	200.00
						5056069	-	10-19-11	6	833	14A	112.00
						5056072	-	10-19-11	6	833	14A	565.27
						5056073	-	10-19-11	2	676	18A	2,600.00
						5056075	-	10-19-11	2	676	18A	5,769.67
						5056077	-	10-19-11	2	676	18A	244.32
						5056079	-	10-19-11	2	676	18A	3,000.00
						5056232	-	10-20-11	2	676	18A	472.21
						5059009	-	11-29-11	2	676	18A	244.32
						5059010	-	11-29-11	2	676	18A	2,600.00
						5059012	-	11-29-11	2	676	18A	3,000.00
						5059015	-	11-29-11	6	833	14A	565.27
						5059017	-	11-29-11	2	676	18A	472.21
						5059022	-	11-29-11	6	833	14A	120.00
						5059023	-	11-29-11	6	833	14A	200.00
						5059031	-	11-29-11	6	833	14A	400.00
						5059057	-	11-29-11	6	833	14A	200.00
						5059779	-	12-05-11	2	676	18A	244.32
						5062269	-	12-28-11	6	833	14A	125.00
						5062271	-	12-28-11	2	676	18A	472.21
						5062272	-	12-28-11	2	676	18A	3,000.00
						5062280	-	12-28-11	2	676	18A	2,600.00
						5062286	-	12-28-11	6	833	14A	200.00
						5062293	-	12-28-11	2	676	18A	244.32
						5063630	-	01-11-12	6	833	14A	200.00
						5063631	-	01-11-12	6	833	14A	425.00
						5063632	-	01-11-12	2	676	18A	3,000.00
						5063683	-	01-11-12	6	833	14A	160.92
						5065704	-	01-31-12	2	676	18A	2,600.00
						5065705	-	01-31-12	2	676	18A	472.21
						5065908	-	02-02-12	6	833	14A	200.00
						5066485	-	02-09-12	2	676	18A	244.32

Fiscal Year	Program	Associated Grant Number	Fund Type	Estimated Income for Year	Transaction	Voucher #	Voucher Created	Voucher Type	IDIS Proj. ID	IDIS Actv. ID	Matrix Code	Received/Drawn Amount
						5066564	02-10-12		2	676	18A	3,000.00
						5066566	02-10-12		2	676	18A	2,600.00
						5066568	02-10-12		6	833	14A	565.27
						5066573	02-10-12		2	676	18A	472.21
						5066583	02-10-12		6	833	14A	200.00
						5066587	02-10-12		6	833	14A	200.00
						5068208	03-01-12		1	5587	12	4,132.46
						5068263	03-02-12		6	833	14A	19,224.59
						5068552	03-06-12		15	3104	03	300.00
						5068948	03-09-12		5	3998	12	150.00
						5069146	03-12-12		2	676	18A	2,600.00
						5069152	03-12-12		2	676	18A	3,000.00
						5069212	03-13-12		2	676	18A	472.21
						5069213	03-13-12		6	833	14A	200.00
						5070044	03-22-12		1	5590	12	5,455.23
						5070757	03-28-12		6	833	14A	200.00
						5072275	04-13-12		6	833	14A	200.00
						5072276	04-13-12		2	676	18A	3,000.00
						5072280	04-13-12		2	676	18A	2,600.00
						5073447	04-25-12		2	676	18A	472.21
						5074759	05-14-12		1	5588	12	5,467.68
						5075343	05-21-12		2	676	18A	472.21
						5075347	05-21-12		6	833	14A	250.00
						5075349	05-21-12		5	3998	12	150.00
						5075350	05-21-12		5	3998	12	5,352.38
						5075351	05-21-12		2	676	18A	3,000.00
						5075352	05-21-12		2	676	18A	2,600.00
						5075354	05-21-12		6	833	14A	290.00
						5075854	05-25-12		6	833	14A	88,393.29
						5075858	05-25-12		6	833	14A	200.00
						5076606	06-04-12		5	3998	12	300.00
						5076607	06-04-12		5	3998	12	150.00
						5076608	06-04-12		5	3998	12	150.00
						5076615	06-04-12		6	833	14A	9,218.80
						5077301	06-11-12		6	833	14A	2,000.00
						5077306	06-11-12		2	676	18A	2,600.00
						5077310	06-11-12		6	833	14A	120.00
						5077788	06-15-12		6	833	14A	200.00

Fiscal Year	Program	Associated Grant Number	Fund Type	Estimated Income for Year	Transaction	Voucher #	Voucher Created	Voucher Type	IDIS Proj. ID	IDIS Actv. ID	Matrix Code	Received/Drawn Amount
						5077790	-	06-15-12	6	833	14A	200.00
						5077791	-	06-15-12	2	676	18A	3,000.00
						5077792	-	06-15-12	2	676	18A	472.21
						5078429	-	06-22-12	49	1267	12	27,616.74
						5080382	-	07-17-12	2	676	18A	2,600.00
						5080383	-	07-17-12	2	676	18A	3,000.00
						5080738	-	07-20-12	2	676	18A	472.21
						5080739	-	07-20-12	6	833	14A	100.00
						5080899	-	07-23-12	1	5591	12	5,611.94
						5081713	-	08-02-12	6	833	14A	100.00
						5082383	-	08-09-12	2	676	18A	472.21
						5082384	-	08-09-12	2	676	18A	2,600.00
						5083275	-	08-20-12	6	833	14A	715.61
						5084287	-	08-31-12	2	676	18A	3,000.00
						5084311	-	08-31-12	5	3998	12	150.00
						5084579	-	09-05-12	5	3998	12	250.00
						5085157	-	09-13-12	6	833	14A	50.00
						5085160	-	09-13-12	6	833	14A	30.00
						5085162	-	09-13-12	6	833	14A	50.00
						5085163	-	09-13-12	6	833	14A	50.00
						5085164	-	09-13-12	6	833	14A	50.00
						5085166	-	09-13-12	6	833	14A	50.00
						5085167	-	09-13-12	6	833	14A	50.00
						5085170	-	09-13-12	6	833	14A	50.00
						5085193	-	09-13-12	2	676	18A	2,600.00
						5086082	-	09-21-12	6	833	14A	400.00
						5086083	-	09-21-12	6	833	14A	100.00
						5086084	-	09-21-12	6	833	14A	100.00
						5086086	-	09-21-12	2	676	18A	472.21
						5086087	-	09-21-12	2	676	18A	3,000.00
						5086568	-	09-26-12	5	3998	12	150.00
						5086569	-	09-26-12	5	3998	12	150.00
						5086806	-	09-28-12	1	5582	12	5,519.03
											Receipts	268,144.27
											Draws	
											Balance	268,144.27

2012 CDBG B12MC480500 PI 152,000.00

Fiscal Year	Program	Associated Grant Number	Fund Type	Estimated Income for Year	Transaction RECEIPTS	Voucher #	Voucher Created	Voucher Type	IDIS Proj. ID	IDIS Actv. ID	Matrix Code	Received/Drawn Amount
						5088681	10-23-12		6	833	14A	5,833.33
						5090239	11-09-12		6	833	14A	5,833.33
						5093262	12-18-12		6	833	14A	5,833.33
						5096170	01-23-13		6	833	14A	5,833.33
						5097749	02-14-13		6	833	14A	5,833.33
						5099542	03-11-13		6	833	14A	5,833.33
						5102255	04-11-13		6	833	14A	5,833.33
						5104241	05-07-13		6	833	14A	5,833.33
						5106765	06-06-13		6	833	14A	5,833.33
						5109127	07-03-13		6	833	14A	5,833.33
						5112389	08-12-13		6	833	14A	5,833.33
						5112624	08-14-13		39	4961	01	253,847.73
						5114877	09-10-13		6	833	14A	5,833.33
					DRAWS							
						5508088	12-14-12	PY	3	5881	14A	5,833.33
						5518410	01-15-13	PY	31	5885	21A	3,500.00
						5518410	01-15-13	PY	3	5881	14A	8,166.66
						5533714	02-25-13	PY	31	5885	21A	1,166.66
						5533714	02-25-13	PY	3	5881	14A	4,666.67
						5540784	03-14-13	PY	31	5885	21A	1,166.67
						5540784	03-14-13	PY	3	5881	14A	4,666.66
						5553148	04-17-13	PY	31	5885	21A	1,166.69
						5553148	04-17-13	PY	3	5881	14A	4,666.64
						5563493	05-14-13	PY	31	5885	21A	1,166.67
						5563493	05-14-13	PY	8	5894	05L	4,666.66
						5575827	06-19-13	PY	31	5885	21A	1,166.67
						5575827	06-19-13	PY	24	5896	05D	4,666.66
						5585638	07-17-13	PY	31	5885	21A	2,333.34
						5585638	07-17-13	PY	23	5897	05K	9,333.32
						5595938	08-15-13	PY	31	5885	21A	1,369.74
						5595938	08-15-13	PY	8	5894	05L	168,222.68
						5595938	08-15-13	PY	23	5897	05K	21,602.09
						5595938	08-15-13	PY	16	5899	18C	54,096.59
						5595938	08-15-13	PY	24	5896	05D	386.12
						5595938	08-15-13	PY	21	5895	05A	8,170.51
						5605840	09-13-13	PY	31	5885	21A	1,166.67
						5605840	09-13-13	PY	8	5894	05L	4,666.66

Fiscal Year	Program	Associated Grant Number	Fund Type	Estimated Income for Year	Transaction	Voucher #	Voucher Created	Voucher Type	IDIS Proj. ID	IDIS Actv. ID	Matrix Code	Received/Drawn Amount
2012	CDBG	B12MC480500	RL	24,000.00	RECEIPTS							
						5088676	10-23-12		6	833	14A	323,847.69
						5088677	10-23-12		2	676	18A	318,014.36
						5088678	10-23-12		2	676	18A	5,833.33
						5088679	10-23-12		2	676	18A	
						5088680	10-23-12		5	3998	12	
						5090240	11-09-12		2	676	18A	3,000.00
						5090242	11-09-12		6	833	14A	2,600.00
						5090806	11-15-12		2	676	18A	3,000.00
						5090807	11-15-12		2	676	18A	2,600.00
						5091630	11-28-12		2	676	18A	300.00
						5091631	11-28-12		5	3998	12	3,000.00
						5091693	11-29-12		1	5589	12	420.00
						5093264	12-18-12		6	833	14A	2,198.88
						5093372	12-19-12		2	676	18A	2,600.00
						5093373	12-19-12		2	676	18A	492.17
						5093376	12-19-12		2	676	18A	150.00
						5095045	01-10-13		2	676	18A	5,905.18
						5095413	01-15-13		1	5585	12	116.95
						5095718	01-17-13		2	676	18A	3,000.00
						5095719	01-17-13		2	676	18A	2,600.00
						5095721	01-17-13		5	3998	12	200.00
						5095727	01-17-13		2	676	18A	244.32
						5096597	01-30-13		2	676	18A	432.30
						5096749	01-31-13		1	5593	12	16,778.87
						5097034	02-05-13		6	833	14A	75.00
						5097035	02-05-13		6	833	14A	75.00
						5097036	02-05-13		6	833	14A	75.00
						5097037	02-05-13		6	833	14A	75.00
						5097038	02-05-13		6	833	14A	75.00
						5097039	02-05-13		6	833	14A	75.00
						5097748	02-14-13		2	676	18A	3,000.00
						5097750	02-14-13		2	676	18A	2,600.00

Fiscal Year	Program	Associated Grant Number	Fund Type	Estimated Income for Year	Transaction	Voucher #	Voucher Created	Voucher Type	IDIS Proj. ID	IDIS Actv. ID	Matrix Code	Received/Drawn Amount
						5097751	02-14-13		2	676	18A	244.32
						5097752	02-14-13		6	833	14A	200.00
						5097753	02-14-13		2	676	18A	2,404.27
						5097823	02-15-13		1	5584	12	4,930.32
						5098148	02-21-13		6	833	14A	103,306.47
						5099538	03-11-13		6	833	14A	717.04
						5099539	03-11-13		6	833	14A	1,000.00
						5099540	03-11-13		6	833	14A	1,000.00
						5099541	03-11-13		6	833	14A	1,000.00
						5099545	03-11-13		2	676	18A	3,000.00
						5099547	03-11-13		2	676	18A	2,600.00
						5100338	03-19-13		2	676	18A	244.32
						5100457	03-20-13		1	5586	12	7,464.54
						5100458	03-20-13		1	5583	12	7,424.38
						5102258	04-11-13		2	676	18A	3,000.00
						5102260	04-11-13		5	3998	12	150.00
						5102262	04-11-13		2	676	18A	2,600.00
						5102296	04-11-13		2	676	18A	244.32
						5102301	04-11-13		5	3998	12	900.00
						5102793	04-17-13		6	833	14A	100.00
						5103140	04-23-13		6	833	14A	100.00
						5104242	05-07-13		6	833	14A	138,576.00
						5104243	05-07-13		2	676	18A	2,600.00
						5104814	05-14-13		5	3998	12	150.00
						5104827	05-14-13		2	676	18A	3,000.00
						5104834	05-14-13		2	676	18A	244.32
						5106122	05-30-13		2	676	18A	18,381.35
						5106126	05-30-13		2	676	18A	600.00
						5106129	05-30-13		2	676	18A	315.10
						5106130	05-30-13		2	676	18A	500.00
						5107287	06-13-13		5	3998	12	100.00
						5107288	06-13-13		2	676	18A	2,600.00
						5107291	06-13-13		2	676	18A	3,000.00
						5107292	06-13-13		5	3998	12	150.00
						5107312	06-13-13		2	676	18A	244.32
						5107803	06-20-13		6	833	14A	200.00
						5110665	07-22-13		6	833	14A	10,204.22
						5110778	07-23-13		2	676	18A	253.97

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Appendix VIII: Annual Section 3 Reports

Section 3 Summary Report

Economic Opportunities for Low – and Very Low-Income Persons

U.S. Department of Housing
and Urban Development
Office of Fair Housing
And Equal Opportunity

OMB Approval No: 2529-0043
(exp. 11/30/2010)

HUD Field Office:

Section back of page for Public Reporting Burden statement

1. Recipient Name & Address: (street, city, state, zip)	2. Federal Identification: (grant no.)	3. Total Amount of Award:
	4. Contact Person	5. Phone: (Include area code)
	6. Length of Grant:	7. Reporting Period:
8. Date Report Submitted:	9. Program Code: (Use separate sheet for each program code)	10. Program Name:

Part I: Employment and Training (** Columns B, C and F are mandatory fields. Include New Hires in E &F)

A Job Category	B Number of New Hires	C Number of New Hires that are Sec. 3 Residents	D % of Aggregate Number of Staff Hours of New Hires that are Sec. 3 Residents	E % of Total Staff Hours for Section 3 Employees and Trainees	F Number of Section 3 Trainees
Professionals					
Technicians					
Office/Clerical					
Construction by Trade (List Trade)					
Trade					
Trade					
Trade					
Trade					
Other (List)					
Total					

* Program Codes
1 = Flexible Subsidy
2 = Section 202/811

3 = Public/Indian Housing
A = Development,
B = Operation
C = Modernization

4 = Homeless Assistance
5 = HOME
6 = HOME State Administered
7 = CDBG Entitlement

8 = CDBG State Administered
9 = Other CD Programs
10 = Other Housing Programs

Part II: Contracts Awarded

1. Construction Contracts:

A. Total dollar amount of all contracts awarded on the project	\$
B. Total dollar amount of contracts awarded to Section 3 businesses	\$
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	%
D. Total number of Section 3 businesses receiving contracts	

2. Non-Construction Contracts:

A. Total dollar amount all non-construction contracts awarded on the project/activity	\$
B. Total dollar amount of non-construction contracts awarded to Section 3 businesses	\$
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	%
D. Total number of Section 3 businesses receiving non-construction contracts	

Part III: Summary

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low- and very low-income persons, particularly those who are recipients of government assistance for housing. (Check all that apply.)

- ☐ Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contracts with the community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.
- ☐ Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.
- ☐ Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.
- ☐ Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.
- ☐ Other; describe below.

Public reporting for this collection of information is estimated to average 2 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB number.

Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u, mandates that the Department ensures that employment and other economic opportunities generated by its housing and community development assistance programs are directed toward low- and very-low income persons, particularly those who are recipients of government assistance housing. The regulations are found at 24 CFR Part 135. The information will be used by the Department to monitor program recipients' compliance with Section 3, to assess the results of the Department's efforts to meet the statutory objectives of Section 3, to prepare reports to Congress, and by recipients as self-monitoring tool. The data is entered into a database and will be analyzed and distributed. The collection of information involves recipients receiving Federal financial assistance for housing and community development programs covered by Section 3. The information will be collected annually to assist HUD in meeting its reporting requirements under Section 808(e)(6) of the Fair Housing Act and Section 916 of the HCDA of 1992. An assurance of confidentiality is not applicable to this form. The Privacy Act of 1974 and OMB Circular A-108 are not applicable. The reporting requirements do not contain sensitive questions. Data is cumulative; personal identifying information is not included.

Economic Opportunities for Low – and Very Low-Income Persons

U.S. Department of Housing
and Urban Development
Office of Fair Housing
And Equal Opportunity

OMB Approval No: 2529-0043
(exp. 11/30/2010)

HUD Field Office:

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	4. Contact Person	5. Phone: (Include area code)
	6. Length of Grant:	7. Reporting Period:
8. Date Report Submitted:	9. Program Code: (Use separate sheet for each program code)	10. Program Name:

Part I: Employment and Training (** Columns B, C and F are mandatory fields. Include New Hires in E &F)

A Job Category	B Number of New Hires	C Number of New Hires that are Sec. 3 Residents	D % of Aggregate Number of Staff Hours of New Hires that are Sec. 3 Residents	E % of Total Staff Hours for Section 3 Employees and Trainees	F Number of Section 3 Trainees
Professionals					
Technicians					
Office/Clerical					
Construction by Trade (List Trade)					
Trade					
Trade					
Trade					
Trade					
Other (List)					
Total					

* Program Codes
1 = Flexible Subsidy
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3 = Public/Indian Housing
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4 = Homeless Assistance
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Part II: Contracts Awarded

1. Construction Contracts:

A. Total dollar amount of all contracts awarded on the project	\$
B. Total dollar amount of contracts awarded to Section 3 businesses	\$
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	%
D. Total number of Section 3 businesses receiving contracts	

2. Non-Construction Contracts:

A. Total dollar amount all non-construction contracts awarded on the project/activity	\$
B. Total dollar amount of non-construction contracts awarded to Section 3 businesses	\$
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	%
D. Total number of Section 3 businesses receiving non-construction contracts	

Part III: Summary

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low- and very low-income persons, particularly those who are recipients of government assistance for housing. (Check all that apply.)

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- ☐ Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.
- ☐ Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.
- ☐ Other; describe below.

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Section 3 Summary Report

Economic Opportunities for Low – and Very Low-Income Persons

U.S. Department of Housing
and Urban Development
Office of Fair Housing
And Equal Opportunity

OMB Approval No: 2529-0043
(exp. 11/30/2010)

HUD Field Office:

Section back of page for Public Reporting Burden statement

1. Recipient Name & Address: (street, city, state, zip)	2. Federal Identification: (grant no.)	3. Total Amount of Award:
	4. Contact Person	5. Phone: (Include area code)
	6. Length of Grant:	7. Reporting Period:
8. Date Report Submitted:	9. Program Code: (Use separate sheet for each program code)	10. Program Name:

Part I: Employment and Training (** Columns B, C and F are mandatory fields. Include New Hires in E &F)

A Job Category	B Number of New Hires	C Number of New Hires that are Sec. 3 Residents	D % of Aggregate Number of Staff Hours of New Hires that are Sec. 3 Residents	E % of Total Staff Hours for Section 3 Employees and Trainees	F Number of Section 3 Trainees
Professionals					
Technicians					
Office/Clerical					
Construction by Trade (List Trade)					
Trade					
Trade					
Trade					
Trade					
Other (List)					
Total					

* Program Codes
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2 = Section 202/811

3 = Public/Indian Housing
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4 = Homeless Assistance
5 = HOME
6 = HOME State Administered
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Part II: Contracts Awarded

1. Construction Contracts:

A. Total dollar amount of all contracts awarded on the project	\$
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C. Percentage of the total dollar amount that was awarded to Section 3 businesses	%
D. Total number of Section 3 businesses receiving contracts	

2. Non-Construction Contracts:

A. Total dollar amount all non-construction contracts awarded on the project/activity	\$
B. Total dollar amount of non-construction contracts awarded to Section 3 businesses	\$
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	%
D. Total number of Section 3 businesses receiving non-construction contracts	

Part III: Summary

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low- and very low-income persons, particularly those who are recipients of government assistance for housing. (Check all that apply.)

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- ☐ Other; describe below.

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Section 3 Summary Report

Economic Opportunities for Low – and Very Low-Income Persons

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	6. Length of Grant:	7. Reporting Period:
8. Date Report Submitted:	9. Program Code: (Use separate sheet for each program code)	10. Program Name:

Part I: Employment and Training (** Columns B, C and F are mandatory fields. Include New Hires in E &F)

A Job Category	B Number of New Hires	C Number of New Hires that are Sec. 3 Residents	D % of Aggregate Number of Staff Hours of New Hires that are Sec. 3 Residents	E % of Total Staff Hours for Section 3 Employees and Trainees	F Number of Section 3 Trainees
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Technicians					
Office/Clerical					
Construction by Trade (List Trade)					
Trade					
Trade					
Trade					
Trade					
Other (List)					
Total					

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Part II: Contracts Awarded

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Part III: Summary

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- ☐ Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.
- ☐ Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.
- ☐ Other; describe below.

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Economic Opportunities for Low – and Very Low-Income Persons

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Section 3 Summary Report

Economic Opportunities for Low – and Very Low-Income Persons

U.S. Department of Housing
and Urban Development
Office of Fair Housing
And Equal Opportunity

OMB Approval No: 2529-0043
(exp. 11/30/2010)

HUD Field Office:

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	4. Contact Person	5. Phone: (Include area code)
	6. Length of Grant:	7. Reporting Period:
8. Date Report Submitted:	9. Program Code: (Use separate sheet for each program code)	10. Program Name:

Part I: Employment and Training (** Columns B, C and F are mandatory fields. Include New Hires in E &F)

A Job Category	B Number of New Hires	C Number of New Hires that are Sec. 3 Residents	D % of Aggregate Number of Staff Hours of New Hires that are Sec. 3 Residents	E % of Total Staff Hours for Section 3 Employees and Trainees	F Number of Section 3 Trainees
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Technicians					
Office/Clerical					
Construction by Trade (List Trade)					
Trade					
Trade					
Trade					
Trade					
Other (List)					
Total					

* Program Codes
1 = Flexible Subsidy
2 = Section 202/811

3 = Public/Indian Housing
A = Development,
B = Operation
C = Modernization

4 = Homeless Assistance
5 = HOME
6 = HOME State Administered
7 = CDBG Entitlement

8 = CDBG State Administered
9 = Other CD Programs
10 = Other Housing Programs

Part II: Contracts Awarded

1. Construction Contracts:

A. Total dollar amount of all contracts awarded on the project	\$
B. Total dollar amount of contracts awarded to Section 3 businesses	\$
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	%
D. Total number of Section 3 businesses receiving contracts	

2. Non-Construction Contracts:

A. Total dollar amount all non-construction contracts awarded on the project/activity	\$
B. Total dollar amount of non-construction contracts awarded to Section 3 businesses	\$
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	%
D. Total number of Section 3 businesses receiving non-construction contracts	

Part III: Summary

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low- and very low-income persons, particularly those who are recipients of government assistance for housing. (Check all that apply.)

- ☐ Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contracts with the community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.
- ☐ Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.
- ☐ Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.
- ☐ Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.
- ☐ Other; describe below.

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Appendix IX: Public Comments

Tomko, Jonathan

From: NHCD
Sent: Monday, December 02, 2013 9:57 AM
To: Tomko, Jonathan; Nichols, Kelly
Cc: Giello, Rebecca
Subject: FW: Email from austintexas.gov: CAPER 2012-2013

Importance: High

Categories: Red Category

FYI

-----Original Message-----

From: Stuart Hersh [<mailto:shersh@austin.rr.com>]
Sent: Wednesday, November 27, 2013 11:20 AM
To: NHCD; shersh@austin.rr.com
Subject: Email from austintexas.gov: CAPER 2012-2013

This message is from Stuart Hersh. [shersh@austin.rr.com]

Here are my CAPER comments:

Page 1-20 Guadalupe Saldana was past predevelopment and was nearly completed with construction of four duplexes by 9/30/13 Page 1-20 UNO code refinements have not been adopted by the City Council to date, but are recommended by the Planning Commission and the Community Development Commission, and UNO stakeholders Page 1-27 157 RHDA completed as compared to goal of 13 completed; no discussion of geographic dispersion Page 1-28 ABR homeowner did not meet goals and City Council considering Visitability Ordinance amendments as mechanism for removing barriers Page 1-30 ABR rental did not have goals Page 1-32 S.M.A.R.T. Housing had no goals and 1,465 completed General Obligation Bonds were defeated by Austin voters in November 2012, and were on ballot for November 2013



December 25, 2013

NHCD Staff
Neighborhood Housing and Community Development Office
Attn: FY 2012-2013 CAPER
PO Box 1088
Austin, TX 78767

Dear NHCD Staff:

My name is Andy Cates and I am proud to serve as Public Policy Chair on the board of the Austin Young Chamber of Commerce (AYC), the largest young professionals' organization in the Central Texas region. We strive to promote the personal and professional development of young professionals in the Austin, Texas area.

After reviewing the 2012-2013 CAPER, the Austin Young Chamber of Commerce would like to formally comment on some of the findings in the hope that the city may better understand the needs and challenges of young professionals in Austin in relation to housing and community development.

Public vs. Private Investment in Public Housing

Exhibit 1.3 of the CAPER shows the actual difference between public and private investment in Austin area housing initiatives, versus the ideal split. While the CAPER pointed out that the ideal split would clearly be more advantageous for the city, in that private investment could eventually take some of the financial burden off of the public funds, there was not an in-depth discussion on why the split is where it is and how the city intends to work with private investors to bring us closer to the ideal.

AYC agrees that increased private investment in public housing initiatives would allow public funds to be better apportioned for needed services, and we would request that the city give a more detailed explanation of how that ideal split may be obtained in the future.

Renter and Homebuyer Assistance

AYC applauds the city in placing a high priority on renter and homebuyer assistance. While the overall economic prosperity of the Central Texas region has many benefits, one notable



downside is the increase in property value which spurs skyrocketing rental and home prices in the area. While we understand that sometimes this cannot be avoided, we also appreciate the city's commitment to providing affordable housing to those who need it.

There are two factors that we would like to point out that we would ask the city to consider: young professional debt-to-income ratios and the historical perspective of young professionals now entering the workforce.

In this CAPER, the city discusses eligibility requirements for renter and homebuyer assistance, which vary between 50% and 80% MFI, depending on the program. Normally, young professionals in Austin will not meet that threshold, either because their gross take-home pay is too high, or their family size is too small for the gross pay they receive.

However, a large percentage of young professionals entering the workforce over the last 10 years have entered with substantial student loan debt along with a barely recovering job market in which to start their careers. In fact according to two studies, "more than 600,000 federal student loan borrowers who entered repayment in 2010 defaulted on their loans by 2012"¹, and student loan debt has now outpaced national credit card debt by amount owed, growing at a rate of \$2,853.88 per *second*.²

These financial burdens weigh most heavily on young professionals entering the workforce now, after the recession of 2008, because as Forbes says, "[d]ebt costs you time in savings, pushes back when and whether you can buy a home, start a family, open a small business or access capital...not to mention the opportunity cost of the education itself..."³ It is a sad fact that today's young professionals have been set back no less than 10 years in their overall wealth potential and buying power. A 2012 Esquire article stated that the average net worth of Americans 35 and younger in 2009 was almost 1/4th of what it was in 1984, and that since the recession one in three young Americans have postponed marrying, while one in four had moved back in with their parents.⁴

All of these statistics underscore the fact that while young professionals may earn a paycheck in excess of the MFI thresholds for rental and homebuying assistance, many times that means very

¹ The Institute for College Access & Success, http://www.ticas.org/files/pub/CDR_2013_NR.pdf, September 30, 2013.

² CNBC article, citing study by FinAid.org, <http://www.cnbc.com/id/40680905>, December 20, 2010.

³ "How the \$1.2 Trillion College Debt Crisis Is Crippling Students, Parents and the Economy", Forbes.com, August 7, 2013.

⁴ "The War Against Youth", Esquire Magazine, March 26, 2012.



little in regards to their actual purchasing power, due to financial setbacks relating to the recovering economy and their dismal debt-to-income ratios.

AYC would ask the city, if the city has latitude to do so, to work with young professionals in a more widespread capacity and gauge *actual* purchasing power of this demographic, rather than basing assistance programs solely on percentage of MFI. We have reason to believe (because we have lived it) that if debt-to-income ratios were factored in for young professionals in Austin, they would benefit greatly from rental assistance and homebuying assistance in order to break the cycle of living paycheck to paycheck.

Again, we applaud the city in their efforts to help large segments of the population in community development and housing initiatives. Austin is truly one of the best cities in the nation for young professionals, and we hope that with further research and a cooperative spirit, we can work together to ensure that this demographic can thrive here for years to come. If you have any questions or follow-up comments, you can reach me at andcates@gmail.com.

Sincerely,

Andy Cates
Public Policy Chair, Board of Directors
Austin Young Chamber of Commerce



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